

Measurement of the Semileptonic Decays $B \rightarrow D\tau^-\bar{\nu}_\tau$ and $B \rightarrow D^*\tau^-\bar{\nu}_\tau$

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We present preliminary measurements of branching fractions for the semileptonic decays $B \rightarrow D\tau^-\bar{\nu}_\tau$ and $B \rightarrow D^*\tau^-\bar{\nu}_\tau$, which are potentially sensitive to non-Standard Model amplitudes. The data sample comprises $232 \times 10^6 \Upsilon(4S) \rightarrow B\bar{B}$ decays collected with the BABAR detector at the PEP-II e^+e^- storage ring. We obtain $\mathcal{B}(B^- \rightarrow D^0\tau^-\bar{\nu}_\tau) = (0.63 \pm 0.38 \pm 0.10 \pm 0.06)\%$, $\mathcal{B}(B^- \rightarrow D^{*0}\tau^-\bar{\nu}_\tau) = (2.35 \pm 0.49 \pm 0.22 \pm 0.18)\%$, $\mathcal{B}(\bar{B}^0 \rightarrow D^+\tau^-\bar{\nu}_\tau) = (1.03 \pm 0.35 \pm 0.14 \pm 0.10)\%$, and $\mathcal{B}(\bar{B}^0 \rightarrow D^{*+}\tau^-\bar{\nu}_\tau) = (1.15 \pm 0.33 \pm 0.04 \pm 0.04)\%$, where the uncertainties are statistical, systematic, and normalization, respectively. By combining B^- and \bar{B}^0 results, we also obtain the branching fractions $\mathcal{B}(B \rightarrow D\tau^-\bar{\nu}_\tau) = (0.90 \pm 0.26 \pm 0.11 \pm 0.06)\%$ and $\mathcal{B}(B \rightarrow D^*\tau^-\bar{\nu}_\tau) = (1.81 \pm 0.33 \pm 0.11 \pm 0.06)\%$ (quoted for the B^- lifetime), with significances of 3.5σ and 6.2σ .

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Semileptonic decays of B mesons to the τ lepton—the heaviest of the three charged leptons—provide a new source of information on Standard Model (SM) processes [1–3], as well as a new window on physics beyond the SM [4–8]. In the SM, semileptonic decays occur at tree level and are mediated by the W^- boson, but the large mass of the τ lepton provides sensitivity to additional amplitudes, such as those mediated by a charged Higgs boson, H^- . Experimentally, $b \rightarrow c\tau^-\bar{\nu}_\tau$ decays are challenging to study because the final state contains not just one, but two or three neutrinos.

Branching fractions for semileptonic B decays to τ leptons are predicted to be smaller than those for $\ell = e, \mu$ [9], but are still substantial compared to most hadronic B decays. A recent SM-based calculation [8] predicts $\mathcal{B}(\bar{B}^0 \rightarrow D^+\tau^-\bar{\nu}_\tau) = (0.69 \pm 0.04)\%$ and $\mathcal{B}(\bar{B}^0 \rightarrow D^{*+}\tau^-\bar{\nu}_\tau) = (1.41 \pm 0.07)\%$; an inclusive calculation [2] gives $\mathcal{B}(B \rightarrow X_c\tau^-\bar{\nu}_\tau) = (2.3 \pm 0.25)\%$, where X_c represents all final states resulting from the $b \rightarrow c$ transition. Calculations [4–8] in supersymmetric models show that substantial departures from the SM decay rate could occur for $\mathcal{B}(B \rightarrow D\tau^-\bar{\nu}_\tau)$, but that those for $\mathcal{B}(B \rightarrow D^*\tau^-\bar{\nu}_\tau)$ are expected to be smaller. The interference with the SM amplitude can be constructive or destructive, depending on the value of $(\tan\beta)/m_H$, where $\tan\beta$ is the ratio of the vacuum expectation values for the two Higgs doublets and m_H is the H^- mass.

Theoretical predictions for semileptonic decays to exclusive final states require knowledge of the form factors, which parametrize the hadronic current as a function of $q^2 = (p_B - p_{D^{(*)}})^2$. For light leptons (e, μ), there is effectively one form factor for $B \rightarrow D\ell^-\bar{\nu}_\ell$, while there are three for $B \rightarrow D^*\ell^-\bar{\nu}_\ell$. If a τ lepton is produced instead, one additional form factor enters in each mode. The form factors for $B \rightarrow D^{(*)}\ell^-\bar{\nu}_\ell$ decays involving the light leptons have been measured [10] and have been discussed extensively in the theoretical literature. Heavy-quark-symmetry (HQS) relations [11] allow one to express the two additional form factors for $B \rightarrow D^{(*)}\tau^-\bar{\nu}_\tau$ in terms of the form factors measurable from decays with the light leptons. With sufficient data, one could probe the additional form factors and test the HQS relations.

