GRAVITATIONAL WAVES*†

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ABSTRACT

These lectures review current efforts and plans for gravitational-wave detection, the gravitational-wave sources that might be detected, and the information that the detectors might extract from the observed waves. Special attention is paid to (1) the LIGO/VIRGO network of earth-based, kilometer-scale laser interferometers, which is now under construction and will operate in the high-frequency band (1 to 10^4 Hz), and (2) a proposed five-million-kilometer-long Laser Interferometer Space Antenna (LISA), which would fly in heliocentric orbit and operate in the low-frequency band (10^{-4} to 1 Hz). LISA would extend the LIGO/VIRGO studies of stellar-mass ($M \sim 2$ to $300\ M_\odot$) black holes into the domain of the massive black holes ($M \sim 1000$ to $10^8\ M_\odot$) that inhabit galactic nuclei and quasars.

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1 Introduction

According to general relativity theory, compact concentrations of energy (e.g., neutron stars and black holes) should warp spacetime strongly, and whenever such an energy concentration changes shape, it should create a dynamically changing spacetime warpage that propagates out through the universe at the speed of light. This propagating warpage is called a *gravitational wave*—a name that arises from general relativity's description of gravity as a consequence of spacetime warpage.

Although gravitational waves have not yet been detected directly, their indirect influence has been seen and measured with such remarkable accuracy that their reality has been blessed even by the Nobel Prize Committee (that bastion of conservatism which explicitly denied Einstein the Prize for his relativity theories[1]).

The 1993 Prize was awarded to Russell Hulse and Joseph Taylor for their discovery of the binary pulsar PSR 1913+16 (Ref. 2) and for Taylor's observational demonstration (with colleagues)[3] that the binary's two neutron stars are spiraling together at just the rate predicted by general relativity's theory of gravitational radiation reaction. From the observed orbit, one can compute the rate at which orbital energy should be emitted into gravitational radiation, and from this rate of energy loss, one can compute the rate of orbital inspiral. The computed and observed inspiral rates agree to within the experimental accuracy, better than 1%.

Although this is a great triumph for Einstein, it is not a firm proof that general relativity is correct in all respects. Other relativistic theories of gravity (theories compatible with special relativity) predict the existence of gravitational waves; and some other theories predict the same inspiral rate for PSR 1913+16 as general relativity, to within the experimental accuracy.[4,5] Nevertheless, the experimental evidence for general relativity is so strong[4] that I shall assume it to be correct throughout this lecture except for occasional side remarks.

There are a number of efforts, worldwide, to detect gravitational radiation. These efforts are driven in part by the desire to "see gravitational waves in the flesh," but more importantly, by the goal of using the waves as a probe of the universe and of the nature of gravity. And a powerful probe they should be, since they carry detailed information about gravity and their sources.

There is an enormous difference between gravitational waves and the electromagnetic waves on which our present knowledge of the universe is based:

- Electromagnetic waves are oscillations of the electromagnetic field that propagate through spacetime; gravitational waves are oscillations of the "fabric" of spacetime itself.
- Astronomical electromagnetic waves are almost always incoherent superpositions of emission from individual electrons, atoms, or molecules. Cosmic gravitational waves are produced by coherent, bulk motions of huge amounts of mass-energy—either material mass or the energy of vibrating, nonlinear spacetime curvature.
- Since the wavelengths of electromagnetic waves are small compared to their sources (gas clouds, stellar atmospheres, accretion disks, etc.), from the waves we can make pictures of the sources. The wavelengths of cosmic gravitational waves are comparable to or larger than their coherent, bulk-moving sources, so we cannot make pictures from them. Instead, the gravitational waves are like sound; they carry, in two independent waveforms, a stereophonic, symphony-like description of their sources.
- Electromagnetic waves are easily absorbed, scattered, and dispersed by matter. Gravitational waves travel nearly unscathed through all forms and amounts of intervening matter.[6,7]
- Astronomical electromagnetic waves have frequencies that begin at $f \sim 10^7$Hz and extend on *upward* by roughly 20 orders of magnitude. Astronomical gravitational waves should begin at $\sim 10^4$ Hz (1000-fold lower than the lowest-frequency astronomical electromagnetic waves) and should extend on *downward* from there by roughly 20 orders of magnitude.

These enormous differences make it likely that:

- The information brought to us by gravitational waves will be very different from (almost "orthogonal to") that carried by electromagnetic waves; gravitational waves will show us details of the bulk motion of dense concentrations of energy, whereas electromagnetic waves show us the thermodynamic state of optically thin concentrations of matter.
- Most (but not all) gravitational-wave sources that our instruments detect will not be seen electromagnetically, and conversely, most objects observed

electromagnetically will never be seen gravitationally. Typical electromagnetic sources are stellar atmospheres, accretion disks, and clouds of interstellar gas—none of which emit significant gravitational waves, while typical gravitational-wave sources are the cores of supernova (which are hidden from electromagnetic view by dense layers of surrounding stellar gas), and colliding black holes (which emit no electromagnetic waves at all).

- Gravitational waves may bring us great surprises. In the past, when a radically new window has been opened onto the universe, the resulting surprises have had a profound, indeed revolutionary, impact. For example, the radio universe, as discovered in the 1940s, '50s, and '60s, turned out to be far more violent than the optical universe; radio waves brought us quasars, pulsars, and the cosmic microwave radiation, and with them, our first direct observational evidence for black holes, neutron stars, and the heat of the Big Bang.[8] It is reasonable to hope that gravitational waves will bring a similar "revolution."

In this lecture, I shall review the present status of attempts to detect gravitational radiation and plans for the future, and I shall describe some examples of information that we expect to garner from the observed waves. I shall begin, in Sec. 2, with an overview of all the frequency bands in which astrophysical gravitational waves are expected to be strong, the expected sources in each band, and the detection techniques being used in each. Then in subsequent sections, I shall focus on (1) the "high frequency band" which is populated by waves from stellar mass black holes and neutron stars, and is being probed by the ground-based instruments of laser interferometers and resonant-mass antennas (Secs. 3, 4, 5, and 6), and (2) the "low-frequency band" which is populated by waves from supermassive black holes and binary stars, and is probed by the space-based instruments of radio and optical tracking of spacecraft (Secs. 7 and 8). Finally, in Sec. 9, I shall describe the stochastic background of gravitational waves that is thought to have been produced by various processes in the early universe, and prospects for detecting it in the various frequency bands.

2 Frequency Bands, Sources, and Detection Methods

Four gravitational-wave frequency bands are being explored experimentally: the high-frequency band (HF; $f \sim 10^4$ to 1 Hz), the low-frequency band (LF; $f \sim 1$ to 10^{-4} Hz), the very-low frequency band (VLF; $f \sim 10^{-7}$ to 10^{-9} Hz), and the extremely-low-frequency band (ELF; $f \sim 10^{-15}$ to 10^{-18} Hz).

2.1 High-Frequency Band, 1 to 10^4 Hz

A gravitational-wave source of mass M cannot be much smaller than its gravitational radius, $2\ GM/c^2$, and cannot emit strongly at periods much smaller than the light-travel time $4\ \pi GM/c^3$ around this gravitational radius. Correspondingly, the frequencies at which it emits are

$$f \lesssim \frac{1}{4\ \pi GM/c^3} \sim 10^4\ \mathrm{Hz} \frac{M_\odot}{M}\ , \tag{1}$$

where $M_\odot$ is the mass of the Sun, and G and c are Newton's gravitation constant and the speed of light. To achieve a size of the same order as its gravitational radius and thereby emit near this maximum frequency, an object presumably must be heavier than the Chandrasekhar limit, about the mass of the sun, $M_\odot$. Thus, the highest frequency expected for strong gravitational waves is $f_{\max} \sim 10^4$ Hz. This defines the upper edge of the high-frequency gravitational-wave band.

