

Giorgio Riccobene INFN-LNS

Motivations

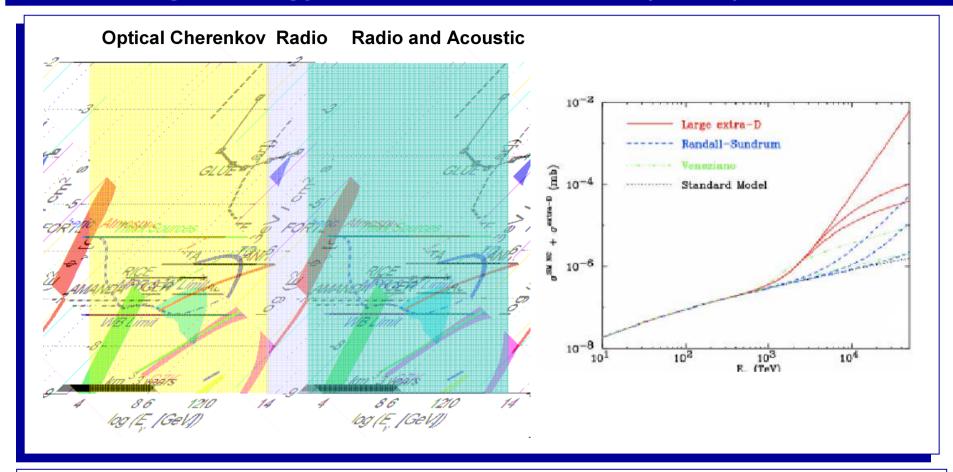
UHE neutrino fluxes

Neutrino cross section at extreme enegy





High Energy Neutrinos: What We (don't) Know



Extending the neutrino observation to extreme energies

astrophysics UHECR origin, GZK neutrinos

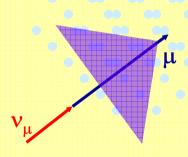
cosmology decay of Plank scale massive particles, Topological Defects,...

particle physics study neutrino cross section

Large Area Detectors for HE neutrinos

1000 ZeV

Optical Detection (ICECUBE-KM3NeT)



Medium: Seawater, Polar Ice

 v_{μ} (throughgoing and contained) $v_{e,\tau}$ (contained cascades)

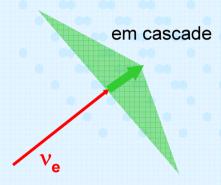
Carrier: Cherenkov Light (UV-visible)

Attenuation length: 100 m

Sensor: PMTs

Instrumented Volume: 1 km³

Radio Detection (RICE, SALSA)



Medium: Salt domes, Polar Ice

 ν (cascades)

Carrier: Cherenkov Radio Attenuation length: 1 km

Sensors: Antennas

Instrumented Volume: >1 km³

Acoustic Detection (Prototypes)

hadron cascade em cascade

Medium: Seawater, Polar Ice, Salt Domes

v (cascades)

Carrier: Sound waves (tens kHz)
Attenuation length: ~ 10 km

Hydro(glacio)-phones

Instrumented Volume: >100 km³

A Short Summary of Activities on Acoustic Detection

1957 Askaryan

Markov Zeleznyk

1979 Learned

BNL, Harvard, SLAC - Beam Experiments

'80s DUMAND

Kamchatcka

'90 SADCO

2000's BAIKAL (ITEP, MSU, Irkutsk)

ANTARES (Erlangen, Marseilles, Valencia)

SAUND (Stanford, US Navy)

ACORNE (Imperial College, Lancaster, Northumbria, Sheffield, UCL)

SPATS (DESY Zeuthen, Berkeley, Gent, Stockholm, Uppsala,...)

NEMO (LNS, Roma, Pisa, Genova)

Beam Experiment, Simulation, R&D, deep sea measurements thanks to neutrino telescopes' infrastructures and military facilities after the end of cold war

The Thermo-Acoustic Mechanism

Basic Theory

Beam Test Experiments

Neutrino Acoustic Detection





Basics of thermo-acoustics mechanism

A pressure wave is generated instantaneous following a sudden deposition of energy in the medium (neglecting absorption: O(10 km) at 10 kHz)

Istantaneous deposition of heat through ionization

$$t_{deposition} \approx D/c \approx 10^{-7}$$
:10⁻⁸ sec

Thermo-acoustic process:

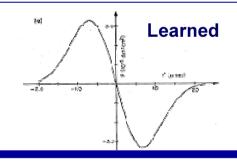
increase of temperature (specific heat capacity C_p), expansion (expansion coeff β)

$$t_{expansion} \approx 10^{-5} \text{ sec } > t_{deposition}$$

$$\nabla^{2} \mathbf{p} - \frac{1}{\mathbf{c}_{s}^{2}} \mathbf{p} = -\frac{\beta}{\mathbf{c}_{n}} \cdot \frac{\partial \epsilon(\mathbf{r}, \mathbf{t})}{\partial \mathbf{t}}$$

For a point like source (micropulse):

$$p(r,t) \propto \frac{E_0 \beta}{4\pi c_p} \frac{\partial}{\partial t} \frac{\delta \left(t - \frac{r}{c_s}\right)}{r}$$
Bipolar pulse spherical expansion



For a shower heating a volume of matter (macropulse):

$$\mathbf{p(r,t)} \propto \frac{\beta}{4\pi c_{p}} \frac{\partial}{\partial \mathbf{t}} \int \frac{1}{\mathbf{r}} \varepsilon \, d\mathbf{V}$$

Sum of pointlike sources: wavefront and signal shape depend on the energy density distribution



Accelerator Experiments: results and open questions

Brookhaven NL (Harvard, SLAC) 1979

200 MeV proton beam (LINAC)

Spill time 3 to 20 us

Beam diameter 4.5 cm

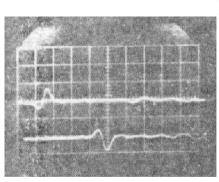
Energy deposited in water 10¹⁹→10²¹ eV

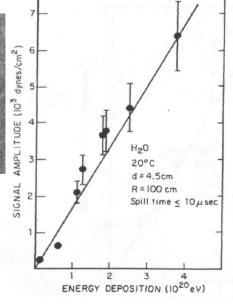
Bipolar pulses observed

Dependency on C_p, T and on beam

diameter confirmed (about 10%

uncertainty)





Recent measurements (2000's)

Uppsala: 177 MeV p

 $E = 10^{16} - 10^{17.5} eV$

Bipolar pulse observed

Unclear dependence on temperature

Other contibution to observed pulses?

