

# Results from HERMES

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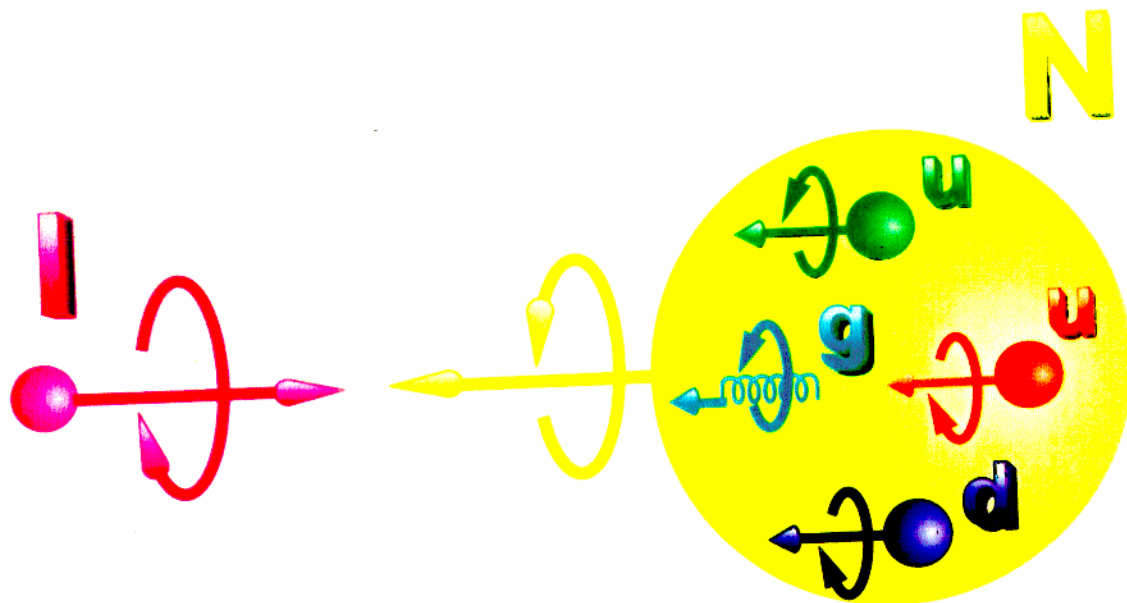
University of Michigan  
Deutsches Elektronen-Synchrotron DESY



August 13, 1998

- Introduction
- HERMES Experiment
- Results
  - Inclusive Data
  - Semi-Inclusive Data
    - \* polarized
    - \* unpolarized
- Future and Summary

## Components of the Nucleon Spin



$$\frac{1}{2} = \frac{1}{2} (\Delta u + \Delta d + \Delta s) + \Delta G + L_q + L_G$$

From Deep Inelastic lepton scattering:

- quark spins

$$\Delta \Sigma \sim 0.2$$

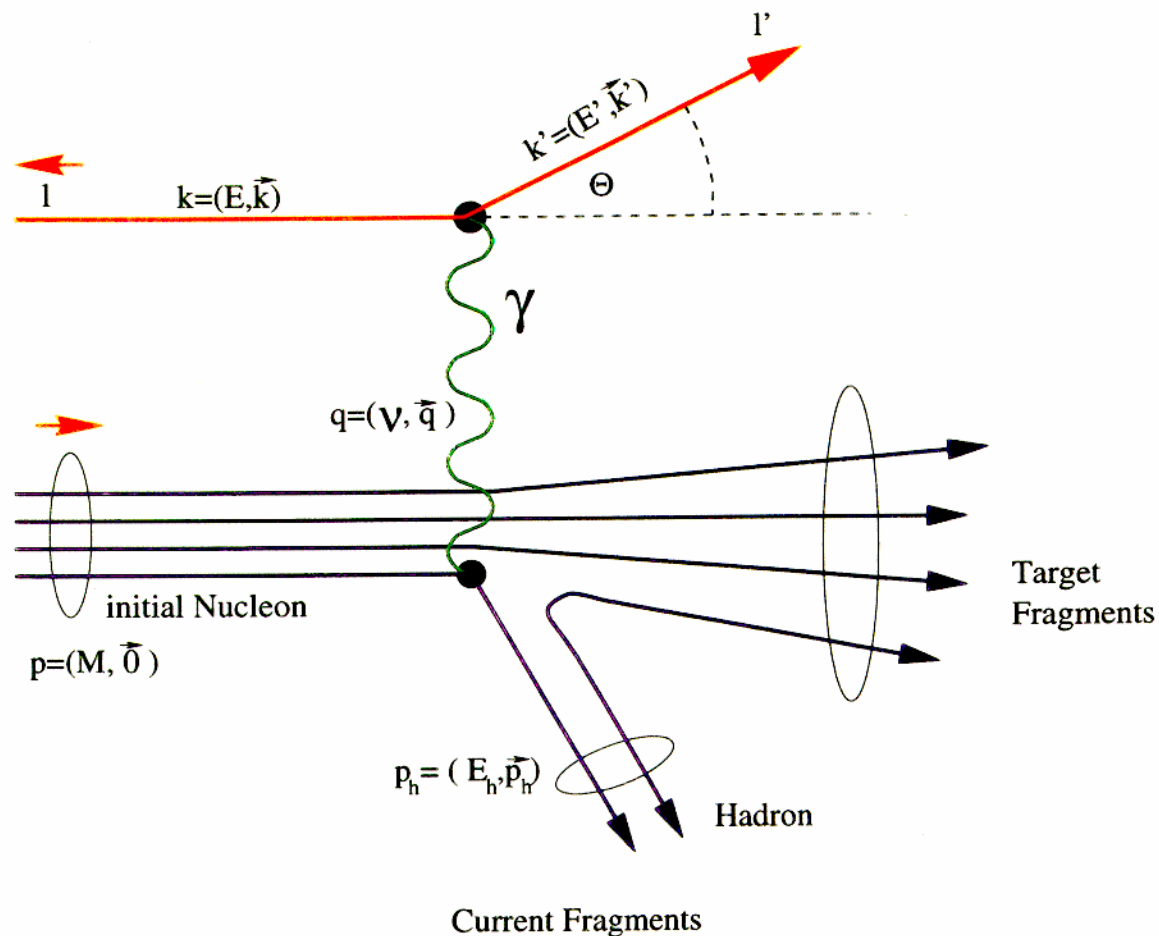
- gluon spins

$$\Delta G = ??$$

- orbital angular momenta

$$L_q = ??, L_G = ??$$

# Deep Inelastic Scattering



- Inclusive: detect  $e'$  only
- Semi-inclusive: detect  $e'$  and hadrons
- to probe spin require beam and nucleon to be polarized