The high-frequency band is the domain of the Earth-based gravitational-wave detectors of laser interferometers and resonant mass antennas. At frequencies below about 1 Hz, Earth-based detectors face nearly insurmountable noise (1) from fluctuating Newtonian gravity gradients (due, e.g., to the gravitational pulls of inhomogeneities in the Earth's atmosphere which move overhead with the wind), and (2) from Earth vibrations (which are extremely difficult to filter out mechanically below ~ 1 Hz). This defines the 1 Hz lower edge of the high-frequency band; to detect waves below this frequency, one must fly one's detectors in space.

A number of interesting gravitational-wave sources fall in the high-frequency band: the stellar collapse to a neutron star or black hole in our galaxy and distant galaxies, which sometimes triggers supernova; the rotation and vibration of neutron stars (pulsars) in our galaxy; the coalescence of neutron-star and stellar-mass black-hole binaries ($M \lesssim 1000\ M_\odot$) in distant galaxies; and possibly such sources of stochastic background as vibrating loops of cosmic string, phase transitions in the early universe, and the Big Bang in which the universe was born.

I shall discuss the high-frequency band in detail in Secs. 3–6.

2.2 Low-Frequency Band, 10^{-4} to 1 Hz

The low-frequency band, 10^{-4} to 1 Hz, is the domain of detectors flown in space (in Earth orbit or in interplanetary orbit). The most important of these are the Doppler tracking of spacecraft via microwave signals sent from Earth to the spacecraft and there transponded back to Earth (a technique that NASA has pursued since the early 1970s), and optical tracking of spacecraft by each other (laser interferometry in space, a technique now under development for possible flight in ~ 2014 or sooner).

The 1 Hz upper edge of the low-frequency band is defined by the gravity-gradient and seismic cutoffs on Earth-based instruments; the $\sim 10^{-4}$ Hz lower edge is defined by expected severe difficulties at lower frequencies in isolating spacecraft from the buffeting forces of fluctuating solar radiation pressure, solar wind, and cosmic rays.

The low-frequency band should be populated by waves from short-period binary stars in our own galaxy (main-sequence binaries, cataclysmic variables, white-dwarf binaries, neutron-star binaries, etc.); from white dwarfs, neutron stars, and small black holes spiraling into massive black holes ($M \sim 3 \times 10^5$ to $3 \times 10^7\ M_\odot$) in distant galaxies; and from the inspiral and coalescence of super-massive black-hole binaries ($M \sim 100$ to $10^8\ M_\odot$). The upper limit, $\sim 10^8\ M_\odot$, on the masses of black holes that can emit in the low-frequency band is set by Eq. (1) with $f \gtrsim 10^{-4}$ Hz. There should also be a low-frequency stochastic background from such early-universe processes as vibrating cosmic strings, phase transitions, and the Big Bang itself.

I shall discuss the low-frequency band in detail in Secs. 7 and 8.

2.3 Very-Low-Frequency Band, 10^{-7} to 10^{-9} Hz

Joseph Taylor and others have achieved a remarkable gravity-wave sensitivity in the very-low-frequency band (VLF) by the timing of millisecond pulsars. When a gravitational wave passes over the Earth, it perturbs our rate of flow of time and thence the ticking rates of our clocks relative to clocks outside the wave. Such perturbations will show up as apparent fluctuations in the times of arrival of the pulsar's pulses. If no fluctuations are seen at some level, we can be rather sure that neither Earth nor the pulsar is being bathed by gravitational waves of the corresponding strength. If fluctuations with the same time evolution are seen simultaneously in the timing of several different pulsars, then the cause could well be gravitational waves bathing the Earth.

By averaging the pulses' times of arrival over long periods of time (months to tens of years), a very high timing precision can be achieved, and correspondingly, tight limits can be placed on the waves bathing the Earth or the pulsar. The upper edge of the VLF band, $\sim 10^{-7}$ Hz, is set by the averaging time of a few months needed to build up high accuracy; the lower edge, $\sim 10^{-9}$ Hz, is set by the time of ~ 20 years, since very steady millisecond pulsars were first discovered.

As we shall see in Sec. 3.2, strong gravitational-wave sources are generally compact, not much larger than their own gravitational radii. The only compact bodies that can radiate in the VLF band or below, i.e., at $f \lesssim 10^{-7}$ Hz, are those with $M \gtrsim 10^{11}\ M_\odot$ [cf. Eq. (1)]. Conventional astronomical wisdom suggests that compact bodies this massive do not exist, and that therefore, the only strong waves in the VLF band and below are a stochastic background produced by the same early-universe processes as might radiate at low and high frequencies: cosmic strings, phase transitions, and the Big Bang.

Of course, conventional wisdom could be wrong. Nevertheless, it is conventional to quote measurement accuracies in the VLF band and below in the language of a stochastic background: the fraction $\Omega_g(f)$ of the energy required to close the universe that lies in a bandwidth $\Delta f = f$ centered on frequency f. The current 95%-confidence limit on Ω_g from pulsar timing in the VLF band is $\Omega_g(4\times10^{-9}\text{ Hz}) < 6\times10^{-8}H^{-2}$ where H is the Hubble constant in units of 100 km sec^{-1} Mpc^{-1} (Ref. 9). This is a sufficiently tight limit that is beginning to cast doubt on the (not terribly popular) suggestion that the universe contains enough vibrating loops of cosmic string for their gravitational pulls to have seeded galaxy formation.[10,11]

2.4 Extremely-Low-Frequency Band, 10^{-15} to 10^{-18} Hz

Gravitational waves in the extremely-low-frequency band (ELF), 10^{-15} to 10^{-18} Hz, should produce anisotropies in the cosmic microwave background radiation. The tightest limit from microwave observations comes from the lower edge of the ELF band $f \sim 10^{-18}$ Hz, where the gravitational wavelength is about π times the Hubble distance, and the waves, by squeezing all of the space inside our cosmological horizon in one direction and stretching it all in another, should produce a quadrupolar anisotropy in the microwave background. The quadrupolar anisotropy measured by the COBE satellite, if due primarily to gravitational waves (which it could be),[12,13] corresponds to an energy density $\Omega_g(10^{-18}\ \mathrm{Hz}) \sim 10^{-9}$. In Sec. 9, I shall discuss the implications of this impressive ELF limit for the strength of the early-universe stochastic background in the HF and LF bands.

2.5 Other Frequency Bands and Other Detection Methods

A large number of other methods have been conceived for searching for gravitational radiation. Some of them would operate best in the HF, LF, VLF, and ELF bands described above; others would operate best at other frequencies. However, none has shown anywhere near the promise or the achievements of the methods described above (laser interferometry on Earth and in space, resonant mass antennas, Doppler tracking of spacecraft, timing of pulsars, and anisotropy of microwave background). For some references to other methods, see, e.g., Ref. 6.

