

Hints for Enhanced $b \rightarrow sg$ from Charm and Kaon Counting

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Abstract

Previously, motivation for enhanced $b \rightarrow sg$ from new flavor physics has centered on discrepancies between theory and experiment. Here two experimental hints are considered: (1) updated measurements of the charm multiplicity and $\mathcal{B}(\overline{B} \rightarrow X_{c\bar{c}s})$ at the $\Upsilon(4S)$ imply $\mathcal{B}(B \rightarrow X_{no\ charm}) \approx 12.4 \pm 5.6\%$, (2) the $\overline{B} \rightarrow K^- X$ and $\overline{B} \rightarrow K^+/K^- X$ branching fractions are in excess of conventional $\overline{B} \rightarrow X_c \rightarrow KX$ yields by about $16.9 \pm 5.6\%$ and $18 \pm 5.3\%$, respectively. JETSET 7.4 was used to estimate kaon yields from $s\bar{s}$ popping in $\overline{B} \rightarrow X_{c\bar{u}d}$ decays. JETSET 7.4 Monte Carlos for $\mathcal{B}(\overline{B} \rightarrow X_{sg}) \sim 15\%$ imply that the additional kaon production would lead to 1σ agreement with observed charged and neutral kaon yields. The K_s momentum spectrum would be consistent with recent CLEO bounds in the end point region. Search strategies for enhanced $b \rightarrow sg$ are discussed in light of large theoretical uncertainty in the standard model fast kaon background from $b \rightarrow s$ penguin operators.

1 Introduction

Quark masses or Cabibbo-Kobayashi-Maskawa mixing angles arising from new interactions at the TeV scale are often directly correlated with large flavor changing chromomagnetic dipole moments since the chirality flip inherent in both sets of operators has a common origin [1, 2, 3]. Examples include radiatively induced quark masses via exchange of superpartners or vectorlike quarks [1], and dynamically generated quark masses in models with techniscalars [1] or enhanced four fermion interactions [2]. Another source for such correlations might be quark substructure [4, 5]. In the case of enhanced $s \rightarrow dg$ a model-independent analysis suggests that 20% to 30% of the $K \rightarrow \pi\pi$ $\Delta I = \frac{1}{2}$ amplitude could be associated with generation of θ_c or m_s , with a corresponding scale M for new physics below a TeV [1]. Examples exist in which this does not lead to conflict with the neutral Kaon mass difference. This is important to keep in mind since large theoretical uncertainties currently make it impossible to know whether or not the standard model fully accounts for the observed $\Delta I = \frac{1}{2}$ amplitude [6].

In the case of the $b \rightarrow sg$ dipole operators, a branching ratio of $\sim 10\%$ is typically associated with generation of m_b , V_{cb} or V_{ub} at scales near a TeV. By way of comparison, in the standard model the $b \rightarrow sg$ BR is only $\sim 0.2\%$ [7]. Some of the phenomenological consequences of enhanced $b \rightarrow sg$ for B decays are decreases in the semileptonic branching ratio, $\mathcal{B}_\ell(B)$, and charm multiplicity, n_c [8, 9, 1], and increased kaon multiplicities. In fact, inclusive B decay measurements currently hint at all three. An updated comparison of $\mathcal{B}_\ell(B)$ and n_c with NLO predictions is the subject of a companion paper [10]. We briefly summarize the current situation: At $\mu \approx m_b$ higher order corrections to the $b \rightarrow c\bar{u}d$ decay width would have to be about 3.5 times than the NLO correction if perturbative QCD is to have a chance of simultaneously reproducing the low world averages for $\mathcal{B}_\ell(B)$ and n_c at the $\Upsilon(4S)$. Large negative corrections to the $b \rightarrow c\bar{c}s$ decay width would also be required but here perturbation theory appears to behave considerably worse [11].

The discrepancy becomes considerably more serious if heavy quark effective theory [HQET] is to reproduce the low measured value of the lifetime ratio $\tau(\Lambda_b)/\tau(B_d)$ [12]. This necessarily requires negative spectator contributions to $\Gamma(B_d \rightarrow X_{c\bar{u}d})$ at $\mathcal{O}(1/m_b^3)$, further increasing the theoretical prediction for $\mathcal{B}_\ell(B)$. In this case higher order corrections to the $b \rightarrow c\bar{u}d$ decay width would probably have to be an order of magnitude larger than the NLO correction at $\mu \approx m_b$ [10]. The only standard model alternative is rather large deviations from local parton-hadron duality, amounting to a 10% decrease in the Λ_b decay width relative to the HQET prediction. In a systematic attempt at classifying such deviations using a semi-quantitative one instanton gas approximation they have been found to be

at the level of 1% or smaller [13]. On the other hand, $\mathcal{B}_\ell(B)$, n_c and $\tau(\Lambda_b)/\tau(B_d)$ are *readily accommodated* in HQET if the $b \rightarrow sg$ BR is 10% – 15%.

In this paper we focus on charm and kaon counting in B decays at the $\Upsilon(4S)$. In principle, the former can tell us the size of the charmless b decay width, while the latter can tell us how much of it is due to $b \rightarrow s$ transitions. These are essentially experimental issues, relying much less on theoretical input than the analysis of $\mathcal{B}_\ell(B)$ and n_c . We will see that the inclusive $\overline{B} \rightarrow K^- X$ and $\overline{B} \rightarrow K^+/K^- X$ branching ratios [14, 15] are approximately 3σ to 3.5σ in excess of the corresponding kaon yields from conventional $\overline{B} \rightarrow X_c \rightarrow KX$ decays. The latter are dominated by purely experimentally determined contributions, e.g., decays of intermediate D or D_s mesons. The most important unmeasured contribution to kaon production is $s\bar{s}$ popping in $\overline{B} \rightarrow X_{c\bar{u}d}$ decays, which we estimate using the JETSET 7.4 [16] string fragmentation model with both default and DELPHI tuning¹ [17]. Errors in the model are estimated by studying the dependence on a low mass cutoff for the initial quark strings. Crude but generous estimates have also been included for kaon production from decays of intermediate charmed baryons and charmonium.

The excess in the charged kaon yield in B decays, like the NLO analysis of $\mathcal{B}_\ell(B)$ and n_c , suggests that the charmless $b \rightarrow s$ decay rate may be an order of magnitude larger than in the standard model [22]. In particular, we have studied kaon production from fragmentation in $\overline{B} \rightarrow X_{sg}$ decays using JETSET 7.4 with DELPHI tuning. The result is that for $\mathcal{O}(15\%)$ branching ratios the additional kaon yield leads to charged and neutral kaon multiplicities which are consistent with their measured values at the 1σ level.

It is important to note that enhanced $b \rightarrow sg$ is likely to be the only phenomenologically viable possibility for increasing kaon production in charmless decays. For example, 10% branching ratios for $b \rightarrow sq\bar{q}$ decays mediated by enhanced four quark operators [23] typically violate the CLEO upper bound on high momentum ϕ production in B decays [18] by more than an order of magnitude [19]. Comparable branching ratios for $b \rightarrow s\nu\bar{\nu}$ from new physics [24] have been shown to be in gross violation of LEP constraints on missing energy in b decays [25].

Alternatively, it has been suggested that in $b \rightarrow c\bar{c}s$ decays, $c\bar{c}$ pairs in color octet states may annihilate often enough on a hadronic scale via a ‘long-range Penguin graph’ so that no new physics explanation would be necessary to account for the charm or kaon deficits [26, 27]. However, this means that non-perturbative contributions to the charmless hadronic $b \rightarrow s$ branching ratio would have to be of order 50 times larger than NLO corrections from penguin operator matrix

¹We would like to thank Klaus Hamacher for providing us with the JETSET 7.4 DELPHI tunings, and Su Dong for incorporating them into our Monte Carlo.

elements containing $c\bar{c}$ loops. The latter causes shifts in the $b \rightarrow sq\bar{q}$ branching ratios of order 2×10^{-3} in magnitude [28]. This possibility therefore seems remote, especially given that the relevant scale $\mu \sim 2m_c$ is not all that small. It has also been suggested that $\mathcal{O}(1/m_c^2)$ corrections to the HQET b dipole operator coefficient could lead to large corrections to the $b \rightarrow sg$ decay width [29]. However, again a factor of 50 enhancement would be required which is unlikely given that the corresponding correction to the $b \rightarrow s\gamma$ decay width was found to cause a change of only -20% .