# HERMES Physics Program

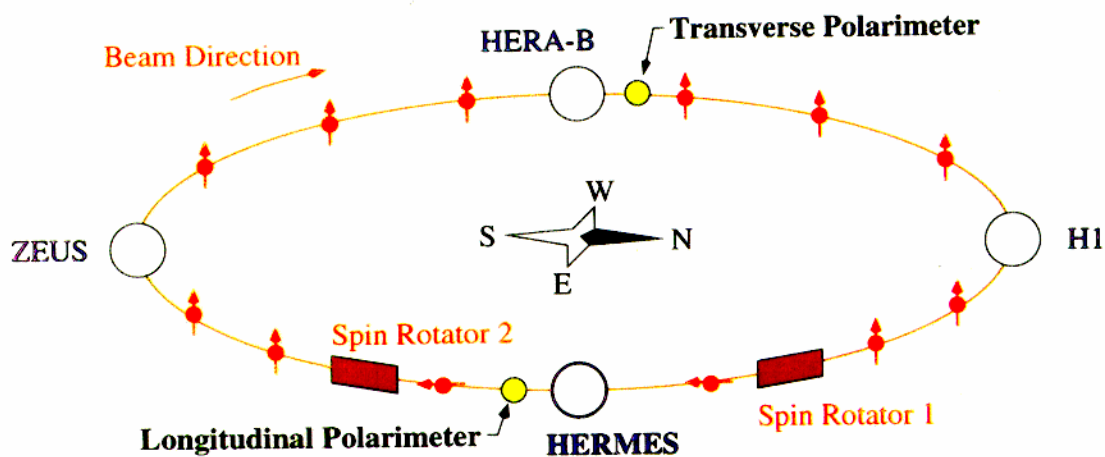
- Study of the Spin Structure of the Nucleon
  - Inclusive / Semi-Inclusive Scattering
  - Proton / Neutron Target

$\Rightarrow g_1, g_2, \Delta u, \Delta d, \Delta s, \Delta G, \dots$
- Unpolarized Structure Functions
 

$\Rightarrow F_2^n / F_2^p, F_2^{3He} / F_2^D, F_2^{14N} / F_2^D$
- Light Sea Flavor Asymmetry
 

$\Rightarrow \frac{(\bar{d}-\bar{u})}{u-d}$
- Fragmentation Functions & Hadronization in Nuclei
- Diffractive Vector Meson Production ( $\rho^0, \phi, \omega, J/\Psi$ )
  - Cross Sections
  - Decay Angular Distributions
  - Nuclear Transparency
- Polarized  $\Lambda^0$  Production
  - Transversity, Azimuthal Distributions
- + more

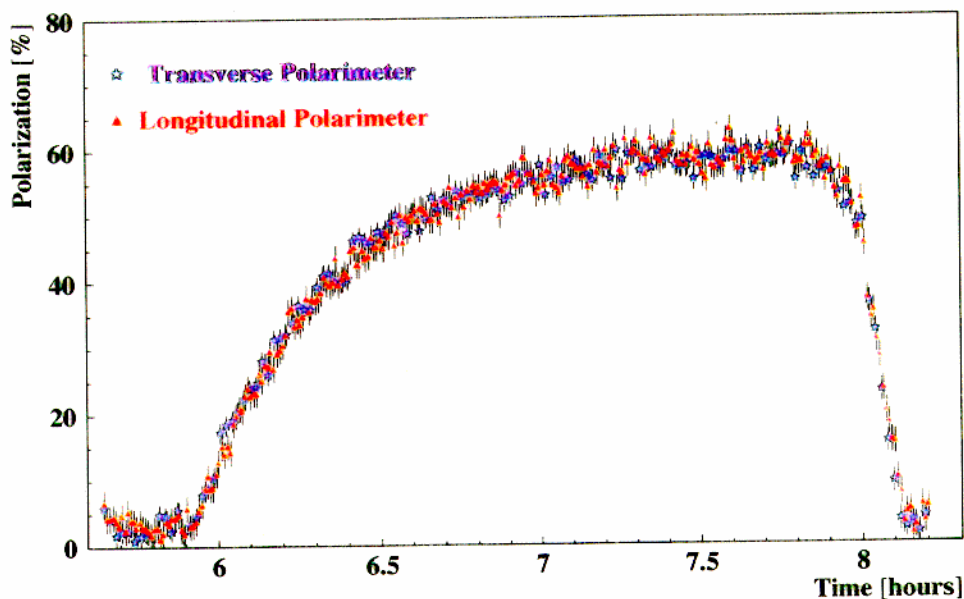
# Electron Polarization at HERA



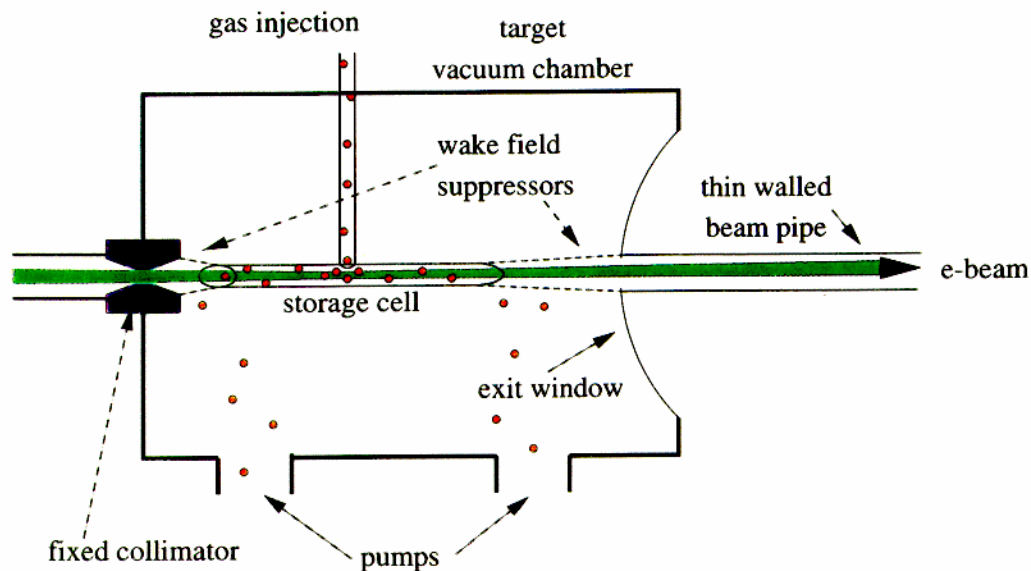
Self polarization of electrons by Synchrotron radiation in the curved sections ( $\rightarrow$  "Sokolov-Ternov Effect").