3 Ground-Based Laser Interferometers

3.1 Wave Polarizations, Waveforms, and How an Interferometer Works

According to general relativity theory (which I shall assume to be correct in this paper), a gravitational wave has two linear polarizations, conventionally called $+$ (plus) and $\times$ (cross). Associated with each polarization, there is a gravitational-wave field, h_+ or $h_\times$, which oscillates in time and propagates with the speed of light. Each wave field produces tidal forces (stretching and squeezing forces) on any object or detector through which it passes. If the object is small compared to the waves' wavelength (as is the case for ground-based interferometers and resonant mass antennas), then relative to the object's center, the forces have the quadrupolar patterns shown in Fig. 1. The names "plus" and "cross" are derived

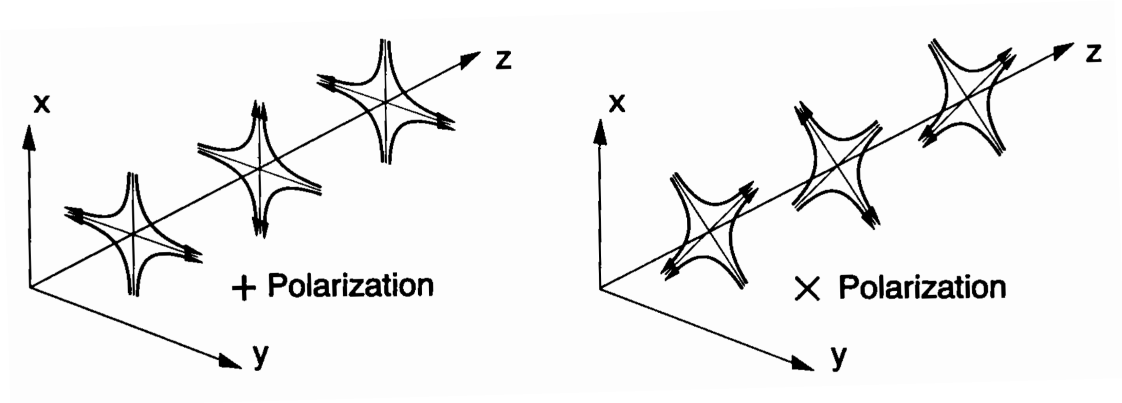

Figure 1: The lines of force associated with the two polarizations of a gravitational wave. (From Ref. 14.)

from the orientations of the axes that characterize the force patterns.[6]

A laser interferometer gravitational wave detector ("interferometer" for short) consists of four masses that hang from vibration-isolated supports as shown in Fig. 2, and the indicated optical system for monitoring the separations between the masses.[6,14] Two masses are near each other, at the corner of an "L," and one mass is at the end of each of the L's long arms. The arm lengths are nearly equal, $L_1 \simeq L_2 = L$. When a gravitational wave, with high frequencies compared to the masses' ~ 1 Hz pendulum frequency, passes through the detector, it pushes the masses back and forth relative to each other as though they were free from their suspension wires, thereby changing the arm-length difference, $\Delta L \equiv L_1 - L_2$. That change is monitored by laser interferometry in such a way that the variations in the output of the photodiode (the interferometer's output) are directly proportional to $\Delta L(t)$.

If the waves are coming from overhead or underfoot, and the axes of the $+$ polarization coincide with the arms' directions, then it is the waves $+$ polarization that drives the masses, and $\Delta L(t)/L = h_+(t)$. More generally, the interferometer's output is a linear combination of the two wave fields:

$$\frac{\Delta L(t)}{L} = F_+ h_+(t) + F_\times h_\times(t) \equiv h(t) \ . \qquad (2)$$

The coefficients F_+ and $F_\times$ are of order unity and depend in a quadrupolar manner on the direction to the source and the orientation of the detector.[6] The combination $h(t)$ of the two h's is called the *gravitational-wave strain* that acts on the detector; and the time evolutions of $h(t)$, $h_+(t)$, and $h_\times(t)$ are sometimes called *waveforms*.

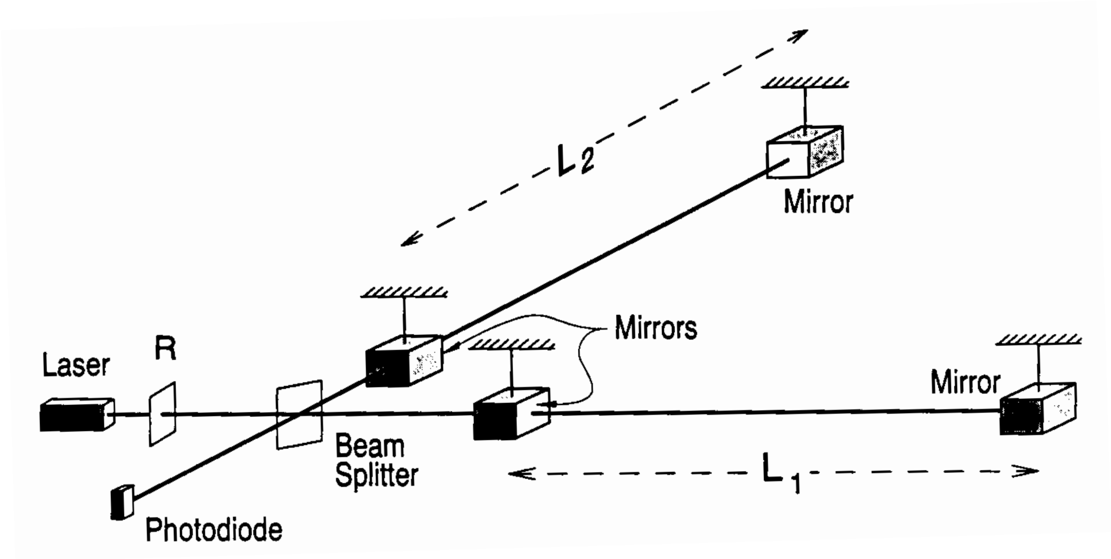

Figure 2: Schematic diagram of a laser interferometer gravitational wave detector. (From Ref. 14.)

Interferometer test masses at present are made of transparent fused silica, though other materials might be used in the future. The masses' inner faces (shown white in Fig. 2) are covered with high-reflectivity dielectric coatings to form the indicated "mirrors," while the masses' outer faces are covered with anti-reflection coatings. The two mirrors facing each other on each arm form a Fabry-Perot cavity. A beam splitter splits a carefully prepared laser beam in two and directs the resulting beams down the two arms. Each beam penetrates through the antireflection coating of its arm's corner mass, through the mass, and through the dielectric coating (the mirror); and thereby—with the length of the arm's Fabry-Perot cavity adjusted to be nearly an integral number of half wavelengths of light—the beam gets trapped in the cavity. The cavity's end mirror has much higher reflectivity than its corner mirror, so the trapped light leaks back out through the corner mirror and then hits the beam splitter where it recombines with light from the other arm. Most of the recombined light goes back toward the laser (where it can be returned to the interferometer by a "light-recycling mirror" labeled R), but a tiny portion goes toward the photodiode.

When a gravitational wave hits the detector and moves the masses, thereby changing the lengths L_1 and L_2 of the two cavities, it shifts each cavity's resonant frequency slightly relative to the laser frequency, and thereby changes the phase of the light in the cavity and the phase of the light that exits from the cavity toward the beam splitter. Correspondingly, the relative phase of the two beams returning to the splitter is altered by an amount $\Delta\Phi \propto \Delta L$, and this relative phase shift causes a change in the intensity of the recombined light at the photodiode, $\Delta I_{\rm pd} \propto \Delta\Phi \propto \Delta L \propto h(t)$. Thus, the change of photodiode output current is directly proportional to the gravitational-wave strain $h(t)$. This method of monitoring $h(t)$, which was invented by Ronald Drever[15] as a modification of Rainer Weiss's[16] seminal concept for such an interferometer, is capable of very high sensitivity, as we shall see below.

