# Properties of the Longitudinal Equilibrium Distribution in a Storage Ring\*

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### Abstract

General properties of the longitudinal equilibrium distribution of a simple model storage ring are discussed using a mapping algorithm for means and correlations of a Gaussian distribution function. The maps for synchrotron oscillations, stochastic excitation, radiation damping and a general localized perturbation are calculated analytically. The fixed point of the concatenated maps is used to characterize the equilibrium distribution.

#### INTRODUCTION

In Ref. [1] a method to investigate the behavior of bunched beams under the influence of localized constant wake forces was introduced. In Ref. [2] this method was extended to resonator type wake forces. In this report we will extend the analysis to the most arbitrary form of a localized interaction.

The single particle map for a localized interaction, in which the longitudinal position does not change, is then given by

$$x'_1 = x_1$$
,  
 $x'_2 = x_2 + f(x_1, x_2)$ . (1)

Here we introduce the scaled variables  $x_1 = \omega_s \tau / \alpha$  and  $x_2 = (E - E_0)/E_0$  where  $\omega_s$  is the synchrotron frequency,  $\alpha$  the momentum compaction factor,  $E_0$  the energy of the reference particle, and  $c\tau$  the distance between an electron and the reference electron. Clearly the longitudinal coordinate  $x_1$  does not change.

The next element in our model storage ring describes radiation and damping

$$\begin{aligned} x_1'' &= x_1' , \\ x_2'' &= \xi x_2' + \sqrt{(1 - \xi^2)} \sigma_0 \hat{P} , \end{aligned}$$
(2)

with  $\xi = e^{-T_0/\tau_E}$ , where  $T_0/\tau_E$  is the ratio of the revolution time and the damping time,  $\sigma_0$  is the natural energy spread, and  $\hat{P}$  is a Gaussian white noise defined by  $\langle \hat{P} \rangle = 0$ ,  $\langle \hat{P}^2 \rangle = 1$ .

The last element describes synchrotron oscillations and is given by

$$\begin{pmatrix} \boldsymbol{x}_{1}^{\prime\prime\prime} \\ \boldsymbol{x}_{2}^{\prime\prime\prime} \end{pmatrix} = \begin{pmatrix} \cos\varphi & \sin\varphi \\ -\sin\varphi & \cos\varphi \end{pmatrix} \begin{pmatrix} \boldsymbol{x}_{1}^{\prime\prime} \\ \boldsymbol{x}_{2}^{\prime\prime} \end{pmatrix} , \qquad (3)$$

where  $\varphi$  is related to the synchrotron tune  $\nu_s$  by  $\varphi = 2\pi\nu_s$ .

The model storage ring is then defined by the succession of mappings

$$\boldsymbol{x_1, x_2} \xrightarrow{\boldsymbol{Map \ 1}} \boldsymbol{x_1', x_2'} \xrightarrow{\boldsymbol{Rad.}} \boldsymbol{x_1'', x_2''} \xrightarrow{\boldsymbol{Osc.}} \boldsymbol{x_1''', x_2'''} . \quad (4)$$

For this model we will construct the corresponding mappings for the statistical quantities bunch center  $X_1$ , average energy  $X_2$ , squared bunch length  $\sigma_{11}$ , squared energy spread  $\sigma_{22}$ , and correlation  $\sigma_{12}$  defined by

$$X_{i} = \langle x_{i} \rangle ,$$

$$\sigma_{ij} = \langle (x_{i} - X_{i})(x_{j} - X_{j}) \rangle .$$
(5)

The acute brackets denote averages with respect to the electron distribution function  $\psi(x_1, x_2)$ . Here we will use a normalized Gaussian

$$\psi(x_1, x_2) = \frac{1}{2\pi\sqrt{\det\sigma}} \times \exp\left(-\frac{1}{2}\sum_{i,j=1}^{2} (\sigma^{-1})_{ij}(x_i - X_i)(x_j - X_j)\right).$$
<sup>(6)</sup>

In the next section we will first construct the mappings for the statistical quantities  $X_i$  and  $\sigma_{ij}$ . In the following section the full one-turn map defined by Eq. (4) is calculated, and its period-1 fixed point which describes the equilibrium configuration is determined. A discussion of the equilibrium concludes this paper.

