

# Z-Mediated Flavor Changing Neutral Currents and their Implications for CP Asymmetries in $B^0$ Decays\*

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## ABSTRACT

In models where the quark sector is extended in a non-sequential way, there are Z-mediated flavor changing neutral currents at tree level. These may dominate mixing in neutral  $B$  systems. Unitarity of the three generation CKM matrix is violated, and new phases take part in quark mixing. All these effects modify significantly the predictions for CP asymmetries in  $B^0$  decays. The various aspects of new physics can be probed separately by testing specific relations among these CP asymmetries.

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# 1. Introduction

$CP$  asymmetries in  $B^0$  decays [1] constitute a very useful probe into physics beyond the standard model (SM). They test those aspects of the quark sector which are most likely to show signs of new physics:  $CP$  violation, neutral meson mixing and unitarity of the Cabibbo - Kobayashi - Maskawa (CKM) matrix. Moreover, these  $CP$  asymmetries provide us with *separate* tests of the various ingredients of the SM [2, 3]. Consequently, inconsistencies with the SM will give precise information as to which of the SM ingredients have to be superceded, and what kind of new physics may be responsible for such inconsistencies.

In a previous work [2] we gave a general discussion of how the various assumptions of the SM can be tested separately. In the present work we analyze in detail a specific model within which relevant ingredients of the SM are modified. The purpose of this analysis is twofold: First, we explicitly show how substantial inconsistencies with the SM may arise. Second, we demonstrate how the various aspects of new physics may be separately discovered through appropriately chosen relations among  $CP$  asymmetries.

Within the SM,  $CP$  asymmetries in  $B^0$  decays measure the three angles of the unitarity triangle. In order to understand how these measurements may be modified with new physics, we looked for a model where unitarity of the three generation CKM matrix is violated. Models with a sequential fourth generation became less likely after the recent measurements of the invisible width of the  $Z$  boson. This led us to choose a model where the quark sector is extended in a non-sequential way. Specifically, we study a model with an  $SU(2)_L$ -singlet quark of charge  $-1/3$ . Such an extension of the quark sector arises in several widely-studied models, and in particular  $E_6$  GUTs and string-inspired models [4].

The model has several features which make it most interesting for the study of  $CP$  asymmetries in  $B^0$  decays. Sizable corrections to the unitarity of the  $3 \times 3$  CKM matrix are allowed by present experimental constraints. The “unitarity triangle” may turn into a “unitarity quadrangle”. The violation of unitarity of the

three generation CKM matrix induces flavor changing neutral currents (FCNC) which are mediated, at tree level, by the  $Z$  boson. This allows, in particular, a new mechanism in the mixing of  $B_d$  and  $B_s$  mesons [5]. There are three independent phases in the full (3  $\times$  4) mixing matrix and all of these may take part in this mechanism and consequently in the asymmetries. As we shall see, the new mixing mechanism is the most important new ingredient, and its implications for  $CP$  asymmetries in  $B^0$  decays could be rather dramatic.

The structure of this paper is as follows: In section 2 we present the model and explain why it implies FCNC at tree level. In section 3 we study the experimental constraints on  $Z$ -mediated FCNC. In section 4 we describe the implications of these FCNC on various aspects of physics which are relevant to  $CP$  asymmetries in  $B^0$  decays. We find how large the elements of the neutral current mixing matrix should be to make interesting modifications of the SM predictions. In section 5 we make further assumptions on the structure of the mixing matrix and find the range of parameters which is consistent with the constraints of section 3 and with the requirements of section 4. In section 6 we calculate the  $CP$  asymmetries in this model, and in section 7 we show how they differ from the SM predictions. We give our conclusions in section 8.

## 2. The Model

We study a model with an extended quark sector. In addition to the three standard generations of quarks, there is an  $SU(2)_L$ -singlet of charge  $-1/3$ . For our purposes, the important feature of this model is that it allows for  $Z$ -mediated FCNC. To understand how these FCNC arise, it is convenient to work in the basis where the up sector interaction eigenstates are identified with the mass eigenstates. The down sector interaction eigenstates are then related to the mass eigenstates by a 4  $\times$  4 unitary matrix which we denote by  $K$ .

The charged current interactions are described by

$$\begin{aligned}\mathcal{L}_{int}^W &= \frac{g}{\sqrt{2}}(W_\mu^- J^{\mu+} + W_\mu^+ J^{\mu-}), \\ J^{\mu-} &= V_{ij} \bar{u}_{iL} \gamma^\mu d_{jL}.\end{aligned}\tag{2.1}$$

The charged current mixing matrix  $V$  is a 3 x 4 sub-matrix of  $K$ :

$$V_{ij} = K_{ij} \text{ for } i = 1, \dots, 3; j = 1, \dots, 4.\tag{2.2}$$

Note that  $V$  is parametrized by six real angles and *three* phases, instead of three angles and one phase in the original CKM matrix. As we shall see, all three phases may take part in the determination of  $CP$  asymmetries in  $B^0$  decays .

The neutral current interactions are described by

$$\begin{aligned}\mathcal{L}_{int}^Z &= \frac{g}{\cos\theta_W} Z_\mu (J^{\mu 3} - \sin^2\theta_W J_{EM}^\mu), \\ J^{\mu 3} &= -\frac{1}{2} U_{pq} \bar{d}_{pL} \gamma^\mu d_{qL} + \frac{1}{2} \delta_{ij} \bar{u}_{iL} \gamma^\mu u_{jL}.\end{aligned}\tag{2.3}$$

The neutral current mixing matrix for the down sector is  $U = V^\dagger V$ . As  $V$  is not unitary,  $U \neq 1$ . In particular, its non-diagonal elements do not vanish:

$$U_{pq} = -K_{4p}^* K_{4q} \text{ for } p \neq q.\tag{2.4}$$

The three elements which are relevant for our study are

$$\begin{aligned}U_{ds} &= V_{ud}^* V_{us} + V_{cd}^* V_{cs} + V_{td}^* V_{ts}, \\ U_{db} &= V_{ud}^* V_{ub} + V_{cd}^* V_{cb} + V_{td}^* V_{tb}, \\ U_{sb} &= V_{us}^* V_{ub} + V_{cs}^* V_{cb} + V_{ts}^* V_{tb}.\end{aligned}\tag{2.5}$$

The fact that, unlike the SM, the various  $U_{pq}$  do not necessarily vanish, allows FCNC at tree level. As we shall see, this may substantially modify the analysis of  $CP$  asymmetries.