3.2 Wave Strengths and Interferometer Arm Lengths

The strengths of the waves from a gravitational-wave source can be estimated using the "Newtonian/quadrupole" approximation to the Einstein field equations. This approximation says that $h \simeq (G/c^4)\ddot{Q}/r$, where $\ddot{Q}$ is the second time derivative of the source's quadrupole moment, r is the distance of the source from Earth (and G and c are Newton's gravitation constant and the speed of light). The strongest sources will be highly nonspherical, and thus, will have $Q \simeq ML^2$ where M is their mass and L their size, and correspondingly, will have $\ddot{Q} \simeq 2Mv^2 \simeq 4E^{\rm ns}_{\rm kin}$ where v is their internal velocity and $E^{\rm ns}_{\rm kin}$ is the nonspherical part of their internal kinetic energy. This provides us with the estimate

$$h \sim \frac{1}{c^2}\frac{4G(E^{\rm ns}_{\rm kin}/c^2)}{r}\ ; \tag{3}$$

that is, h is about four times the gravitational potential produced at Earth by the mass-equivalent of the source's nonspherical, internal kinetic energy—made dimensionless by dividing by c^2. Thus, in order to radiate strongly, the source must have a very large, nonspherical, internal kinetic energy.

The best known way to achieve a huge internal kinetic energy is via gravity; and by energy conservation (or the virial theorem), any gravitationally-induced kinetic energy must be of the order of the source's gravitational potential energy. A huge potential energy, in turn, requires that the source be very compact, not much larger than its own gravitational radius. Thus, the strongest gravity-wave sources must be highly compact, dynamical concentrations of large amounts of mass (e.g., colliding and coalescing black holes and neutron stars).

Such sources cannot remain highly dynamical for long; their motions will be stopped by energy loss to gravitational waves and/or the formation of an allencompassing black hole. Thus, the strongest sources should be transient. Moreover, they should be very rare—so rare that to see a reasonable event rate will require reaching out through a substantial fraction of the universe. Thus, just as the strongest radio waves arriving at Earth tend to be extragalactic, so also the strongest gravitational waves are likely to be extragalactic.

For highly compact, dynamical objects that radiate in the high-frequency band, e.g., colliding and coalescing neutron stars and stellar-mass black holes, the internal, nonspherical kinetic energy $E^{\rm ns}_{\rm kin}/c^2$ is of the order of the mass of the Sun; and, correspondingly, Eq. (3) gives $h \sim 10^{-22}$ for such sources at the Hubble distance (3000 Mpc, i.e., 10^{10} light years); $h \sim 10^{-21}$ at 200 Mpc (a best-guess distance for several neutron-star coalescences per year; see Sec. 5.2), $h \sim 10^{-20}$ at the Virgo cluster of galaxies (15 Mpc); and $h \sim 10^{-17}$ in the outer reaches of our own Milky Way galaxy (20 kpc). These numbers set the scale of sensitivities that ground-based interferometers seek to achieve: $h \sim 10^{-21}$ to 10^{-22}.

When one examines the technology of laser interferometry, one sees good prospects to achieve measurement accuracies $\Delta L \sim 10^{-16}$ cm (1/1000 the diameter of the nucleus of an atom). With such an accuracy, an interferometer must have an arm length $L = \Delta L/h \sim 1$ to 10 km in order to achieve the desired wave sensitivities, 10^{-21} to 10^{-22}. This sets the scale of the interferometers that are now under construction.

3.3 LIGO, VIRGO, and the International Interferometric Network

Interferometers are plagued by non-Gaussian noise, e.g., due to sudden strain releases in the wires that suspend the masses. This noise prevents a single interferometer, by itself, from detecting with confidence short-duration gravitational-wave bursts (though it might be possible for a single interferometer to search for the periodic waves from known pulsars). The non-Gaussian noise can be removed by cross correlating two, or preferably three or more, interferometers that are networked together at widely separated sites.

The technology and techniques for such interferometers have been under development for nearly 25 years, and plans for km-scale interferometers have been developed over the past 14 years. An international network consisting of three km-scale interferometers, at three widely separated sites, is now in the early stages

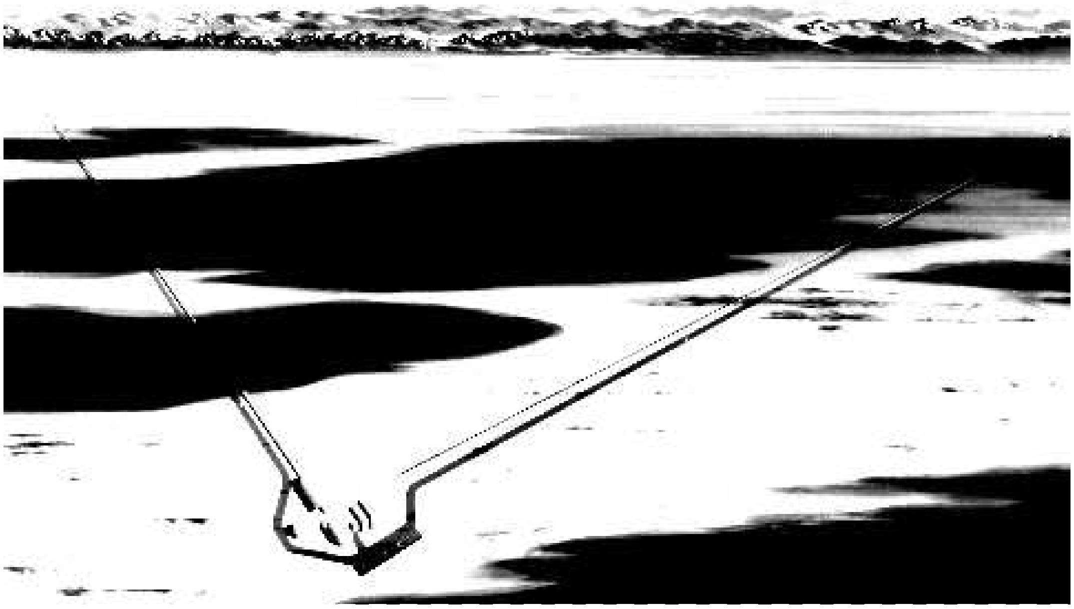

Figure 3: Artist's conception of one of the LIGO interferometers. (Courtesy of the LIGO Project.)

of construction. It includes two sites of the American LIGO Project ("Laser Interferometer Gravitational Wave Observatory")[14] and one site of the French/Italian VIRGO Project (named after the Virgo cluster of galaxies).[17]

LIGO will consist of two vacuum facilities with four-kilometer-long arms, one in Hanford, Washington (in the northwestern United States, Fig. 3) and the other in Livingston, Louisiana (in the southeastern United States). These facilities are designed to house many successive generations of interferometers without the necessity of any major facilities upgrade; and after a planned future expansion, they will be able to house several interferometers at once, each with a different optical configuration optimized for a different type of wave (e.g., broad-band burst, narrow-band periodic wave, or stochastic wave). The LIGO facilities and their first interferometers are being constructed by a team of about 80 physicists and engineers at Caltech and MIT, led by Barry Barish (the PI) and Gary Sanders (the Project Manager). Robbie Vogt (who directed the project during the preconstruction phase) is in charge of the final design and construction of LIGO's first interferometers, Stan Whitcomb is in charge of interferometer R&D, Albert Lazzarini is the system engineer, and Rai Weiss is the cognizant scientist for integration of all parts of LIGO.