The existence of a kaon excess was already noted in [14]. However, at the time it was discounted as a signal for enhanced charmless b decays since, among other things, flavor tagged measurements of inclusive $B \rightarrow D$, D_s and Λ_c decays were not yet available. In fact, it was one of the motivations for the authors of Ref. [30] to suggest that $\mathcal{B}(\bar{B} \rightarrow D\bar{D}\bar{K}X)$ is as large as 20%. This decay has recently been measured [31], and although indeed substantial has a rate which is about half as large as required in order to eliminate the K^- excess. However, it almost doubles the measured $B \rightarrow X_{c\bar{c}s}$ BR without affecting the charm multiplicity. As a result, an updated comparison of the two at the $\Upsilon(4S)$ via the identity

$$n_c = 1 + \mathcal{B}(B \rightarrow X_{c\bar{c}s}) - \mathcal{B}(B \rightarrow X_{\text{no charm}}) \quad (1)$$

gives a non-vanishing charmless branching ratio of about 12% at the 2σ level [22]. This has also recently been discussed in ² [27]. Although the uncertainty is large, it is interesting that this result is consistent with the large $b \rightarrow sg$ branching fractions suggested by the K^- excess and the low values of $\mathcal{B}_\ell(B)$ and n_c .

The authors of Ref. [33] pointed out that it might be possible to see kaons from enhanced $b \rightarrow sg$ directly in the high momentum end point region, where there is little or no background from standard model $B \rightarrow X_c$ decays. This is a difficult measurement because the large energy release in $b \rightarrow sg$ decays leads to high multiplicity final states from fragmentation [34], or a soft kaon spectrum. The CLEO collaboration has recently presented upper limits on inclusive $B \rightarrow K_s X$ branching ratios [35] for $p_{K_s} > 2.1 \text{ GeV}$. The $\bar{B} \rightarrow X_{sg}$ Monte Carlo, with Fermi motion of the b and spectator quarks included according to the model of Ref. [36], implies that K_s production in the end point region is consistent with these

²By averaging this purely experimental method with a second method which derives from an essentially theoretical determination of $\mathcal{B}(B \rightarrow X_{c\bar{u}d})$, the authors of [27] obtain a large 4σ effect. The second method uses as input the NLO predictions for the ratio $\Gamma(b \rightarrow c\bar{u}d)/\Gamma(b \rightarrow cl\nu_\ell)$. We do not believe this procedure is justified given the remaining theoretical uncertainty in this ratio. For example, if one includes vacuum polarization graph corrections to the semileptonic width resummed to all orders [32], the range of predictions for this ratio would be shifted upwards by $\mathcal{O}(10\%)$. Furthermore, the purely experimental determination of $\mathcal{B}(B \rightarrow X_{c\bar{u}d})$, see Eq. 7, does not lead to a second ‘independent method’.

bounds for 10% to 15% branching ratios. Similar conclusions for ϕ production from fragmentation versus CLEO upper limits [18] on high momentum ϕ 's are presented in [19, 20].

Our $\overline{B} \rightarrow X_{sg}$ Monte Carlo only takes into account string fragmentation at lowest order, i.e., parton showers have not been included. In fact, there could be substantial destructive interference between those decays in which the gluon branches into a $q\bar{q}$ pair, i.e., $b \rightarrow sg^* \rightarrow sq\bar{q}$, and standard model decays via the penguin four quark operators Q_3, \dots, Q_6 , depending on the phase of the $b_R \rightarrow s_L g$ chromomagnetic dipole operator. As a result effective four quark operator contributions to high momentum kaon and ϕ production could be substantially smaller than in the standard model [19, 20, 21]. Furthermore, standard model penguin operator contributions in the end point region are by themselves subject to large theoretical uncertainty. We will see that meaningful searches for fast kaons from enhanced $b \rightarrow sg$ must therefore include lower kaon momenta, e.g., $p_K \gtrsim 1.8 \text{ GeV}$ in the $\Upsilon(4S)$ rest frame. As in searches restricted to higher momenta, the signal to background ratios would be about 1 to 1, but the latter would now be dominated by fast kaons from cascade $b \rightarrow c \rightarrow s$ decays. This contribution should, in principle, be possible to pin down experimentally to high precision by combining measurements of inclusive D, D_s and K momentum spectra from $B \rightarrow D/D_s$ and $D/D_s \rightarrow K$ decays, respectively [33]. We will make use of kaon spectra from SLD's implementation of the CLEO B decay Monte Carlo.³

This paper is organized as follows: In the next section we review the experimental inputs relevant to inclusive charm and kaon counting in B decays. In Section 3 we determine the kaon yields from $\overline{B} \rightarrow X_c$ decays and compare with the total kaon yields. Section 4 discusses kaon production and the K_s momentum spectrum from fragmentation of enhanced $b \rightarrow sg$. We conclude with a brief discussion of our results in Section 5

2 Experimental input from inclusive B decays

Counting charm I: the charm multiplicity

The inclusive B to charmed hadron branching ratios used to obtain the B decay charm multiplicity at the $\Upsilon(4S)$ are given in Table 1. The first four entries are averages of the ARGUS, CLEO 1.5 and CLEO II measurements, from Ref. [37].⁴ However, the D^0/\overline{D}^0 entry has been rescaled to the new $D^0 \rightarrow K^-\pi^+$ world

³We would like to thank Su Dong for providing us with the results of this simulation.

⁴We've taken $.6 \pm .3$ for the sum of all unknown charmonia yields. Currently, $\mathcal{B}(B \rightarrow \eta_c X) <$

average⁵ branching ratio [39], $3.88 \pm .10\%$, and the D^+/\overline{D}^- entry has been rescaled to the $D^+ \rightarrow K^-\pi^+\pi^-$ PDG 96 branching ratio [40], $9.1 \pm .6\%$.

The CLEO Λ_c yield in Table 1 [41] is obtained from a direct measurement of $\mathcal{B}(B \rightarrow pK^-\pi^+X)$ [42]. The largest uncertainty is due to $\mathcal{B}(\Lambda_c \rightarrow pK^-\pi^+)$, which has been set equal to the PDG 96 average of $4.4 \pm .6\%$. However, it has been observed that the latter is largely based on a flawed model of baryon production in B decays [43, 37], namely dominance of the external W spectator diagrams in baryon production. This model can not be correct given the absence of a signal for $\overline{B} \rightarrow \Lambda_c \overline{N} X \ell \nu$ [44, 45]. An alternative method used by CLEO [46, 37] combines a measurement of the relative semileptonic rate, $\Gamma(\Lambda_c^+ \rightarrow pK^-\pi^+)/\Gamma(\Lambda_c \rightarrow \Lambda \ell^+ \nu_\ell)$, with an additional assumption about the fraction of $\Lambda_c \rightarrow \Lambda \ell^+ \nu$ decays versus all semileptonic Λ_c decays. The resulting $\Lambda_c \rightarrow pK^-\pi^+$ branching ratio is higher than the PDG 96 average, which would lower the Λ_c yield.⁶

The Ξ_c yield has large uncertainties and the central value appears large compared to the Λ_c yield. In Ref. [43] the Ξ_c yield has been correlated with the more accurately measured Λ_c yield. Allowing for a probability for $s\bar{s}$ popping from the vacuum of $15 \pm 5\%$ leads to an estimate for the ratio of the Ξ_c yield to the Λ_c yield of $.41 \pm .12$. Combining this with the Λ_c entry in Table 1 leads to a significantly smaller $B \rightarrow \Xi_c X$ branching ratio of $1.7 \pm .6\%$. Note that in this case the sum of the Λ_c and Ξ_c yields is in better agreement with previous measurements of the total charmed baryon multiplicity [47], $6.4 \pm 1.1\%$, and total baryon multiplicity [48], $6.8 \pm .6\%$.