The first measurements of semileptonic b -hadron de-

cays to τ leptons were performed by the LEP experiments [12] operating at the Z^0 resonance, yielding an average [13] branching fraction $\mathcal{B}(b_{\text{had}} \rightarrow X\tau^-\bar{\nu}_\tau) = (2.48 \pm 0.26)\%$, where b_{had} represents the mixture of b -hadrons produced in $Z^0 \rightarrow b\bar{b}$ decay.

We determine branching fractions of four exclusive decay modes [14]: $B^- \rightarrow D^0\tau^-\bar{\nu}_\tau$, $B^- \rightarrow D^{*0}\tau^-\bar{\nu}_\tau$, $\bar{B}^0 \rightarrow D^+\tau^-\bar{\nu}_\tau$, and $\bar{B}^0 \rightarrow D^{*+}\tau^-\bar{\nu}_\tau$, each of which is measured relative to the corresponding e and μ modes. To reconstruct the τ , we use the decays $\tau^- \rightarrow e^-\bar{\nu}_e\nu_\tau$ and $\tau^- \rightarrow \mu^-\bar{\nu}_\mu\nu_\tau$, which are experimentally most accessible. The main challenge of the measurement is to separate $B \rightarrow D^{(*)}\tau^-\bar{\nu}_\tau$ decays, which have three neutrinos, from $B \rightarrow D^{(*)}\ell^-\bar{\nu}_\ell$ decays, which have the same observable final-state particles but only one neutrino.

We analyze data collected with the BABAR detector [15], at the PEP-II e^+e^- storage ring at the Stanford Linear Accelerator Center. The data sample used in the analysis comprises 208.9 fb^{-1} recorded on the $\Upsilon(4S)$ resonance, yielding $232 \times 10^6 B\bar{B}$ decays. The measurement uses all of the major detector subsystems: a charged-particle tracking system consisting of a 5-layer silicon vertex tracker and a 40-layer He-gas drift chamber (DCH); a quartz-bar Cherenkov particle-identification system; a CsI(Tl) crystal electromagnetic calorimeter for electron identification and photon energy measurement; a 1.5 T superconducting magnet; and a muon identification system in the magnet flux return.

The analysis strategy is to reconstruct the decays of both B mesons in the $\Upsilon(4S) \rightarrow B\bar{B}$ event, providing powerful constraints on unobserved particles. One B meson, denoted B_{tag} , is fully reconstructed in a purely hadronic decay chain. The remaining charged tracks and photons are required to be consistent with the products of a $b \rightarrow c$ semileptonic B decay: a hadronic system, either a D or D^* meson, and a lepton (e or μ), either primary or from $\tau^- \rightarrow \ell^-\bar{\nu}_\ell\nu_\tau$. Using the known total four-momentum of the e^+e^- collision, we calculate $p_{\text{miss}} = [p(e^+e^-) - p_{\text{tag}} - p_{D^{(*)}} - p_\ell]$ recoiling against the observed $B_{\text{tag}} + D^{(*)}\ell$ system. A large peak at zero in $m_{\text{miss}}^2 = p_{\text{miss}}^2$ corresponds to semileptonic decays with one neutrino, whereas signal events form a broad tail out to $m_{\text{miss}}^2 \sim 8 (\text{GeV}/c^2)^2$. To separate signal and back-

ground events, we perform a fit to the joint distribution of m_{miss}^2 and the lepton momentum ($|\mathbf{p}_\ell^*|$), in the rest frame of the B meson. In a signal event, the observed lepton is the daughter of the τ and typically has a soft spectrum; for most background events, this lepton typically has higher momentum.