Polarization rise time according to:

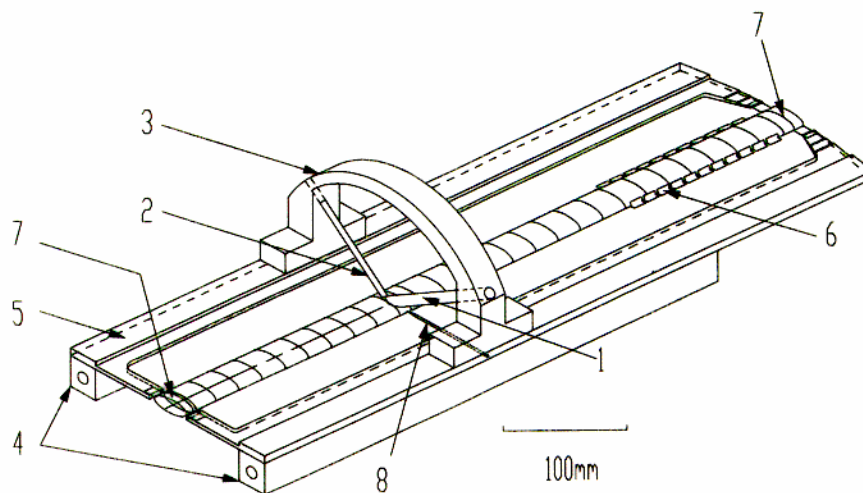
$$P(t) = P_{\max} \cdot (1 - e^{-t/\tau}).$$



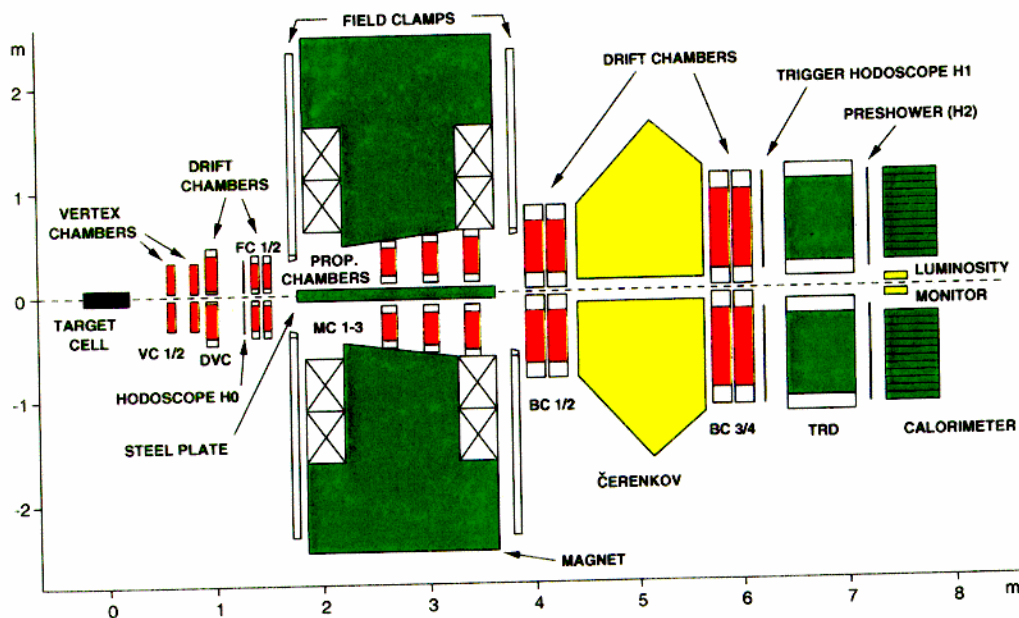
## Storage Cell Target



- laser driven **polarized  $^3\text{He}$  target**  
 $p_T \sim 50\%$  (1995)
- Atomic beam source for **polarized H/D target**  
 $p_T \sim 80 - 95\%$  (1996/97)
- **unpolarized gas** of any type (H/D/He/N)



# HERMES Detector



Horizontally separated two arm standard forward spectrometer with components for

## Tracking

Microstrip Gas, Drift, and Proportional Chambers

## Particle Identification

TRD, Cerenkov, Preshower, Calorimeter

## Momentum Measurement

Magnet (1.3 Tm)

## Calorimetry

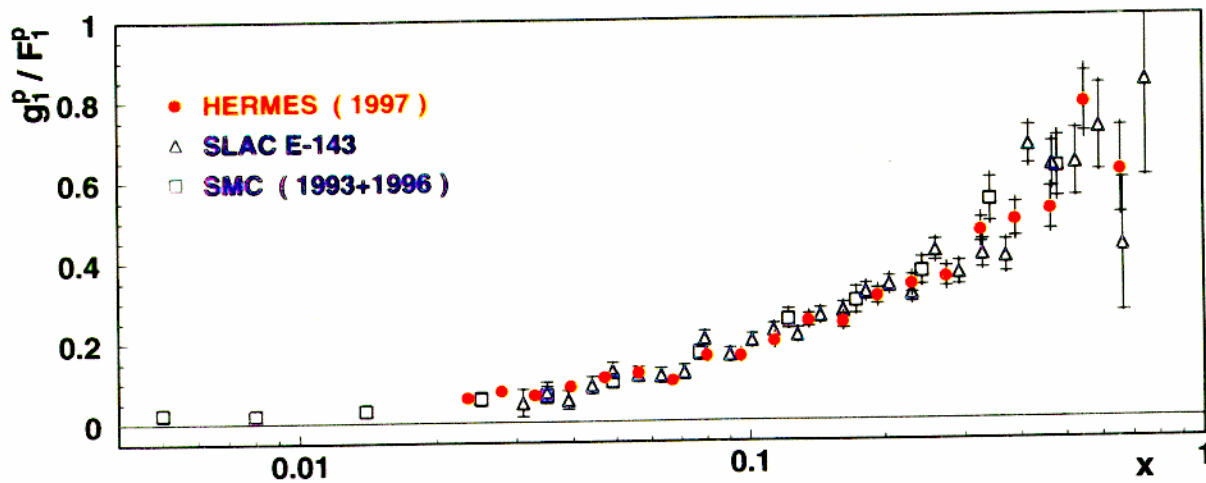
Lead Glass Wall

## Trigger

Scintillator Hodoscopes

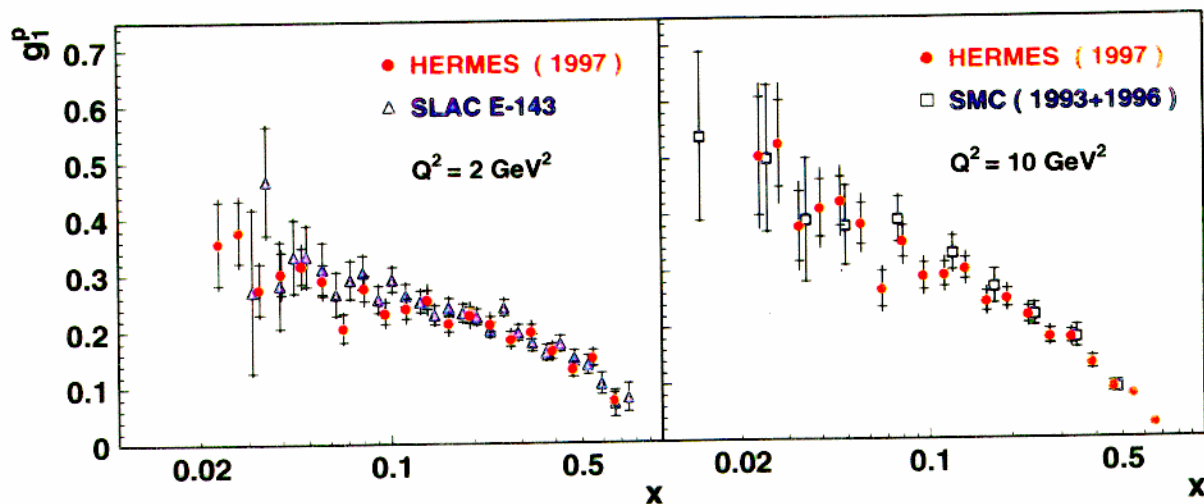
# The Proton Spin Structure

- HERMES, SLAC and SMC data on  $^1\text{H}$ :