ITEP Synchrotron: 100, 200 MeV p

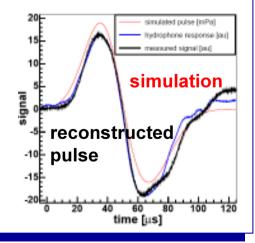
 $E = 10^{15} - 10^{20} eV$

Measured pressure increses linearly with E

Erlangen Laser Nd-YaG

 $E = 10^{17} - 10^{19} eV$

Dependence on C_p confirmed





Neutrino Acoustic Detection Principle

- → Neutrino Interaction (strong Earth absorption: look upward!)
- \rightarrow Hadronic shower formation at interaction vertex (v_e e.m. shower)
- → H shower carries (on average) ¼ E_v
- → Shower Development (LPM must be taken into account for EHE)
- → Sudden deposition of heat through ionization
- \rightarrow Thermo-acoustic process: Increase of temperature (C_p), Volume Expansion (β)
- ightarrow The "pen shaped" energy deposition region (20 m depth, 10 cm diameter) produces a pancake shaped acoustic wave peak wavelength $\lambda \approx 2d \qquad f = \frac{c_s}{2d} \approx \textbf{10 kHz}$

ightarrow Acoustic wave propagation in the medium: near field $p_{max}(r) \propto \frac{1}{\sqrt{r}}$

neutrino

Weak interaction

Hadronic shower

v_e e.m.shower





Acoustic pulse amplitude in Salt, Water, and Ice

Conversion of ionization energy into acoustic energy

Med Sea

S.P. ice

NaCI

T [°C]

14°

-51°

30°

 $c_s \text{ [m s}^{-1}]$

1545

3920

4560

β **[K**-1]

25.5x10⁻⁵

12.5x10⁻⁵

11.6x10⁻⁵

 C_P [J kg⁻¹ K⁻¹]

3900

1720

839

$$\gamma = c_s^2 \frac{\beta}{C_p}$$

0.12:0.13

1.12

2.87

Gruneisen coefficient

$$\mathbf{p}_{\text{max}} \approx \mathbf{E}_{\nu} \times \frac{1}{4} \times \mathbf{\gamma} \approx 6 \cdot 10^{-21} \mathbf{E}_{\nu} \left[\frac{\mathbf{Pa}}{\mathbf{eV}} \right]$$

in water



The Size of Neutrino Acoustic Detectors

$$E_{v} = 10^{20} \text{ eV}$$

in water: p = 0.6 Pa @ 1 km \rightarrow 20 mPa (neglecting attenuation)

in Ice: p = 6 Pa @ 1 km \rightarrow 200 mPa (neglecting attenuation)

Underwater Cherenkov detectors Upgoing events – 100 TeV

$$\begin{split} & \textbf{P}_{\nu\mu} \left(\textbf{E}_{\nu} \textbf{,} \textbf{E}_{\mu}^{\text{min}} \right) = \textbf{R}_{\mu}^{\text{eff}} \sigma_{\text{CC}} \textbf{N}_{\text{A}} = & 10^{-4} \\ & \frac{\textbf{N}}{\textbf{A}_{\text{eff}} \cdot \textbf{T}} = & \underbrace{\boldsymbol{\Phi}_{\nu} \boldsymbol{P}_{\nu\mu} 2\pi \textbf{e}^{-\textbf{D}(\textbf{N}_{\text{A}} \sigma_{\text{Tot}} \boldsymbol{\rho}_{\text{Earth}})}_{\text{WB flux}} \approx 100 \; \frac{\text{events}}{\text{km}^2 \text{y}} \end{split}$$

Underwater Acoustic detectors Downgoing events – 10²⁰ eV

$$\begin{aligned} & \textbf{P}_{\text{det}}(\textbf{E}_{v}, \textbf{p}_{\text{min}}) = \textbf{H}_{\text{det}}^{\text{eff}} \sigma_{\text{Tot}} \textbf{N}_{\textbf{A}} \approx 10^{-3} \\ & \frac{\textbf{N}}{\textbf{A}_{\text{eff}} \cdot \textbf{T}} \approx 10^{-3} \ \frac{\text{events}}{\text{km}^{2} \text{y}} \end{aligned}$$

Sound absorption length in ocean O(10 km), noise O(10 mPa)

Several groups developing and improving simulation codes for large acoustic detectors What we can do with 1 km³ filled with hydrophones?





Studies for a Future Large-Scale Acoustic Detector

Study of Medium Properties





Study of the Medium Acoustic Properties: Water

Complex but well characterized by several military studies

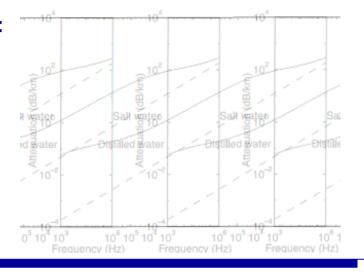
Absorption is mainly caused by chemical relaxation:

 $B(OH)_3$ 50 Hz - 5 kHz

 $MgSO_4$ 5 kHz – 500 kHz

$$a_{sound} = \left(\frac{8\pi^2 \kappa}{3\rho c_s^3}\right) f^2$$

L_a ≈ 10 km (at 10 kHz)



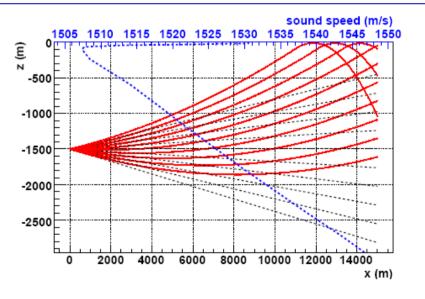
Sound velocity in water changes as a function of depth, tempeature and salinity

at surface (T,S) dominated at large depth (increases linearly with pressure)