A number of other research groups are making important contributions to LIGO: Bob Byer's group at Stanford is developing Nd:YAG lasers, Peter Saulson's group at Syracuse and Vladimir Braginsky's group in Moscow are developing test-mass suspension systems and studying noise in them, Jim Faller's group at JILA is developing active vibration isolation systems, Ron Drever's group at Caltech is developing advanced interferometers, and Sam Finn's group at Northwestern and my group at Caltech are developing data analysis techniques. A number of other groups are likely to join the LIGO effort in the next few years. A formal association of LIGO-related scientists (the *LIGO Research Community*, an analog of a "user's group" in high-energy physics) is being organized, and a LIGO Program Advisory Committee will be formed soon, with voting membership restricted to people outside the Caltech/MIT LIGO team, to advise the LIGO management.

The VIRGO Project is building one vacuum facility in Pisa, Italy, with three-kilometer-long arms. This facility and its first interferometers are a collaboration of more than a hundred physicists and engineers at the INFN (Frascati, Napoli, Perugia, Pisa), LAL (Orsay), LAPP (Annecy), LOA (Palaiseau), IPN (Lyon), ESPCI (Paris), and the University of Illinois (Urbana), under the leadership of Alain Brillet and Adalberto Giazotto.

Both LIGO and VIRGO are scheduled for completion in the late 1990s, and their first gravitational-wave searches are likely to be performed in 2000 or 2001.

LIGO alone, with its two sites which have parallel arms, will be able to detect an incoming gravitational wave, measure one of its two waveforms, and (from the time delay between the two sites) locate its source to within a $\sim 1^{\circ}$ wide annulus on the sky. LIGO and VIRGO together, operating as a *coordinated international network*, will be able to locate the source (via time delays plus the interferometers' beam patterns) to within a two-dimensional error box with size between several tens of arcminutes and several degrees, depending on the source direction and on the amount of high-frequency structure in the waveforms. They will also be able to monitor both waveforms $h_+(t)$ and $h_\times(t)$ (except for frequency components above about 1 kHz and below about 10 Hz, where the interferometers' noise becomes severe).

The accuracies of the direction measurements and the ability to monitor more than one waveform will be severely compromised when the source lies anywhere near the plane formed by the three LIGO/VIRGO interferometer locations. To get good all-sky coverage will require a fourth interferometer at a site far out of that plane; Japan and Australia would be excellent locations, and research groups there are carrying out research and development on interferometric detectors aimed at such a possibility. A 300-meter prototype interferometer called TAMA is under construction in Tokyo, and a 400-meter prototype called AIGO400 has been proposed for construction north of Perth.

Two other groups are major players in this field, one in Britain led by James Hough, the other in Germany, led by Karsten Danzmann. These groups each have two decades of experience with prototype interferometers (comparable experience to the LIGO team and far more than anyone else) and great expertise. Frustrated by inadequate financing for a kilometer-scale interferometer, they are constructing, instead, a 600-meter system called GEO600 near Hannover, Germany. Their goal is to develop, from the outset, an interferometer with the sort of advanced design that LIGO and VIRGO will attempt only as a "second-generation" instrument, and thereby achieve sufficient sensitivity to be full partners in the international network's first gravitational-wave searches; they then would offer a variant of their interferometer as a candidate for second-generation operation in the much longer arms of LIGO and/or VIRGO. It is a seemingly audacious plan, but with their extensive experience and expertise, the British/German collaboration might pull it off successfully.

3.4 Interferometer Development and Noise Sources

It is not possible, in the short vacuum systems now available (arm lengths ≤ 40 m), to develop and test a multikilometer interferometer as a single unit. This is because the various noise sources that plague an interferometer scale differently from each other with length L and with gravity-wave frequency f. As a result, the various components of the multikilometer interferometers, and the various techniques to be used in them, are being developed and tested separately in a number of different laboratories and will only be combined together into a single interferometer when the LIGO/VIRGO vacuum systems are completed.

The best known of the LIGO-Project laboratories in which components and techniques are being developed is the 40-meter prototype interferometer at Caltech (Fig. 4). This prototype focuses on the development of methods and components to control "displacement noise," i.e., those noise sources that push the mirrored test masses back and forth as would a gravity wave. The principal sources of

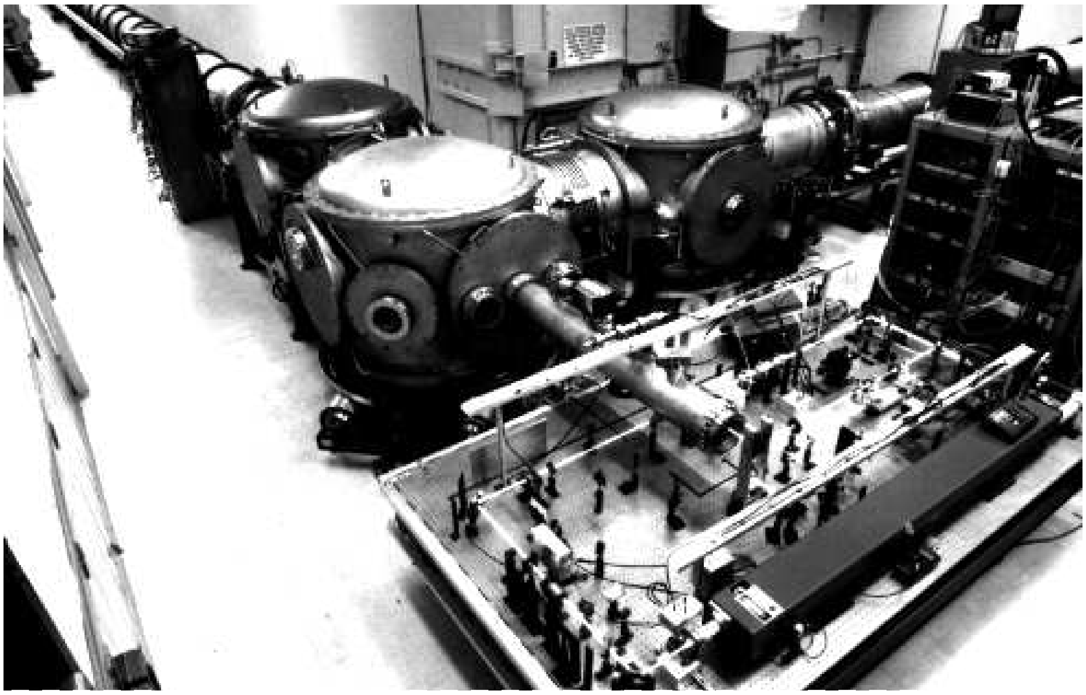

Figure 4: The LIGO Project's 40-meter "Mark II" prototype interferometer at Caltech. This prototype went into operation in 1993. It has much larger vacuum chambers, to accommodate bigger and better seismic isolation stacks, than those of the previous "Mark I" prototype (which operated from the early 1980s to 1992). [Courtesy of the LIGO Project.]

displacement noise are *seismic vibrations* of the ground beneath the interferometer (which are filtered out by the masses' suspension wires and by "isolation stacks" made of successive layers of steel and rubber), and *thermally-induced vibrations* of the test masses and of the wires that suspend them (vibrations that are controlled by designing the test masses and suspensions with great care and constructing them from low-loss, i.e., high "Q," materials).

