# Reliability of $\alpha_{1}$ and $\alpha_{2}$ from Lattice Codes 

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#### Abstract

Whether the higher-order terms in the momentum-compaction factor, $\alpha_{1}$ and $\alpha_{2}$, can be obtained reliably from lattice codes is an important issue for some quasi-isochronous rings. A FODO lattice consisting of thin quadrupoles, dipoles filling all spaces, and two families of thin sextupoles is solved and $\alpha_{1}$ and $\alpha_{2}$ are derived analytically. We find accurate agreement with SYNCH for $\alpha_{1}$ but not $\alpha_{2}$. Possible error in SYNCH is examined. Some methods of measurement of $\alpha_{1}$ and $\alpha_{2}$ are discussed.


## I. INTRODUCTION

The high luminosity of the recently proposed $2 \mathrm{TeV}-2 \mathrm{TeV}$ muon-muon collider [1] calls for a collider ring of circumference $C_{0} \sim 8000 \mathrm{~m}$ with an rms bunch length of $3 \mathrm{~mm}(10 \mathrm{ps})$ and rms momentum spread of $0.15 \%$. The short bunch length, as well as a reasonable rf voltage, limits the slippage factor of the collider to $|\eta| \lesssim 1 \times 10^{-6}$ for every particle in the muon bunch [2,3]. This implies that the spread of $\eta$ as a function of momentum offset $\delta$ needs to be less than $\sim 1 \times 10^{-6}$ also.

The slippage factor and closed-orbit length $C$ of an offmomentum particle can be expanded as power series in momentum offset $\delta$,

$$
\begin{gather*}
\eta=\eta_{0}+\eta_{1} \delta+\eta_{2} \delta^{2}+\cdots  \tag{1.1}\\
C=C_{0}\left(1+\alpha_{0} \delta+\alpha_{1} \delta^{2}+\alpha_{2} \delta^{3}+\cdots\right) \tag{1.2}
\end{gather*}
$$

where $\alpha_{i}$ is the $i$ th-order term of the momentum-compaction factor. For a 2 TeV muon having $\gamma^{-2}=2.73 \times 10^{-9}$, which is very much less than the required $|\eta|$, it can be readily shown that $\eta_{1} \approx \alpha_{1}$ and $\eta_{2} \approx \alpha_{2}$ [3].

With so tiny a value of $\left|\eta_{0}\right|$, the contributions of the higherorder term of the momentum-compaction factor can bring in a large spread in the slippage factor. To satisfy the zeroth-order momentum-compaction factor $\alpha_{0}$, the collider lattice can be designed rather easily, for example, using flexible momentumcompaction modules [4]. The first order $\alpha_{1}$ brings in momentum asymmetry of the rf bucket and will lead to severe longitudinal head-tail instability [5]. Fortunately this instability can be avoided by reducing or eliminating the contribution of $\alpha_{1}$ through the deployment of sextupoles [3]. However, the second order term $\alpha_{2}$ will come into play.

For lattice structure that is as complicated as the flexible momentum-compaction module, analytic computations of $\alpha_{1}$ and $\alpha_{2}$ are almost impossible. The other design tool that we can rely on will be lattice codes such as the more common SYNCH [6] and MAD [7]. An obvious important question to ask is how reliable are these code-generated results, when higher orders of the momentum-compaction factor are concerned.

[^0]In this paper, we look into a FODO lattice consisting of thin quadrupoles, dipoles filling all spaces, and two families of sextupoles. When the exact solution is compared with the results from SYNCH, we find that SYNCH does not provide the correct $\alpha_{2}$. The source of error is investigated in Section III, and some possible ways to measure $\alpha_{2}$ experimentally are discussed in Section IV. Section V is devoted to remarks and conclusions.

## II. SIMPLIFIED FODO LATTICE

## A. Momentum-Compaction Factor



Figure 1: A FODO half cell with thin F- and D-quadrupoles and a dipole filling all spaces.

A simplified FODO lattice with only thin quadrupoles and with dipoles filling all spaces is soluble analytically [8]. Consider a half cell shown in Fig. 1. The half F-quadrupole is at $F F^{\prime}$ while the half D -quadrupole is at $D D^{\prime}$. In between lies the dipole of bend angle $\theta_{0}$. The designed orbit in the half cell is the arc $F D$ and is of length $\ell_{0}=\rho_{0} \theta_{0}$ with radius of curvature $\rho_{0}$, while the off-momentum closed orbit corresponding to $\delta$ is the arc $F^{\prime} D^{\prime}$ and is of length $\ell$, radius of curvature $\rho$, and bend angle $\theta=\ell / \rho$. Passing through the thin half F-quadrupole, the off-momentum orbit acquires, according to the bending due to the Lorentz force, an angular change of

$$
\begin{equation*}
\Delta \phi_{F}=\frac{\delta}{1+\delta} \int d s \frac{B^{\prime} \hat{D} \delta}{B_{0} \rho_{0}}=\frac{S \hat{D}}{\ell_{0}} \frac{\delta}{1+\delta} \tag{2.1}
\end{equation*}
$$