We reconstruct B_{tag} candidates [16] in 1114 final states $B_{\text{tag}} \rightarrow D^{(*)}Y^\pm$. Tag-side $D^{(*)}$ candidates are reconstructed in 21 decay chains, and the Y^\pm system can consist of up to six light hadrons (π^\pm , π^0 , K^\pm , or K_S^0). B_{tag} candidates are identified using two kinematic variables, $m_{\text{ES}} = \sqrt{s/4 - |\mathbf{p}_{\text{tag}}|^2}$ and $\Delta E = E_{\text{tag}} - \sqrt{s}/2$, where \sqrt{s} is the total e^+e^- energy; $|\mathbf{p}_{\text{tag}}|$ is the magnitude of the B_{tag} momentum; and E_{tag} is the B_{tag} energy, all defined in the e^+e^- center-of-mass frame. We require $m_{\text{ES}} > 5.27 \text{ GeV}/c^2$ and $|\Delta E| < 72 \text{ MeV}$, corresponding to 4σ (standard deviations). We reconstruct B_{tag} candidates with an efficiency of approximately 0.3% to 0.5%.

For the B meson decaying semileptonically, we reconstruct $D^{(*)}$ candidates in the modes $D^0 \rightarrow K^-\pi^+$, $K^-\pi^+\pi^0$, $K^-\pi^+\pi^+\pi^-$, $K_S^0\pi^+\pi^-$; $D^+ \rightarrow K^-\pi^+\pi^+$, $K^-\pi^+\pi^+\pi^0$, $K_S^0\pi^+$, $K^-K^+\pi^+$; $D^{*0} \rightarrow D^0\pi^0$, $D^0\gamma$; and $D^{*+} \rightarrow D^0\pi^+$, $D^+\pi^0$. D (D^*) candidates are selected within 4σ of the D mass ($D^* - D$ mass difference), with σ typically 5–10 MeV/ c^2 (1–2 MeV/ c^2). To ensure well-measured momenta, identified electron and muon tracks are required to have at least 12 hits in the drift chamber and not to be near the acceptance edges. Electron candidates must have lab-frame momentum $|\mathbf{p}_e| > 0.3 \text{ GeV}/c$; muon candidates must have an appropriate signature in the muon detector system, effectively requiring $|\mathbf{p}_\mu| \gtrsim 0.6 \text{ GeV}/c$. The energy of electron candidates is corrected for bremsstrahlung energy loss if photons are found close to the electron direction.

We require that there be no charged tracks not associated with the B_{tag} , $D^{(*)}$, or ℓ candidates. We compute E_{extra} , the sum of the energies of all photon candidates not associated with the B_{tag} , $D^{(*)}$, or ℓ candidates, and we require $E_{\text{extra}} < 150\text{--}300 \text{ MeV}$, depending on the $D^{(*)}$ channel. We suppress hadronic events and combinatoric backgrounds by requiring $|\mathbf{p}_{\text{miss}}| > 200 \text{ MeV}/c$ and $q^2 > 4 \text{ (GeV}/c^2)^2$. If multiple candidates pass this selection, we select the candidate with the lowest value of E_{extra} . To improve the m_{miss}^2 resolution, we perform a kinematic fit to the event, constraining particle masses to known values and requiring tracks from B , D , and K_S^0 mesons to originate from appropriate common vertices.

Figure 1 shows the distributions of m_{miss}^2 for the four $D^{(*)}\ell$ channels, along with the projections of the maximum likelihood fit to be discussed below. The large peaks at $m_{\text{miss}}^2 \approx 0$ are mainly due to $B \rightarrow D^{(*)}\ell\bar{\nu}_\ell$, which serve as normalization modes. The structure of this background is shown in the inset figures, which expand the region $-0.4 < m_{\text{miss}}^2 < 1.4 \text{ (GeV}/c^2)^2$. $B \rightarrow D^*\ell\bar{\nu}_\ell$ background is the dominant feature in the two $D^*\ell$ channels (Figs. 1a, c); the two $D\ell$ chan-

nels (Figs. 1b, d) are dominated by $B \rightarrow D\ell\bar{\nu}_\ell$ decays but also include substantial feed-down contributions from true D^* mesons where the low-momentum π^0 or photon from $D^* \rightarrow D\pi^0/\gamma$ is not reconstructed. This feed-down is clearly visible for $B \rightarrow D^*\ell\bar{\nu}_\ell$ background, but affects $B \rightarrow D^*\tau\bar{\nu}_\tau$ signal similarly, and both feed-down components (as well as smaller feed-up contributions from $B \rightarrow D(\ell^-/\tau^-)\bar{\nu}$ into the $D^*\ell$ channels) are included in the fit. Other sources of background include $B \rightarrow D^{**}(\ell^-/\tau^-)\bar{\nu}$ events (here D^{**} represents charm resonances heavier than the $D^*(2010)$, as well as non-resonant $D^{(*)}n\pi$ systems); charge-crossfeed (which occurs when a $B \rightarrow D^{(*)}\ell\bar{\nu}_\ell$ event is reconstructed with the wrong charge for the B_{tag} and $D^{(*)}$ meson, typically because a low-momentum π^\pm is swapped between the B_{tag} and the $D^{(*)}$); and combinatoric background. This last background is dominated by hadronic B decays such as $B \rightarrow D^{(*)}D_s^{(*)}$, in which one of the charm mesons produces a secondary lepton, including τ leptons from D_s decay.