$$c_s = 1545$$
 m/s $\frac{\Delta c_s}{\Delta z} = 1.65$ cm/s/m

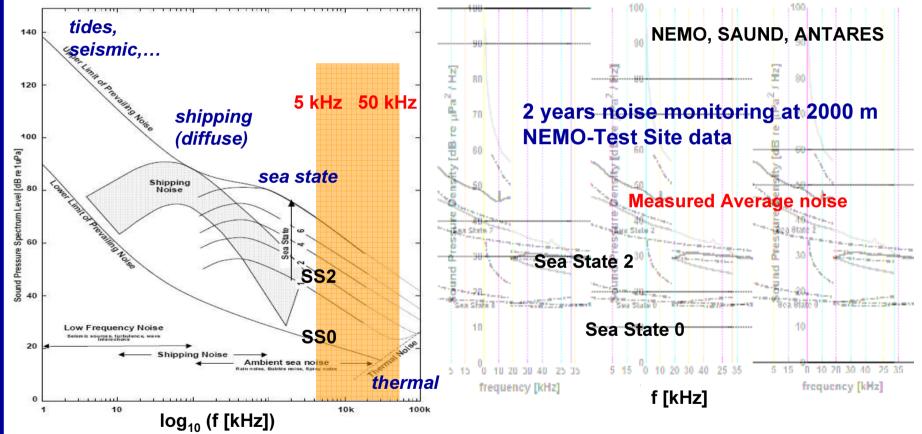
→ refraction

pancake shape modification



Acoustic Noise in Water

Diffuse noise: Seismic, surface waves (wind), rain, thermal noise
Impulsive noise: Cetaceans, man made shipping (also diffuse!) and instrumentation
Man made noise is increasing (1 dB/year in densely inhabitated seas)



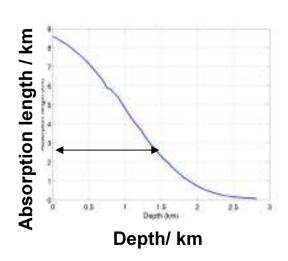
Knudsen's Formula

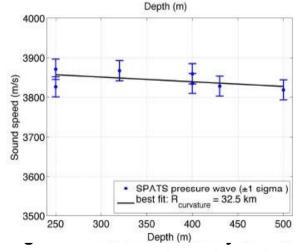
$$P(f_{Hz}, SS) = 94.5 - 10 \log f^{5/3} + 30 \log (SS + 1)$$

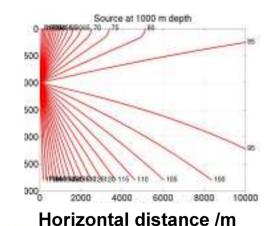
Study of the Medium Acoustic Properties : Polar Ice

Not a well known medium...Need accurate in situ measurements!

scattering	absorption	speed of sound
Rayleigh scattering at crystal boundaries \rightarrow crystal size \rightarrow frequency $\lambda_s \sim a^3 \times f^4$ theory: $\lambda_s (10 \text{ kHz}) = 800 \text{ km}$ $\lambda_s (100 \text{ kHz}) = 0.2 \text{ km}$	molecular reorientation → energy loss in relaxation temperature dependent crystal size dependent South Pole: λ _a (200m) = 8 km λ _a (2000m) = 0.8 km	weak temperature dependence strong density dep. →signal refraction important in firn pressure waves: v _s = 3900 m/s shear waves: v _s = 2000 m/s







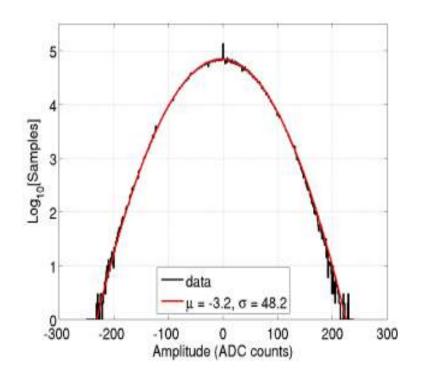
New results from SPATS



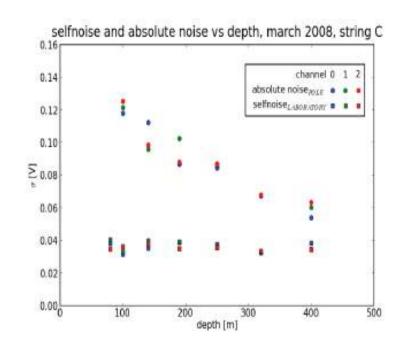
Acoustic Noise in Ice

SPATS Measurements:

Noise is stable
Gaussian
Independent on weather conditions
No seasonal variation observed



Changes as a function of depth



Absolute value determination is not possible now due to change of glaciophone sensitivity with pressure and temperature.

Needs in situ calibration



Studies for a Future Large-Scale Acoustic Detector

Acoustic Neutrino Event Simulation

Event Reconstruction

Expected Effective Volume and Sensitivity



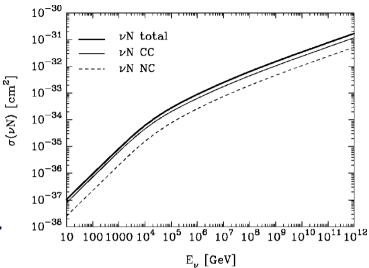


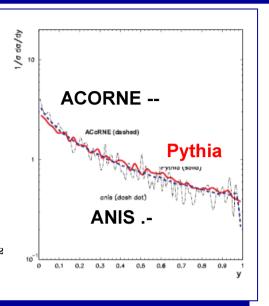
Simulations of neutrino interaction and shower propagation

Neutrino Interaction

ANTARES(Erlangen, Marseilles)
SAUND
Ghandi et al.

ACORNE
ANIS (from Amanda)
HERWIG+CORSIKA
neutrino shower simulator



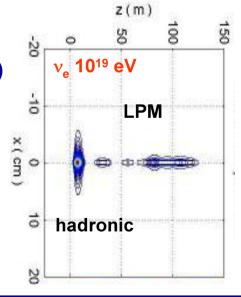


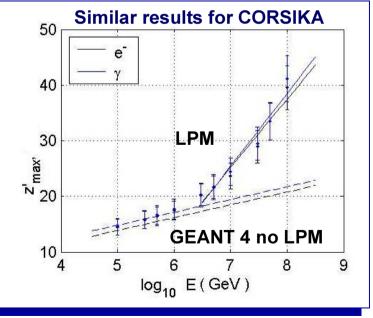
Shower development

Zheleznyk and Dedenko (e.m. shower including LPM)

SAUND hadronic Alvarez Muniz-Zas

ANTARES (Marseilles)
Hadronic + e.m.
GEANT 4 + LPM







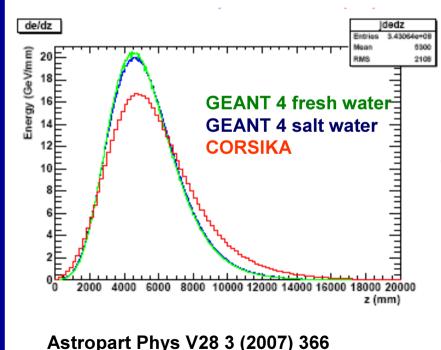
Simulations of neutrino interaction and shower propagation