Among the LIGO Project's other laboratories, there is a shorter-armed prototype interferometer facility at MIT, which is devoted to developing methods and components for controlling noise in the phase of the interferometer's light beams. Since the gravity wave makes itself known by the phase shift that it puts on the light of one interferometer arm relative to the other, this phase noise can simulate a gravity wave. Among the various causes of phase noise, the one that is the most fundamental is *photon shot noise* due to the random times at which the light's photons arrive at the photodiode (cf. Fig. 2).

Once the myriad of other noise sources have been brought under control, *shot noise*, *thermal noise* (i.e., thermally induced vibrations), and *seismic noise* (i.e., ground vibrations) are likely to be the ultimate impediments to detecting and studying gravitational waves. Figure 5 shows the spectra expected for each of these three noises in the first interferometers that will operate in LIGO. At frequencies above 200 Hz, shot noise dominates; between 200 Hz and 40 Hz, thermal noise in the suspension wires dominates; and below 40 Hz, seismic noise dominates.

During LIGO's operations, step-by-step improvements will be made in the control of these three noise sources,[14] thereby pushing the overall noise spectrum downward from the "first interferometer" level toward the "advanced interferometer" level shown in Fig. 5. As we shall see below, the sensitivity of the first interferometers might be inadequate to detect gravitational waves. However, we are quite confident that at some point during the improvement from first interferometers to advanced, a plethora of gravitational waves will be found and will start bringing us exciting information about fundamental physics and the universe.

Notice from Fig. 5 that the advanced LIGO interferometers are expected to have their optimal sensitivity at $f \sim 100$ Hz and rather good sensitivity all the way from $f \sim 10$ Hz at the low-frequency end to $f \sim 500$ Hz at the high-frequency end. Below 10 Hz, seismic noise, creeping through the isolation stacks, will overwhelm all gravitational-wave signals; and above 500 Hz, photon shot noise may overwhelm the signals.

Figure 6 gives an impression of the present state of interferometer technology and the rate of progress. This figure shows a sequence of noise spectra in the 40-meter prototype interferometer at Caltech during 1990–94, when the 40-meter R&D emphasis was on improving the low-frequency noise performance. The top two (noisiest) spectra are snapshots of the original "Mark I" prototype performance in October 1990 and June 1992; the lower two (quieter) spectra are from the rebuilt, "Mark II" prototype of Fig. 4, in March and October 1994. The smooth, solid line, for comparison, is the displacement noise goal for the first 4-km interferometers in LIGO (i.e., it is the upper solid curve of Fig. 5).

Note that the prototypes's arm-length difference was being monitored, in October 1994, to within an rms noise level (in a bandwidth equal to frequency) $\Delta L_{\rm rms} = \sqrt{f}\Delta\tilde{L}(f) < 8 \times 10^{-16}$ cm over the frequency range 200 to 1000 Hz. This corre-

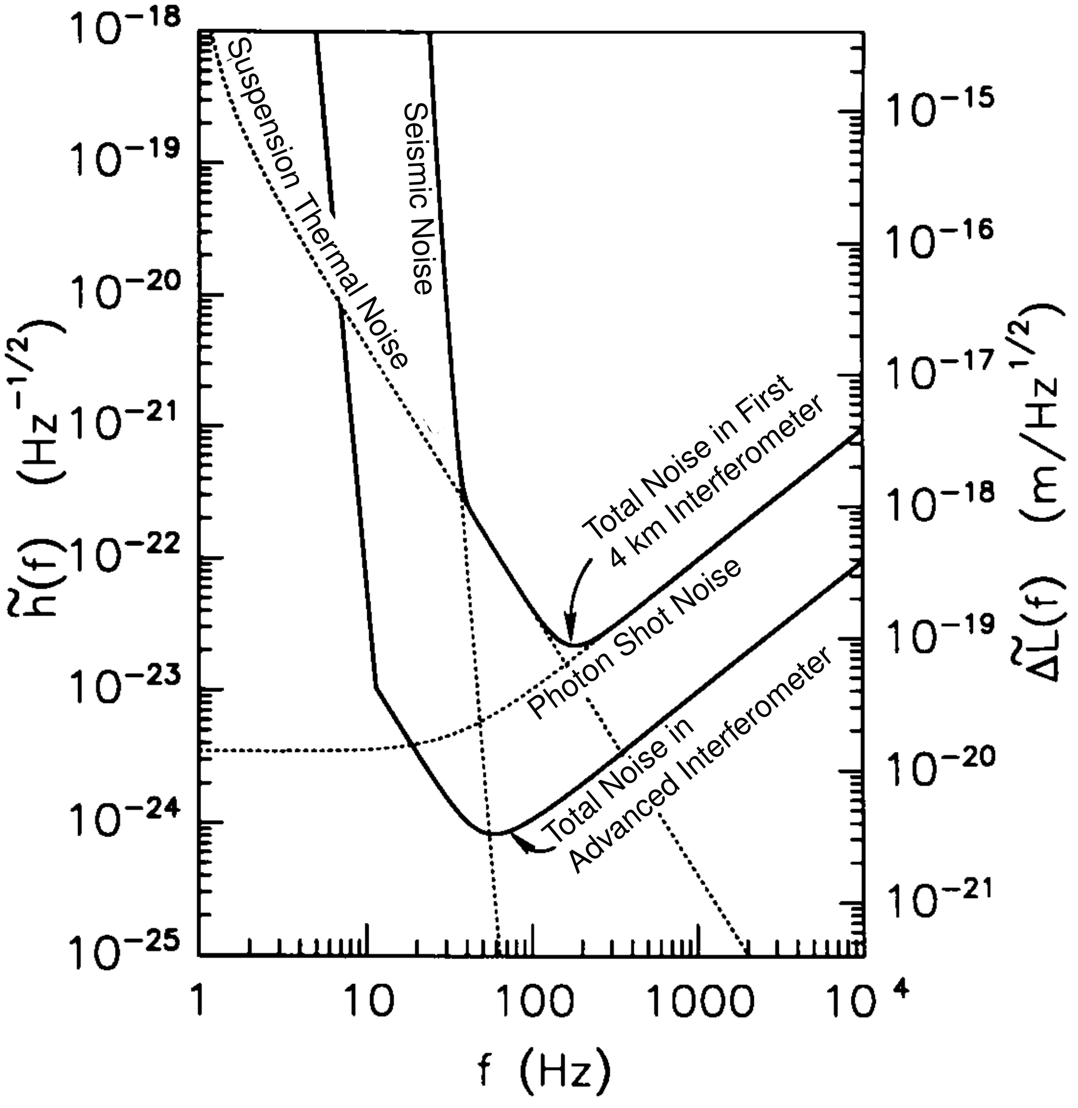

Figure 5: The expected noise spectrum in each of LIGO's first 4-km interferometers (upper solid curve) and in more advanced interferometers (lower solid curve). The dashed curves show various contributions to the first interferometers' noise. Plotted horizontally is gravity-wave frequency f; plotted vertically is $\tilde{h}(f)$, the square root of the spectral density of the detector's output $h(t) = \Delta L(t)/L$ in the absence of a gravity wave. The rms h noise in a bandwidth Δf at frequency f is $h_{\rm rms} = \tilde{h}(f)\sqrt{\Delta f}$. (From Ref. 14.)