### 3. Experimental Constraints on the $U_{pq}$ Elements

There are several experimental results which give useful constraints [5, 6] on the flavor changing couplings of the  $Z$  boson ( $U_{pq}$ ):

- (i)  $\Delta M_K$ , the mass difference between the neutral kaons.
- (ii)  $\epsilon$ , the  $CP$  violating parameter in the  $K$  system.
- (iii)  $BR(K_L \rightarrow \mu^+ \mu^-)$ .
- (iv)  $BR(B \rightarrow \ell^+ \ell^- X)$ .
- (v)  $x_d$ , the mixing parameter in the  $B$  system.

In this section, we calculate the bounds from these measurements. Our assumption is that there are no large cancellations among various contributions. Thus, rather than attempting to subtract other known contributions [such as long distance contributions to  $\Delta M_K$  or  $BR(K_L \rightarrow \mu^+ \mu^-)$ ], we only require that the contribution from the  $Z$ -mediated FCNC is not larger than the experimental value (where we allow a one sigma error). For each measurement we quote values of relevant parameters which are either poorly determined or only recently measured. The values that we use for all other parameters are as given in ref. [7].

#### 3.1. $K - \bar{K}$ MIXING

The contribution from the  $Z$ -mediated tree-level diagram to the mass difference between the neutral kaons is

$$(\Delta M_K)_Z = \frac{\sqrt{2} G_F B_K f_K^2 M_K \eta_1}{6} |\text{Re}[(U_{ds})^2]| \quad (3.1)$$

The uncertainty in the vacuum insertion approximation is given by

$$\frac{1}{3} \leq B_K \leq 1. \quad (3.2)$$

Requiring  $(\Delta M_K)_Z \leq \Delta M_K$ , we get:

$$|\text{Re}[(U_{ds})^2]| \leq 4.1 \times 10^{-7}. \quad (3.3)$$

### 3.2. THE $\epsilon$ PARAMETER

The contribution of the  $Z$  mediated tree level diagram to  $|\epsilon|$  is

$$|\epsilon|_Z = \frac{G_F B_K f_K^2 M_K \eta_1}{12 \Delta M_K} |\text{Im}[(U_{ds})^2]|. \quad (3.4)$$

The only uncertain parameter here is  $B_K$ , given in eq. (3.2). Requiring  $|\epsilon|_Z \leq |\epsilon|$ , we get:

$$|\text{Im}[(U_{ds})^2]| \leq 2.6 \times 10^{-9}. \quad (3.5)$$

### 3.3. $K_L \rightarrow \mu^+ \mu^-$

The contribution of the  $Z$ -mediated tree-level diagram to the branching ratio of this mode, normalized to that of the  $W$ -mediated  $K^+ \rightarrow \mu^+ \nu$  decay, is given by:

$$\frac{BR(K_L \rightarrow \mu^+ \mu^-)_Z}{BR(K^+ \rightarrow \mu^+ \nu)} = 2 \left[ \frac{\tau(K_L)}{\tau(K^+)} \right] \left[ \left( \frac{1}{2} - \sin^2 \theta_W \right)^2 + (\sin^2 \theta_W)^2 \right] \left[ \frac{(\text{Re } U_{ds})^2}{|V_{us}|^2} \right]. \quad (3.6)$$

We use [8]:

$$BR(K_L \rightarrow \mu^+ \mu^-) = (7.3 \pm 0.7) \times 10^{-9}. \quad (3.7)$$

Requiring  $BR(K_L \rightarrow \mu^+ \mu^-)_Z \leq BR(K_L \rightarrow \mu^+ \mu^-)$ , we get:

$$|\text{Re } U_{ds}| \leq 2.4 \times 10^{-5}. \quad (3.8)$$

### 3.4. $B \rightarrow \ell^+ \ell^- X$

The contribution of the Z-mediated tree-level diagrams to the branching ratio of this mode, normalized to that of the W-mediated semi-leptonic decay, is given by:

$$\frac{BR(B \rightarrow \ell^+ \ell^- X)_Z}{BR(B \rightarrow \ell \nu X)} = \left[ \left( \frac{1}{2} - \sin^2 \theta_W \right)^2 + (\sin^2 \theta_W)^2 \right] \left[ \frac{|U_{db}|^2 + |U_{sb}|^2}{|V_{ub}|^2 + F_{ps}|V_{cb}|^2} \right], \quad (3.9)$$

where  $F_{ps} \approx 0.5$  is a phase-space factor. Both  $b \rightarrow s$  and  $b \rightarrow d$  transitions contribute to the Z-mediated decay. Experimentally [9]

$$BR(B \rightarrow \ell^+ \ell^- X)_{\ell=e,\mu} \leq 2.4 \times 10^{-3}. \quad (3.10)$$

Requiring that the Z contribution will not exceed this upper bound gives:

$$\begin{aligned} |U_{db}/V_{cb}| &\leq 0.2, \\ |U_{sb}/V_{cb}| &\leq 0.2. \end{aligned} \quad (3.11)$$

### 3.5. $B - \bar{B}$ MIXING

The contribution from the Z-mediated tree-level diagram to the mixing between the neutral B mesons is:

$$(x_d)_Z = \frac{\sqrt{2} G_F B_B f_B^2 M_B \eta \tau_b}{6} |U_{db}|^2. \quad (3.12)$$

There are three parameters with large uncertainties:

$$\begin{aligned} x_d &= 0.66 \pm 0.11, \\ \tau_b |V_{cb}|^2 &= (3.5 \pm 0.6) \times 10^9 \text{ GeV}^{-1}, \\ \sqrt{B_B} f_B &= 0.15 \pm 0.05 \text{ GeV}. \end{aligned} \quad (3.13)$$