$\Rightarrow$  no statistically significant  $Q^2$  dependence of  $g_1^p / F_1^p$  ratio in  $Q^2$  range of these experiments

- $g_1^p(x)$  data evolved to common  $Q^2$ :



$\Rightarrow$  Apparent  $Q^2$  dependence entirely originates from  $Q^2$  dependence of  $F_2^p$  and  $R$



## Summary of Experiments

Exp.	Year	Beam	Target	meas. quantities
<b>SLAC</b>				
E80	75	23 GeV	e <sup>-</sup> H-butanol	$A_1^p$
E130	80	23 GeV	e <sup>-</sup> H-butanol	$A_1^p$
E142	92	25 GeV	e <sup>-</sup> <sup>3</sup> He	$A_1^n$
E143	93	29 GeV	e <sup>-</sup> NH <sub>3</sub> /ND <sub>3</sub>	$A_1^p, A_1^d, A_2^{p,d}$
E154	95	49 GeV	e <sup>-</sup> <sup>3</sup> He	$A_1^n, A_2^n$
E155	97	49 GeV	e <sup>-</sup> NH <sub>3</sub> /LiD	$A_1^p, A_1^d$
<b>CERN</b>				
EMC	85	100-200 GeV	μ <sup>-</sup> NH <sub>3</sub>	$A_1^p$
SMC	92	100 GeV	μ <sup>+</sup> D-butanol	$A_1^d, A_{1,h}^d$
SMC	93	190 GeV	μ <sup>+</sup> H-butanol	$A_1^p, A_{1,h}^p$
SMC	94/95	190 GeV	μ <sup>+</sup> D-butanol	$A_1^d, A_{1,h}^d$
SMC	96	190 GeV	μ <sup>+</sup> NH <sub>3</sub>	$A_1^p, A_{1,h}^p$
<b>DESY</b>				
HERMES	95	28 GeV	e <sup>+</sup> <sup>3</sup> He	$A_1^n, A_{1,h}^n, A_{1,\pi}^n$
HERMES	96/97	28 GeV	e <sup>+</sup> H	$A_1^p, A_{1,h}^p, A_{1,\pi}^p$

## Conclusions on Inclusive Experiments

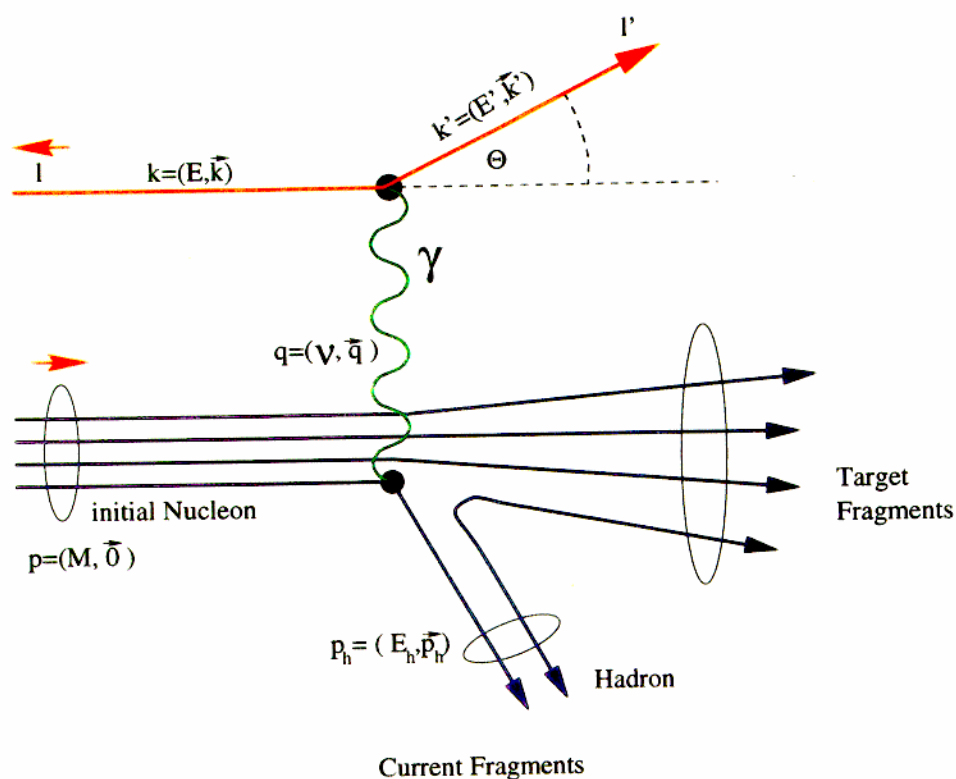
- Extensive program of complementary polarized DIS measurements performed over the past six years
- Focused on inclusive scattering using
  - sum rules
  - assumption of SU(3) flavor symmetry
    - extract information on spin structure
- confirmation of EMC result
- Bjorken sum rule satisfied at  $1\sigma$  level
  - successful test of QCD on spin observables
- experiments appear to be consistent if they are evolved to same  $Q^2$
- only about 20% of the spin comes from the spin of the quarks
- question of how the spin of the nucleon is related to its internal structure is **NOT** resolved.

⇒ **NEW GENERATION OF EXPERIMENTS / DIFFERENT APPROACH NEEDED**

- $g_1$  at small  $x$   $< 0.001$
- polarization of sea, in particular  $\Delta s$  flavor decomposition
- gluon helicity distribution  $\Delta G$

## Semi-Inclusive Physics

- Detect hadron in coincidence with the scattered lepton



- Flavor of struck quark is reflected by the type of hadron in current jet
- Cuts on ( $z > z_{min} = 0.2$ ) and ( $x_F > x_{F,min} = 0.1$ ) to isolate the current fragmentation region
- Assuming **factorization** the hadron asymmetry can be expressed as:

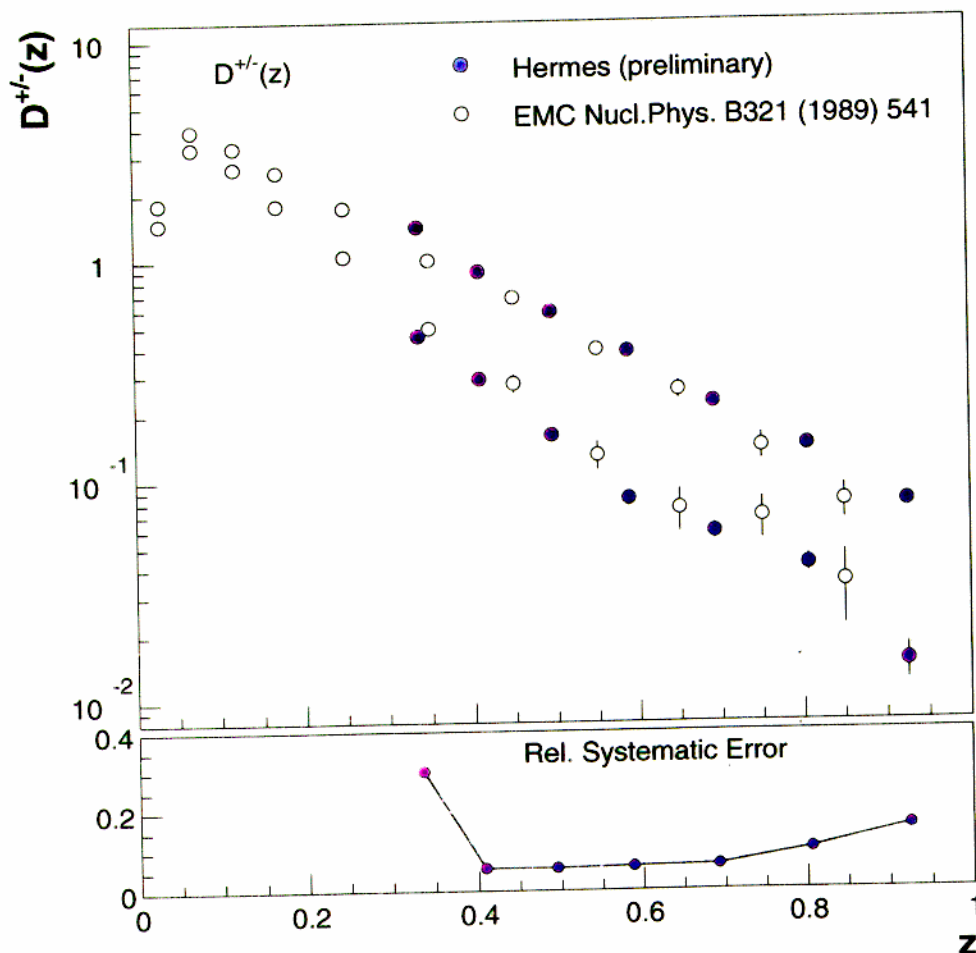
$$A_1^h(x, z) \simeq \frac{A_{||}^h(x, z)}{D(x, Q^2)} \simeq \frac{\sum e_f^2 \Delta q_f(x) \cdot D_{q_f}^h(z)}{\sum e_f^2 q_f(x) \cdot D_{q_f}^h(z)}$$

Measurement  $A_1^h \Rightarrow$  new linear combinations of  $\Delta q$

## π Fragmentation Functions

In QPM:

- fragmentation function  $D_{q_f}^h(z) =$  probability for a quark of flavor  $f$  to fragment into a hadron of type  $h$
- π fragmentation functions using EMC extraction method



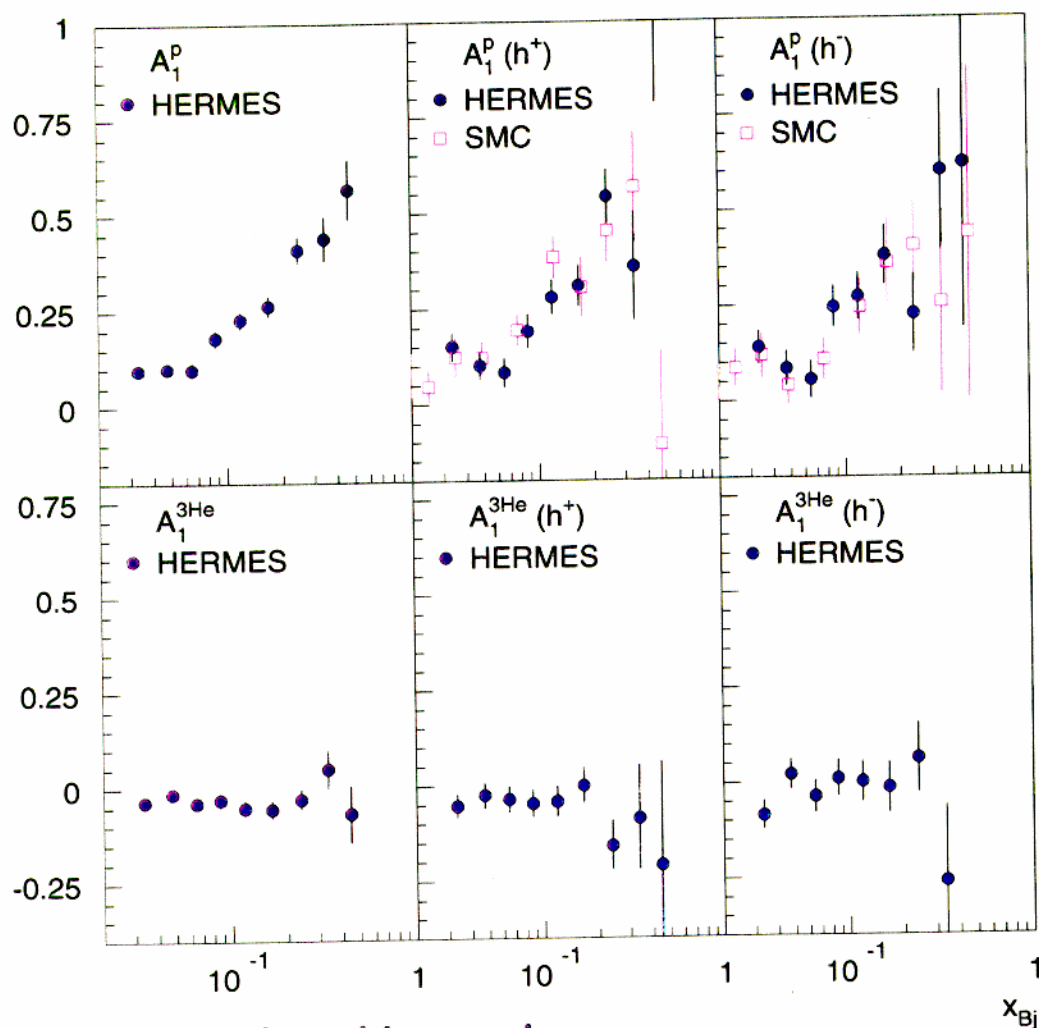
Fragmentation Functions

FAVORED  $D^+$  hadron contains struck quark

UNFAVORED  $D^-$  hadron does not contain struck quark

# Semi-Inclusive Measurements

- Preliminary HERMES results (1995 and 1996):



- Cuts on hadron kinematics:  
 $z > 0.2$  ;  $x_F > 0.1$  ;  $W^2 > 10 \text{ GeV}^2$
- Data points based on  $0.7 \times 10^6$  (for p) and  $2.7 \times 10^6$  (for  $^3\text{He}$ ) DIS events.