where

$$
\begin{equation*}
S=\ell_{0}\left|\int d s \frac{B^{\prime}}{B_{0} \rho_{0}}\right| \tag{2.2}
\end{equation*}
$$

is the integrated strength of the quadrupole and $B^{\prime}$ the field gradient. The off-momentum orbit then turns through an angle $\theta$ inside the dipole and another

$$
\begin{equation*}
\Delta \phi_{D}=-\frac{S \check{D}}{\ell_{0}} \frac{\delta}{1+\delta} \tag{2.3}
\end{equation*}
$$

through the half D-quadrupole to complete the half cell. In the above, $\hat{D}$ and $\check{D}$ represent the values of the dispersion function at the F- and D-quadrupoles, respectively. The total angle turned is obviously $\theta_{0}$. Therefore,

$$
\begin{equation*}
\theta=\theta_{0}-\frac{S \delta}{1+\delta} \frac{\hat{D}-\check{D}}{\ell_{0}} \tag{2.4}
\end{equation*}
$$

Since the two orbits are in the same dipole field, their radii of curvature are related by $\rho=\rho_{0}(1+\delta)$. Combining Eqs. (2.4) and (2.5), we have for the two orbit lengths exactly

$$
\begin{equation*}
\ell=\ell_{0}\left[1+\delta\left(1-\frac{S}{\theta_{0}} \frac{\hat{D}-\check{D}}{\ell_{0}}\right)\right] \tag{2.5}
\end{equation*}
$$

We can also include two families of half thin sextupoles of strengths

$$
\begin{equation*}
S_{F}=\int d \ell \frac{B_{S_{F}}^{\prime \prime}}{2 B_{0} \rho_{0}} \quad S_{D}=\int d \ell \frac{B_{S_{D}}^{\prime \prime}}{2 B_{0} \rho_{0}} \tag{2.6}
\end{equation*}
$$

placed, respectively, on each side of the F- and D-quadrupoles. The angle the off-momentum orbit turns at the half F-quadrupole and F-sextupole will change from Eq. (2.1) to

$$
\begin{equation*}
\Delta \phi_{F}=\frac{S \hat{D} \delta}{1+\delta}+\frac{S_{F} \hat{D}^{2} \delta^{2}}{1+\delta} \tag{2.7}
\end{equation*}
$$

Similarly, $\Delta \phi_{D}$ of Eq. (2.2) will change to

$$
\begin{equation*}
\Delta \phi_{D}=-\frac{S \check{D} \delta}{1+\delta}+\frac{S_{D} \check{D}^{2} \delta^{2}}{1+\delta} \tag{2.8}
\end{equation*}
$$

Equation (2.5) should also be changed accordingly. Note that the $\ell_{0}$ has been removed since we have simplified the notations by measuring all lengths in terms of it.

With the expansions

$$
\begin{align*}
& \hat{D}=\hat{D}_{0}+\hat{D}_{1} \delta+\hat{D}_{2} \delta^{2}+\mathcal{O}\left(\delta^{3}\right)  \tag{2.9}\\
& \check{D}=\check{D}_{0}+\check{D}_{1} \delta+\check{D}_{2} \delta^{2}+\mathcal{O}\left(\delta^{3}\right) \tag{2.10}
\end{align*}
$$

for the dispersion function $D$, and Eq. (1.2) for the orbit length $C$, we arrive at each order of the momentum-compaction factor,

$$
\begin{gather*}
\alpha_{0}=1-\frac{S\left(\hat{D}_{0}-\check{D}_{0}\right)}{\theta_{0}} \\
\alpha_{1}=-\frac{S\left(\hat{D}_{1}-\check{D}_{1}\right)}{\theta_{0}}-\frac{S_{F} \hat{D}_{0}^{2}}{\theta_{0}}-\frac{S_{D} \check{D}_{0}^{2}}{\theta_{0}} \\
\alpha_{2}=-\frac{S\left(\hat{D}_{2}-\check{D}_{2}\right)}{\theta_{0}}-\frac{2 S_{F} \hat{D}_{0} \hat{D}_{1}}{\theta_{0}}-\frac{2 S_{D} \check{D}_{0} \check{D}_{1}}{\theta_{0}} \tag{2.11}
\end{gather*}
$$

which are exact to all orders of $\theta_{0}$.

## B. A Geometric Solution

The off-momentum closed orbit $F^{\prime} D^{\prime}$ is an arc of a circle with radius $\rho=\rho_{0}(1+\delta)$. The equation of the arc contains only two constants plus $\hat{D}$ and $\check{D}$. However, this arc is constrained by its positions and slopes at the dipole's entrance and exit. Therefore the two constants together with $\hat{D}$ and $\breve{D}$ can be determined.