To constrain background from $B \rightarrow D^{**}(\ell^-/\tau^-)\bar{\nu}$ decays, we use four control samples (one for each signal channel) in which an extra π^0 meson is observed. Most of the D^{**} background in the signal channels occurs when the π^0 from $D^{**} \rightarrow D^{(*)}\pi^0$ is not reconstructed, so these control samples provide a good normalization of the background source. D^{**} decays in which a π^\pm is lost do not have the correct charge correlation between the B_{tag} and $D^{(*)}$, and decays with two missing charged pions are rare. The feed-down probabilities for the $D^{**}(\ell^-/\tau^-)\bar{\nu}$ background are determined from simulation, with uncertainties in the D^{**} content treated as a systematic error. However, the control samples reduce our sensitivity to the details of this model.

We perform a relative measurement, extracting both signal $B \rightarrow D^{(*)}\tau\bar{\nu}_\tau$ and normalization $B \rightarrow D^{(*)}\ell\bar{\nu}_\ell$ yields from the fit to obtain the four branching ratios $R(D^0)$, $R(D^+)$, $R(D^{*0})$, and $R(D^{*+})$ where, for example, $R(D^{*0}) \equiv \mathcal{B}(B^- \rightarrow D^{*0}\tau\bar{\nu}_\tau)/\mathcal{B}(B^- \rightarrow D^{*0}\ell\bar{\nu}_\ell)$. Here, ℓ represents only one of e or μ ; however, both light lepton species contribute statistically to the denominator. Signal and background yields are extracted using an extended, unbinned maximum likelihood fit to the joint $(m_{\text{miss}}^2, |\mathbf{p}_\ell^*|)$ distribution. The 18-parameter fit is performed simultaneously in the four signal channels and the four D^{**} control samples. In each of the four signal channels, we describe the data as the sum of seven components (shown in Fig. 1): $D\tau\bar{\nu}_\tau$, $D^*\tau\bar{\nu}_\tau$, $D\ell\bar{\nu}_\ell$, $D^*\ell\bar{\nu}_\ell$, $D^{**}(\ell^-/\tau^-)\bar{\nu}$, charge crossfeed, and combinatoric background. The four D^{**} control samples are described as the sum of five components: $D^{**}(\ell^-/\tau^-)\bar{\nu}$, $D\ell\bar{\nu}_\ell$, $D^*\ell\bar{\nu}_\ell$, charge crossfeed, and combinatoric background. Probability distribution functions (PDFs) are primarily determined from simulated event samples; however, the parameters describing the dominant feed-down component— D^* feed-down into