## Polarized Quark Distributions

- Extraction of polarized quark distributions needs as input:
  - measured hadron asymmetries  $A_1^h(x, z)$
  - unpolarized quark distributions  $q(x)$
  - fragmentation functions  $D_q^h(z)$
- Rewrite Photon-Nucleon Asymmetry:

$$\begin{aligned}
 A_1^h(x, z) &= \sum_q \frac{e_q^2 q(x) D_q^h(z)}{\sum_{q'} e_{q'}^2 q'(x) D_{q'}^h(z)} \cdot \frac{\Delta q(x)}{q(x)} \\
 &= \sum_q P_q^h(x, z) \cdot \frac{\Delta q(x)}{q(x)}
 \end{aligned}$$

- The **quark-purity**  $P_q^h$  is the probability that a quark  $q$  was struck in an event  $l + N \rightarrow l' + h + X$
- Purities are spin-independent (unpolarized) quantities !
- Define

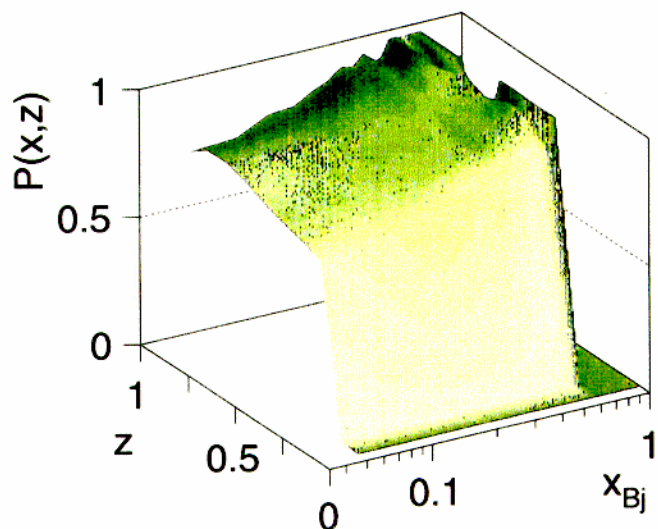
$$\vec{A} = \begin{pmatrix} A^{h_1}(x) \\ \dots \\ A^{h_m}(x) \end{pmatrix}, \vec{Q} = \begin{pmatrix} \Delta q_1(x)/q_1(x) \\ \dots \\ \Delta q_n(x)/q_n(x) \end{pmatrix}, \mathcal{P} = [P_q^h(x)]$$

To measure quark polarizations invert:

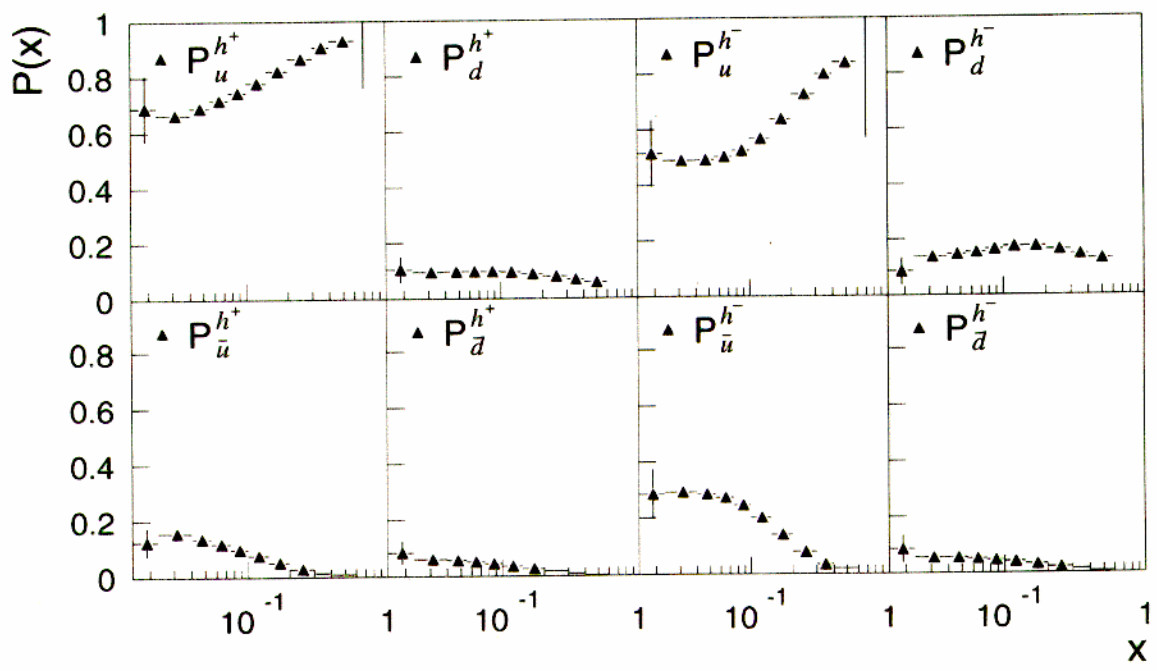
$$\vec{A} = \mathcal{P} \vec{Q}$$

# Generated Purities

- Generated hadron-quark purities, e.g.  $P_u^{h^+}(x, z)$  (proton target):



- Integrated over  $z$ :