#### MAPPINGS FOR THE STATISTICAL QUANTITIES

The maps for the statistical quantities for the radiation and oscillation part are taken from Ref. [1]. We only quote the results here. First, for the radiation part we have

$$X_{1}'' = X_{1}' , \quad X_{2}'' = \xi X_{2}';$$
  

$$\sigma_{11}'' = \sigma_{11}' , \quad \sigma_{12}'' = \xi \sigma_{12}'; \quad (7)$$
  

$$\sigma_{22}'' = \xi^{2} \sigma_{22}' + (1 - \xi^{2}) \sigma_{0}^{2};$$

then for the oscillator part

$$\begin{pmatrix} X_1^{\prime\prime\prime} \\ X_2^{\prime\prime\prime} \end{pmatrix} = U \begin{pmatrix} X_1^{\prime\prime} \\ X_2^{\prime\prime} \end{pmatrix},$$

$$\begin{pmatrix} \sigma_{11}^{\prime\prime\prime} \sigma_{12}^{\prime\prime\prime} \\ \sigma_{21}^{\prime\prime\prime} \sigma_{22}^{\prime\prime\prime} \end{pmatrix} = U \begin{pmatrix} \sigma_{11}^{\prime\prime} \sigma_{12}^{\prime\prime} \\ \sigma_{21}^{\prime\prime} \sigma_{22}^{\prime\prime\prime} \end{pmatrix} U^T ,$$
(8)

where U is the matrix appearing in the single particle map for the oscillation part, Eq. (3). The calculation for the map through the interaction f is rather tedious and

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the details are reported in Ref. [2]. Here we only quote the results

$$X_{1}^{\prime} = X_{1} ,$$

$$X_{2}^{\prime} = X_{2} + R_{1}(X, \sigma) ,$$

$$\sigma_{11}^{\prime} = \sigma_{11} ,$$

$$\sigma_{12}^{\prime} = (1 + R_{22}(X, \sigma))\sigma_{12} + R_{21}(X, \sigma)\sigma_{11} ,$$

$$\sigma_{22}^{\prime} = (1 + 2R_{22}(X, \sigma))\sigma_{22} + 2R_{21}(X, \sigma)\sigma_{12} + R_{3}(X, \sigma) .$$
(9)

The functions R are given in terms of integrals over the interaction function f. They still depend on the quantities  $(X, \sigma)$  before the interaction and are given by

$$R_{1}(X,\sigma) = \frac{1}{\pi} \int_{-\infty}^{+\infty} dt_{1} \int_{-\infty}^{+\infty} dt_{2} \ e^{-t_{1}^{2}-t_{2}^{2}} \ f(y_{1},y_{2}) \ ,$$
$$R_{21}(X,\sigma) = \frac{1}{\pi} \int_{-\infty}^{+\infty} dt_{1} \int_{-\infty}^{+\infty} dt_{2} \ e^{-t_{1}^{2}-t_{2}^{2}} \ \frac{\partial f}{\partial y_{1}}(y_{1},y_{2}) \ ,$$

$$R_{22}(X,\sigma) = \frac{1}{\pi} \int_{-\infty}^{+\infty} dt_1 \int_{-\infty}^{+\infty} dt_2 \ e^{-t_1^2 - t_2^2} \ \frac{\partial f}{\partial y_2}(y_1, y_2) \ , \tag{10}$$

$$R_{3}(X,\sigma) = \frac{1}{\pi} \int_{-\infty}^{+\infty} dt_{1} \int_{-\infty}^{+\infty} dt_{2} \ e^{-t_{1}^{2}-t_{2}^{2}} \ f^{2}(y_{1},y_{2})$$
$$- R_{1}^{2}(X,\sigma) \ .$$