The world-average B decay charm multiplicity at the $\Upsilon(4S)$ is given by the sum of the six charmed hadron yields in Table 1, with the $(c\bar{c})$ yield counted twice. The result is

$$n_c(B) = 109.8 \pm 4.6\%. \quad (2)$$

Using the correlated Ξ_c yield in parenthesis leads to

$$n_c(B) = 107.6 \pm 4.4\%. \quad (3)$$

Note that uncertainty in the absolute D and D_s branching scales contributes about $\pm 3.4\%$ to the error in n_c . Finally, the CLEO II charm multiplicity alone is $113.4 \pm 4.6\%$ [41], with a correspondingly lower value if the correlated Ξ_c yield is used.

.9% (90% C.L.) [37].

⁵This includes the new ALEPH measurement presented at the summer conferences, $\mathcal{B}(D^0 \rightarrow K^-\pi^+) = 3.90 \pm .15\%$ [38]. $\mathcal{B}(B \rightarrow D^0/\overline{D}^0 X)$ is inversely proportional to $\mathcal{B}(D^0 \rightarrow K^-\pi^+)$, which was taken to be $3.76 \pm .15\%$ in [37]. Similarly, $\mathcal{B}(B \rightarrow D^+/\overline{D}^- X)$ is inversely proportional to $\mathcal{B}(D^+ \rightarrow K^-\pi^+\pi^-)$ which was taken to be $8.9 \pm .7\%$ in [37].

⁶Using this method gives $\mathcal{B}(\Lambda_c \rightarrow pK^-\pi^+) = 5.9 \pm 1.5\%$, leading to $\mathcal{B}(B \rightarrow \Lambda_c/\overline{\Lambda}_c X) = 3.1 \pm 1.0\%$.

Table 1: Inclusive $B \rightarrow \text{charmed hadron}$, and $B \rightarrow K$ BR's [%]. ($c\bar{c}$) is any $c\bar{c}$ meson. The second Ξ_c entry is correlated with the Λ_c yield, as in Ref. [43].

Process	Branching Ratios
$\overline{B} \rightarrow D^0/D^0 X$	62.8 ± 2.7 [37]
$\overline{B} \rightarrow D^+/D^- X$	23.7 ± 2 [37]
$\overline{B} \rightarrow D_s^+/D_s^- X$	10.1 ± 2.6 [37]
$\overline{B} \rightarrow (c\bar{c})X_s$	$2.6 \pm .3$ [37]
$\overline{B} \rightarrow \Lambda_c^+/\Lambda_c^- X$	$4.1 \pm .6$ [41]
$\overline{B} \rightarrow \Xi_c^+/\Xi_c^0 X$	3.9 ± 1.5 [41]
(Correlated Ξ_c yield)	$1.7 \pm .6$
$\overline{B} \rightarrow K^-/K^+ X$	78.9 ± 2.5 [40]
$\overline{B} \rightarrow K^0/\overline{K}^0 X$	64 ± 4 [40]

For the admixture of beauty hadrons at the Z the reported charm multiplicities are $123 \pm 7.5\%$ at ALEPH [49] and $110 \pm 8.8\%$ at OPAL [50], where the latter does not include the Ξ_c contribution.

Counting charm II: the $B \rightarrow X_{c\bar{c}s}$ and $B \rightarrow X_{c\bar{u}d}$ branching ratios.

The flavor - lepton charge correlation measurements for inclusive B to charmed hadron decays are summarized in Table 2.⁷ The flavor tagged BR's are obtained by combining the CLEO collaboration's measurements of the relative flavor yields in the first column with the averages for the total D_s , D and Λ_c BR's from Table 1. They can be used to obtain

$$\begin{aligned} \mathcal{B}(B \rightarrow X_{c\bar{c}s}) &= \mathcal{B}(\overline{B} \rightarrow D_s^- X) + \mathcal{B}(\overline{B} \rightarrow \overline{D} X) + \mathcal{B}(\overline{B} \rightarrow \overline{\Lambda}_c^- X) \\ &+ \mathcal{B}(\overline{B} \rightarrow (c\bar{c})X) = 20.0 \pm 3.5\%. \end{aligned} \quad (4)$$

Note that the $\overline{\Lambda}_c^-$ yield is identified with the Ξ_c yield from $B \rightarrow \Xi_c \overline{\Lambda}_c^- X$ decays.

The $\overline{B} \rightarrow X_{c\bar{u}d} \rightarrow DX/D_s X$ branching ratio⁸ will be required in order to estimate contributions to kaon production from $s\bar{s}$ popping. It is obtained from the total D , D_s and charmed baryon yields in Table 1 by subtracting the contributions

⁷The relative K^+ and K^- yields follow from the ARGUS and CLEO flavor - lepton charge correlation measurements [14, 15, 53]. The effect of $B - \overline{B}$ mixing on the CLEO measurement is accounted for in [53]. The absolute K^+ and K^- BR's are obtained from the total charged kaon yield in Table 1.

⁸ $X_{c\bar{u}d}$ is understood to include the Cabbibo suppressed final states $X_{c\bar{u}s}$.

Table 2: Inclusive flavor tagged B decay branching ratios [%]. D denotes D^0 or D^+ , and similarly for \bar{D} .

T	$\frac{\mathcal{B}(B \rightarrow TX)}{\mathcal{B}(\bar{B} \rightarrow TX)}$	$\mathcal{B}(\bar{B} \rightarrow \bar{T}X)$	$\mathcal{B}(\bar{B} \rightarrow TX)$
D	$.107 \pm .034$ [31]	8.4 ± 2.6	78.1 ± 3.8
D_s^-	$.21 \pm .10$ [52]	1.74 ± 1.0	8.36 ± 2.3
Λ_c^+	$.20 \pm .13$ [45]	$.68 \pm .45$	$3.42 \pm .62$
K^-	$.18 \pm .06$	12.0 ± 3.7	66.9 ± 3.8

from the semileptonic and $b \rightarrow c\bar{c}s$ decays. The sum of the D and D_s yields originating from semileptonic decays can, to good approximation, be identified with the sum of the PDG 96 average branching ratios for $B \rightarrow e\nu_e X$, $\mu\nu_\mu X$, and $\bar{b} \rightarrow \tau^+\nu_\tau X$, giving $\mathcal{B}(B \rightarrow X_{cl\nu_\ell} \rightarrow DX, D_s X) \approx 23.4 \pm .8\%$. The charmed baryon yield from semileptonic decays is neglected. The sum of all D and D_s meson yields from $\bar{B} \rightarrow X_{c\bar{u}d}$ decays is

$$\begin{aligned}
\mathcal{B}(\bar{B} \rightarrow X_{c\bar{u}d} \rightarrow DX/D_s X) &= \mathcal{B}(\bar{B} \rightarrow D^0/\bar{D}^0 X) + \mathcal{B}(\bar{B} \rightarrow D^+/D^- X) \\
-\mathcal{B}(\bar{B} \rightarrow X_{cl\nu_\ell} \rightarrow D/D_s X) &- 2\mathcal{B}(\bar{B} \rightarrow \bar{D}X) - \mathcal{B}(\bar{B} \rightarrow D_s^- X) \\
+\mathcal{B}(\bar{B} \rightarrow D_s^+ X) &= 39.6 \pm 6.7,
\end{aligned} \tag{5}$$

The D yield alone, obtained by eliminating the last term above, is $37.9 \pm 6.6\%$.

Similarly, the sum of the Λ_c and Ξ_c yields from $b \rightarrow c\bar{u}d$ decays is given by

$$\begin{aligned}
\mathcal{B}(B \rightarrow X_{c\bar{u}d} \rightarrow \Lambda_c X, \Xi_c X) &= \mathcal{B}(\bar{B} \rightarrow \Lambda_c/\bar{\Lambda}_c X) + \mathcal{B}(\bar{B} \rightarrow \Xi_c^+/\Xi_c^0 X) \\
-2\mathcal{B}(\bar{B} \rightarrow \bar{\Lambda}_c^- X) &= 6.6 \pm 1.8\%, 4.4 \pm 1.2\%.
\end{aligned} \tag{6}$$

Summing Eqs. 5 and 6 gives the total inclusive branching ratio

$$\mathcal{B}(B \rightarrow X_{c\bar{u}d}) = 46.2 \pm 7.0\%, 44.0 \pm 6.8\%. \tag{7}$$

The second entry in Eqs. 6 and 7 is obtained using the correlated Ξ_c yield in Table 1.

