

# Leptogenesis

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Physics Reports, in press [arXiv:0802.2962]
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## Plan of Talk

1. Baryogenesis
2. Basics
3. Implications
4. Recent developments
  - Spectator processes
  - Finite temperature
  - Flavor
  - $N_2$  leptogenesis
  - Resonant leptogenesis
5. Variations
6. Conclusions

# Baryogenesis

Sakharov, 1967

## Sakharov Conditions

Nucleosynthesis, CMBR  $\implies$  
$$Y_B \equiv \frac{n_b - n_{\bar{b}}}{s} = \frac{n_b}{s} \sim 10^{-10}$$

The baryon asymmetry can be dynamically generated ('baryogenesis') provided that

1. Baryon number is violated;
2. C and CP are violated;
3. Departure from thermal equilibrium.

## SM Baryogenesis

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Sakharov conditions are met within the SM:

1.  $B - L$  is conserved, but  $B + L$  is violated;
2. CP is violated by  $\delta_{\text{KM}}$ ;
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## SM Baryogenesis

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3. Departure from thermal equilibrium at the EWPT.

The SM fails on two aspects:

1. The Higgs sector does not give a strongly first order PT;
2. KM CP violation is too suppressed.

## Alternative Scenarios

Should have:

- New sources of CP violation
- Either a new departure from TE and  $B - L$  violation
- Or a modification of the EWPT

MSSM baryogenesis is (still) viable:

- New scalars  $\implies$  first order PT is possible;
- At least two new phases  $\implies$  diagonal CP violation;
- Pushed to a corner of parameter space:  
 $m_h < 120 \text{ GeV}$ ,  $m_{\tilde{t}_1} < m_t$  ( $\implies m_{\tilde{t}_2} > TeV$ ),  $m_\chi < 250 \text{ GeV}$ .
- Testable at LHC

# Basics

Fukugita and Yanagida, 1986

## The Seesaw Mechanism

- Atmospheric + Solar Neutrinos  $\Rightarrow m_{\nu_3} \gtrsim 0.05 \text{ eV}$
- In the SM:  $m_\nu = 0$
- Add SM singlets  $N$ :  $\mathcal{L}_N = Y N H L + M N N$   
 $\Rightarrow$  Neutrinos are massive but very light

- “The Seesaw Mechanism”:  $m_\nu \sim \frac{Y^2 \langle \phi \rangle^2}{M}$   
 $(\Rightarrow M/Y^2 \sim 10^{14} \text{ GeV})$

# The Seesaw $\Leftrightarrow$ Leptogenesis Relation

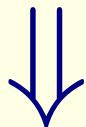
$$\mathcal{L}_N = Y NHL + MNN$$

- Implications:
  1. Lepton number is violated ( $M$ )
  2. New sources of CP violation ( $Y$ )
  3. If  $\Gamma_{N_1} \lesssim H(T = M_{N_1})$  ( $\Rightarrow \tilde{m}_1 \equiv \frac{(Y^\dagger Y)_{11} v^2}{M_1} \lesssim 10^{-3} \text{ eV}$ )  
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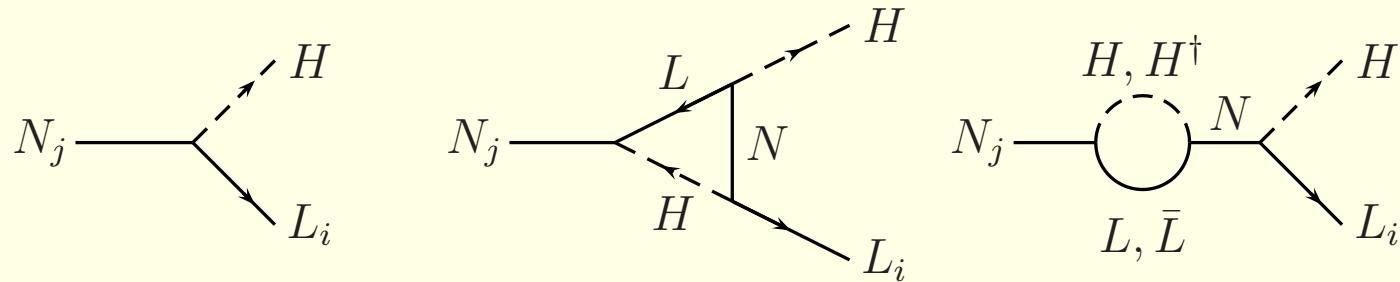
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LEPTOGENESIS