Consider $O F^{\prime}$ of Fig. 1 as the $y$-axis and $O$ the origin. The $x$-axis is on the dipole side of $O F^{\prime}$. The point $F^{\prime}$ is $\left(0, \rho_{0}+\hat{D} \delta\right)$
and the $\operatorname{arc} F^{\prime} D^{\prime}$ is at an angle $\Delta \phi_{F}$ given by Eq. (2.7). The equation of the $\operatorname{arc} F^{\prime} D^{\prime}$ is therefore given by

$$
\begin{equation*}
\left[x+\rho \sin \Delta \phi_{F}\right]^{2}+\left[y-\rho_{0}-\hat{D} \delta+\rho \cos \Delta \phi_{F}\right]^{2}=\rho^{2} \tag{2.12}
\end{equation*}
$$

Now rotate the $x$ - and $y$-axes by an angle $\frac{1}{2} \theta_{0}$ so that the new $y$ axis passes through the center of the dipole. In terms of the new axes, the equation of the circular arc becomes

$$
\begin{align*}
& {\left[x \cos \frac{\theta_{0}}{2}+y \sin \frac{\theta_{0}}{2}+\rho \sin \Delta \phi_{F}\right]^{2}+} \\
& \quad\left[-x \sin \frac{\theta_{0}}{2}+y \cos \frac{\theta_{0}}{2}-\rho_{0}-\hat{D} \delta+\rho \cos \Delta \phi_{F}\right]^{2}=\rho^{2} \tag{2.13}
\end{align*}
$$

We can also start with $O D^{\prime}$ as the $y$-axis. The angle at $D^{\prime}$ is now $\Delta \phi_{D}$ as given by Eq. (2.8). The axes are then rotated in the opposite direction by $\frac{1}{2} \theta_{0}$ so the the equation of the arc $F^{\prime} D^{\prime}$ becomes

$$
\begin{align*}
& {\left[x \cos \frac{\theta_{0}}{2}-y \sin \frac{\theta_{0}}{2}+\rho \sin \Delta \phi_{D}\right]^{2}+} \\
& \quad\left[x \sin \frac{\theta_{0}}{2}+y \cos \frac{\theta_{0}}{2}-\rho_{0}-\check{D} \delta+\rho \cos \Delta \phi_{D}\right]^{2}=\rho^{2} \tag{2.14}
\end{align*}
$$

Equations (2.13) and (2.14) are exactly the same because they describe the same $\operatorname{arc} F^{\prime} D^{\prime}$. By equating coefficients, we obtain with $t=\tan \frac{1}{2} \theta_{0}$,

$$
\begin{align*}
& \rho \sin \Delta \phi_{F}-\left[\rho \cos \Delta \phi_{F}-\hat{D} \delta-\rho_{0}\right] t= \\
& \rho \sin \Delta \phi_{D}+\left[\rho \cos \Delta \phi_{D}-\hat{D} \delta-\rho_{0}\right] t  \tag{2.15}\\
& t \rho \sin \Delta \phi_{F}+\left[\rho \cos \Delta \phi_{F}-\hat{D} \delta\right]= \\
& \quad-t \rho \sin \Delta \phi_{D}+\left[\rho \cos \Delta \phi_{D}-\hat{D} \delta\right] t \tag{2.16}
\end{align*}
$$

The other relations are redundant. Thus, we can solve for $\hat{D}$ and $\check{D}$ in terms of $\theta_{0}$ and $\delta$ exactly. Since we are interested in solution up to the second order in $\delta$ only, Eqs. (2.15) and (2.16) can be expanded and simplified. We then obtain for the zeroth order in $\delta$,

$$
\left(\begin{array}{rr}
1 & -t  \tag{2.17}\\
t & 1
\end{array}\right)\binom{S \hat{D}_{0}}{1-\theta_{0} \hat{D}_{0}}=\left(\begin{array}{rr}
1 & t \\
-t & 1
\end{array}\right)\binom{S \check{D}_{0}}{1-\theta_{0} \check{D}_{0}}
$$

for the first order in $\delta$,

$$
\begin{align*}
& \left(\begin{array}{rr}
1 & -t \\
t & 1
\end{array}\right)\binom{S \hat{D}_{1}+S_{F} \hat{D}_{0}^{2}}{-\frac{1}{2} S^{2} \hat{D}_{0}^{2}-\theta_{0} \hat{D}_{1}}= \\
& \left(\begin{array}{rr}
1 & t \\
-t & 1
\end{array}\right)\binom{S \check{D}_{1}-S_{D} \hat{D}_{0}^{2}}{-\frac{1}{2} S^{2} \breve{D}_{0}^{2}-\theta_{0} \check{D}_{1}} \tag{2.18}
\end{align*}
$$

and for the second order in $\delta$,

$$
\left(\begin{array}{rr}
1 & -t \\
t & 1
\end{array}\right)\binom{S \hat{D}_{2}-\frac{1}{6} S^{3} \hat{D}_{0}^{3}+2 S_{F} \hat{D}_{0} \hat{D}_{1}}{\frac{1}{2} S^{2} \hat{D}_{0}^{2}-S^{2} \hat{D}_{0} \hat{D}_{1}-\theta_{0} \hat{D}_{0}-S S_{F} \hat{D}_{0}^{3}}=
$$

$$
\left(\begin{array}{rr}
1 & t  \tag{2.19}\\
-t & 1
\end{array}\right)\binom{S \check{D}_{2}-\frac{1}{6} S^{3} \check{D}_{0}^{3}-2 S_{D} \check{D}_{0} \check{D}_{1}}{\frac{1}{2} S^{2} \check{D}_{0}^{2}-S^{2} \check{D}_{0} \check{D}_{1}-\theta_{0} \check{D}_{0}+S S_{D} \check{D}_{0}^{3}} .
$$