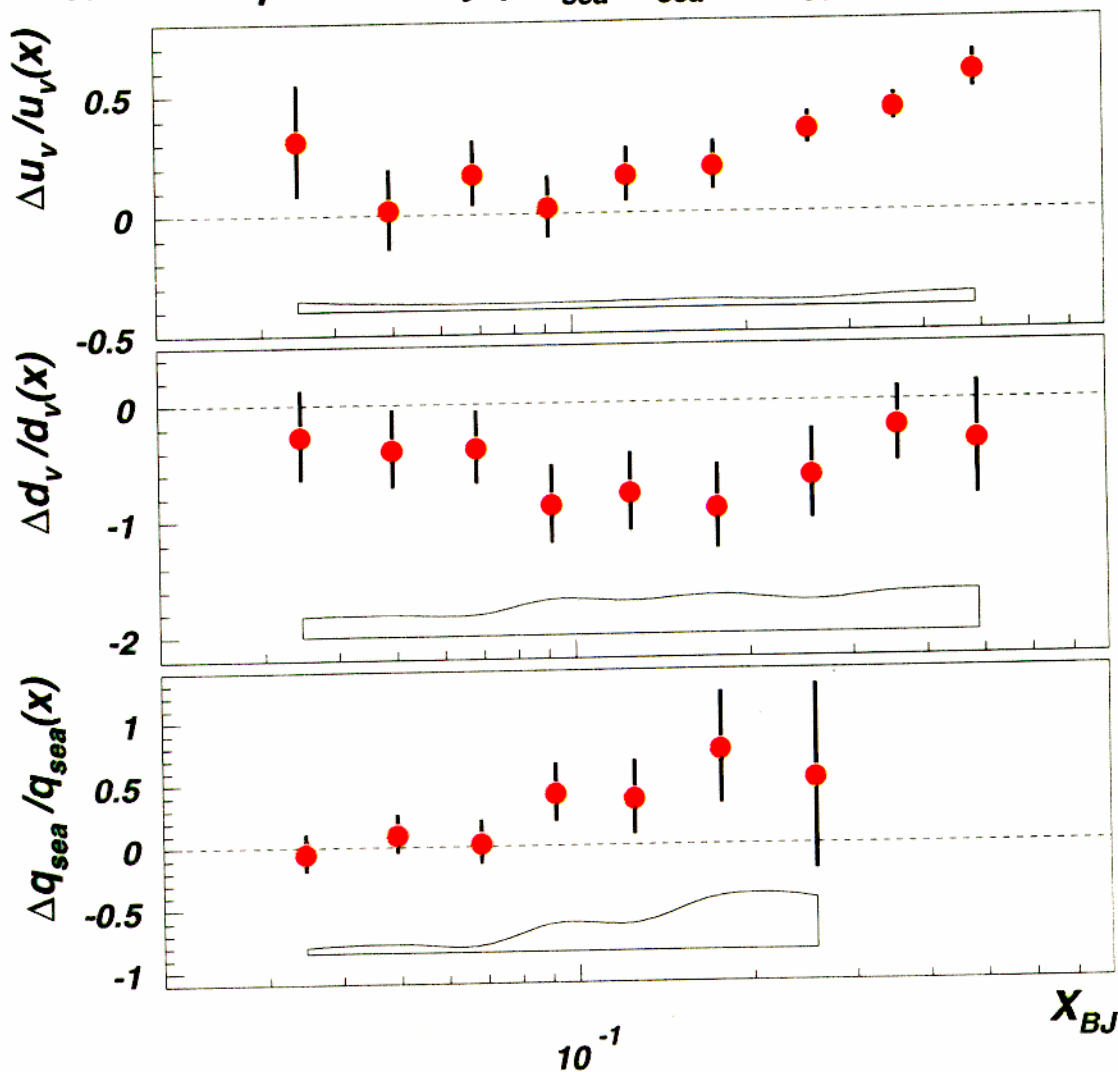
# Polarized Quark Distributions

- Sea purities are too small for decomposition with available statistics  
 → assume a polarization symmetric sea

$$\frac{\Delta u_{sea}}{u_{sea}} = \frac{\Delta d_{sea}}{d_{sea}} = \frac{\Delta s}{s}$$

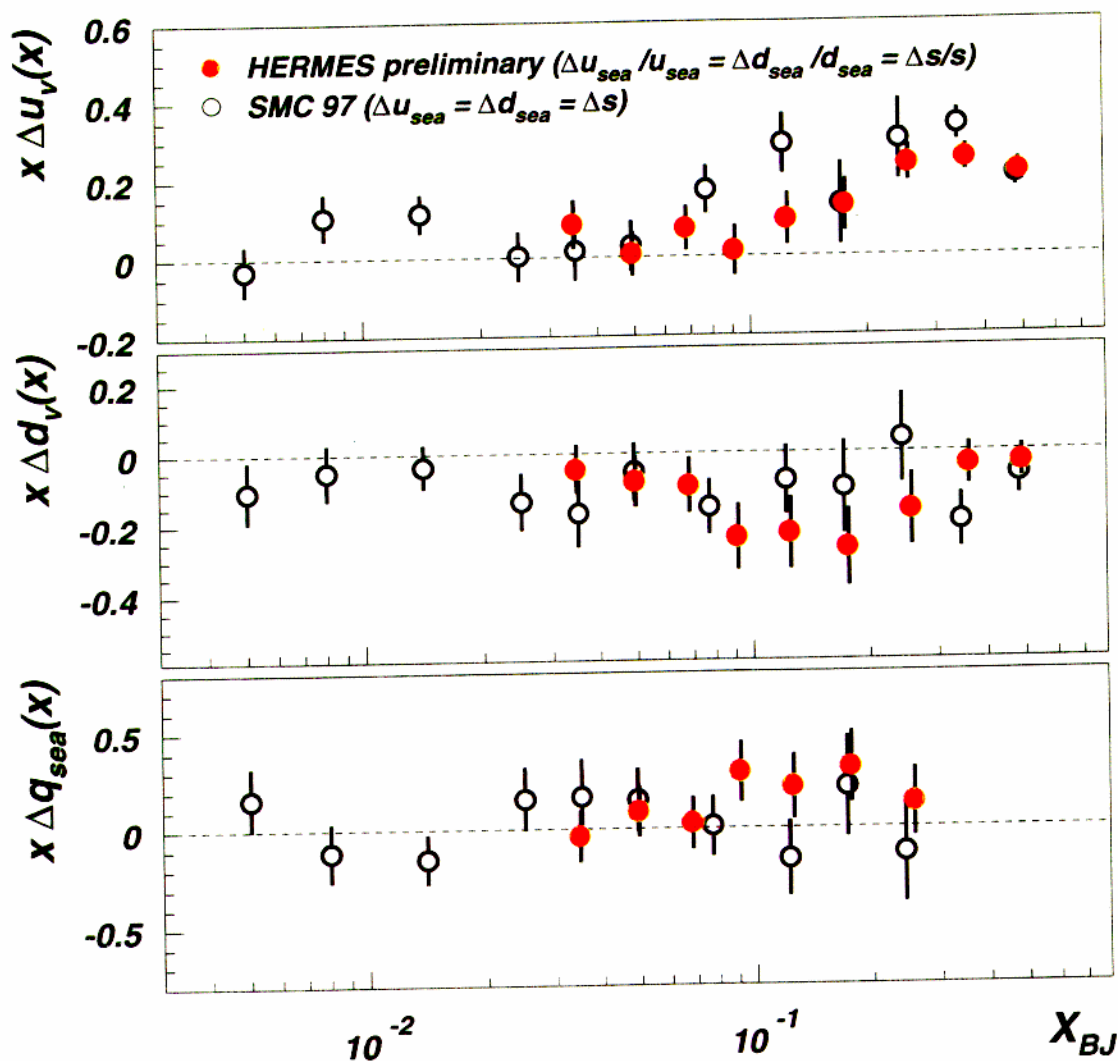
to reduce number of quark polarizations

**HERMES preliminary** ( $\Delta u_{sea}/u_{sea} = \Delta d_{sea}/d_{sea} = \Delta s/s$ )



# Comparison to SMC

*statistical errors only*



- SMC results (at  $Q_o^2 = 10 GeV^2$ ) are in rough agreement with the extracted  $\Delta q$  functions at Hermes (at measured  $Q^2$ )
- Different sea quark assumptions change results by less than 10%

## Light Sea Flavor Asymmetry

In QPM:

- assuming:
  - flavor symmetric light quark sea
  - isospin symmetry between  $p$  and  $n$
- results in Gottfried sum rule

$$S_G = \int_0^1 \frac{dx}{x} (F_2^p(x) - F_2^n(x)) = \frac{1}{3} - \frac{2}{3} \int_0^1 (\bar{d}(x) - \bar{u}(x)) dx$$

- NMC:  $S_G = 0.235 \pm 0.026$  (Phys. Rev. D50, 1 (1994))
- Hermes can measure the ratio  $(\bar{d} - \bar{u})/(u - d)$  from ratio of charged pion yields in semi-inclusive DIS on  $^1H$  and  $^2D$ .