# Leptogenesis at Work



- Lepton number violation at tree level,
- Direct CP violation at one loop,
- Requires at least 2 N's.

$$\epsilon \equiv \frac{\Gamma(N \rightarrow LH) - \Gamma(N \rightarrow \bar{L}H^\dagger)}{\Gamma(N \rightarrow LH) + \Gamma(N \rightarrow \bar{L}H^\dagger)} = \frac{1}{8\pi} \sum_k \frac{\text{Im}[(Y^\dagger Y)_{k1}^2]}{(Y^\dagger Y)_{11}} \times f\left(\frac{M_k^2}{M_1^2}\right)$$

$$\frac{n_B}{s} = -1.38 \times 10^{-3} \eta \epsilon.$$

# Implications

## The relevant parameters

Ignoring flavor, the final  $Y_B$  depends on four parameters:

- $\epsilon$ , the CP asymmetry;
- $M_1$ , the mass of the lightest  $N$ ;
- $\tilde{m}_1 \equiv \frac{(Y^\dagger Y)_{11} v^2}{M_1}$ , the effective neutrino mass;
- $\bar{m}^2 = m_1^2 + m_2^2 + m_3^2$ , the sum of light neutrino mass-squared.

Successful baryogenesis requires

- $M_1 \gtrsim 10^9$  GeV ( $\Rightarrow T_{RH} \gtrsim 10^9$  GeV)

With supersymmetry: gravitino problem?

- No model-independent bound on low energy phases

## Implications

$$\tilde{m}_1 \equiv \frac{(Y^\dagger Y)_{11} \langle \phi \rangle^2}{M_1} \sim 10^{-3} \text{ eV}$$

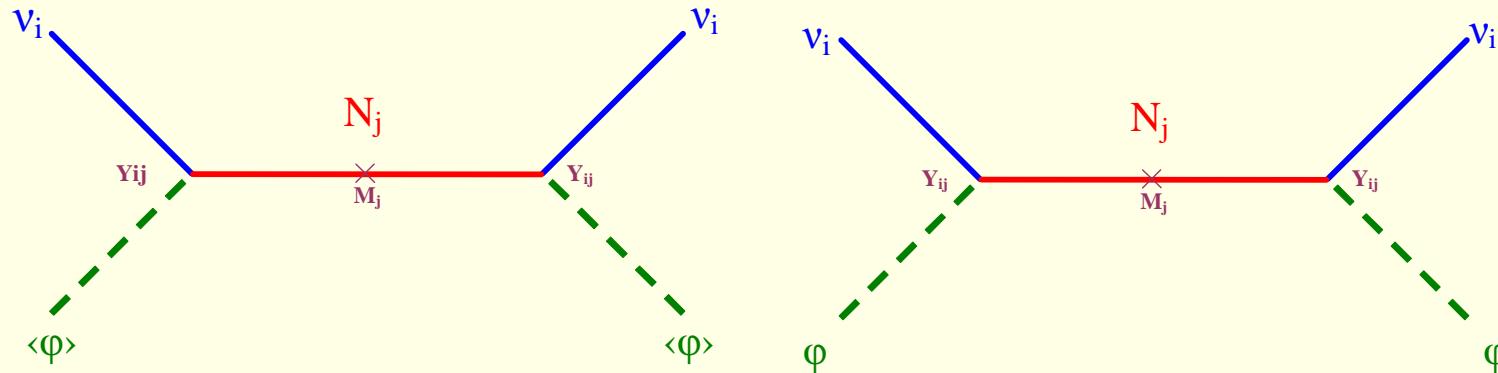

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- $N_1$  Decay rate:  $\Gamma_1 = \frac{M_1(Y^\dagger Y)_{11}}{8\pi}$
- The expansion rate:  $H(T) = 1.66g_*^{1/2} \frac{T^2}{M_{\text{Pl}}}$
- The out of equilibrium decay condition:  $\Gamma_1 \lesssim H(T = M_1)$
- Equivalently:  $\tilde{m}_1 \equiv \frac{(Y^\dagger Y)_{11} \langle \phi \rangle^2}{M_1} \lesssim 10^{-3} \text{ eV}$
- $\tilde{m}_1$  determines almost all washout effects:  $\eta \sim (10^{-3} \text{ eV}/\tilde{m}_1)$
- $\tilde{m}_1$  always larger than the lightest  $\nu$  mass,  $\tilde{m}_1 \geq m_1$