A bound on $b \rightarrow sg$

The charm multiplicity and $\mathcal{B}(\bar{B} \rightarrow X_{c\bar{c}s})$ can be used to bound $\mathcal{B}(\bar{B} \rightarrow X_{sg})$ via Eq. 1. The $\Upsilon(4S)$ measurements given in Eqs. 2 - 4 lead to

$$\mathcal{B}(B \rightarrow X_{\text{no charm}}) = 10.2 \pm 5.8\%, 12.4 \pm 5.6\% \tag{8}$$

where the second entry again corresponds to the correlated Ξ_c yield in Table 1. Bounds on $\mathcal{B}(B \rightarrow X_{sg})$ follow by subtracting $\approx 1\%$ to account for $b \rightarrow u$ decays.⁹ This method should ultimately provide one of the most accurate determinations of the charmless branching ratio at the B factories if uncertainties in the absolute D and D_s branching scales are substantially reduced. In the meantime, the resulting bounds are consistent with either enhanced $b \rightarrow sg$, or no $b \rightarrow sg$.

3 Counting kaons in B decays

In this section we would like to check for enhanced charmless $b \rightarrow s$ transitions by comparing the inclusive $\overline{B} \rightarrow K^- X$, $\overline{B} \rightarrow K^+ X$ and $\overline{B} \rightarrow K^0/\overline{K}^0 X$ BR's given in Tables 1 and 2 with the corresponding kaon yields from $\overline{B} \rightarrow X_c$ decays. Contributions to the latter which are essentially determined experimentally are summarized in Table 3. Lets begin with contributions from decays of intermediate D mesons, which are obtained by combining inclusive $\overline{B} \rightarrow DX$ BR's with the corresponding inclusive PDG 96 $D \rightarrow KX$ BR's. To obtain the K^- and K^+ yields separately requires knowledge of the individual charged and neutral D and \overline{D} multiplicities in \overline{B} decays, which in turn requires knowledge of the \overline{D}^0 and D^- components of the 'wrong flavor' $\overline{B} \rightarrow \overline{D}X$ BR in Table 2. The following partial results have recently been reported by the ALEPH collaboration [51]

$$\begin{aligned} \mathcal{B}(B^0, B^\pm \rightarrow D^0 \overline{D}^0 X) &= 7.6 \pm 2.55_{-0.6}^+ \% \\ \mathcal{B}(B^0, B^\pm \rightarrow D^\pm \overline{D}^0 X) &= 5.2 \pm 2.25_{-0.4}^+ \%, \end{aligned} \quad (9)$$

indicating that most \overline{D} 's produced in \overline{B} decays are neutral. This is to be expected since D^* 's decay preferentially to neutral D 's. Fortunately, the charged kaon yields are not very sensitive to the ratio of charged to neutral \overline{D} multiplicities (the total neutral kaon yield is independent of it), since most D mesons produced in \overline{B} decays are D^0 's or D^+ 's. In particular, the K^- and K^+ yields vary from $36.2 \pm 3.0\%$ and $6.4 \pm 1.0\%$ to $34.9 \pm 3.1\%$ and $7.7 \pm 1.5\%$, respectively, as this ratio is varied from 1 to 0. The corresponding charged kaon entries in Table 3 are for the intermediate case where \overline{D}^0 and D^- are assumed to account for 75% and 25% of the $\overline{B} \rightarrow \overline{D}X$ BR, respectively.

Kaon yields originating from the decay of D_s intermediaries are straightforward to obtain by combining the D_s entries in Table 2 with the PDG 96 $D_s \rightarrow KX$ BR's. Two other sources for kaons whose contributions follow directly from Tables 1 and

⁹Standard model $b \rightarrow sq\bar{q}$ penguin operator contributions should not be subtracted since, as noted in the Introduction, enhanced $b \rightarrow sg$ can destructively interference with these decays via gluon splitting.

Table 3: Known contributions to inclusive kaon multiplicities [%] from $\bar{B} \rightarrow X_c$ decays.

Decay Mode	K^-	K^+	K^0/\bar{K}^0
$\bar{B} \rightarrow D, \bar{D} \rightarrow K$	35.5 ± 3.0	7.0 ± 1.2	40.3 ± 3.9
$\bar{B} \rightarrow D_s^+, D_s^- \rightarrow K$	$1.9_{-1.3}^{+1.6}$	$1.4_{-1.1}^{+1.3}$	3.9 ± 3
$\bar{B} \rightarrow D\bar{D}(X_s \rightarrow K)$	4.2 ± 1.3	-	4.2 ± 1.3
$\bar{B} \rightarrow (c\bar{c})(X_s \rightarrow K)$	$1.3 \pm .2$	-	$1.3 \pm .2$
$\bar{B} \rightarrow X_{c\bar{u}s} \rightarrow DKX$	$.9 \pm .2$	-	$.9 \pm .2$
totals	43.8 ± 3.7	8.4 ± 1.8	50.6 ± 5.1

2 are $\bar{B} \rightarrow D\bar{D}(X_s \rightarrow K^-X, \bar{K}^0X)$ and $\bar{B} \rightarrow (c\bar{c})(X_s \rightarrow K^-X, \bar{K}^0X)$, where $(c\bar{c})$ denotes all charmonium bound states.¹⁰ In each decay the probability that the s quark hadronizes into K^- or \bar{K}^0 should be near 50%, given an equal admixture of \bar{B}^0 and B^- , apart from small isospin breaking effects. Finally, another source of kaons in \bar{B} decays on which we have a good handle is hadronization of the initial s quark in Cabibbo suppressed $\bar{B} \rightarrow X_{c\bar{u}s} \rightarrow DKX$ decays, which is expected to contribute about 1% to the K^- and \bar{K}^0 multiplicities. From Eq. 5

$$\mathcal{B}(\bar{B} \rightarrow X_{c\bar{u}s} \rightarrow DKX) \sim \theta_c^2 \mathcal{B}(\bar{B} \rightarrow X_{c\bar{u}d} \rightarrow DX) = 1.8 \pm .3\%. \quad (10)$$

Hadronization of the s quark should lead to approximately equal numbers of K^- and \bar{K}^0 since the large energies of the primary s and \bar{u} quarks lead to high probabilities for $q\bar{q}$ popping along initial $[s\bar{u}]$ strings.

The above contributions to kaon production have been summed in Table 3. Comparison with the inclusive kaon yields in Tables 1 and 2 shows that at this stage there exist sizable 4.4σ and 5.6σ excesses in K^- and K^+/\bar{K}^- production, respectively, which must be accounted for:

$$\begin{aligned} \mathcal{B}(\bar{B} \rightarrow K^-X) - \mathcal{B}(\bar{B} \rightarrow X_c \rightarrow K^-X)_{\text{measured}} &= 23.1 \pm 5.3 \\ \mathcal{B}(\bar{B} \rightarrow K^+/\bar{K}^-X) - \mathcal{B}(\bar{B} \rightarrow X_c \rightarrow K^+/\bar{K}^-X)_{\text{measured}} &= 26.7 \pm 4.8 \end{aligned} \quad (11)$$

It remains to estimate those contributions to kaon production for which there is limited experimental information, namely $s\bar{s}$ popping in $b \rightarrow c\bar{u}d$ decays¹¹, and

¹⁰Hadronization of the s quark into strange baryons is kinematically forbidden in the first decay and can be neglected in the second decay due to phase space suppression.