The argument  $y_1$  and  $y_2$  of the functions  $f(y_1, y_2)$  are related to  $(X, \sigma)$  by

$$y_{1} = X_{1} + u_{11}\sqrt{2\lambda_{1}}t_{1} + u_{21}\sqrt{2\lambda_{2}}t_{2} ,$$

$$y_{2} = X_{2} + u_{12}\sqrt{2\lambda_{1}}t_{1} + u_{22}\sqrt{2\lambda_{2}}t_{2} ,$$
(11)

where  $\lambda_i$  and  $(u_i)_j$  are the eigenvalues and eigenvectors of  $\sigma_{ij}$ . They are calculated in Ref. [2].

$$\tilde{X} = \frac{\sigma_{22} - \sigma_{11}}{2\sigma_{12}} , \quad \tilde{Y} = \sqrt{1 + \tilde{X}^2} ;$$

$$y_1 = \tilde{X} + \tilde{Y} , \quad y_2 = \tilde{X} - \tilde{Y} ;$$

$$\lambda_{1,2} = \frac{\sigma_{11} + \sigma_{22}}{2} \pm \sigma_{12} \tilde{Y} ;$$

$$u_{11} = \frac{1}{\sqrt{1 + y_1^2}} , \quad u_{12} = \frac{y_1}{\sqrt{1 + y_1^2}} ;$$

$$u_{21} = \frac{1}{\sqrt{1 + y_2^2}} , \quad u_{22} = \frac{y_2}{\sqrt{1 + y_2^2}} .$$
(12)

The interpretation of the functions  $R_i$  in Eq. (9) is straightforward.  $R_1$  is a generalized loss factor, because it describes the energy exchange (it changes the energy-like variable  $x_2$ ) in the interaction f.  $R_{22}$  describes the damping (or anti-damping) effect of the interaction f, because negative (positive)  $R_{22}$  decreases (increases) the magnitude of  $\sigma_{12}$  and  $\sigma_{22}$  in Eq. (9). Note from the third line of Eq. (10) that  $R_{22}$  is proportional to the derivative of f with respect to the energy-like variable  $x_2$ . Therefore damping or anti-damping can only come from an energy dependence of the interaction f. From Eq. (9) we see that  $R_{21}$  drives the cross term  $\sigma_{12}$  and is responsible for correlations between energy and position inside the bunch. Note that  $R_{21}$  is proportional to the derivative of f with respect to the position-like variable  $x_1$ . Therefore an interaction f that distinguishes different parts inside a bunch can produce a correlation between position and energy inside the bunch. Finally,  $R_3$  is a noise term. It can be shown that it is positive definite and increases the energy spread  $\sigma_{22}$ ; i.e., it introduces noise.

### THE CONCATENATED MAPPING AND ITS FIXED POINT

In order to calculate the one-turn map for the model storage ring defined by Eq. (4) we will follow Ref. [1] and use det  $\sigma$ , Tr  $\sigma$ , and  $\sigma_{11}$  as the mapped quantities. This "trick" facilitates the algebra, because det  $\sigma$  and Tr  $\sigma$  are invariant under oscillations and the other maps leave  $\sigma_{11}$ invariant. After a considerable amount of algebra we obtain for the one-turn map

$$\begin{aligned} X_{1}^{\prime\prime\prime} &= X_{1} \cos \varphi + \xi \left( X_{2} + R_{1}(X, \sigma) \right) \sin \varphi , \\ X_{2}^{\prime\prime\prime} &= -X_{1} \sin \varphi + \xi \left( X_{2} + R_{1}(X, \sigma) \right) \cos \varphi , \\ \sigma_{11}^{\prime\prime\prime} &= \sigma_{11} \cos^{2} \varphi \\ &+ 2\xi [(1 + R_{22})\sigma_{12} + R_{21}\sigma_{11}] \sin \varphi \cos \varphi \\ &+ [\xi^{2}(1 + 2R_{22})\sigma_{22} + 2\xi^{2}R_{21}\sigma_{12} + \xi^{2}R_{3} \\ &+ (1 - \xi^{2})\sigma_{0}^{2}] \sin^{2} \varphi , \end{aligned}$$
(13)