Requiring  $(x_d)_Z \leq x_d$ , we get:

$$|U_{db}/V_{cb}| \leq 0.047. \quad (3.14)$$

To summarize, measurements of FCNC give the following bounds on the non-

diagonal  $Z$  couplings:

$$|\operatorname{Re} U_{ds}| \leq 2.4 \times 10^{-5}; \quad |\operatorname{Im} U_{ds}| \leq \min\{6.4 \times 10^{-4}, 1.3 \times 10^{-9}/|\operatorname{Re} U_{ds}|\}, \quad (3.15)$$

where we combined the limits in eqs. (3.3), (3.5) and (3.8), and

$$|U_{db}/V_{cb}| \leq 0.047; \quad |U_{sb}/V_{cb}| \leq 0.2, \quad (3.16)$$

where we used the limits in eqs. (3.11) and (3.14).

## 4. Implications of $Z$ -mediated FCNC

If the  $U_{pq}$  elements are not negligibly small, they will affect many aspects of physics related to  $CP$  asymmetries in  $B$  decays:

- (i) Contributions to neutral meson mixing from tree level diagrams.
- (ii) Violation of the unitarity of the  $3 \times 3$  CKM matrix.
- (iii) Contributions to  $B$  decays from  $Z$  mediated diagrams.
- (iv) Contributions to the width difference  $\Gamma_{12}$  between the neutral  $B$  mesons from  $Z$ -mediated decays.

We study the four subjects in turn. The first two effects may give interesting deviations from the SM predictions for  $CP$  asymmetries. We will choose the mixing parameters to lie within the range in which such effects indeed occur. The last two effects may spoil the cleanliness of the theoretical interpretation of the experimental results. We will check whether our choice of parameters still allows a clean interpretation.

#### 4.1. MIXING OF NEUTRAL MESONS

The experimentally measured values of mixing in the  $K$  and  $B$  systems can be explained by SM processes. Still, the uncertainties in the theoretical calculations (such as in the values of  $B_K$ ,  $f_B$  or  $V_{td}$ ) allow a situation where SM processes do not give the dominant contributions to various mixing processes. Instead, it is possible that the dominant mechanism is Z-mediated FCNC. We will now find how large should the elements of the neutral current mixing matrix be in order that this would be the case.

If  $K - \bar{K}$  mixing is to be accounted for by Z-mediated tree level diagrams, then eqs. (3.1) and (3.2) give

$$|\text{Re}[(U_{ds})^2]| \geq 1.4 \times 10^{-7}. \quad (4.1)$$

If  $B_d - \bar{B}_d$  mixing is to be accounted for by Z-mediated tree level diagrams, then eqs. (3.12) and (3.13) give-

$$|U_{db}/V_{cb}| \geq 01017. \quad (4.2)$$

The various  $x_q$  ( $q = d, s$ ) also get contributions from SM box diagrams:

$$(x_q)_{\text{box}} = \frac{G_F^2 M_W^2 B_B f_B^2 M_B \eta \tau_b}{6\pi^2} [y_t f_2(y_t) |V_{tq}^* V_{tb}|^2 + |U_{qb}|^2], \quad (4.3)$$

where  $y_t \equiv (m_t^2/M_W^2)$  and the function  $f_2(y_t)$  can be found, for example, in ref. [7]. The condition that the tree-level diagram dominates is:

$$\frac{(x_q)_Z}{(x_q)_{\text{box}}} = \frac{\sqrt{2}\pi^2}{G_F M_W^2} \frac{1}{y_t f_2(y_t) |V_{tq}^* V_{tb}|^2} \frac{|U_{qb}|^2}{|V_{tq}^* V_{tb}|^2} \geq 1. \quad (4.4)$$

For  $m_t \sim 100 \text{ GeV}$ ,  $y_t f_2(y_t) \sim 1$ , in which case we find [10]:

$$|U_{qb}/(V_{tq}^* V_{tb})| \geq 0.08. \quad (4.5)$$

The model has interesting effects on  $CP$  asymmetries in  $B^0$  decays if the mecha-

nism for mixing in the  $B_q$  systems is Z-mediated FCNC. Thus, we require:

$$\begin{aligned}
|U_{db}/V_{cb}| &\geq 0.017, \\
|U_{db}/(V_{td}^*V_{tb})| &\geq 0.08, \\
|U_{sb}/(V_{ts}^*V_{tb})| &\geq 0.08.
\end{aligned}
\tag{4.6}$$

Whether  $K - \bar{K}$  mixing is affected by the new physics will follow from these and other assumptions, as will be explained in section 5.

#### 4.2. UNITARITY OF THE 3 X 3 CKM MATRIX

Within the SM, unitarity of the three generation CKM matrix gives:

$$\begin{aligned}
\mathcal{U}_{ds} &\equiv V_{ud}^*V_{us} + V_{cd}^*V_{cs} + V_{td}^*V_{ts} = 0, \\
\mathcal{U}_{db} &\equiv V_{ud}^*V_{ub} + V_{cd}^*V_{cb} + V_{td}^*V_{tb} = 0, \\
\mathcal{U}_{sb} &\equiv V_{us}^*V_{ub} + V_{cs}^*V_{cb} + V_{ts}^*V_{tb} = 0.
\end{aligned}
\tag{4.7}$$

Within the model under study, where the quark sector is extended to include an  $SU(2)$  singlet of charge  $-1/3$ , eq. (2.5) shows that these constraints are replaced by:

$$\mathcal{U}_{ds} = U_{ds}; \quad \mathcal{U}_{db} = U_{db}; \quad \mathcal{U}_{sb} = U_{sb}.
\tag{4.8}$$