Shower development

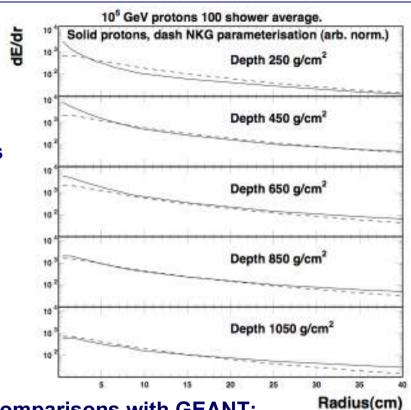
ACORNE:

CORSIKA modified for water

transverse and longitudial energy deposits have been parameterized for fast simulations



Comparison with NKG: less energy at smaller radii low frequency contribution enhanced)



Comparisons with GEANT: ~ 10% lower at peak Showers broader

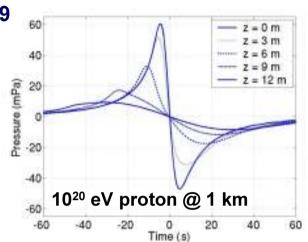
Acoustic Wave Propagation in Water and Ice

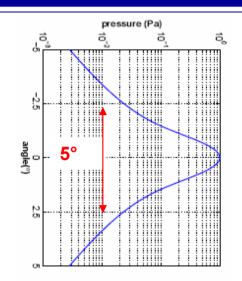
Based on the Learned paper 1979

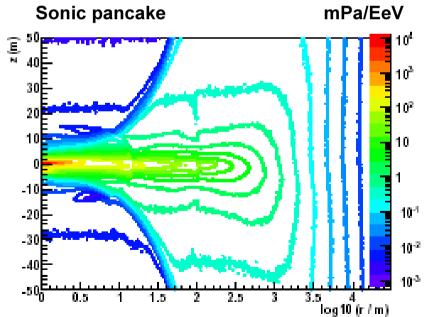
Thermoacoustic model + sound waves interference

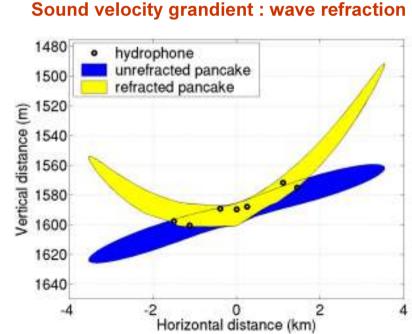
 $p_{max} \sim 6 \cdot 10^{-21} \text{ Pa/eV}$ pancake shaped wavefront

ACORNE, SAUND, **ANTARES (Erlangen, Marseilles)**













Event Detection and Reconstruction

Event trigger:

ACORNE, SAUND

Matched filter on signal

(factor 3 improvement SNR)

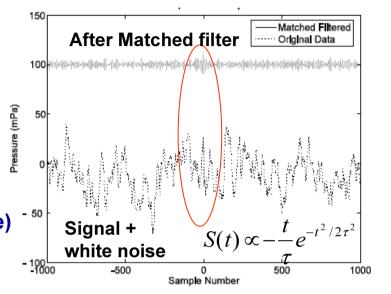
Caveat : signal is different at different angles: a number of matched filters should be applied

(ACORNE)
Threshold 35 mPa

(1 False alarm over 10 years for calm sea noise)

Beamforming

gain √(NHydros) for white noise



Vertex Reconstruction:

ACORNE, SAUND

At least 4 hydrophones required

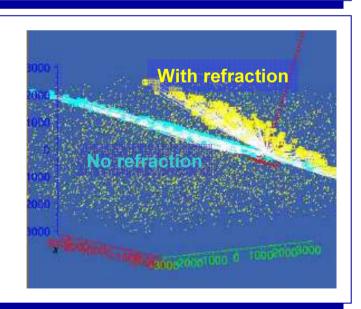
Homogeneous medium: Exact analytical solution

Real Case (Sound Velocity Profile): Ray Tracing

Caveat: refraction and surface/bottom reflections

Event Energy reconstruction:

Estimate energy from reconstructed distance and wavefront shape and amplitude

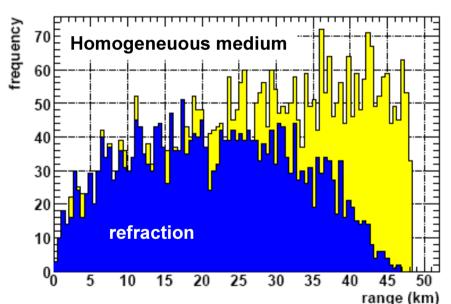


Acoustic Detector Effective Volume

ANTARES (Erlangen, Marseilles), ACORNE

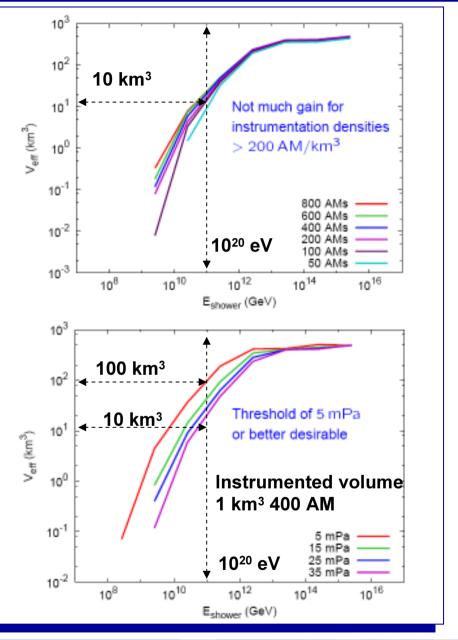
Effective volume:
$$V_{\rm eff} = \frac{N_{\rm det}}{N_{\rm gen}} V_{\rm gen}$$

Generation volume is limited due to wave refraction and reflections on surface/bottom