¹¹We will not bother to consider $s\bar{s}$ popping in $b \rightarrow c\bar{u}s$ decays separately as this would have negligible consequences for our purposes.

decays of intermediate charmed baryons and charmonium. For a summary see Table 5. In the case of $s\bar{s}$ popping additional kaons are most likely to come from final states of the form $DK\bar{K}X$ and, to a lesser extent, $D_s\bar{K}X$. Note that $s\bar{s}$ popping in $\bar{B} \rightarrow \Lambda_c$ decays can be safely neglected for our purposes due to the small overall $\bar{B} \rightarrow \Lambda_c X$ BR. In $b \rightarrow c\bar{c}s$ decays it can be neglected because of phase space suppression, whereas in semileptonic decays it can be neglected because it constitutes a small fraction¹² of an already small overall $\bar{B} \rightarrow D_s^+ X$ BR, see Table 2.

A rough estimate of the kaon yield from $s\bar{s}$ popping can be obtained by assuming that the latter occurs with a probability P_s of $15 \pm 5\%$ in $\bar{B} \rightarrow X_{c\bar{u}d} \rightarrow DX/D_s X$ decays, i.e.,

$$P_s \equiv \frac{\mathcal{B}(\bar{B} \rightarrow X_{c\bar{u}d+s\bar{s}} \rightarrow DK\bar{K}X/D_s\bar{K}X)}{\mathcal{B}(\bar{B} \rightarrow X_{c\bar{u}d} \rightarrow DX/D_s X)} = 15 \pm 5\%, \quad (12)$$

which is typical of $s\bar{s}$ popping probabilities in the literature for various B decays. In turn, Eq. 5 gives $\mathcal{B}(\bar{B} \rightarrow X_{c\bar{u}d+s\bar{s}} \rightarrow DK\bar{K}X/D_s^+\bar{K}X) \approx 5.9 \pm 2.2\%$. Estimates for the individual kaon yields follow by assuming that the s and \bar{s} quarks hadronize into charged and neutral kaons with equal probability. This is approximately true if $s\bar{s}$ popping is accompanied by popping of several light quark pairs, which is certainly the case along the more energetic initial $[\bar{u}d]$ string chiefly responsible for $s\bar{s}$ popping. The individual kaon yields implied by Eq. 12 would be

$$\begin{aligned} \mathcal{B}(\bar{B} \rightarrow D/D_s^+ K^- X) &\approx 3.0 \pm 1.1\% \quad , \quad \mathcal{B}(\bar{B} \rightarrow DK^0 X, D/D_s^+ \bar{K}^0 X) \approx 5.0 \pm 1.6\% \\ \mathcal{B}(\bar{B} \rightarrow DK^+ X) &\approx 2.1 \pm 1.2\%, \end{aligned} \quad (13)$$

where $\mathcal{B}(\bar{B} \rightarrow D_s^+ X)$ has been subtracted in obtaining the K^0 and K^+ estimates.

Next we estimate the kaon yield from $s\bar{s}$ popping using JETSET 7.4 string fragmentation at lowest order. Simple color counting arguments with no additional dynamical factors taken into account, e.g., a candidate strings invariant mass, imply that of the two possible initial string configurations, $[c\bar{q}] + [\bar{u}d]$ and $[c\bar{u}] + [d\bar{q}]$ (\bar{q} is the spectator quark), the former is about five times more likely.¹³ Taking a 5 to 1 ratio, the default JETSET 7.4 settings give an $s\bar{s}$ popping probability P_s (see Eq. 12) of 11%. The DELPHI tuning gives a larger probability of 14 %, presumably the result of improved agreement with observed kaon production at

¹²The sum of the $b \rightarrow c e \nu_e$ and $b \rightarrow c \mu \nu_\mu$ BR's is about half as large as the $b \rightarrow c\bar{u}d$ BR, and the invariant mass of the $c\bar{q}$ string in semileptonic decays is typically too small for $s\bar{s}$ popping because of the low relative momenta of the charm and spectator quarks.

¹³Color counting gives a 5 to 1 ratio for the dominant four quark operator Q_2 . Including the contribution of Q_1 gives a leading order ratio which varies from ≈ 5.5 at $\mu = m_b$ to ≈ 4.5 at $\mu = m_b/2$.

Table 4: Lowest order JETSET 7.4 estimates for kaon and D_s^+ multiplicities [%] from $s\bar{s}$ popping in decays of the form $\bar{B} \rightarrow X_{c\bar{u}d+s\bar{s}} \rightarrow DK\bar{K}X/D_s^+\bar{K}X$. In the second and fourth rows a 2 GeV lower cutoff has been imposed on the invariant masses of the $[\bar{u}d]$ or $[c\bar{u}]$ strings.

JETSET 7.4 settings	K^-	K^+	K^0/\bar{K}^0	D_s^+
default	$2.6 \pm .4$	$1.4 \pm .2$	$3.8 \pm .6$	$.6 \pm .1$
default, 2 GeV cut	$3.2 \pm .5$	$1.8 \pm .3$	$4.7 \pm .8$	$.9 \pm .2$
DELPHI tunings	$3.7 \pm .6$	$1.6 \pm .3$	$4.9 \pm .8$	$.5 \pm .1$
DELPHI, 2 GeV cut	$4.6 \pm .8$	$2.1 \pm .4$	6 ± 1	$.8 \pm .1$

the Z . Using Eq. 5 to set the absolute branching scale leads to the kaon and D_s^+ yields listed in Table 4, with errors corresponding to the experimental uncertainty in Eq. 5.

Admittedly, initial string invariant masses in $\bar{B} \rightarrow X_{c\bar{u}d}$ decays are on the low side for a jet-like interpretation. To get an idea of the corresponding uncertainty in the $s\bar{s}$ popping probability, or of how much larger the resulting kaon yields could be, we have repeated the analysis with a lower cutoff of 2 GeV imposed on the invariant masses of the initial $[\bar{u}d]$ or $[c\bar{u}]$ strings along which most of the $s\bar{s}$ popping is expected to occur ($\approx 70\%$ of the decays survive this cut).¹⁴ These results are also included in Table 4; the corresponding values of P_s are 13% and 17% for the default and DELPHI JETSET tunings, respectively. Note that the range of kaon yields in Table 4 is consistent with the rough estimates in Eqs. 12 and 13. When evaluating the charged kaon excess below we will equate the central values of the kaon yields from $s\bar{s}$ popping with the central values of the DELPHI tuned entries obtained without a string mass cutoff. Error bars are determined by the maximum kaon yields attainable with 2 GeV cutoff. These are included in Table 5. As a rough check we note that the range of D_s^+ yields in Table 4, although on the low side, is consistent with the poorly measured $\bar{B} \rightarrow D_s^+ X$ BR in Table 2. It is also worth noting that JETSET estimates for kaon production in $\Upsilon(1S)$ decays are consistent with the measured multiplicity for charged kaons and within 20% for neutral kaons.¹⁵

¹⁴The same cut is applied to any candidate strings invariant mass in the JETSET e^+e^- continuum package, and to gg invariant masses in the JETSET Υ decay package [16].

¹⁵The quarkonia decay subroutine LUONIA gives charged and neutral kaon multiplicities of .9 and .84, respectively, whereas the ARGUS measurements [54] are $.908 \pm .026$ (K^+/K^-) and $1.033 \pm .05$ (K^0/\bar{K}^0).

Finally, we crudely estimate kaon production from intermediate Λ_c , Ξ_c and charmonium decays. The $\Lambda_c^+ \rightarrow \Lambda X$ and $\Lambda_c^+ \rightarrow \Xi^\pm X$ BR's are $35 \pm 11\%$ and $10 \pm 5\%$, respectively. The remaining Λ_c^+ decay modes give an upper bound on the $\Lambda_c^+ \rightarrow \bar{K}X$ BR of $55 \pm 12\%$, which we assume is saturated. It is far from clear what percentage of kaons will be charged or neutral, as this depends on the importance of light quark popping in kaon production, and the probability of \bar{K}^{*0} versus \bar{K}^0 production from the primary s and \bar{u} quarks. For lack of anything better we take the \bar{K} 's to be $50 \pm 25\%$ neutral and $50 \pm 25\%$ charged, with large error bars to reflect our ignorance. In turn, the flavor tagged Λ_c yields in Table 2 lead to the $\bar{B} \rightarrow \Lambda_c \rightarrow K$ estimates in Table 5. Even less is known about inclusive Ξ_c decays. It is reasonable that the relative probability of the decay chain $c \rightarrow s \rightarrow \bar{K}$ is roughly the same as in Λ_c decays, based on approximate flavor $SU(3)$; We choose $50 \pm 20\%$. Lets also assume that the spectator s quark hadronizes into a kaon $50 \pm 25\%$ of the time, corresponding to a total kaon multiplicity of $\approx 100\%$. Again we take the kaons to be $50 \pm 25\%$ charged and $50 \pm 25\%$ neutral. The K^+ and K^0 yields are negligible because $\bar{\Xi}_c$ production is highly suppressed in \bar{B} decays.