Solving Eq. (2.17), we obtain

$$
\begin{equation*}
\hat{D}_{0}, \check{D}_{0}=\frac{\theta_{0} \pm S t}{S^{2}+\theta_{0}^{2}} \tag{2.20}
\end{equation*}
$$

which are the usual expressions for the dispersions at the Fand D-quadrupoles of a FODO cell. The zeroth order of the momentum-compaction factor is, according to Eq. (2.11),

$$
\begin{equation*}
\alpha_{0}=1-\frac{2 S^{2} t}{\theta_{0}\left(S^{2}+\theta_{0}^{2}\right)} \tag{2.21}
\end{equation*}
$$

Solving Eq. (2.18), we obtain the first order dispersion,

$$
\begin{align*}
\hat{D}_{1}= & -\frac{S^{2} \hat{D}_{0}^{2}\left(S t^{2}+2 \theta_{0} t-S\right)}{4 t\left(S^{2}+\theta_{0}^{2}\right)}-\frac{S^{3} \check{D}_{0}^{2}\left(1+t^{2}\right)}{4 t\left(S^{2}+\theta_{0}^{2}\right)} \\
& -\frac{S_{F} \hat{D}_{0}^{2}\left(2 S t-\theta_{0} t^{2}+\theta_{0}\right)}{2 t\left(S^{2}+\theta_{0}^{2}\right)}-\frac{S_{D} \check{D}_{0}^{2} \theta_{0}\left(1+t^{2}\right)}{2 t\left(S^{2}+\theta_{0}^{2}\right)}  \tag{2.22}\\
\check{D}_{1}= & \frac{S^{3} \hat{D}_{0}^{2}\left(1+t^{2}\right)}{4 t\left(S^{2}+\theta_{0}^{2}\right)}-\frac{S^{2} \check{D}_{0}^{2}\left(2 \theta_{0} t+S-S t^{2}\right)}{4 t\left(S^{2}+\theta_{0}^{2}\right)} \\
& -\frac{S_{F} \hat{D}_{0}^{2} \theta_{0}\left(1+t^{2}\right)}{2 t\left(S^{2}+\theta_{0}^{2}\right)}-\frac{S_{D} \check{D}_{0}^{2}\left(\theta_{0}-2 S t-\theta_{0} t^{2}\right)}{2 t\left(S^{2}+\theta_{0}^{2}\right)} \tag{2.23}
\end{align*}
$$

The first-order term of the momentum-compaction factor can now be obtained from Eq. (2.11). It can be simplified to

$$
\begin{equation*}
\alpha_{1}=-\frac{S^{4} t\left(S^{2} t^{2}+3 \theta_{0}^{2}\right)}{\theta_{0}\left(S^{2}+\theta_{0}^{2}\right)^{3}}-\left(S_{F} \hat{D}_{0}^{3}+S_{D} \check{D}_{0}^{3}\right) \tag{2.24}
\end{equation*}
$$

We see from Eq. (2.24) that $\alpha_{1}$ can be reduced or eliminated by suitable deployment of sextupoles.

In the situation of a very large ring where $\theta_{0} \ll S$, the above equations reduce to

$$
\begin{gather*}
\alpha_{0} \rightarrow \frac{\theta_{0}^{2}}{S^{2}}\left(1-\frac{S^{2}}{12}\right)  \tag{2.25}\\
\alpha_{1} \rightarrow \frac{3 \theta_{0}^{2}}{2 S^{2}}\left(1+\frac{S^{2}}{12}\right)-\left(S_{F} \hat{D}_{0}^{3}+S_{D} \check{D}_{0}^{3}\right) \tag{2.26}
\end{gather*}
$$

Solution of Eq. (2.19) gives

$$
\begin{align*}
\hat{D}_{2} & -\check{D}_{2}=\frac{1}{6} S^{3} \hat{D}_{0}^{3} \frac{S-t \theta_{0}}{S^{2}+\theta_{0}^{2}}-\frac{1}{6} S^{3} \check{D}_{0}^{3} \frac{S+t \theta_{0}}{S^{2}+\theta_{0}^{2}} \\
& +\frac{1}{2} S^{2}\left(\hat{D}_{0}^{3}-\check{D}_{0}^{3}\right)-S^{2}\left(\hat{D}_{0}^{2} \hat{D}_{1}-\check{D}_{0}^{2} \check{D}_{1}\right) \\
& -2 S_{F} \hat{D}_{0} \hat{D}_{1} \frac{S-t \theta_{0}}{S^{2}+\theta_{0}^{2}}-2 S_{D} \check{D}_{0} \check{D}_{1} \frac{S+t \theta_{0}}{S^{2}+\theta_{0}^{2}} \\
& -S\left(S_{F} \hat{D}_{0}^{4}+S_{D} \check{D}_{0}^{4}\right) . \tag{2.27}
\end{align*}
$$

Substituting into Eq. (2.11) will give $\alpha_{2}$. As is seen in Eqs. (2.22) and (2.23), $\hat{D}_{1}$ and $\check{D}_{1}$ are linear in $S_{F}$ and $S_{D}, \alpha_{2}$ will have quadratic terms in the sextupole strengths, indicating that the sextupoles talk to each other in their contributions to the second-order term of the momentum-compaction factor.