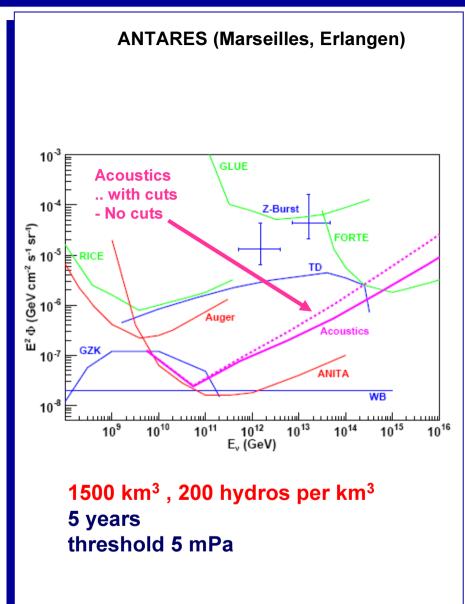


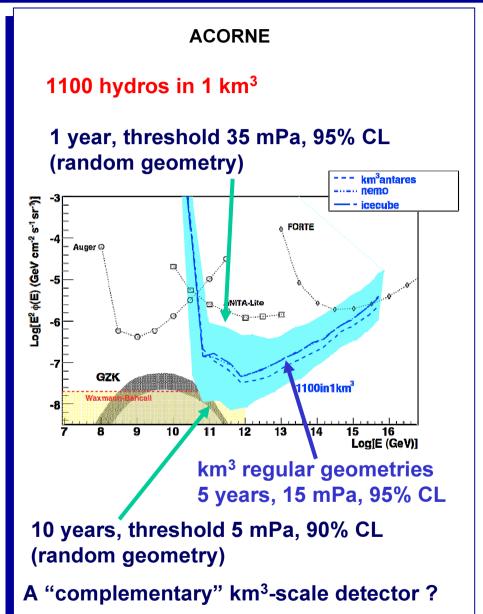
Sea State 0 noise < 2 mPa [10kHz to 50 kHz] Not realistic for long term measurements

Sea State 2 noise ~ 10 mPa [10: 50 kHz]



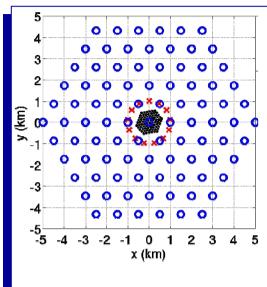
Acoustic Detector Sensitivity





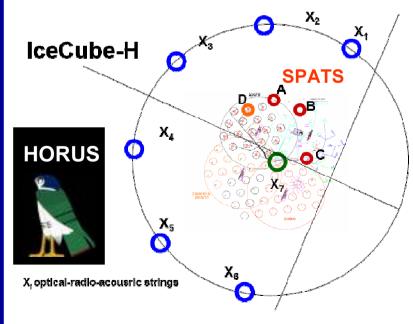


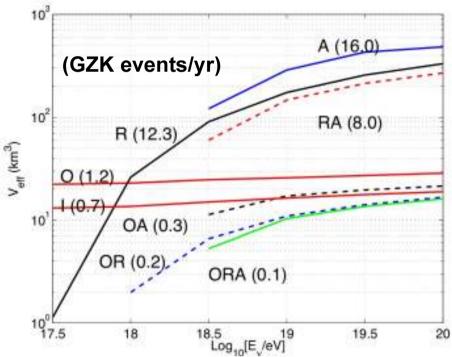
Hybrid detector in Ice



Optical:

- - 80 IceCube
- X 13 IceCube-Plus holes at 1 km radius (2.5 km deep) Radio/Acoustic:
- O 91 holes, 1 km spacing, 1.5 km deep





Coincident effective volumes + event rates for IceCube (I), an optical extension (O), and combinations with surrounding A + R arrays





Technological R&D

Transducers

piezo hydrophones

glaciophones

fiber optic hydrophones

Calibrators





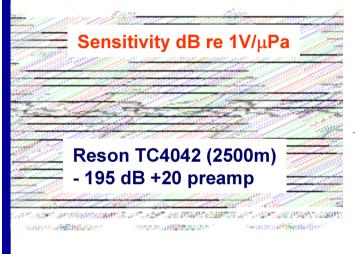


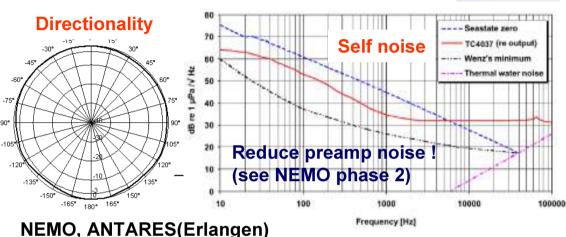
Transducers: Piezo Hydrophones

Commercial Piezo Hydrophones (for deep sea)

There is a good number of companies expert in developing hydrophones for military and navigation instrumentation.

Also ceramic available on the market to build hydrophones





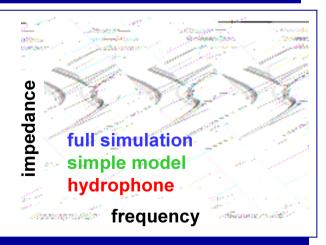
Custom Piezo hydrophones (for deep sea)

acoustic sensors with performance wellmatched to expected signal

Microscopic model of piezo and coupling Solved using Finite Element Analysis

Results predictions using equivalent circuits BAIKAL, ANTARES (Erlangen)





2.5 cm

Transducer Amplitude Calibrations

Commercial Hydrophones

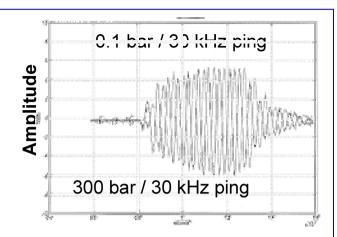
factory calibrated:

- → piston test at 250 Hz, water pool test > 5 kHz (typical)
- → directionality pattern sensitivity often changes with pressure (about 10 dB less at 3500 m)

High pressure Tests:

NEMO and **NURC** (NATO Undersea Research Centre)

developing a standard procedure for relative calibration under pressure Hydrophone response at 0.1 and 300 bar (after several cycles)

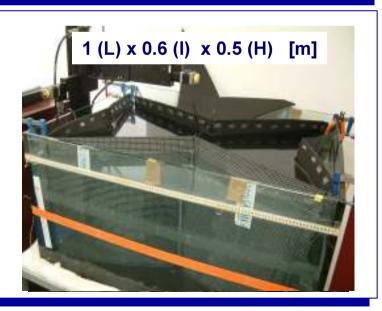


SMID/NURC hydrophone for NEMO Phase 2

Self made hydrophones / glaciophones

Calibration at low depth in large or phono-absorbant pools

NEMO and CNR Corbino (4.5 x 6 x 5.5 m³ pool)
SPATS 78 x 10 x 5 m³ pool
ANTARES (Erlangen) 14 m³ tank, T controlled tank
ANTARES (Valencia) butterfly shaped small tank