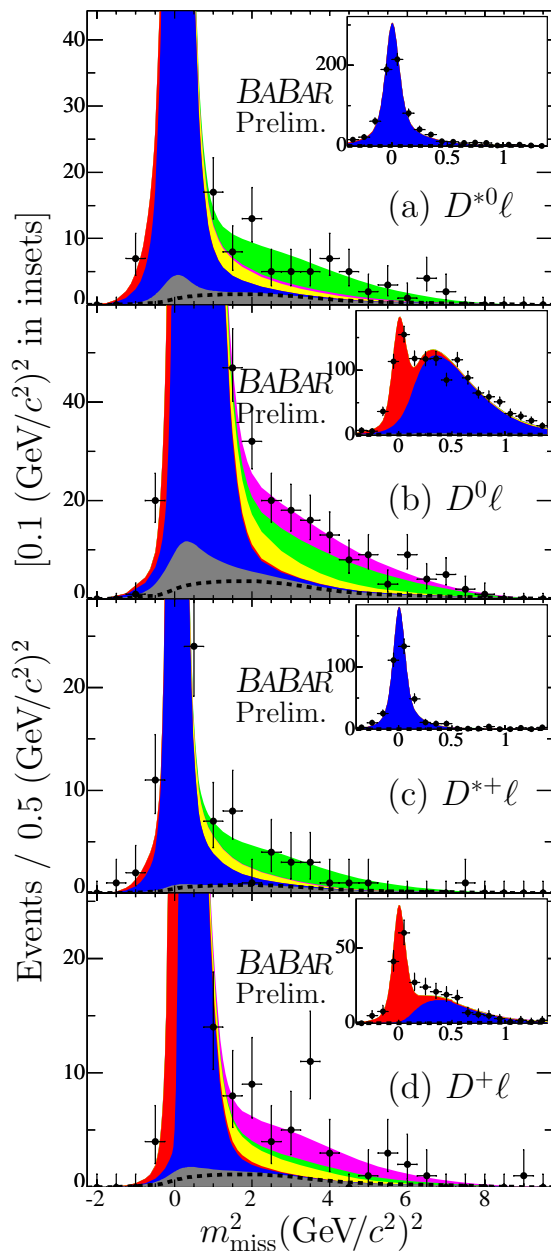


FIG. 1: Distributions of events and fit projections in m_{miss}^2 for the four signal channels: $D^{*0}\ell$, $D^0\ell$, $D^{*+}\ell$, and $D^+\ell$. (The fit shown incorporates the $B^-\bar{B}^0$ constraints.) The normalization region $m_{\text{miss}}^2 \sim 0$ is shown as an inset in each figure. The fit components are: $D^*\tau^-\bar{\nu}_\tau$ (green), $D\tau^-\bar{\nu}_\tau$ (purple), $D^*\ell^-\bar{\nu}_\ell$ (blue), $D\ell^-\bar{\nu}_\ell$ (red), $D^{*+}(\ell^-/\tau^-)\bar{\nu}$ (yellow), combinatoric (grey, below dashed line), charge crossfeed (grey, above dashed line).

the $D\ell$ channels—are determined directly by the fit.

We perform two fits, one in which all four signal yields are allowed to float independently, and a second, $B^-\bar{B}^0$ combined fit, in which we constrain [17] $R(D^+) = R(D^0)$ and $R(D^{*+}) = R(D^{*0})$. The fit results are summarized

in Table I, and the m_{miss}^2 projections of the constrained fit are shown in Fig. 1.

Systematic uncertainties on R associated with the fit are determined by running ensembles of fits in which input parameters are distributed according to our knowledge of the underlying source, and include the PDF parametrization (2% to 12%); the composition of combinatoric backgrounds (2% to 11%); the mixture of D^{**} states in $B \rightarrow D^{**}\ell^-\bar{\nu}_\ell$ decays (0.4% to 6%); the $B \rightarrow D^*\ell^-\bar{\nu}_\ell$ form factors (0.1% to 1.8%); and the π^0 efficiency, which affects the $D^* \rightarrow D$ feed-down rate (0.4% to 1.0%). Uncertainties on the m_{miss}^2 resolution for $B \rightarrow D^*\ell^-\bar{\nu}_\ell$ events and on the $B \rightarrow D\ell^-\bar{\nu}_\ell$ form factors each contribute less than 1%. The net systematic uncertainty on R associated with fit yields is given by $(\Delta R/R)_{\text{fit}}$ for each channel in Table I. Uncertainties on R propagated from the ratio of efficiencies for signal and normalization modes are typically small due to cancellations, and include the limited statistics in the simulation (1.1% to 1.5%) and systematic errors related to detector performance. The latter are determined by studying the efficiency of track and neutral reconstruction and particle identification performance in control samples in data and contribute less than 0.2% each, except for e^\pm and μ^\pm identification, which contribute 0.5% to 0.7% each, and are larger because the lepton momentum spectrum differs between the signal and normalization processes. Finally, the uncertainty on $\mathcal{B}(\tau^- \rightarrow \ell^-\bar{\nu}_\ell\nu_\tau)$ [13] contributes 0.2% to all modes. The net systematic uncertainty on R due to the efficiencies is given by $(\Delta R/R)_\varepsilon$ in Table I.

These results are preliminary. We estimate that uncertainties in R due to modeling of bremsstrahlung radiation are at or below the 1% level, but they have not been explicitly included in the results. While $B \rightarrow D^{(*)}\ell^-\bar{\nu}_\ell$ decays are modeled with HQET-based form factors [19] including recent experimental measurements [10], we currently use ISGW2 [20] to model signal decays.

We determine the statistical significance of the signals from $\sqrt{2\Delta(\ln\mathcal{L})}$, where $\Delta(\ln\mathcal{L})$ is the change in log-likelihood between the nominal fit and the no-signal hypothesis. The total significance is determined in a similar manner, by modifying the likelihood function to take into account systematic uncertainties from the fit. Table I gives both significances for each channel.