In the large ring approximation, $\theta_{0}^{2} \ll 1$, Eq. (2.27) can be simplified considerably. In the absence of sextupoles, we obtain

$$
\begin{equation*}
\alpha_{2} \rightarrow-\frac{\theta_{0}^{2}}{6}\left(1+\frac{35 \theta_{0}^{2}}{S^{4}}\right) \tag{2.28}
\end{equation*}
$$

where we have included the $\mathcal{O}\left[(\theta / S)^{4}\right]$ term because the $\mathcal{O}\left[(\theta / S)^{2}\right]$ term happens to have canceled out. Note that $\alpha_{0}, \alpha_{1}$ and $\alpha_{2}$ are all of order $\theta_{0}^{2}$. Therefore, it may be more convenient to quote their ratios instead; i.e.,

$$
\begin{equation*}
\frac{\alpha_{1}}{\alpha_{0}} \rightarrow \frac{3}{2}\left(\frac{1+\frac{1}{12} S^{2}}{1-\frac{1}{12} S^{2}}\right), \quad \frac{\alpha_{2}}{\alpha_{0}} \rightarrow-\frac{S^{2}}{6}\left(\frac{1+\frac{35 \theta_{0}^{2}}{S^{4}}}{1-\frac{1}{12} S^{2}}\right) \tag{2.29}
\end{equation*}
$$

## C. Comparison with SYNCH and MAD

A numerical comparison of $\alpha_{2}$ had been made in Ref. 8 with the theoretical results of a simplified FODO lattice with only thin quadrupoles and with dipoles filling all spaces. A ring consisting of 150 equal FODO cells and another one consisting of 15 equal FODO cells were considered. The half-cell length was fixed at $\ell_{0}=2 \pi \mathrm{~m}$, and the half quadrupole strength was varied from $S=0.020$ to 0.999 . The first-order momentum-compaction factor $\alpha_{1}$ was extracted from SYNCH in each case and was compared with the analytic expression derived above. The agreements had been excellent, up to at least 3 significant figures. A comparison had also been made with the addition of two families of sextupoles. The agreement had also been excellent, thus verifying the validity of Eq. (2.24). This does not, however, exclude the possibility of a disagreement of $\alpha_{1}$ with lattice consisting of thick quadrupoles and thick sextupoles. This is because the exact integration of the particle trajectory inside a quadrupole or sextupole is tedious and time consuming, and lattice codes usually resort to approximations.

Here, we continue to use a lattice consisting of 150 equal FODO cells to study the second-order term of the momentumcompaction factor $\alpha_{2}$. The half sextupole strength is chosen to be $S=\frac{1}{2}$ or a phase advance of $\mu=2 \sin ^{-1} \frac{1}{2}=\frac{\pi}{3}$.
What we obtain from SYNCH are the transition gammas $\gamma_{t}$ 's at various momentum offsets, with $\gamma_{t}$ defined as

$$
\begin{equation*}
\gamma_{t}^{-2}=\frac{\delta}{C} \frac{d C}{d \delta} \tag{2.30}
\end{equation*}
$$

which can be expanded as a power series in momentum offset $\delta$,

$$
\begin{equation*}
\gamma_{t}^{-2}=a_{0}+a_{1} \delta+a_{2} \delta^{2}+\cdots \tag{2.31}
\end{equation*}
$$

Comparing with the power expansion of the closed-orbit length in Eq. (1.2), the various orders of the momentum-compaction factor are obtained:

$$
\begin{gather*}
\alpha_{0}=a_{0} \\
2 \alpha_{1}=a_{1}-a_{0}+a_{0}^{2} \\
3 \alpha_{2}=a_{2}-a_{1}+a_{0}+\frac{3}{2} a_{0} a_{1}-\frac{3}{2} a_{0}^{2}+\frac{1}{2} a_{0}^{3} \tag{2.32}
\end{gather*}
$$

When the $\gamma_{t}^{-2}$,s from SYNCH for momentum offset varying between $\pm 0.0004$ are fitted by a polynomial of degree two as indicated in Eq. (2.31), we obtain the three coefficients: $a_{0}=$ $0.00171503, a_{1}=0.00705748$, and $a_{2}=0.00853026$. The corresponding orders of the momentum-compaction factor are extracted according to Eq. (2.32) and are listed in Table I along with the analytically computed values of Eq. (3.11). The results of MAD were obtained in exactly the same way. Notice that the
approximate expressions of Eqs. (2.25), (2.26), and (2.28) for the $\alpha$ 's are pretty accurate. It is obvious that $\alpha_{2}$ has not been given correctly by SYNCH and MAD. We also tried to fit the $\gamma_{t}^{-2}$ 's obtained from the codes to polynomials of degree 3 ; the first three $\alpha$ 's do not change in their first 4 significant figures.