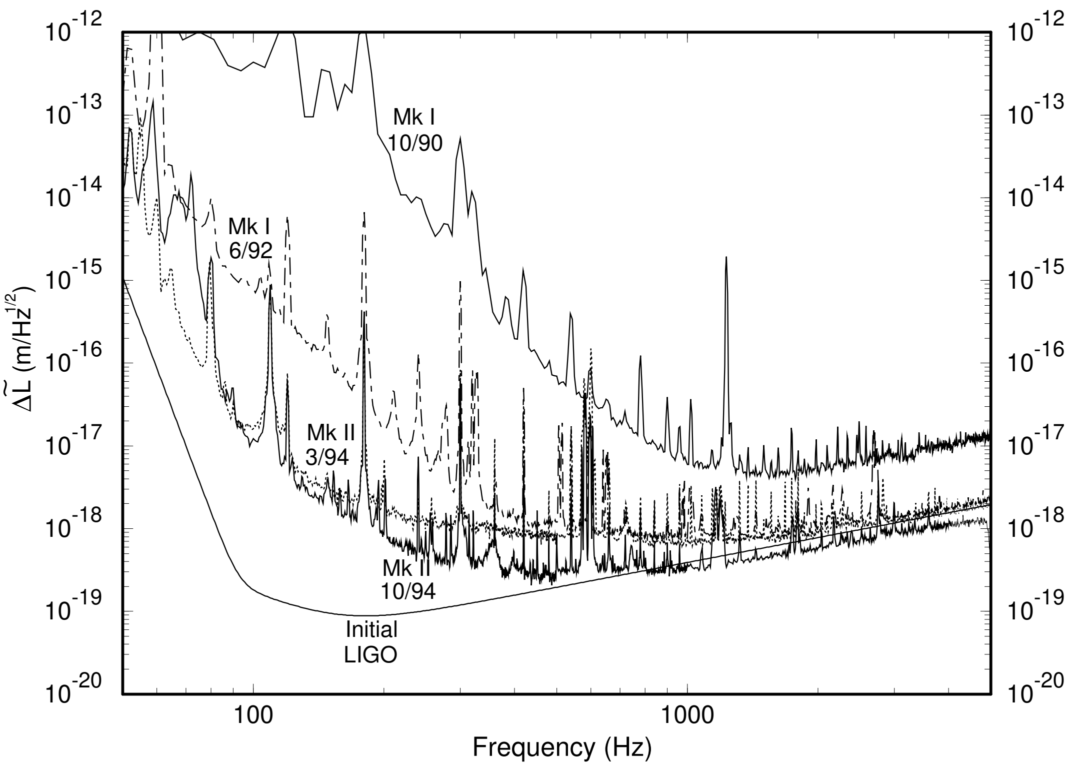

Figure 6: Measured noise spectra in the Caltech 40-meter prototype interferometer (Fig. 4). Since this prototype is devoted to learning to control displacement noise, the spectra shown are $\Delta\tilde{L}(f)$, the square root of the spectral density of the measured arm-length difference. Each of the many spectral lines is well understood, and most could be removed if their removal were of high priority (e.g., they are multiples of the 60 Hz line frequency sneaking into the apparatus by known routes). Those few, very narrow lines that cannot be physically removed by cleaning up the instrument (e.g., thermally-driven violin-mode resonances of the wires that suspend the test masses) will be filtered out in the data analysis. Thus, the interferometer sensitivity is characterized by the continuum noise floor and not the lines. (From Ref. 18.)

sponds to an rms gravitational-wave noise level $h_{\rm rms} = \Delta L_{\rm rms}/40$ m $< 2 \times 10^{-19}$, the best that any gravitational-wave detector has yet achieved.

3.5 Semiquantitative Discussion of Interferometer Noise

The LIGO and VIRGO interferometers are expected to have rms noise levels $h_{\rm rms} \lesssim 10^{-22}$ corresponding to test-mass position noises $\Delta L_{\rm rms} \lesssim hL \sim 10^{-16}$ cm. 10^{-16} cm is awfully small: 1/1000 the diameter of the nucleus of an atom, and 10^{-12} the wavelength of the light being used to monitor the masses' motions. How can one possibly monitor such small motions? The following estimate explains how.

One adjusts the reflectivities of the interferometer's corner mirrors so the two arms store the laser light on average for about half a cycle of a ~ 100 Hz gravitational wave, which means for ~ 100 round trips. The light in each arm thereby acquires a phase shift

$$\Delta\Phi \sim 100 \times 4\pi\Delta L/\lambda \sim 10^{-9} , \tag{4}$$

where $\lambda \sim 10^{-4}$ cm is the wavelength of light. If the interference of the light from the two beams is done optimally, then this phase shift (equal and opposite in the two arms) can be measured at the photodiode to an accuracy that is governed by the light's photon shot noise, $\Delta\Phi \sim 1/\sqrt{N}$, where N is the number of photons that enter the interferometer from the laser during the half-cycle of photon storage time. (This $1/\sqrt{N}$ is the usual photon fluctuation in a quantum mechanical "coherent state" of light.) Thus, to achieve the required accuracy, $\Delta\Phi \sim 10^{-9}$, in the face of photon shot noise, requires $N \sim 10^{18}$ photons in 0.01 second, which means a laser power of ~ 100 Watts.

By cleverness,[15] one can reduce the required laser power. The light is stored in the interferometer arms for only a half gravity-wave period (~ 100 round trips) because during the next half period, the waves would reverse the sign of ΔL, thereby reversing the sign of the phase shift being put onto the light and removing from the light the signal that had accumulated in the first half period. In just 100 round trips, however, the light is attenuated hardly at all. One therefore reuses the light, over and over again. This is done by (1) operating the interferometer with only a tiny fraction of the recombined light going out toward the photodiode, and almost all of it instead going back toward the laser, and by (2) placing a mirror (marked R in Fig. 2) between the laser and the interferometer in just such a position that the entire interferometer becomes an optical cavity driven by the laser—with its arms as two subcavities. Then the mirror R recycles the recombined light back into the interferometer in phase with the new laser light, thereby enabling a laser of, say, 5 Watts to behave like one of 100 Watts or more.

Let's turn from photon shot noise to thermal noise. How, one might ask, can one possibly expect to monitor the mirrors' motions at a level of 10^{-16} cm when the room-temperature atoms of which the fused-silica mirrors are made vibrate thermally with amplitudes $\Delta l_{\rm rms} = \sqrt{kT/m\omega^2} \sim 10^{-10}$ cm? (Here k is Boltzmann's constant, T is room temperature, m is the atomic rest mass, and $\omega \sim 10^{14}$ s^{-1} is the angular frequency of atomic vibration.) The answer is that these individual atomic vibrations are unimportant. The light beam, with its ~ 5 cm diameter, averages over the positions of $\sim 10^{17}$ atoms in the mirror, and with its 0.01 s storage time, it averages over $\sim 10^{11}$ vibrations of each atom. This spatial and temporal averaging makes the vibrations of individual atoms irrelevant. Not so irrelevant, however, are the lowest-frequency normal-mode vibrations of the mirror-endowed masses (since these modes experience much less time averaging than the faster atomic vibrations). Assuming a mass $m \sim$ (a few tens of kg), these normal modes have angular frequencies $\omega \sim 10^5$ s^{-1}, so their rms vibration amplitude is $\Delta l_{\rm rms} = \sqrt{kT/m\omega^2} \sim 10^{-14}$ cm. This is 100 times larger than the signals we wish to monitor; but if these modes have high quality factors (high Q's; low losses), then the vibrations will be very steady over the interferometer's averaging time of 0.01 s, and correspondingly, their effects will average down by more than a factor of 100. Similar considerations apply to the thermal noise in the masses' suspension wires. For detailed discussions of fascinating and not-fully-understood physics issues that influence the thermal noise, see, e.g., Refs. 19-21.