One can gain a quantitative understanding of how badly the SM relations of eq. (4.7) are violated by comparing the  $U_{pq}$ 's to the largest terms in the respective sums:

$$\begin{aligned}
|U_{ds}|/|V_{ud}^*V_{us}| &\leq 1.4 \times 10^{-4}, \\
|U_{db}|/|V_{cd}^*V_{cb}| &\leq 0.22, \\
|U_{sb}|/|V_{cs}^*V_{cb}| &\leq 0.2.
\end{aligned}
\tag{4.9}$$

These bounds follow from the experimental bounds given in section 3. The first of the SM relations is practically maintained. The other two may be violated quite significantly. The unitarity triangle of the SM, representing the  $\mathcal{U}_{db} = 0$

constraint, should be replaced by a unitarity quadrangle. The  $\mathcal{U}_{sb}$  constraint is now represented by a unitarity triangle [11]. A geometrical presentation of the new relations is given in fig. 1. It should be stressed that, at present, only the *magnitudes* of  $U_{db}$  and  $U_{sb}$  are experimentally constrained, but not their phases. Each of the angles  $\alpha'$ ,  $\beta'$  and  $\delta'$  could be anywhere in the range  $[0, 2\pi]$ .

### 4.3. Z-MEDIATED $B$ DECAYS

Our main interest is in hadronic  $B^0$  decays to  $CP$  eigenstates, where the quark sub-process is  $\bar{b} \rightarrow \bar{u}_i u_i \bar{d}_j$ , with  $u_i = u, c$  and  $d_j = d, s$ . These processes get additional contributions from Z-mediated FCNC. The ratio between the magnitudes of the Z-mediated amplitude and the W-mediated amplitude is:

$$[(1/2) - (2/3) \sin^2 \theta_W] \left| \frac{U_{jb}^*}{V_{ij} V_{ib}^*} \right| \approx \frac{1}{3} \left| \frac{U_{jb}^*}{V_{ij} V_{ib}^*} \right|. \quad (4.10)$$

To bound this ratio, we use the experimental constraints in eq. (3.16), the requirement that mixing of  $B_q$  mesons be dominated by Z-mediated FCNC in eq. (4.6), and the range  $0.06 \leq |V_{ub}/V_{cb}| \leq 0.16$ . We get:

$$0.04 \leq \frac{1}{3} \left| \frac{U_{db}^*}{V_{ud} V_{ub}^*} \right| \leq 0.26. \quad (4.11)$$

$$0.03 \leq \frac{1}{3} \left| \frac{U_{sb}^*}{V_{cs} V_{cb}^*} \right| \leq 0.07. \quad (4.12)$$

The lower bound in eq. (4.12) holds only if unitarity is not strongly violated, so that  $|V_{ts}| \approx |V_{cb}|$  and  $|V_{tb}| \approx 1$ . We note that the different color structure between the two amplitudes may further modify the ratio between their contributions, but we will assume that this does not give a significant effect. We conclude that the Z-mediated diagram never dominates the relevant  $B$  decays. It can be safely neglected for  $b \rightarrow s$  transitions (3-7% effect), but may be significant for  $b \rightarrow d$  (4-26%). We will assume that the  $Z$  contribution is on the lower side of these ranges, and thus can be ignored.

In ref. [3],  $CP$  asymmetries in  $B_q$  decays through  $\bar{b} \rightarrow \bar{s}s\bar{d}_j$  (with  $d_j = d, s$ ) were studied. These decays cannot proceed via charged current interactions. Within the SM the direct decay is dominated by penguin diagrams. In the model under study there are contributions from the Z-mediated FCNC. The ratio between the Z-mediated amplitude and the penguin amplitude can be estimated to be

$$\frac{[(1/2) - (1/3) \sin^2 \theta_W] |U_{jb}^*|}{(\alpha_s/12\pi) \ln(m_t^2/m_b^2) |V_{tj} V_{tb}^*|} \approx 30 \left| \frac{U_{jb}^*}{V_{tj} V_{tb}^*} \right|. \quad (4.13)$$

(To have a rough estimate of the penguin diagram we used  $\mathcal{U}_{sb} \sim 0$ ,  $m_t \sim 100 \text{ GeV}$  and  $\alpha_s \sim 0.1$ .) The bound in eq. (4.6) implies that if Z-mediated FCNC are to dominate neutral  $B$  mixing, then their contribution to the  $\bar{b} \rightarrow \bar{s}s\bar{d}_j$  processes is comparable to the penguin diagrams:  $CP$  asymmetries in these processes may arise from interference between the two direct decays and, therefore, the tests proposed in ref. [3] are not applicable in this framework.

#### 4.4. NEW CONTRIBUTIONS TO $\Gamma_{12}(B_q)$

The difference in width comes from decay modes which are common to  $B_q$  and  $\bar{B}_q$ . As discussed above, there are new contributions to such decay modes from Z-mediated FCNC. It is important to note, however, that while the contributions to the difference in mass,  $M_{12}$ , are from tree level diagrams, namely  $O(g^2)$ , those to the difference in width,  $\Gamma_{12}$ , are still of  $O(g^4)$ . Consequently, no significant enhancement of the SM value for  $\Gamma_{12}$  is expected, and the relation

$$\Gamma_{12}(B_q) \ll M_{12}(B_q) \quad (4.14)$$

is maintained.

In summary, the dominant mechanism for mixing in neutral  $B_q$  systems could be Z-mediated FCNC. The conditions for that are given in eq. (4.6). Mixing in the neutral  $K$  system can be dominated by Z-mediated FCNC if eq. (4.1) is satisfied. But the hadronic  $B$  decays of relevance are still dominated by SM

W-mediated **diagrams** and  $\Gamma_{12}(B_q) \ll M_{12}(B)$ , so that  $CP$  asymmetries can be cleanly interpreted.