For intermediate charmonium decays a crude estimate of the kaon yields is obtained by assuming a $50 \pm 25\%$ $s\bar{s}$ popping probability in the dominant $(c\bar{c}) \rightarrow ggg$ hadronic decay modes. It should be smaller than the $s\bar{s}$ popping probability in $\Upsilon(1S)$ decays which is about 100% [54] because of the lower energy release.¹⁶ The crude charmonium estimates in Table 5 follow from the inclusive charmonium yield in Table 1 by assuming that the $s\bar{s}$ pairs always fragment into kaons. The kaons should be $\approx 50\%$ neutral and 50% charged as in $\Upsilon(1S)$ decays [54].

Bounds on kaon production from charmless intermediate states are obtained by subtracting the totals in Tables 3 and 5 from the inclusive $\bar{B} \rightarrow KX$ BR's, giving [%]

$$\begin{aligned}
\mathcal{B}(\bar{B} \rightarrow K^- X) - \mathcal{B}(\bar{B} \rightarrow X_c \rightarrow K^- X) &= 15.8 \pm 5.8, 16.9 \pm 5.6 \\
\mathcal{B}(\bar{B} \rightarrow K^+ X) - \mathcal{B}(\bar{B} \rightarrow X_c \rightarrow K^+ X) &= 1.1 \pm 4.2, 1.1 \pm 4.2 \\
\mathcal{B}(\bar{B} \rightarrow K^+/K^- X) - \mathcal{B}(\bar{B} \rightarrow X_c \rightarrow K^+/K^- X) &= 16.9 \pm 5.4, 18 \pm 5.3 \\
\mathcal{B}(\bar{B} \rightarrow K^0/\bar{K}^0 X) - \mathcal{B}(\bar{B} \rightarrow X_c \rightarrow K^0/\bar{K}^0 X) &= 4.1 \pm 7.0, 5.2 \pm 6.8 \quad (14)
\end{aligned}$$

The second set of numbers is obtained using the smaller correlated Ξ_c yield, which is probably more appropriate. A significant 3σ K^- excess remains. The K^- excess is also reflected in the total charged kaon excess, which is a bit larger partly because the uncertainty in the total charged kaon multiplicity is smaller than the uncertainty in the individual K^- multiplicity. Because of large uncertainties in

¹⁶For example, the JETSET 7.4 LUONIA subroutine applied to J/Ψ decays, only to be regarded as an extremely rough estimate because of the low string energies involved, gives a 35% $s\bar{s}$ popping probability and $\approx .18$ for the four individual kaon multiplicities.

Table 5: Estimates of inclusive kaon yields [%] from $\bar{B} \rightarrow X_c$ decays which have not been measured, as described in the text. $s\bar{s}$ popping contributions are from the JETSET 7.4 Monte Carlo analysis, see text for details. Estimates obtained using the correlated Ξ_c yield in Table 1 are also included.

Decay Mode	K^-	K^+	K^0/\bar{K}^0
$B \rightarrow X_{c\bar{u}d+s\bar{s}} \rightarrow DK\bar{K}X, D_s^+ KX$	3.7 ± 1.7	$1.6 \pm .8$	4.9 ± 2.1
$\bar{B} \rightarrow \Lambda_c \rightarrow K$	$.9 \pm .5$	$.2 \pm .1$	$1.1 \pm .6$
$\bar{B} \rightarrow \Xi_c^+/\Xi_c^0 \rightarrow K$	2.0 ± 1.4	-	2.0 ± 1.4
(Correlated Ξ_c yield)	$.9 \pm .6$	-	$.9 \pm .6$
$\bar{B} \rightarrow (c\bar{c}) \rightarrow K$	$.7 \pm .3$	$.7 \pm .3$	$1.3 \pm .3$
Totals	7.3 ± 2.3	$2.5 \pm .9$	9.3 ± 2.6
(Correlated Ξ_c yield)	6.2 ± 1.9	$2.5 \pm .9$	8.2 ± 2.3

the inclusive neutral kaon BR's, the K^0/\bar{K}^0 result is consistent with either no kaon excess, or sizable kaon excess. In the next section we discuss the impact of additional kaons from enhanced $b \rightarrow sg$.

4 Kaons from enhanced $b \rightarrow sg$

We have studied kaon production from fragmentation in $\bar{B} \rightarrow X_{sg}$ decays using JETSET 7.4 with DELPHI tunings at order zero in α_s . The large energy release should make these decays well suited for a jet language description. Initially, a string is stretched from the s quark to the spectator quark via the gluon so that the gluon is a kink in the string carrying energy and momentum [16]. Following the model of Ref. [36], the b quark is given a Fermi momentum p_b which satisfies a Gaussian distribution,

$$\Phi(\vec{p}_b) = \frac{4}{\sqrt{\pi}p_F^3} e^{-\vec{p}_b^2/p_F^2}. \quad (15)$$

Fits to CLEO $B \rightarrow X\ell\nu$ data give $p_F = 270 \pm 40$ MeV [55]. The b quark mass is given by

$$m_b^2 = m_B^2 + m_q^2 - 2m_B\sqrt{p_b^2 + m_q^2}, \quad (16)$$

where m_q is the spectator quark constituent mass, which we take to be 300 MeV, and m_B is the B meson mass. In the b quark rest frame the s and g initially move back - to - back with energy $m_b/2$. Fragmentation is carried out in the B rest frame, and the resulting kaons are subsequently boosted to the $\Upsilon(4S)$ rest frame.

Although the b quark kinetic energy has negligible effect on the total kaon yields it does reduce the kaon yields in the high momentum end point region.

The following kaon multiplicities are obtained per $\overline{B} \rightarrow X_{sg}$ decay,

$$K^- : K^+ : \overline{K}^0 : K^0 :: 67\% : 19\% : 62\% : 15\%. \quad (17)$$

As an illustrative example of the impact on the charged kaon excess we take $\mathcal{B}(\overline{B} \rightarrow X_{sg}) = 15\%$. Subtracting the corresponding kaon yields from Eq. 18 gives [%]

$$\begin{aligned} \Delta\mathcal{B}(\overline{B} \rightarrow K^- X) &= 5.8 \pm 5.8, 6.9 \pm 5.8 \\ \Delta\mathcal{B}(\overline{B} \rightarrow K^+ X) &= -1.8 \pm 4.2, -1.8 \pm 4.2 \\ \Delta\mathcal{B}(\overline{B} \rightarrow K^+/K^- X) &= 4.0 \pm 5.4, 5.1 \pm 5.3 \\ \Delta\mathcal{B}(\overline{B} \rightarrow K^0/\overline{K}^0 X) &= -7.5 \pm 7.0, -6.4 \pm 6.8. \end{aligned} \quad (18)$$

According to this example the observed inclusive charged and neutral kaon yields can be accounted for at the 1σ level if $b \rightarrow sg$ is substantially enhanced by new physics.