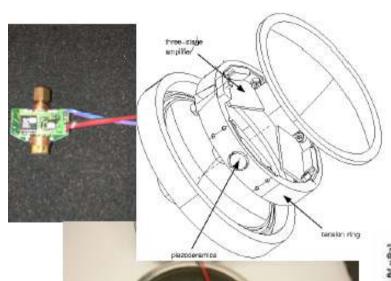








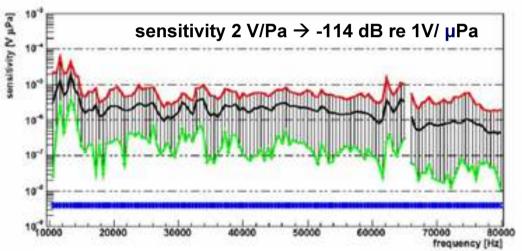
Transducers: Glaciophones



The SPATS Module:

3 channels

- Piezoceramic
- Low noise preamplifier
- Precalibrated screw



Mass production, typical calibration (in water pool) Reference hydrophone: Sensortech SQ03: -163.3±0.3 dB re 1V/μPa

Transducers: Fiber Optic Hydrophones

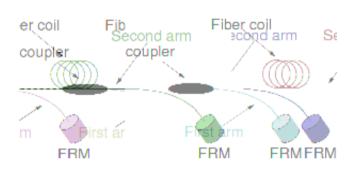
Optical fibre hydrophones are very interesting:

1) they're cheap

2) could be used to produce 1 km height vertical arrays

INFN Genova: Fibre optic coiled on an (air) mandrel. Fibre attenuation proportional to Pa. Good sensitivty upto 5 kHz, low resonance frequency (10 kHz).

Under study: moulding and pressure tests, increase mandrel diameter

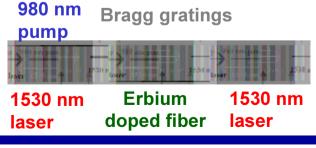




INFN Pisa: Herbium doped fibres between Bragg gratings.

Pump at λ_p =980 nm $\rightarrow \lambda_L$ = 1530 nm laser.

Pressure produce change of cavity length and n. Change of λ_L measured with M-Z i.m.



Mach-Zender interferometry

$$\Delta \varphi_{Mach-Zender} = \frac{2\pi \cdot D}{\lambda^2} \Delta \lambda$$

for SS0 (20 dB re 1 μ Pa/ \sqrt{Hz}) $\Delta\lambda$ = 10⁻¹² nm Requires D= 300 m $\Delta\phi$ = 1 μ rad Hard but feasible

"Neutrino Pulse" Calibrators

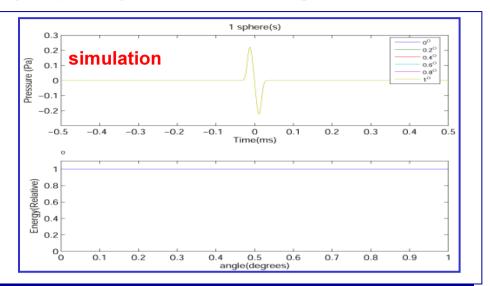
Reliable neutrino signal calibrator: test array capability in reconstructing the ν event

ACORNE

Hydrophone excitation to produce bipolar signal (achieved)

Coherent signal from several hydros to get pankake shape (under development)

Portable Laser calibrator under study



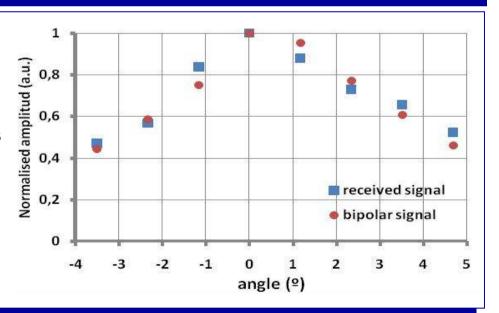
ANTARES (Valencia)

Parametric Calibrator

Transducers excited with 2 ~1 MHz waves

Non linear effect of ceramic

Bipolar kHz pulse proportional to V² Signal confined in narrow angles







Test Experiments

Ice:

SPATS

Sea:

SAUND

ACORNE

AMADEUS

NEMO-OnDE

Lake:

Baikal

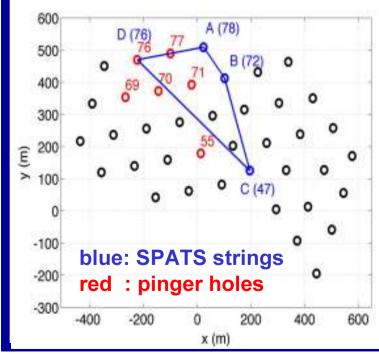


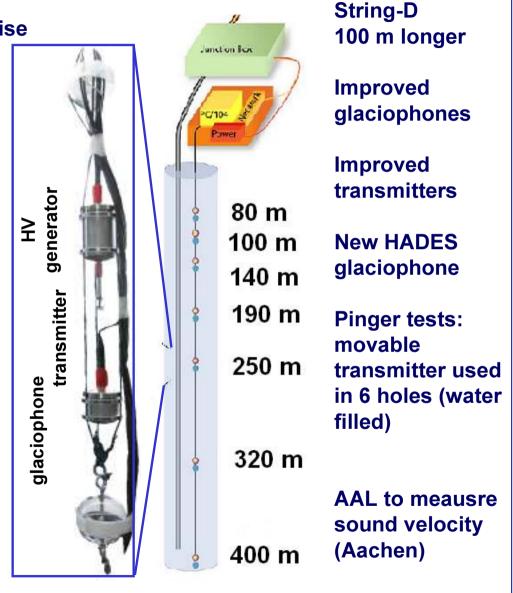
SPATS in ICECUBE Deployment and Operation