$$\det \sigma''' = \xi^2 \left[ (1 + 2R_{22}) \det \sigma + R_3 \sigma_{11} - (R_{21}\sigma_{11} + R_{22}\sigma_{12})^2 \right] + (1 - \xi^2) \sigma_0^2 \sigma_{11} ,$$
  
$$\operatorname{Tr} \sigma''' = \operatorname{Tr} \sigma - (1 - \xi^2 - 2\xi^2 R_{22}) (\sigma_{22} - \sigma_0^2) + 2\xi^2 R_{22} \sigma_0^2 + 2\xi^2 R_{21} \sigma_{12} + \xi^2 R_3 .$$

These maps can now be used to investigate the time dependence of the model storage ring as was done in Ref. [2] for a resonator type wake. Here we will investigate the equilibrium configuration. To this end we will calculate the period-1 fixed point of the maps given by Eq. (13). Equating the primed and the triple primed quantities we get the following implicit set of equations for the equilibrium values  $X_i^{\infty}$  and  $\sigma_{ij}^{\infty}$ , and obtain

$$\begin{aligned} X_{1}^{\infty} &= \frac{\xi}{1+\xi} R_{1} \cot \frac{\varphi}{2} , \\ X_{2}^{\infty} &= -\frac{\xi}{1+\xi} R_{1} , \\ \sigma_{11}^{\infty} &= \sigma_{22}^{\infty} - 2\sigma_{12}^{\infty} \cot \varphi , \\ \sigma_{12}^{\infty} &= -\frac{\xi R_{21}}{1+\xi(1+R_{22})} \sigma_{11}^{\infty} , \\ \sigma_{22}^{\infty} &= \sigma_{0}^{2} + \xi^{2} \frac{R_{3} + 2R_{22}\sigma_{0}^{2} + 2R_{21}\sigma_{12}^{\infty}}{1-\xi^{2}(1+2R_{22})} . \end{aligned}$$
(14)

In the following section we will discuss some of the interesting features of Eq. (14).

#### DISCUSSION

The equilibrium energy  $X_2^{\infty}$  in the presence of the interaction is changed proportional to the generalized loss factor  $R_1$  and the position of the bunch center  $X_1^{\infty}$  is shifted accordingly. Note that  $X_1^{\infty}$  and  $X_2^{\infty}$  implicitly depend on the equilibrium values  $X_i^{\infty}$  and  $\sigma_{ij}^{\infty}$  through  $R_1 = R_1(X_i^{\infty}, \sigma_{ij}^{\infty})$ . The dependence of the loss factor  $R_1$ on the bunch sizes is therefore taken into account in a selfconsistent way.

Furthermore note that the dependence of the equilibrium correlation  $\sigma_{12}^{\infty}$  is proportional to  $R_{21}$ . Eq. (10) shows that  $R_{21}$  is proportional to the derivative of the interaction f to the position-like variable  $x_1$ . Consequently, for an interaction f that affects all particles inside the bunch in the same way cannot introduce a correlation. Moreover, if  $\sigma_{12}^{\infty}$  is zero we obtain from the third of Eqs. 14 that the bunch length  $\sigma_{11}^{\infty}$  is proportional to the energy spread  $\sigma_{22}^{\infty}$ . Another way to state this is: if the interaction f only depends on the energy  $x_2$ , the correlation  $\sigma_{12}^{\infty}$  vanishes and the bunch length is proportional to the energy spread.

An example for an interaction that treats all particles in a bunch in the same way is an amplifier Free Electron Laser (FEL), where a continuous external laser is passed over the transversely undulating electrons. Therefore the amplifier FEL does not produce a correlation between energy and position in the bunch.

On the other hand, the light in an oscillator FEL acquires a pulsed structure due to a mode-locking mechanism produced by the FEL process itself. The light pulses are typically much shorter than the bunch length and therefore affect only part of the electrons. Consequently a correlation between energy and position is generated.

A further example is the wake interaction in which the leading particles in a bunch affect the trailing. Obviously, this will then introduce a correlation  $\sigma_{12}^{\infty}$  in the bunch.

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#### References

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