Table I: Comparison of SYNCH and MAD with theoretical results for the simplified FODO lattice.

|  | SYNCH | MAD | Theory |
| :---: | :---: | :---: | ---: |
| $\alpha_{0}$ | 0.00171503 | 0.00171503 | 0.00171518 |
| $\alpha_{1}$ | 0.00267272 | 0.00267421 | 0.00267273 |
| $\alpha_{2}$ | 0.00105371 | 0.00064879 | -0.00009099 |

## III. SYNCH AND MAD COMPUTATIONS

In a lattice code, the usual way to compute $\gamma_{t}$ for an offmomentum particle is (1) to compute the off-momentum closed orbit and (2) to compute the derivative in Eq. (2.30) by offsetting the momentum slightly. The second step seems to be fine, because both SYNCH and MAD give us the correct $\gamma_{t}$ for the on-momentum particle.

As for the off-momentum closed orbit, SYNCH [6] first tracks an initial "first guess" particle state vector $V_{0}$ through one complete revolution so as to produce a new state vector $V_{1}$. These state vectors are 7 -element vectors; for example,

$$
\begin{equation*}
V=\left(x, x^{\prime}, y, y^{\prime},-d s, \delta, 1\right) \tag{3.1}
\end{equation*}
$$

where the first 4 entries are for the horizontal and vertical deviations and slopes, the 5 th denotes the shortening in orbit length, the 6th momentum offset, and the 7th is reserved for misalignment calculation. The transfer matrices of the ring's elements are then linearized about this initial single-turn trajectory, generating new transfer matrices, $R$, and a linearized single-turn transfer matrix $T=R_{N} R_{N-1} \cdots R_{2} R_{1}$.

One may now track a particle vector $X_{0}$ in a small neighborhood of $V_{0}$ so that $X_{0}=V_{0}+Z_{0}$. After one revolution, this vector becomes $X_{1}=V_{1}+Z_{1}$, where $Z_{1}=T Z_{0}$. If $X_{0}$ is a closed orbit, we must have $X_{0}=X_{1}$, or

$$
\begin{equation*}
X_{0}=V_{0}+Z_{0}=V_{1}+Z_{1} \tag{3.2}
\end{equation*}
$$

Therefore,

$$
\begin{equation*}
X_{0}=V_{1}+T Z_{0}=V_{1}+T\left(X_{0}-V_{0}\right) \tag{3.3}
\end{equation*}
$$

or the closed orbit is

$$
\begin{equation*}
X_{0}=V_{0}+(I-T)^{-1}\left(V_{1}-V_{0}\right) \tag{3.4}
\end{equation*}
$$

where $I$ is the identity matrix. Then $X_{0}$ is used as the new guess vector, and the iterations are repeated. The exact off-momentum closed orbit should then be available.
Let us examine this closed orbit for the simplified 150-cell FODO lattice discussed above. We read out the maximum and minimum dispersions from the SYNCH and MAD outputs for different momentum offsets and fit polynomials of degree 3 to extract the different orders of the dispersion. The results are listed in Table II. Some numbers from MAD are omitted, because the $\check{D}$ 's are given to 3 figures only and are not accurate enough to do a polynomial fitting. We see that only the zeroth orders agree with theory.

Using the SYNCH results of $\hat{D}_{1}$ and $\check{D}_{1}$, we cannot arrive at the correct value of $\alpha_{1}$ via Eq. (2.11) as listed in Table II. In fact, SYNCH computes $\gamma_{t}$ separately using the derivative $d C / d \delta$ according to Eq. (2.30). Since $\alpha_{1}$ from SYNCH agrees with theory (see Table I), we can conclude that the $\hat{D}_{1}-\check{D}_{1}$ from analytic calculation is correct and those from SYNCH and MAD are incorrect. It is not impossible that there will be error in SYNCH when the off-momentum closed orbit is computed. In fact, it is non-trivial to propagate an off-momentum particle through lattice elements having magnet field

$$
\begin{equation*}
B=B_{0}+\left.B^{\prime}\right|_{0} x+\left.\frac{1}{2} B^{\prime \prime}\right|_{0} x^{2}+\cdots=B_{0} \rho_{0}\left[\frac{1}{\rho_{0}}+K x\right], \tag{3.5}
\end{equation*}
$$

where $x$ is the horizontal deviation from the designed orbit. Although the zeroth and first order differential equations for $x$ are simple, the exact one is very complicated [8],

$$
\begin{aligned}
x^{\prime \prime} & =\frac{x^{\prime 2}}{\rho_{0}\left(1+x / \rho_{0}\right)}+\left(1+\frac{x}{\rho_{0}}\right)\left[1+\frac{x^{\prime^{2}}}{\left(1+x / \rho_{0}\right)^{2}}\right] \times \\
& \times\left\{\frac{1}{\rho_{0}}-\frac{1}{1+\delta}\left[1+\frac{x^{\prime 2}}{\left(1+x / \rho_{0}\right)^{2}}\right]^{\frac{1}{2}}\left(1+\frac{x}{\rho_{0}}\right)\left(\frac{1}{\rho_{0}}+K x\right)\right\} .
\end{aligned}
$$

Since only the zeroth order off-momentum closed orbit is correct in SYNCH, $\gamma_{t}^{-2}$ computed using Eq. (2.30) can only be correct to the first order in $\delta$. This explains why $\alpha_{2}$ has been computed wrongly by SYNCH and MAD.