Measure ice properties: attenuation length, wave refraction, noise

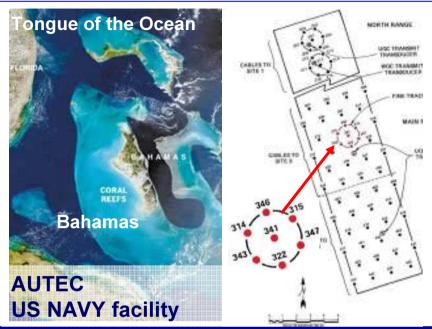
3 strings in IceCube holes 72, 78 47 7 stages per string stage = 1 transmitter + 1 sensor

surface digitization (200 / 400 kHz) **GPS** phased array





SAUND: Study of Acoustic Ultra-high-energy Neutrino Detection



1100 m depth, hydrophones on seabed

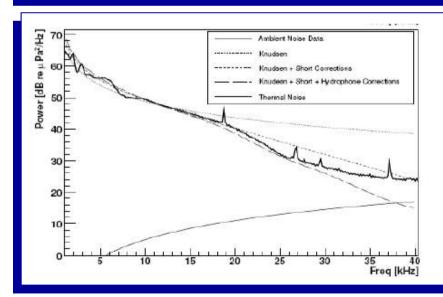
SAUND 1: 6 Hydrophones - 7 km² (signals digitized on shore 100 kHz, 12 bits) 15 days free run SAUND 2: 56 Hydrophones - 1000 km²

(underwater digitization)

120 days DAQ (target 1 year)

Phased onshore. Sensitivity -186 (+50 gain) dB

Event vertex and energy reconstruction Test with imploding light bulbs (proven!)



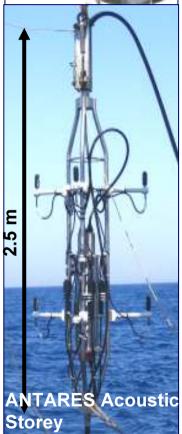
SAUND 2

Ambient noise measured every minute (input for adaptive matched filter)
Accurate background noise studies
Sea state contribution well separated

Triggered event analysis under study

AMADEUS: ANTARES Modules for Acoustic Detection Under the Sea



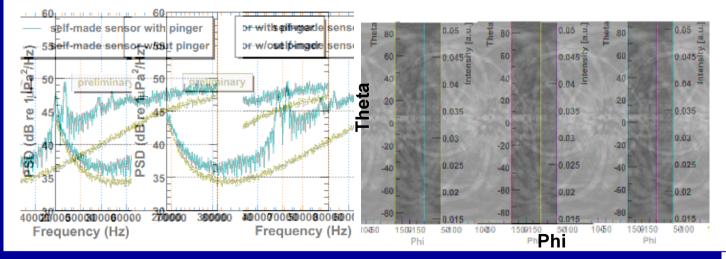


- 3 Acoustics storeys installed on ANTARES Instrumentation Line 07
- 3 Acoustic storeys installed on ANTARES Line 12 (connected)

IL 07 - Deployment: July 2007 Start data taking: December 2007 Each storey has 6 hydrophones. Spacing between storeys 1 to 300 m Two storeys of commercial hydros. One storey of self-made hydros Sampling (underwater) 200 ks/s 16 bits. ANTARES data transmission Clock system for synchronisation of all acoustic sensors

Measure background noise

Cross check with the ANTARES acoustic positioning system
Test for detection and event reconstruction algorithms
Studies of hybrid detection methods (optic and acoustic)



ACORNE: Acoustic Cosmic Ray Neutrino Experiment

QinetiQ /UK Navy facility at Rona (NW Scotland)
Low depth, noisy environment. Test for trigger and reconstruction

Depth: 230 m Area: 1.5 km x 200m

8 hydrophones ITC8201 (10 Hz : 65 kHz, -158 dB re 1V / μ Pa)

Sampling (onshore): 140 kHz, 16 bits



Hydrophone gain and sensitivity well balanced (proven with noise spectra)

Source reconstruction difficult (hydrophones movements not continuously monitored)

Raw data acquistion 15 days in '05, several weeks '06

Raw Data Reduction: (230,000 events)

4 triggers: p, dp/dt, d²p/dt², Matched Filter

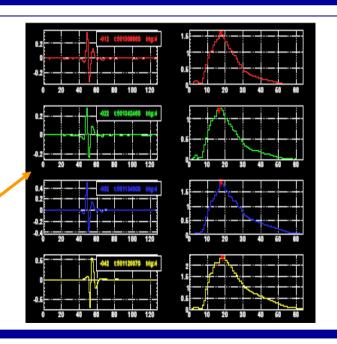
Data analysis: (3500 events)

35 mPa threshold, 4 fold coincidences

Signal classification:

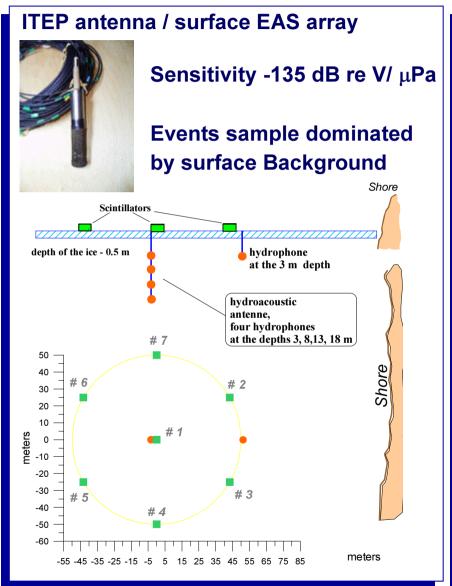
ringing, sinusoidal, high frequency, bipolar, impulse