## 5. Choosing the Mixing Angles

We find it convenient to use an explicit parametrization for the mixing matrices. We use the parametrization of ref. [12] (appropriately modified to the 3 x 4 case). Assuming that all mixing angles  $\theta_{ij}$  are small [13], we put  $\cos \theta_{ij} = 1$ . We use the following constraints from SM tree-level processes and from the unitarity of  $K$ :

$$\begin{aligned} s_{12} = 0.22; \quad \mathbf{s23} \approx 0.04; \quad s_{13} \approx 0.005; \\ \mathbf{s14} \leq 0.07; \quad \mathbf{s24} \leq 0.5. \end{aligned} \tag{5.1}$$

( $s_{ij} \equiv \sin \theta_{ij}$ .) We further assume that the unmeasured mixing angles fulfill the hierarchy  $s_{14} < s_{24} < s_{34}$ . More specifically, we assume that

$$\begin{aligned} q_{24} &\equiv s_{24}/(s_{23}s_{34}), \\ \mathbf{414} &\equiv s_{14}/(s_{12}s_{23}s_{34}), \end{aligned} \tag{5.2}$$

are both of  $O(1)$ . We remind the reader that a similar relation for the three generation mixing angles is experimentally verified [14]:

$$\mathbf{413} \equiv s_{13}/(s_{12}s_{23}) = 0.50 \pm 0.25. \tag{5.3}$$

Thus,  $V$  has the approximate form:

$$\mathbf{v} = \begin{pmatrix} 1 & s_{12} & s_{13}e^{-i\delta_{13}} & s_{14}e^{-i\delta_{14}} \\ -s_{12} & 1 & s_{23} & s_{24}e^{-i\delta_{24}} \\ s_{12}s_{23} - s_{13}e^{i\delta_{13}} & -s_{23} & 1 & \mathbf{s34} \end{pmatrix}. \tag{5.4}$$

We gave here only the leading term for each element. In our calculations, we use more exact expressions, e.g.  $V_{ts} = -s_{23} - s_{12}s_{13}e^{i\delta_{13}} - s_{34}s_{24}e^{i\delta_{24}}$ , when relevant.

Using

$$\begin{aligned}
K_{41} &= -s_{12}s_{23}s_{34} \left[ -q_{13}e^{i\delta_{13}} - q_{24}e^{i\delta_{24}} + q_{14}e^{i\delta_{14}} \right], \\
K_{42} &= s_{23}s_{34} \left[ 1 - q_{24}e^{i\delta_{24}} \right], \\
K_{43} &= -s_{34},
\end{aligned} \tag{5.5}$$

we find

$$\begin{aligned}
U_{ds} &= s_{12}s_{23}^2s_{34}^2 \left[ (1 - q_{13}e^{-i\delta_{13}} - q_{24}e^{-i\delta_{24}} + q_{14}e^{-i\delta_{14}})(1 - q_{24}e^{i\delta_{24}}) \right], \\
U_{db} &= -s_{12}s_{23}s_{34}^2 \left[ 1 - q_{13}e^{-i\delta_{13}} - q_{24}e^{-i\delta_{24}} + q_{14}e^{-i\delta_{14}} \right], \\
U_{sb} &= s_{23}s_{34}^2 \left[ 1 - q_{24}e^{-i\delta_{24}} \right].
\end{aligned} \tag{5.6}$$

All the experimental constraints in eqs. (3.15) and (3.16) as well as the requirements in eq. (4.6) can be fulfilled with the terms in square brackets in eq. (5.6) being of  $O(1)$  and

$$0.08 \lesssim s_{34}^2 \lesssim 0.2. \tag{5.7}$$

If we take  $s_{34}^2 \sim 0.15$ , the dominant mechanism for  $B_d$  mixing and for  $B_s$  mixing will be the  $Z$  mediated FCNC. On the other hand, we expect  $\text{Im } U_{ds}$  to be of the same order of magnitude as  $\text{Re } U_{ds}$ . Consequently, eq. (4.1) is not satisfied, so that  $\Delta M_K$  gets no significant contributions from the  $Z$ -mediated FCNC.

Eq. (5.6) implies that the phases in the mixing of  $B_d$  and  $B_s$  may depend on phases of the mixing matrix other than the single phase of the SM. This may give  $CP$  asymmetries which are very different from those predicted by the SM.

## 6. CP Asymmetries in $B$ Decays

Our study involves six classes of processes for which the direct decay is dominated by the  $W$ -mediated tree level diagram. The asymmetries, -given in Table I, are denoted by  $\text{Im } \lambda_{iq}$ . The sub-index  $i = 1, 2, 3$  denotes the quark sub-process. The sub-index  $q = d, s$  denotes the type of decaying meson,  $B$ . The list of hadronic final states gives examples only. Other states may be experimentally more favorable, and several such states may be summed over to improve statistics. We always quote the  $CP$  asymmetry for  $CP$  even states, regardless of the specific hadronic states listed.

TABLE I  
Classes of  $CP$  Asymmetries

Class ( $iq$ )	Quark sub-process	Decaying meson	Final state (example)
$1d$	$\bar{b} \rightarrow \bar{c}c\bar{s}$	$B_d$	$\psi K_S$
$2d$	$\bar{b} \rightarrow \bar{c}c\bar{d}$	$B_d$	$D^+ D^-$
$3d$	$\bar{b} \rightarrow \bar{u}u\bar{d}$	$B_d$	$\pi^+ \pi^-$
$1s$	$\bar{b} \rightarrow \bar{c}c\bar{s}$	$B_s$	$D_s^+ D_s^-$
$2s$	$\bar{b} \rightarrow \bar{c}c\bar{d}$	$B_s$	$\psi K_S$
$3s$	$\bar{b} \rightarrow \bar{u}u\bar{d}$	$B_s$	$\rho K_S$

As explained in section 4, the two following conditions are satisfied within the model of  $Z$ -mediated FCNC:

- (a.) For the neutral  $B$  system  $\Gamma_{12} \ll M_{12}$ .
- (b.) The direct decays are dominated by a single combination of CKM parameters. This means that the asymmetries arise dominantly from interference of amplitudes corresponding to two paths to the same final state, one of which involves  $B^0 - \bar{B}^0$  mixing.