Table II: Comparison of SYNCH and MAD with theoretical results for the dispersion function.

|  | SYNCH | MAD | Theory |
| :---: | ---: | ---: | :---: |
| $\hat{D}_{0}$ | 0.65683 m | 0.65683 m | 0.65683 m |
| $\breve{D}_{0}$ | 0.39409 m | 0.394 m | 0.39409 m |
| $\hat{D}_{1}$ | 1.70435 m | 1.70236 m | 0.52278 m |
| $\breve{D}_{1}$ | 1.44461 m | 1.463 m | 0.52348 m |
| $\hat{D}_{2}$ | 4.61014 m | 0.98741 m |  |
| $\breve{D}_{2}$ | 4.88449 m |  |  |
| $\hat{D}_{2}-\check{D}_{2}$ | -0.27435 m |  | 0.00002395 m |

## IV. MEASUREMENT OF $\alpha_{1}$ AND $\alpha_{2}$

Since $\alpha_{2}$ is not predictable with lattice codes and is difficult to calculate theoretically for a real accelerator consisting of, for example, low-beta insertions, flexible momentum-compaction modules, and dispersion suppressors, we must resort to measurements [9]. The slippage factor can be inferred by the synchrotron tune of a particle in an off-momentum orbit. This can be done by altering the rf frequency from $f_{\mathrm{rf}}$ by an amount $\Delta f_{\mathrm{rf}}$ so that the synchronous particle is in a different closed orbit of length $C_{0}+\Delta C$ at a momentum $p_{0}+\Delta p=p_{0}\left(1+\delta_{0}\right)$. The phase equation per turn for a particle with momentum offset $\delta$ is

$$
\begin{equation*}
\frac{d \Delta \phi}{d n}=2 \pi \eta(\delta)\left(\delta-\delta_{0}\right) . \tag{4.1}
\end{equation*}
$$

This is because the synchronous particle which is at $\delta=\delta_{0}$ should have zero phase slip. With $\Delta \delta=\delta-\delta_{0}$, Eq. (4.1) can be rewritten as

$$
\frac{d \Delta \phi}{d n}=2 \pi\left[\eta\left(\delta_{0}\right) \Delta \delta+\eta^{\prime}\left(\delta_{0}\right) \Delta \delta^{2}+\frac{1}{2} \eta^{\prime \prime}\left(\delta_{0}\right) \Delta \delta^{3}+\cdots\right]
$$

Thus the synchrotron tune, $\nu_{s}=\nu_{s 0} \sqrt{\eta\left(\delta_{0}\right) / \eta_{0}}$, becomes

$$
\begin{equation*}
\nu_{s}=\nu_{s 0}\left[1+\frac{\eta_{1}}{2 \eta_{0}} \delta_{0}+\left(\frac{\eta_{2}}{2 \eta_{0}}-\frac{\eta_{1}^{2}}{8 \eta_{0}^{2}}\right) \delta_{0}^{2}+\cdots\right] \tag{4.3}
\end{equation*}
$$

where $\nu_{s 0}$ is the synchrotron tune for the on-momentum particle when $\eta=\eta_{0}$, and the $\eta_{i}$ 's with $i=1,2, \cdots$ are the higher-order expansion terms of the slippage factor as given by Eq. (1.1). From Eq. (1.2), the momentum offset can be written in terms of the orbit-length offset,

$$
\begin{equation*}
\delta_{0}=\frac{\Delta C}{\alpha_{0} C_{0}}-\frac{\alpha_{1}}{\alpha_{0}}\left(\frac{\Delta C}{\alpha_{0} C_{0}}\right)^{2}+\cdots \tag{4.4}
\end{equation*}
$$

Since $\Delta C / C_{0}=-\Delta f_{\mathrm{rf}} / f_{\mathrm{rf}}$, substituting Eq. (4.4) into Eq. (4.3), we arrive at

$$
\begin{equation*}
\nu_{s}=\nu_{s 0}\left[1-\frac{\eta_{1}}{\eta_{0}^{2}}\left(\frac{\Delta f_{\mathrm{rf}}}{f_{\mathrm{rf}}}\right)-\left(\frac{5 \eta_{1}^{2}}{8 \eta_{0}^{4}}-\frac{\eta_{2}}{2 \eta_{0}^{3}}\right)\left(\frac{\Delta f_{\mathrm{rf}}}{f_{\mathrm{rf}}}\right)^{2}+\cdots\right] \tag{4}
\end{equation*}
$$