Next, we turn our attention to the kaon momentum spectrum. In Fig. 1a we compare the K_s momentum spectrum for $\mathcal{B}(\overline{B} \rightarrow X_{sg}) = 10\%$, obtained from the DELPHI tuned JETSET 7.4 Monte Carlo described above, with the measured spectrum from B decays [14] in the $\Upsilon(4S)$ rest frame. Also included is the spectrum obtained from the SLD implementation of the CLEO B decay Monte Carlo for $b \rightarrow c$ transitions. This simulation has been somewhat artificially tuned by the SLD collaboration to maximize the charged kaon yield and does not yet include $\overline{B} \rightarrow D\overline{D}X$ decays. From the figure it is clear that detecting or bounding kaon production from enhanced $b \rightarrow sg$ poses a severe experimental challenge. Most of the kaons would be soft, possessing momenta typical of kaons from $b \rightarrow c$ transitions; the ratio of signal to standard model background for typical K_s momenta would be about 1 part in 5 to 10.

The signal to background ratio improves dramatically for high momenta where kaon production from $b \rightarrow c$ decays tends towards zero [33]. The CLEO collaboration has recently obtained upper bounds on K_s production for $p_{K_s} > 2.1 \text{ GeV}$ which are listed in Table 6 [35]. The Monte Carlo yields for $\mathcal{B}(\overline{B} \rightarrow X_{sg}) = 10\%$ in Table 6 are consistent with the CLEO limits. Because there is currently no detailed data on kaon production from hadronic Z decays for $p_K/p_{beam} > .8$ with which to further tune JETSET, the actual high momentum kaon branching fractions per $b \rightarrow sg$ decay could certainly be 20% smaller than DELPHI tuned JETSET 7.4 predictions. In addition CLEO may have problems with continuum

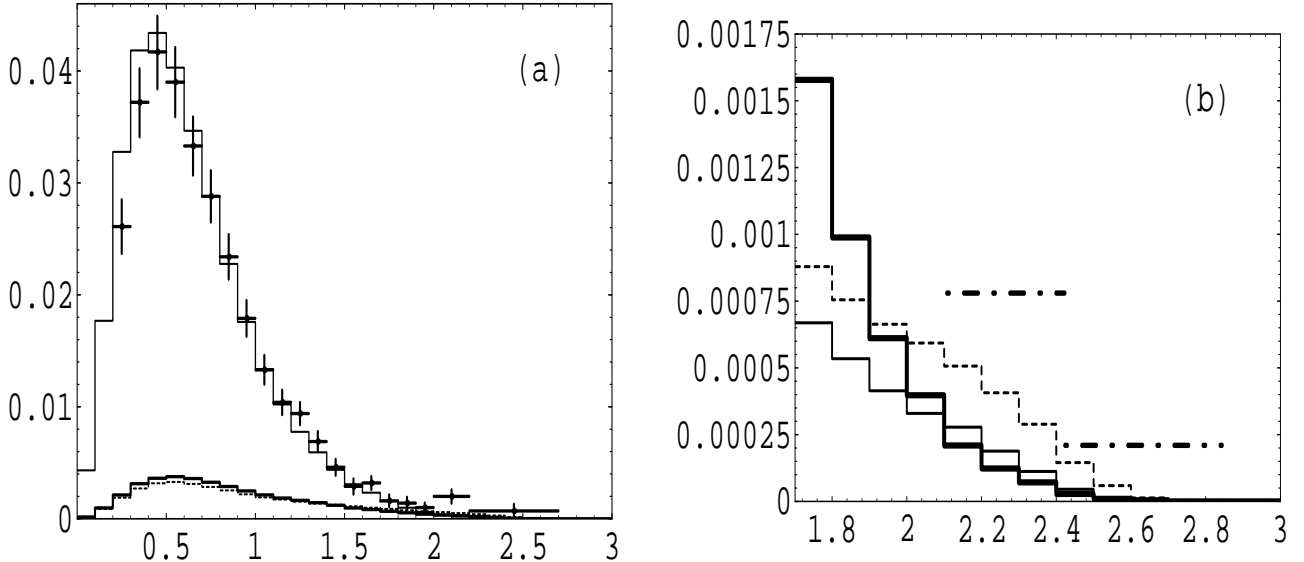


Figure 1: $\mathcal{B}(B \rightarrow K_s X)$ vs. p_{K_s} [GeV]. Branching ratios are for 0.1 GeV bins except CLEO upper limits. (a) ARGUS data (crosses), SLD Monte Carlo (top solid), Monte Carlo for $\mathcal{B}(\overline{B} \rightarrow X_{sg}) = 10\%$ with $p_F = 250$ MeV (bottom solid) and $p_F = 0$ (dashed). (b) fast kaon spectra: CLEO 90% CL UL's for $2.11 < p_{K_s} < 2.42$, $2.42 < p_{K_s} < 2.84$ (dot -dashed), SLD Monte Carlo (thick solid), Monte Carlo for $\mathcal{B}(\overline{B} \rightarrow X_{sg}) = 10\%$ with $p_F = 250$ MeV (solid) and $p_F = 0$ (dashed).

over - subtraction at sensitivities of $\mathcal{O}(10^{-4})$ for $\mathcal{B}(B \rightarrow K_s X)$.¹⁷ It is therefore safe to say that $\mathcal{B}(\overline{B} \rightarrow X_{sg})$ could be as large as 15% based on the current K_s bounds. It will be interesting to see what CLEO's high momentum K_s yields will be with the newly installed vertex detector in use for continuum subtraction.

Unfortunately, the standard model background at large kaon momenta due to $b \rightarrow s\bar{q}q$ penguin operator decays is subject to large theoretical uncertainty. Factorization model estimates¹⁸ [56] of high momentum kaon production from penguin operator decays, where the kaons are formed from the primary quarks in the decay, give a direct $B \rightarrow K_s X$ branching ratio of about 10^{-4} , with K_s momenta above 2.1 GeV; K_s production from $B \rightarrow K^* X$ decays is about three times larger, with a lower momentum distribution peaked near 2.1 GeV.¹⁹ Since

¹⁷For example, the sum of the first two CLEO upper limits in Table 6 is 30% larger than the third which could be a reflection of this.

¹⁸We would like to thank A. Dhatta for informing us of the results of Ref. [56].

¹⁹Most kaons produced via penguin operator decays will be soft as in the case of $b \rightarrow sg$

Table 6: CLEO branching fraction upper limits [90% c.l.] on $B \rightarrow K_s X$ ($\times 10^4$) at large kaon momenta, and corresponding lowest order Monte Carlo predictions for $\mathcal{B}(\overline{B} \rightarrow X_{sg}) = 10\%$ and 15% with $p_F = 250 \text{ MeV}$.

$p_{K_s} [\text{GeV}]$	CLEO UL	$\mathcal{B}(\overline{B} \rightarrow X_{sg}) = 10\%$	$\mathcal{B}(\overline{B} \rightarrow X_{sg}) = 15\%$
2.11-2.42	7.8	5.7	8.5
2.42-2.84	2.1	.5	.7
2.11-2.84	7.5	6.2	9.2

the factorization model estimates can not be trusted to better than a factor of two and since they are already of same order as the CLEO bounds it is not very useful to limit the search for enhanced $b \rightarrow sg$ to kaon momenta greater than 2.1 GeV. Furthermore, if $b \rightarrow sg$ is enhanced, gluon splitting into light quark pairs at $\mathcal{O}(\alpha_s)$ leads to new contributions to kaon production which interfere with penguin operator contributions [19]. Interference in the factorization model can be substantial and destructive or constructive depending on the phase of the chromomagnetic dipole operator coefficient, introducing additional theoretical uncertainty at large kaon momenta. It is for this reason that we did not include parton showers in the $b \rightarrow sg$ Monte Carlo. Finally, there can be interference in various exclusive channels between high momentum kaon production from effective four quark operators (penguin operators, or gluon splitting in enhanced $b \rightarrow sg$ decays) and high momentum kaon production from fragmentation of enhanced $b \rightarrow sg$ at order zero in α_s .