We have presented preliminary measurements of the decays $B \rightarrow D\tau^-\bar{\nu}_\tau$ and $B \rightarrow D^*\tau^-\bar{\nu}_\tau$, relative to the corresponding decays involving light leptons. We obtain $R(B \rightarrow D\tau^-\bar{\nu}_\tau) = (40.7 \pm 12.0 \pm 4.9)\%$ and $R(B \rightarrow D^*\tau^-\bar{\nu}_\tau) = (31.0 \pm 5.7 \pm 1.8)\%$, where the first error is statistical and the second is systematic. Normalizing to known branching fractions [13], we obtain

$$\begin{aligned} \mathcal{B}(B \rightarrow D\tau^-\bar{\nu}_\tau) &= (0.90 \pm 0.26 \pm 0.11 \pm 0.06)\% \\ \mathcal{B}(B \rightarrow D^*\tau^-\bar{\nu}_\tau) &= (1.81 \pm 0.33 \pm 0.11 \pm 0.06)\%, \end{aligned}$$

where the third error is the uncertainty on the normaliza-

TABLE I: Preliminary results from fits to data and associated uncertainties: the columns are the signal yield (N_{sig}), the yield of normalization $B \rightarrow D^{(*)}\ell^-\bar{\nu}_\ell$ events (N_{norm}), the ratio of signal and normalization mode efficiencies ($\varepsilon_{\text{sig}}/\varepsilon_{\text{norm}}$), the relative systematic error due to the fit yields ($(\Delta R/R)_{\text{fit}}$), the relative systematic error due to the efficiency ratios ($(\Delta R/R)_\varepsilon$), the branching fraction relative to the normalization mode (R), the absolute branching fraction (\mathcal{B}), and the total and statistical signal significances (σ_{tot} and σ_{stat}). The first two errors on R and \mathcal{B} are statistical and systematic, respectively; the third error on \mathcal{B} represents the uncertainty on the normalization mode [18]. The last two rows show the results of the fit with the $B^- - \bar{B}^0$ constraint applied, where \mathcal{B} is expressed for the B^- .

Mode	N_{sig}	N_{norm}	$\varepsilon_{\text{sig}}/\varepsilon_{\text{norm}}$	$(\Delta R/R)_{\text{fit}}$ [%]	$(\Delta R/R)_\varepsilon$ [%]	R [%]	\mathcal{B} [%]	σ_{tot} (σ_{stat})
$B^- \rightarrow D^0 \tau^- \bar{\nu}_\tau$	33.1±19.6	346.7±23.0	1.85	15.2	1.5	29.5±17.4±4.5	0.63±0.38±0.10±0.06	1.7 (1.7)
$B^- \rightarrow D^{*0} \tau^- \bar{\nu}_\tau$	95.9±19.8	1628.6±63.5	0.98	9.4	1.4	36.2± 7.5±3.4	2.35±0.49±0.22±0.18	5.3 (5.8)
$\bar{B}^0 \rightarrow D^+ \tau^- \bar{\nu}_\tau$	23.0±7.9	149.9±13.3	1.83	13.4	1.7	48.6±16.7±6.6	1.03±0.35±0.14±0.10	3.3 (3.5)
$\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau$	16.2±7.3	481.8±25.5	0.93	3.4	1.5	21.4± 9.7±0.8	1.15±0.52±0.04±0.04	2.7 (2.7)
$B \rightarrow D \tau^- \bar{\nu}_\tau$	64.9±19.1	496.3±26.4	1.85	12.0	1.3	40.7±12.0±4.9	0.90±0.26±0.11±0.06	3.5 (3.8)
$B \rightarrow D^* \tau^- \bar{\nu}_\tau$	105.3±19.4	2109.4±68.0	0.93	5.7	1.2	31.0± 5.7±1.8	1.81±0.33±0.11±0.06	6.2 (6.5)

tion branching fraction, and where results are expressed for the B^- lifetime. The significances of the signals are 3.5σ and 6.2σ , respectively. The measurement of $B \rightarrow D^* \tau^- \bar{\nu}_\tau$ is consistent with a preliminary Belle measurement [21]; the measurement of $B \rightarrow D \tau^- \bar{\nu}_\tau$ is the first evidence for this mode. These results are about 1σ higher than predictions based on the Standard Model, but, given the size of the uncertainty, there is still room for a non-SM contribution.

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