Consequently, the decay rate of a time-evolved initially pure  $B_q^0(\bar{B}_q^0)$  into a  $CP$

eigenstate  $f$  is (see ref. [15] and references therein):

$$\begin{aligned}\Gamma(B_q^0 \text{ phys}(t) \rightarrow f) &\propto e^{-\Gamma t} [1 - \text{Im } \lambda_{iq} \sin(\Delta M_q t)], \\ \Gamma(\bar{B}_q^0 \text{ phys}(t) \rightarrow f) &\propto e^{-\Gamma t} [1 + \text{Im } \lambda_{iq} \sin(\Delta M_q t)],\end{aligned}\tag{6.1}$$

where  $\Delta M_q$  is the mass difference in the  $B_q$  system and  $\lambda_{iq}$  is of the form ( $|\lambda_{iq}| = 1$ ):

$$\lambda_{iq} = \left( \frac{X_i}{X_i^*} \right) \left( \frac{Y_q}{Y_q^*} \right) \left( \frac{Z_{iq}}{Z_{iq}^*} \right).\tag{6.2}$$

The  $X_i$ -factor depends on the quark sub-process amplitude. As the quark sub-processes are dominated by the W-mediated tree-level diagrams:

$$\begin{aligned}X_1 &\equiv X(\bar{b} \rightarrow \bar{c}c\bar{s}) = V_{cb}V_{cs}^*, \\ X_2 &\equiv X(\bar{b} \rightarrow \bar{c}c\bar{d}) = V_{cb}V_{cd}^*, \\ X_3 &\equiv X(\bar{b} \rightarrow \bar{u}u\bar{d}) = V_{ub}V_{ud}^*.\end{aligned}\tag{6.3}$$

The  $Y_q$ -factor depends on the mixing amplitude of the decaying meson. As the dominant mechanism of mixing in the  $B_q$  system is the Z-mediated tree level diagram:

$$\begin{aligned}Y_d &\equiv Y(B_d) = U_{db}^*, \\ Y_s &\equiv Y(B_s) = U_{sb}^*.\end{aligned}\tag{6.4}$$

The  $Z_{iq}$ -factor depends on the  $K - \bar{K}$  mixing amplitude.  $Z_{iq}$  can have a non-zero phase only for those asymmetries where there is a single unpaired neutral kaon in the final state, and depends on whether this comes from a  $K^0$  or a  $\bar{K}^0$ . As  $K - \bar{K}$  mixing is dominated by the SM box diagram with virtual c-quarks:

$$\begin{aligned}Z_{2d} = Z_{3d} = Z_{1s} &= 1, \\ Z_{1d} = Z_{2s}^* = Z_{3s}^* &= V_{cd}^*V_{cs}.\end{aligned}\tag{6.5}$$

It is now straightforward to calculate the  $\lambda_{iq}$ 's. We find that the various asymme-

tries simply measure angles of the unitarity quadrangles shown in fig. 1:

$$\begin{aligned}
\text{Im } \lambda_{1d} &= \text{Im } \lambda_{2d} = \sin 2\beta', \\
\text{Im } \lambda_{3d} &= -\sin 2\alpha', \\
\text{Im } \lambda_{1s} &= \text{Im } \lambda_{2s} = \sin 2\delta', \\
\text{Im } \lambda_{3s} &= \sin 2(\delta' - \gamma).
\end{aligned} \tag{6.6}$$

The three angles which within the SM constitute the angles of the unitarity triangle are defined by:

$$\alpha \equiv \arg \left( -\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*} \right); \beta \equiv \arg \left( -\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*} \right); \gamma \equiv \arg \left( -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} \right). \tag{6.7}$$

The three angles in eq. (6.6) which are connected to the  $U_{qb}$  elements are defined by:

$$\alpha' \equiv \arg \left( \frac{V_{ud}V_{ub}^*}{U_{db}^*} \right); \beta' \equiv \arg \left( \frac{U_{db}^*}{V_{cd}V_{cb}^*} \right); \delta' \equiv \arg \left( \frac{U_{sb}^*}{V_{cs}V_{cb}^*} \right). \tag{6.8}$$

A comparison between the predictions of the SM and of the model with Z-mediated, FCNC is made in Table II. *The important feature is not that the angles  $\alpha$ ,  $\beta$  and  $\gamma$ , defined in eq. (6.7), may have very different values from those predicted by the SM, but rather that the CP asymmetries do not measure these angles anymore.*

TABLE II  
Predictions for CP Asymmetries

Class ( $iq$ )	$U_{pq} \neq 0$ (FCNC)	$U_{pq} = 0$ (SM)
1d	$\sin 2\beta'$	$-\sin 2\beta$
2d	$\sin 2\beta'$	$-\sin 2\beta$
3d	$-\sin 2\alpha'$	$\sin 2\alpha$
1s	$\sin 2\delta'$	0
2s	$\sin 2\delta'$	0
3s	$\sin 2(\delta' - \gamma)$	$-\sin 2\gamma$

As there are no experimental constraints on  $\alpha'$ ,  $\beta'$  and  $\delta'$  so that the full range  $[0, 2\pi]$  is allowed for each of them, the full range  $[-1, +1]$  is possible for each of the asymmetries. This is clearly seen when using the explicit parametrization given in eqs. (5.4) and (5.6):

$$\begin{aligned}
\text{Im } \lambda_{1d} &= \text{Im } \lambda_{2d} = \text{Im} \left[ \frac{1 - q_{13}e^{i\delta_{13}} - q_{24}e^{i\delta_{24}} + q_{14}e^{i\delta_{14}}}{1 - q_{13}e^{-i\delta_{13}} - q_{24}e^{-i\delta_{24}} + q_{14}e^{-i\delta_{14}}} \right], \\
\text{Im } \lambda_{3d} &= \text{Im} \left[ \frac{e^{-i\delta_{13}}(1 - q_{13}e^{i\delta_{13}} - q_{24}e^{i\delta_{24}} + q_{14}e^{i\delta_{14}})}{e^{i\delta_{13}}(1 - q_{13}e^{-i\delta_{13}} - q_{24}e^{-i\delta_{24}} + q_{14}e^{-i\delta_{14}})} \right], \\
\text{Im } \lambda_{1s} &= \text{Im } \lambda_{2s} = \text{Im} \left[ \frac{1 - q_{24}e^{i\delta_{24}}}{1 - q_{24}e^{-i\delta_{24}}} \right], \\
\text{Im } \lambda_{3s} &= \text{Im} \left[ \frac{e^{-i\delta_{13}}(1 - q_{24}e^{i\delta_{24}})}{e^{i\delta_{13}}(1 - q_{24}e^{-i\delta_{24}})} \right].
\end{aligned} \tag{6.9}$$