The above theoretical uncertainties all involve contributions to the $B \rightarrow K_s X$ branching ratio at the 10^{-4} level. Searches for enhanced $b \rightarrow sg$ at large kaon momenta would therefore have to include a wider range of momenta corresponding to branching ratios at the 10^{-3} level. For example, according to Fig. 1b, for $p_{K_s} \geq 1.8 \text{ GeV}$ as $\mathcal{B}(\overline{B} \rightarrow X_{sg})$ varies from 10% to 15% the corresponding Monte Carlo contribution to $\mathcal{B}(\overline{B} \rightarrow K_s X)$ varies from 1.9×10^{-3} to 2.9×10^{-3} for $p_F = 250 \text{ MeV}$. The Monte Carlo background from $b \rightarrow c$ decays is about 2.4×10^{-3} so that the signal to background ratio would be roughly 1 to 1.²⁰ Sufficiently precise knowledge of the dominant background contributions becomes a purely experimental issue rather than an intractable theoretical problem. A

decays due to energy degradation in the fragmentation process, leading to $B \rightarrow KX$ branching ratios at the 1% level.

²⁰Although the Monte Carlo background does not yet include $\overline{B} \rightarrow D\overline{D}X$ decays, these will not substantially alter the signal to background ratio.

critical analysis combining existing measurements of inclusive D/D_s momentum spectra in B decays [57], inclusive kaon momentum spectra in D/D_s decays [58], and relevant B decay Monte Carlo tunings can be used to determine how much uncertainty currently exists. Of course, the uncertainty should be substantially reduced by future measurements at CLEO, BaBar, BELLE, and BES. Finally, we note that although the above discussion considered K_s production, essentially the same conclusions apply to charged kaon production.

5 Discussion

Updated measurements of the average B decay charm multiplicity and $\mathcal{B}(\overline{B} \rightarrow X_{c\bar{c}s})$ at the $\Upsilon(4S)$ imply $\mathcal{B}(B \rightarrow X_{no\ charm}) \approx 12.4 \pm 3.4 \pm 4.4\%$. The uncertainty due to the D and D_s branching scales, i.e., $D^0 \rightarrow K^-\pi^+$, $D^+ \rightarrow K^-\pi^+\pi^-$, and $D_s \rightarrow \phi\pi$, has been separated out in the first error. Hopefully, it will be significantly reduced by the BES collaboration or a future tau-charm factory. The second error should be substantially reduced at the B factories. In the meantime, this result could be hinting at an $\mathcal{O}(10\%)$ $b \rightarrow sg$ branching ratio due to the intervention of new physics, albeit at the 2σ level.

Another hint for enhanced $b \rightarrow sg$ discussed in this paper comes from the $3\sigma - 3.5\sigma$ excesses in the inclusive $\overline{B} \rightarrow K^-$ and $\overline{B} \rightarrow K^+/K^-$ multiplicities beyond conventional sources: about $16.9 \pm 5.6\%$ for K^- and $18 \pm 5.3\%$ for K^+/K^- . Only taking the experimentally determined kaon yields from conventional sources into account leaves 4.4σ and 5.6σ excesses, respectively. The largest unmeasured standard model contributions to kaon production, from $s\bar{s}$ popping in $\overline{B} \rightarrow X_{c\bar{u}d}$ decays, have been estimated using JETSET 7.4, both with default and DELPHI tunings. In principle these can be pinned down experimentally via measurements of $\mathcal{B}(\overline{B} \rightarrow DK\overline{K}X)$, and improved measurements of $\mathcal{B}(\overline{B} \rightarrow D_s^+KX)$. We have also added generous estimates for kaon yields from intermediate charmed baryon and charmonium decays.

Two observations strongly suggest that the kaon excess is not due to unmeasured conventional sources. First, the estimated central value of their contribution to the K^- yield is about one third of the final K^- excess. Second, about 90% of the uncertainty in the K^- and K^+/K^- excesses originates in the inclusive $B \rightarrow KX$ measurements and in the experimentally determined $B \rightarrow D, D_s \rightarrow K$ yields. Ultimately, these two sources of uncertainty will be substantially reduced at the B factories, in combination with improved knowledge of the D and D_s branching scales. To date the ARGUS collaboration has presented the most precise measurements [14] of $\mathcal{B}(\overline{B} \rightarrow K^-X)$, $\mathcal{B}(\overline{B} \rightarrow K^+X)$ and $\mathcal{B}(\overline{B} \rightarrow K^0/\overline{K}^0X)$. It is therefore imperative that the CLEO collaboration update their 1986 mea-

surements of these branching ratios [15], which are considerably less precise.

We have analyzed kaon production from fragmentation in $\overline{B} \rightarrow X_{sg}$ decays using JETSET 7.4 with DELPHI tuning. Our conclusion is that if $\mathcal{B}(\overline{B} \rightarrow X_{sg}) \sim 15\%$ the additional kaon yield would reduce the K^- and K^+/K^- excesses to 1σ while maintaining 1σ agreement with the total neutral kaon multiplicity. Furthermore, the associated K_s momentum spectrum would be consistent with recent CLEO upper limits [35] on K_s production in the end point region, i.e., $p_{K_s} \geq 2.1 \text{ GeV}$. Similarly, the corresponding ϕ momentum spectrum would be consistent [19] with CLEO upper limits on ϕ production in the end point region [18].

Although JETSET 7.4 with DELPHI tuning does quite a reasonable job of reproducing the observed kaon spectrum in hadronic Z decays, more detailed data is needed at high kaon momenta, i.e., $p_K/p_{beam} > .8$. Obviously, for the purposes of studying $b \rightarrow sg$ it would also be useful to further tune JETSET using continuum kaon and ϕ production at the $\Upsilon(4S)$. It is worth noting in this regard that the BaBar and BELLE vertex detectors should be able to cleanly separate out kaons produced from the continuum charm component, leading to improved knowledge of kaon production from the strange component.

Kaons produced via $\mathcal{O}(10\%)$ $b \rightarrow sg$ branching ratios would account for about 10% of the total kaon yield in B decays. Nevertheless, we have seen that their detection poses a formidable challenge because their momenta would populate the same region as kaons produced from intermediate charmed hadron decays, see Fig. 1. In particular, the ratio of signal to standard model background would be about 1 part in 5 to 10 for typical momenta, e.g., $p_{K_s} < 1 \text{ GeV}$ in the $\Upsilon(4S)$ rest frame. Excellent vertex detection will obviously be required in order to resolve the presence of charm decay vertices in background $B \rightarrow K$ decays with anything approaching the efficiency required at low kaon momenta. The B and \overline{B} decay vertices need to be well separated, which of course will be the case at BaBar and BELLE. It should be possible to further discriminate between signal and background by taking advantage of the back-to-back jet-like geometry of $b \rightarrow sg$ decays versus the more spherical geometry of $b \rightarrow c$ decays.

Finally, we have argued that searches for kaons in the end point momentum region where the $b \rightarrow c$ background tends to zero, e.g., $p_{K_s} > 2.1 \text{ GeV}$ in the $\Upsilon(4S)$ rest frame, can not place very meaningful constraints on enhanced $b \rightarrow sg$ because of large theoretical uncertainty in the standard model background from $b \rightarrow s$ penguin operator decays, and the possibility of substantial destructive interference between the two contributions at large kaon momenta. Similar conclusions apply to ϕ production from enhanced $b \rightarrow sg$ [19]. These searches essentially probe $B \rightarrow KX$ branching ratios of order 10^{-4} . Instead, we propose that searches which attempt to take advantage of lower standard model backgrounds should include

lower kaon momenta, e.g., $p_{K_s} \gtrsim 1.8 \text{ GeV}$. In this case one is probing $B \rightarrow KX$ branching ratios of order 10^{-3} . For $\mathcal{B}(\overline{B} \rightarrow X_{sg}) \sim 10\%$ to 15% the signal to background ratio would be about 1 to 1, as in searches restricted to larger kaon momenta, but the standard model background would be dominated by $b \rightarrow c$ decays. The advantage is that the latter can be pinned down experimentally, unlike the background from penguin operator decays. A dedicated effort should be undertaken in this regard to measure the inclusive $B \rightarrow D/D_s$ and $D/D_s \rightarrow K$ momentum spectra as accurately as possible. In addition, vertex detectors would not have to be nearly as efficient as at lower more typical kaon momenta in order to achieve useful reductions in the $b \rightarrow c$ background.

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