where we have used $\eta_{0} \approx \alpha_{0}$ and $\eta_{1} \approx \alpha_{1}$.
The maximum momentum spread of the designed muon bunch is $\delta_{\max }=0.003$ and $\eta \approx 1 \times 10^{-6}$. Therefore a variation of the rf frequency by $\Delta f_{\mathrm{rf}} / f_{\mathrm{rf}} \approx 3 \times 10^{-9}$ will be required. Since the figure of merit of a superconducting cavity can easily reach $Q=1 \times 10^{9}$, such an rf frequency variation should be possible.
A low-intensity proton bunch with small momentum spread is injected into the muon collider for the measurement. The on-momentum synchrotron tune will give $\eta_{0}$. The higher orders $\eta_{1}$ and $\eta_{2}$ can be inferred by measuring the synchrotron tune as a function of $\Delta f_{\mathrm{rf}} / f_{\mathrm{rf}}$. If no asymmetric variation of the synchrotron tune is observed when $\Delta f_{\mathrm{rf}} / f_{\mathrm{rf}}$ varies between $\pm 3 \times 10^{-9}$, we can conclude that the $\eta_{1}$ contribution is insignificant in this collider lattice. Furthermore, if the synchrotron tune remains flat during the variation of $\Delta f_{\mathrm{rf}} / f_{\mathrm{rf}}$, the $\eta_{2}$ contribution is also insignificant. The bucket will then be $\eta_{0}$-dominated. However, if we see a symmetric parabolic dependency of $\nu_{s}$ versus $\Delta f_{\mathrm{rf}} / f_{\mathrm{rf}}$, we can tune the machine so that $\eta_{0}$ becomes zero. The bucket will then be $\eta_{2}$-dominated and the magnitude of $\eta_{2}$ can be determined easily.

Strictly speaking, Eqs. (4.3) to (4.4) are not valid when the contribution $\eta_{0}$ is small. Under that situation, we can write

$$
\begin{equation*}
\nu_{s}=\left(\frac{h e V}{2 \pi \beta^{2} E}\right)^{\frac{1}{2}}\left[\eta_{0}+\eta_{1} \delta_{0}+\eta_{2} \delta_{0}^{2}\right]^{\frac{1}{2}} \tag{4.6}
\end{equation*}
$$

and solve for $\delta_{0}$ in terms of $\Delta C / C_{0}$ exactly from Eq. (1.2). Here, $h$ is the rf harmonic, $V$ the rf voltage, $E$ the energy of the synchronous particle and $\beta$ its velocity divided by the velocity of light. After substituting the result into Eq. (4.6), we will then obtain $\nu_{s}$ in terms of $\Delta f_{\mathrm{rf}} / f_{\mathrm{rf}}$ which is valid for all values of $\eta_{0}$, $\eta_{1}$, and $\eta_{2}$. For example, when the contribution of $\eta_{2}$ overshadows those of $\eta_{0}$ and $\eta_{1}$, we have,

$$
\begin{equation*}
\nu_{s}=\left(\frac{h e V}{2 \pi \beta^{2} E}\right)^{\frac{1}{2}} \eta_{2}^{\frac{1}{3}}\left|\frac{\Delta f_{\mathrm{rf}}}{f_{\mathrm{rf}}}\right|^{\frac{2}{3}} \tag{4.7}
\end{equation*}
$$

except when $\Delta f_{\mathrm{rf}} / f_{\mathrm{rf}}$ is very close to zero. Similarly, for an asymmetric $\alpha$-like bucket [10],

$$
\begin{equation*}
\nu_{s}=\left(\frac{h e V}{2 \pi \beta^{2} E}\right)^{\frac{1}{2}}\left[\frac{\eta_{0}}{2}+\left(\frac{\eta_{0}^{2}}{4}-\eta_{1} \frac{\Delta f_{\mathrm{rf}}}{f_{\mathrm{rf}}}\right)^{\frac{1}{2}}\right] \tag{4.8}
\end{equation*}
$$

## V. CONCLUSION

A simplified FODO lattice consisting of thin quadrupoles and sextupoles with dipoles filling all spaces has been solved and analytic expression for $\alpha_{2}$ has been presented. Comparison with the results of SYNCH gives agreement with $\alpha_{1}$ but not $\alpha_{2}$.

We have examined the way SYNCH and MAD compute the transition gamma for off-momentum particles, which consists of computing the off-momentum closed orbit and then the $\gamma_{t}$ around the closed orbit using a derivative. We have compared each order of the dispersion with theory and found that only the lowest order is accurate. The error appears to come from the inaccurate tracking of an off-momentum particle across a quadrupole and/or sextupole. With the closed orbit only accurate to first order in $\delta$, it is obvious that SYNCH and MAD cannot provide the correct value for $\alpha_{2}$.

Some experimental measurements of $\alpha_{1}$ and $\alpha_{2}$ have been suggested. The method consists of offsetting the closed orbit of the synchronous particle by altering the rf frequency and measuring the change in synchrotron frequency. Since a superconducting cavity can have a figure of merit as high as $Q=1 \times 10^{9}$, accurate measurements of $\alpha_{1}$ and $\alpha_{2}$ should be feasible.

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