It is rather obvious that our ignorance of the phases  $\delta_{14}$  and  $\delta_{24}$  allows any value for the various asymmetries. Thus, it should be interesting to examine whether any of the SM predictions for these asymmetries are maintained, and whether precise information can be gained from those predictions which are violated. The answer to these questions is given in the next section.

## 7. Comparison with the SM Predictions

Eq. (6.2) gives the following relations among the various  $\lambda_{iq}$ :

$$\begin{aligned}
\arg \lambda_{1d} - \arg \lambda_{2d} - \arg \lambda_{1s} + \arg \lambda_{2s} &= 0, \\
\arg \lambda_{1d} - \arg \lambda_{3d} - \arg \lambda_{1s} + \arg \lambda_{3s} &= 0, \\
\arg \lambda_{2d} - \arg \lambda_{3d} - \arg \lambda_{2s} + \arg \lambda_{3s} &= 0.
\end{aligned} \tag{7.1}$$

These relations can be experimentally checked. They should hold within both the SM and the framework of an extended quark sector with parameters as chosen in section 5. This is because the two conditions sufficient for these relations [namely, conditions (a.) and (b.) given above eq. (6.1)] hold in both models. If the experimental results are inconsistent with these relations then, most likely, there are

two comparable contributions to the direct decay, namely either the Z-mediated amplitude or the penguin amplitude have their matrix element enhanced, so that they compete with the W-mediated diagram. If this happens in only one of the relevant quark sub-processes then the one relation which is independent of that process will still hold, e.g. the first relation in eq. (7.1) if only  $\bar{b} \rightarrow \bar{u}u\bar{d}$  has significant interference between two direct decays.

These two conditions can be tested in other ways as well. If there is a significant interference between two direct decay amplitudes, then the time dependence of the decay rate is different from that given in eq. (6.1) [16]. Also, various hadronic states, corresponding to the same quark sub-process, are likely to exhibit different  $C P$  asymmetries.

The dominant mechanisms for direct decays (W-mediated tree level diagrams) and for  $K - \bar{K}$  mixing (box diagrams with virtual c quarks) are the same in the present model and in the SM. Consequently, eq. (6.3) for  $X_i$  and eq. (6.5) for  $Z_{iq}$  hold in both frameworks. This gives

$$\arg Z_{1q} + \arg X_1 = \arg Z_{2q} + \arg X_2. \quad (7.2)$$

Eq. (6.2) then gives

$$\text{Im } \lambda_{1d} = \text{Im } \lambda_{2d}; \quad \text{Im } \lambda_{1s} = \text{Im } \lambda_{2s}. \quad (7.3)$$

These relations between the asymmetries can be experimentally checked. As explained in ref. [2], their validity goes far beyond our specific model, and is practically guaranteed by the constraints from the measurement of the  $\epsilon$  parameter.

The mechanism for mixing in the  $B_q$  systems is different in the model under study from that of the SM. Consequently, several relations among  $CP$  asymmetries predicted by the SM will be violated.

Within the SM,  $Y_s = V_{ts}V_{tb}^*$  and  $\mathcal{U}_{sb} = 0$ . Assuming SM tree level decays, these are sufficient conditions for

$$\text{Im } \lambda_{1s} = \text{Im } \lambda_{2s} = 0. \quad (7.4)$$

In the model of an extended quark sector,  $Y_s = U_{sb}^*$  and consequently  $\text{Im } \lambda_{1s}$  and  $\text{Im } \lambda_{2s}$  are as given in eq. (6.9). By an appropriate choice of  $q_{24}$  and  $\delta_{24}$ , it is possible to get any value within

$$-1 \leq \text{Im } \lambda_{1s} = \text{Im } \lambda_{2s} \leq +1. \quad (7.5)$$

Instead of the SM prediction of zero asymmetry, we could have, for example, maximal asymmetry (with  $q_{24}e^{i\delta_{24}} = 1 + e^{i\pi/4}$ ).

We note, however, that if for some reason  $\delta_{24} = 0$  or  $q_{24} \ll 1$ , the asymmetries in classes (1s) and (2s) will vanish, as they do in the SM. The reason is that in this case  $U_{sb}^*$  and  $V_{cs}V_{cb}^*$  carry the same phase (mod  $\pi$ ), just as  $V_{ts}V_{tb}^*$  and  $V_{cs}V_{cb}^*$  do within the SM. The only property of the mixing mechanism probed by  $CP$  asymmetries is its phase structure, and thus different mechanisms carrying the same phase cannot be distinguished.

Within the SM,  $Y_d = V_{td}V_{tb}^*$  and  $\mathcal{U}_{db} = 0$ . Assuming SM tree level decays, these are sufficient conditions for

$$\begin{aligned} \text{Im } \lambda_{3d} &= \sin 2\alpha, \\ \text{Im } \lambda_{1d} &= \text{Im } \lambda_{2d} = -\sin 2\beta. \end{aligned} \quad (7.6)$$

(For a recent study of the SM predictions for  $\alpha$  and  $\beta$  see ref. [17].) In the model of an extended quark sector,  $Y_d = U_{db}^*$  and consequently  $\text{Im } \lambda_{id}$  are as given in eq. (6.9). By an appropriate choice of the various  $q_{ij}$ 's and  $\delta_{ij}$ 's, it is possible to get any value within

$$-1 \leq \text{Im } \lambda_{id} \leq +1. \quad (7.7)$$

Thus, for example, instead of the SM prediction of negative  $\text{Im } \lambda_{1d}$ , we could have zero or positive asymmetry.