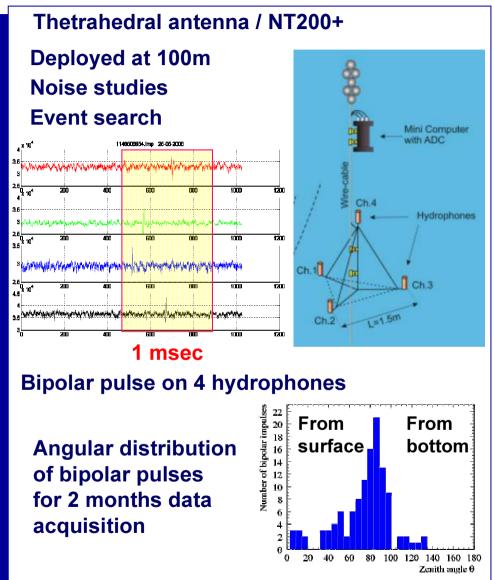
Neural network approach in progress



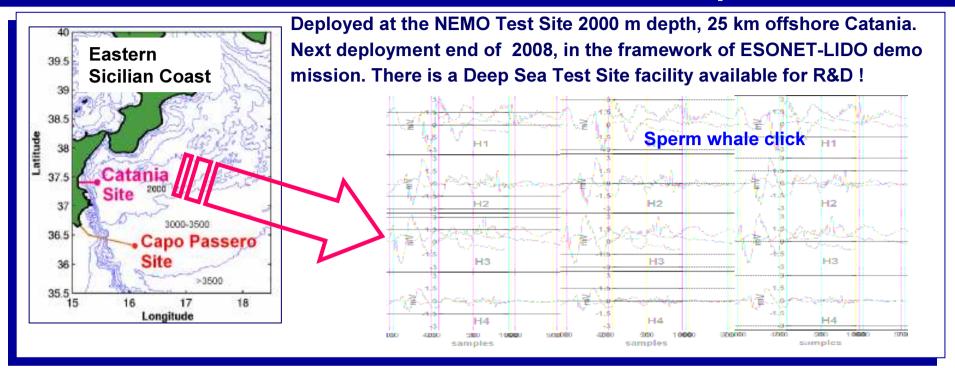
BAIKAL

Infrastructure: BAIKAL NT200+ telescope and surface EAS scintillator array





NEMO-OnDE: Ocean Noise Detection Experiment





Thetrahedral antenna (1m size):

4 Reson TC4042 hydrophones (special production for 2500 m depth).

Low cost professional audio electronics (96 kHz, 24 bit sampling, $\Delta\Sigma$) Hydrophones synchronised and phased.

On-line monitoring and recording on shore. Recording 5' every hour Data taking from Jan. 2005 to Nov. 2006 (NEMO Phase 1 deployed). Sea Noise measurement and modelling (presently under study)

Bioacoustics: study of sperm whales population in the East Med Sea
Test of triggers and reconstruction (limited size: 1m) algorithms

under test (using also ACORNE software tools)





NEMO Phase II – Acoustic Positioning and Acoustic Physics

NEMO Tower **NEMO Phase II: Installation and operation of a "full scale" tower in Capo Passero** 16 floors, 64 Optical Modules, 750 m total height

Same electronics and DAQ and DAT as NEMO Phase I: OM data synchronised and phased (about 1 ns resolution)

34 hydrophones for Acoustic Positioning ... And for Acoustic Physics / Biology

- → Reduce costs and improve reliability of the tower acoustic positioning system
- → 750 m long antenna for feasibility studies on acoustic detection
- → Optical and acoustic data in the same data stream with the same time

All signals are phased!

A viable solution for KM3Net (?)

Hydrophones (SMID-NURC) 30 (-207 dB) + 4 (-201 dB) Tested for 3500 m

Preamp (SMID-NURC) 32 dB gain, 0.8 nV/ √Hz input noise

ADC-board 24 bits, 192 kHz sampling, 3 dB gain

FCM Optical Transmission to shore + GPS time stamp

10 m

2 PMTs, 1 hydrophone

NEMO Floor

2 PMTs, 1 hydrophone





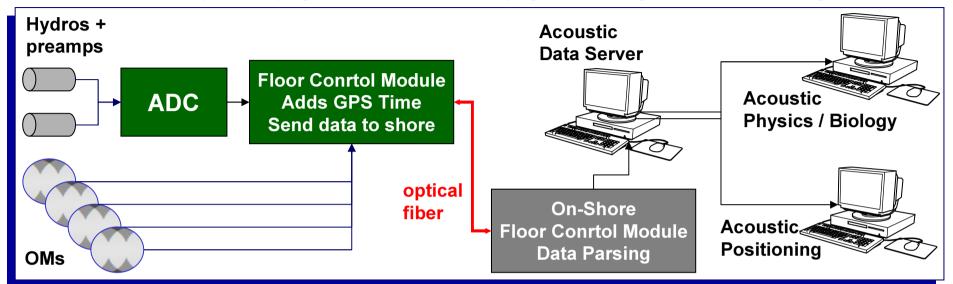


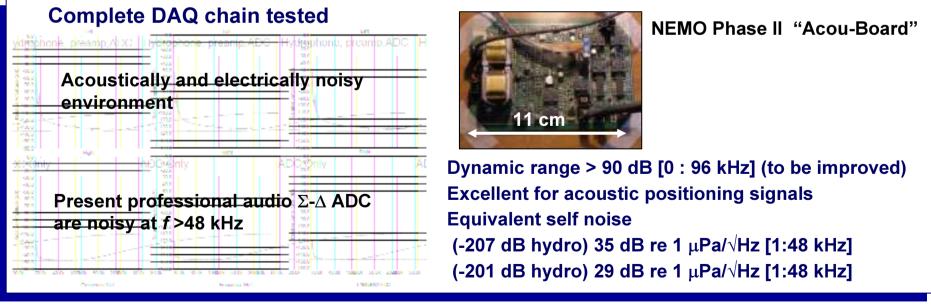


NEMO Phase II – "Acoustic" Electronics Chain

"All data to shore" philosophy

data payload: 2 Hydros = 1 OM, fully sustainable











Summary

Simulations

Several reliable codes available for neutrino interactions and EAS in water / ice, and for acoustic wave formation

Medium properties (acoustic wave propagation, noise)

Water: well known, a deep sea site for a large installation would require further studies

Ice: requires better investigation

Other: Salt, Permafrost (R. Nahnhauer) interesting to investigate

Event trigger and reconstruction

Available, require further improvements

Technological R&D:

Hydrophones (ceramics) available, present costs about 1000€ (could be reduced)

Tune custom hydrophones for neutrino pulse range? Improve sensitivity for high depth

Amplitude calibration required for high pressure (and low temperature in ice)

"Synthetic neutrino pulse" emitters soon availale

Dedicated DAQ or "cheap" professional audio electronics (with improvements)?

Test Sites:

Opportunity to test technology / software

Acoustic detection using the km³ Cherenkov telescope infrastructure:

Acoustic positioning system is required in water, use it also for acoustic physics Performances could be competitive with a small effort... KM3Net?...





Personal Comments

There are lots of improvements in the UHE neutrino acoustic detection field Small groups applying for a common EU FP7 JRA on Acoustics (thanks to L. Thompson)

ARENA Conferences were and are a great opportunity for discussion

Workshop on acoustic detection 2003 Stanford

ARENA 2005 Zeuthen

ARENA 2006 Newcastle

ARENA 2008 Roma, Next June 25-28







Final Note

Dolphins use sound!

They're the second most evoluted species on Planet Earth

... Mankind is only the third!