At the LIGO sites, and most any other quiet location on Earth, the ground is continually shaking with an rms displacement $\Delta l_{\rm rms} \sim 10^{-8}$ cm $(100 \text{ Hz}/f)^{3/2}$. This is 10^7 times larger than the motions one seeks to monitor. At frequencies above 10 Hz or so, one can protect the masses from these seismic vibrations by simple (but carefully designed) passive isolation stacks. Each element in the stack is a mass and a spring (a harmonic oscillator) with normal-mode frequency $f_0 \sim$ (a few Hz). When seismic noise tries to drive this harmonic oscillator far above its resonant frequency [in our case, at $f \gtrsim$ (a few tens of Hz)], the amplitude of its response is attenuated relative to the driving motion by a factor $(f_0/f)^2$ [in our

Figure 7: The seismic isolation stack that was recently installed in the LIGO Project's Mark II prototype interferometer at Caltech. When the interferometer is in operation, a small tower is mounted on the top steel plate and from the tower hangs one of the interferometer's mirror-endowed masses. [Courtesy of the LIGO Project.]

case, a factor $\gtrsim 10^2$]. Thus, each oscillator in the stack will provide a reduction $\gtrsim 10^2$ in $\Delta l_{\rm rms}$, so a stack of four or five oscillators is enough to provide the required isolation. Figure 7 shows an isolation stack—made of four steel plates and four sets of Viton rubber springs (not quite visible between the plates)—that is now operating in the Mark II prototype interferometer of Fig. 4. This stack and the pendulum wires that suspend the mirror-endowed test masses provide five layers of isolation. The installation of this new stack was responsible for the sharp drop in low-frequency noise in Fig. 6 between June 1992 (Mark I) and March 1994 (Mark II).

The above rough estimates suggest (as Weiss realized as early as 1972)[16] that it is possible for interferometers to achieve the required sensitivities, $h_{\rm rms} \sim 10^{-22}$ and $\Delta L \sim 10^{-16}$ cm. However, going from these rough estimates to a real working interferometer, and doing so in the face of a plethora of other noise sources, is a tremendous experimental challenge—one that has occupied a number of excellent experimental physicists since 1972.

4 Resonant-Mass Antennas

A resonant-mass antenna for gravitational radiation consists of a solid body that (heuristically speaking) rings like a bell when a gravitational wave hits it. This body (the resonant mass) is usually a cylinder, but future variants are likely to be spheres or sphere-like, e.g., a truncated icosahedron gravitational-wave antenna or TIGA.[22] The resonant mass is typically made from an alloy of aluminum and weighs several tons, but some have been made of niobium or single-crystal silicon or sapphire (but with masses well below a ton). To control thermal noise, the resonant mass is usually cooled cryogenically to liquid-helium temperatures or below.

The resonant-mass antenna is instrumented with an electromagnetic transducer and electronics, which monitor the complex amplitude of one or more of the mass's normal modes. When a gravitational wave passes through the mass, its frequency components near each normal-mode frequency f_o drive that mode, changing its complex amplitude; and the time evolution of the changes is measured within some bandwidth Δf by the transducer and electronics. Current resonant-mass antennas are narrow-band devices ($\Delta f/f_o \ll 1$) but in the era of LIGO/VIRGO, they might achieve bandwidths as large as $\Delta f/f_o \sim 1/3$.

Resonant-mass antennas for gravitational radiation were pioneered by Joseph Weber about 35 years ago[23] and have been pushed to ever higher sensitivity by Weber and a number of other research groups since then. For references and an overview of the present and future of such antennas, see, e.g., Ref. 24. At present, there is a network of such antennas,[25] cooled to 3 K, and operating with an rms noise level for broad-band gravity-wave bursts of $h_{\rm rms} \simeq 6\times10^{-19}$. The network includes an aluminum cylinder called EXPLORER built by a group at the University of Rome, Italy (Edoardo Amaldi, Guido Pizella, *et al.*); an aluminum cylinder at Louisiana State University, United States (Bill Hamilton, Warren Johnson, *et al.*); and a niobium cylinder at the University of Perth, Australia (David Blair *et al.*). This network has been in operation, searching for waves, for several years.

The next generation of resonant-mass antennas is now under construction at the University of Rome (NAUTILUS) and at the University of Legarno, Italy (AURIGA). These are several-ton aluminum bars cooled to 0.05 K; their rms design sensitivities for wave bursts are (several)$\times10^{-20}$ (Ref. 24).

A subsequent generation, which hopefully would operate in the LIGO/VIRGO era, is being discussed and planned.[24] These are 1 to 100 ton spheres or TIGA's cooled to ~ 0.01–0.05 K, with sensitivity goals of $\sim 10^{-21}$. Such antennas might be built by an American collaboration, a Brazilian collaboration, an Italian collaboration called "Omega," and/or a Dutch collaboration called "Grail." Their spherical or near-spherical shapes make them omnidirectional and should give them several-times higher sensitivities than can be achieved by cylinders at the same frequency.

The attractiveness of such antennas in the LIGO/VIRGO era lies in their ability to operate with impressive sensitivity in the uppermost reaches of the high-frequency band, $\sim 10^3$ to 10^4 Hz, where photon shot noise debilitates the performance of interferometric detectors (cf. Fig. 5). Figure 8 shows the projected rms noise curves of a family of TIGA detectors, each instrumented to operate at the "standard quantum limit" for such a detector (a nontrivial experimental task). Shown for comparison is the rms noise of the first LIGO interferometer—which, of course, is not optimized for the kHz band. The GEO600 interferometer, with its advanced design, can be operated in a narrow-band, high-frequency mode (and probably will be so operated in $\sim$ 1999). Its rms design sensitivity in such a mode is also shown in Fig. 8. The TIGA sensitivities are sufficiently good in the kHz band, compared to early LIGO and GEO interferometers, so that although they probably cannot begin to operate until somewhat after the beginning of the LIGO/VIRGO era, they might be fully competitive when they do operate and might play an important role in the kHz band.

5 High-Frequency Gravitational-Wave Sources: Coalescing Compact Binaries

The best understood of all gravitational-wave sources are coalescing, compact binaries composed of neutron stars (NS) and black holes (BH). These NS/NS, NS/BH, and BH/BH binaries may well become the "bread and butter" of the LIGO/VIRGO diet.

The Hulse-Taylor[2,3] binary pulsar, PSR $1913+16$, is an example of a NS/NS binary whose waves could be measured by LIGO/VIRGO, if we were to wait long enough. At present, PSR $1913+16$ has an orbital frequency of about 1/(8 hours)

Figure 8: The rms noise curves $\tilde{h}(f)$ (measured in strain per root Hz) for a "xylaphone" of TIGA gravitational-wave detectors (solid curves) for signals of random polarization and direction.[22] The TIGA's are presumed instrumented and cooled sufficiently well that their sensitivity is at the standard quantum limit. Their central frequencies, radii, and masses (assuming aluminum material) are $\{1.0$ kHz, 1.30 m, 25.1 ton$\}$, $\{1.25$ kHz, 1.04 m, 12.8 ton$\}$, $\{1.50$ kHz, 0.87 m, 7.4 ton$\}$, $\{1.75$ kHz, 0.74 m, 4.7 ton$\}$, and $\{2.0$ kHz, 0.65 m, 3.1 ton$\}$. Shown for comparison are the noise curves for the first LIGO interferometer with random-wave polarization and direction multiplied by $\sqrt{5}$ (dotted curve; Fig. 5), and for the first GEO600 detector operated in a narrow-band mode (dashed curve; Ref. 26).