To summarize: the SM prediction given in eq. (7.1) is likely to hold within the extended model. It will imply that indeed the direct  $B$  decay is dominated by a single combination of CKM parameters. The SM prediction given in eq. (7.3) is also likely to hold. It will imply that the phase in  $K - \bar{K}$  mixing is the same as in the SM. The SM prediction given in eq. (7.4) is likely to be violated. It will strongly indicate a new mechanism for mixing in the  $B_s$  system. The SM predictions given in eq. (7.6) are also likely to be violated. This will strongly indicate a new mechanism for mixing in the  $B_d$  system.

## 8. Conclusions

In a model where the quark sector is extended in a non-sequential way, the following interesting new features arise:

- a.* There are new phases in quark mixing, in addition to the single phase of the SM. These give new sources for  $CP$  violation.
- b.* Unitarity of the  $3 \times 3$  CKM matrix is violated. The constraint represented by the “unitarity triangle” no longer holds, and new constraints appear.
- c.* FCNC mediated by the  $Z$  boson arise at tree level. These may be the dominant mechanism for mixing in the neutral  $B$  systems.

On the other hand, the following ingredients of the SM are likely to be maintained:

- a.* Direct  $B$  decays are dominated by  $W$ -mediated tree level amplitudes.
- b.*  $\Gamma_{12} \ll M_{12}$  for both  $B_d$  and  $B_s$ .

As a result of these properties, predictions for  $CP$  asymmetries in  $B^0$  decays are significantly modified from the SM predictions. The results can be cleanly interpreted within the new framework.

Within the SM, the asymmetries measure angles in the complex plane between various combinations of elements of the charged current mixing matrix, as those

determine both  $b$  decays and  $B_q - \bar{B}_q$  mixing. These angles are calculated within the SM on the basis of direct measurements and unitarity of the CKM matrix. Within the model of extended quark sector, unitarity of the charged current mixing matrix is lost, but this is not the reason for the asymmetries being modified. The reason is rather that, when  $B_q - \bar{B}_q$  mixing is dominated by the Z-mediated FCNC, the asymmetries measure different quantities, namely angles between combinations of elements of the charged current mixing matrix determining  $b$  decays and elements of the neutral current mixing matrix determining  $B_q - \bar{B}_q$  mixing.

The  $CP$  asymmetries may depend on all three phases that appear in the  $3 \times 4$  mixing matrix. With current mild bounds on the new mixing angles and no knowledge of the new phases, it is possible to have any value for the various asymmetries. Consequently,  $CP$  asymmetries which are dramatically different from the SM predictions may arise. For example, the mode  $B_s \rightarrow D_s^+ D_s^-$  may exhibit maximal asymmetry instead of the zero asymmetry predicted by the SM.

The richness of experimental results will enable us to disentangle the various ingredients of the new framework. As direct decays are still dominated by a single combination of CKM parameters, certain relations among asymmetries are maintained. Similarly, as  $K - \bar{K}$  mixing is dominated by the SM mechanism, certain asymmetries corresponding to different quark sub-processes remain equal. On the other hand, the existence of new mechanisms for  $B_d$  and for  $B_s$  mixing can be proved separately of each other and independently of whether unitarity is violated.

If the CKM model is not the complete picture of the quark sector,  $CP$  asymmetries in  $B^0$  decays will constitute a powerful probe into the nature of its extension.

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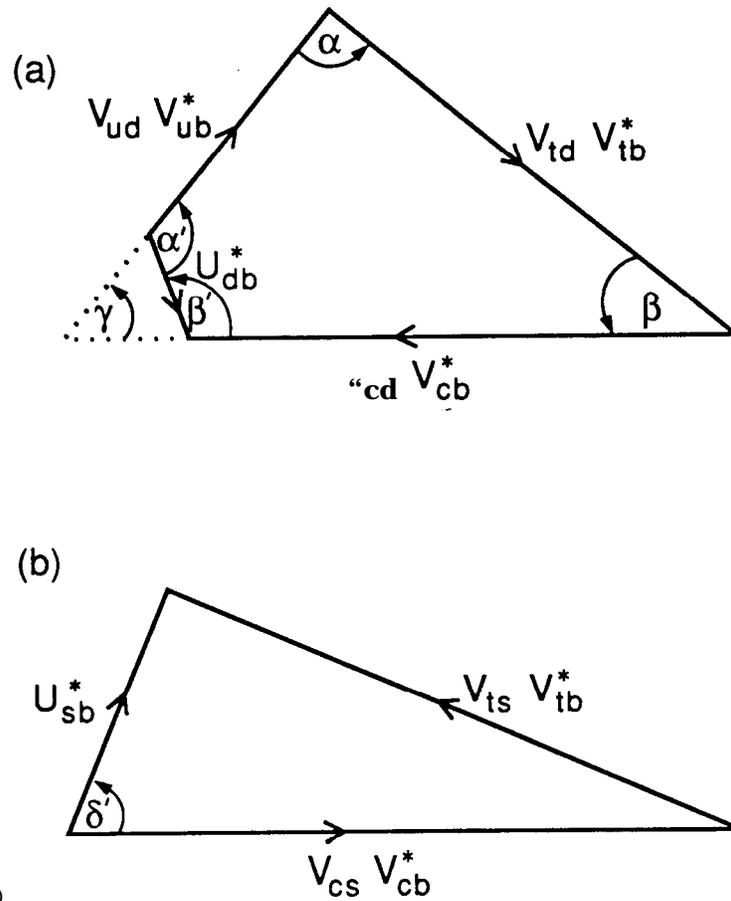
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FIGURE CAPTIONS

Figure 1. A geometrical representation of the unitarity constraints in eq. (4.8).  
 (a) The unitarity quadrangle representing the  $\mathcal{U}_{db}$  constraint. (b) The unitarity triangle representing the  $\mathcal{U}_{sb}$  constraint.



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Fig. 1