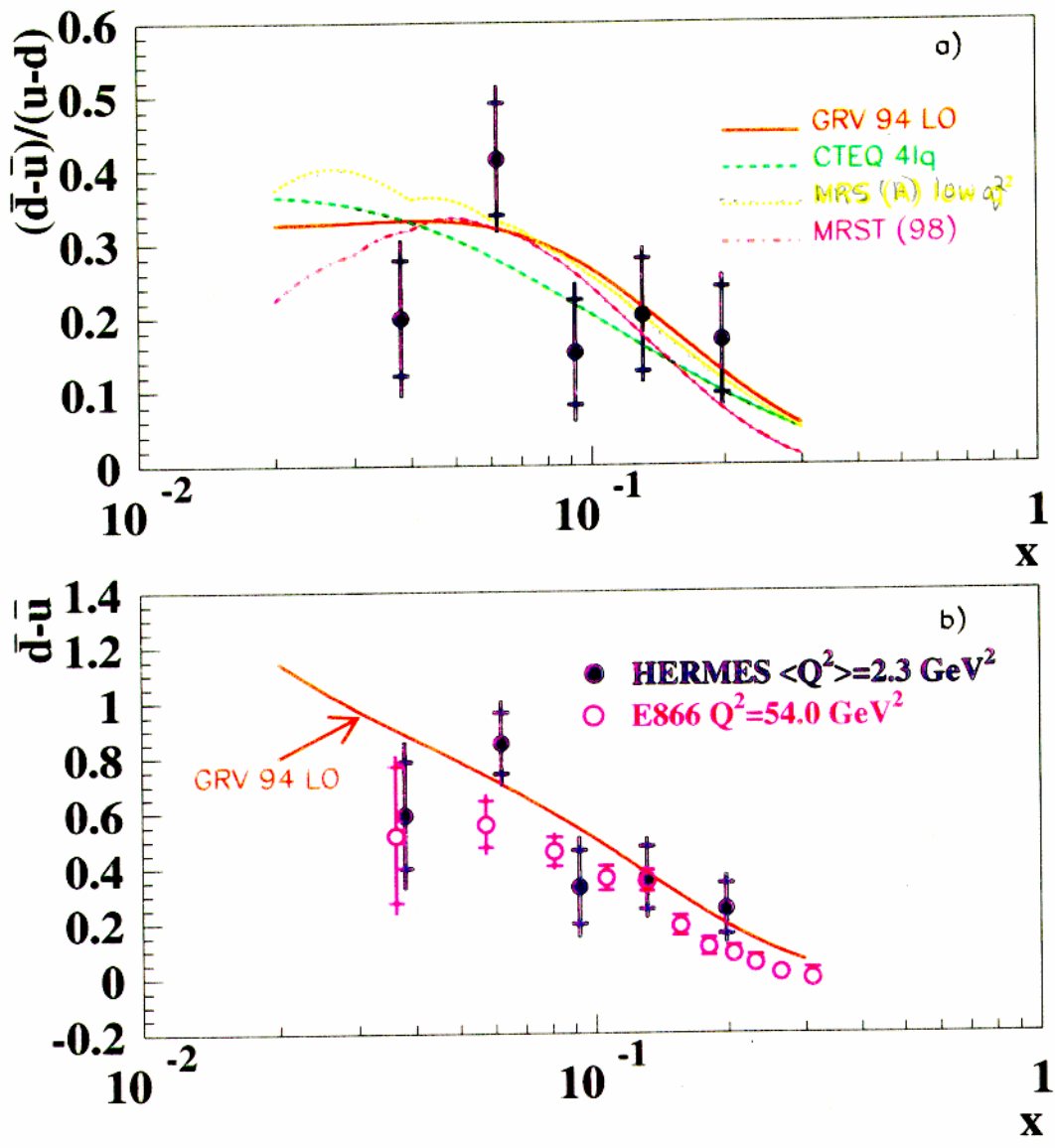
$$r(x, z) = \frac{N_p^{\pi^-}(x, z) - N_n^{\pi^-}(x, z)}{N_p^{\pi^+}(x, z) - N_n^{\pi^+}(x, z)}$$

With  $J(z) = \frac{5}{3} \left( \frac{1 + D'(z)}{1 - D'(z)} \right)$  and  $D'(z) = \frac{D_u^{\pi^-}(z)}{D_u^{\pi^+}(z)}$

one receives

$$\frac{\bar{d}(x) - \bar{u}(x)}{u(x) - d(x)} = \frac{J(z) [1 - r(x, z)] - [1 + r(x, z)]}{J(z) [1 - r(x, z)] + [1 + r(x, z)]}$$

# Light Sea Flavor Asymmetry — Comparison to E866



- Sea asymmetry in semi-inclusive DIS (HERMES) and Drell–Yan (E866) experiments agree
- $Q^2$  of two experiments differ by factor  $\sim 20$

## Future → 2000

Upgrades to HERMES spectrometer completed:

Kaon identification with RICH → probe strange sea

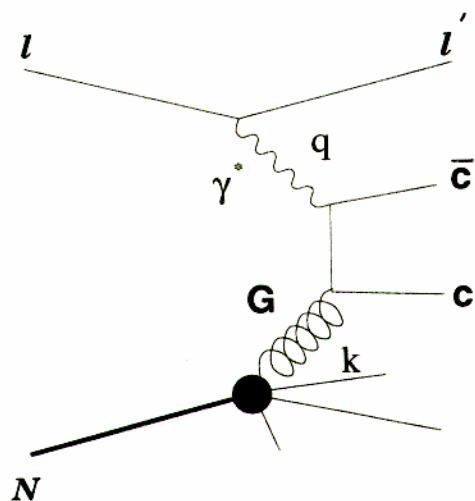
Charm Identification → probe gluon spin

Exp.	Year	Beam		Target	meas. quantities
E155x	99	49 GeV	$e^-$	$\text{NH}_3$	$A_2^p$
HERMES	98/99	28 GeV	$e^-$	D	$A_1^d$ $A_{1,h}^d$ $A_{1,\pi}^d$ $A_{1,K}^d$ charm production

- end of 99:
- precise knowledge of  $g_1^p$  and  $g_1^n$
  - precision test of Bjorken Sum Rule
  - precise knowledge of  $\Delta u_v$ ,  $\Delta d_v$ , and  $\Delta(\bar{u} + \bar{d})$
  - first direct measurement of  $\Delta s$
  - first direct measurement of  $\Delta G/G$  ?

# Possibility to measure gluon spin directly

photon gluon fusion:



$J/\Psi$   
open charm  
HERMES  
COMPASS  
E-156

Dijet production:  
polarized HERA

direct photon gluon production:  $g + q \rightarrow \gamma + q$   
RHIC

## Summary of experiments to probe gluon spin

Experiment	when ?	kin. range	$\delta \left( \frac{\Delta G}{G} \right)$
HERMES	running	$x_g \sim 0.3$	$\sim 0.5$ /year after 98
RHIC	$\sim 2001/2$	$x_g \sim 0.05 - 0.3$	$\sim 0.01 - 0.3$
COMPASS	$\sim 2002/3$	$x_g \sim 0.15$	$\sim 0.1$
SLAC E-156	deferred	$x_g \sim 0.1 - 0.5$	$\sim 0.02$
pol. HERA	not approved	$x_g \sim 0.01 - 0.1$	$\sim 0.1$

## Summary

- HERMES successfully finished third year of running
- Competitive results on inclusive and semi-inclusive structure functions using a novel technique with different systematic errors than previous experiments
- 1997/98 upgrades
  - Kaon identification with RICH (probe strange sea)
  - Charm identification (probe gluon spin)
- HERMES will provide **NEW** information on the spin structure of the nucleon