$$\implies \boxed{m_1 \leq \tilde{m}_1 \lesssim 10^{-1} \text{ eV}}$$

## Implications

$$\underline{m_1^2 + m_2^2 + m_3^2 \lesssim (0.15 \text{ eV})^2}$$



Light Neutrino Masses

$$m_i \propto \sum_j Y_{ij}^2 / M_j$$

$L$ -changing 2 $\rightarrow$ 2 Scattering

$$\sigma \propto \sum_i |\sum_j Y_{ij}^2 / M_j|^2$$

- Require that  $\Delta L = 2$  washout effects are not too strong:

$$\underline{m_1^2 + m_2^2 + m_3^2 \lesssim (0.15 \text{ eV})^2}$$

Buchmuller, Plumacher; Giudice et al.

- $T_{\text{LG}} < 10^{12} \text{ GeV} + \text{Flavor effects} \implies m_\nu \lesssim 4 \text{ eV } (10^{10} \text{ GeV}/T_{\text{LG}})^{1/2}$

# Recent Developments

## Spectator Processes

- Fast,  $B - L$  conserving processes:  
Gauge, (Heavy) Yukawa, Sphaleron  
 $\implies$  T-dependent relations among chemical potentials

- $T > 10^{13}$  GeV:  
Higgs asymmetry enhances washout-effects  
 $\implies$  Suppression of  $Y_{B-L}$  by  $\sim 40\%$

Buchmuller, Plumacher, PLB 511 (2001) 74

- $T < 10^8$  GeV:  
Lepton asymmetry transferred into baryons and into SU(2)-singlets  
 $\implies$  Enhancement of  $Y_{B-L}$  by  $\sim 20\%$

Nardi, Nir, Racker, Roulet, JHEP 0601 (2006) 068

## Finite Temperature Effects

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- Finite temperature effects modify masses and couplings  
⇒ Decay and scattering rates depend on temperature
  - The most dramatic effect:  $\frac{m_H^2}{T^2} = \frac{3}{16}g_2^2 + \frac{1}{16}g_Y^2 + \frac{1}{4}y_t^2 + \frac{1}{2}\lambda$ 
    - $N \rightarrow LH$  blocked above  $T \sim 2m_N$
    - $H \rightarrow LN$  opened above  $T \sim 7m_N$
- ⇒  $\mathcal{O}(1)$  corrections to final asymmetry

Giudice, Notari, Raidal, Riotto, Strumia, NP B685 (2004) 89

## Flavor Issues

- $N_1 \rightarrow H\ell_1$ : Define  $K_i = |\langle \ell_i | \ell_1 \rangle|^2$  ( $i = e, \mu, \tau$ )

- $\epsilon_i \sim \epsilon K_i^0 + (K_i - \bar{K}_i)$

- For generic flavor structure ( $K_i = \mathcal{O}(1), \neq 0, 1$ )

$$\eta_i \sim \eta K_i \implies Y_{B-L} \propto \sum_{i=1}^{n_f} \eta_i \epsilon_i \sim n_f (\eta \epsilon)$$

$$n_f = 1_{T > 10^{13} \text{ GeV}}, \quad 2_{10^{11} < T < 10^{13} \text{ GeV}}, \quad 3_{T < 10^{11} \text{ GeV}}$$

- For non-generic flavor structure ( $K_i \ll 1, \neq 0$ )

Large (order of magnitude) effects are possible

- Qualitatively new effects from  $K_i \neq \bar{K}_i$

- $M_1 \gtrsim 10^9 \text{ GeV}$  but  $m_\nu \lesssim eV$

Barbieri et al, NP B575 (2000) 61

Abada et al, JCAP 0604 (2006) 004; Nardi et al, JHEP 0601 (2006) 164

## $N_2$ Leptogenesis

- Common wisdom:  
Pre-existing lepton asymmetry washed-out by  $N_1$  interactions  
Consequence:  $\epsilon_{N_{2,3}}$  can be ignored
- Obviously, not true in the  $N_1$ -decoupling regime  
 $\tilde{m}_1 \ll 10^{-3} \text{ eV}$

Vives, PR D73 (2006) 073006; Blanchet, Di Bari, JCAP 0606 (2006) 023

- Surprisingly, not true in the strong  $N_1$ -coupling regime  
 $\tilde{m}_1 \gg 10^{-3} \text{ eV}$

Barbieri et al, NP B575 (2000) 61; Engelhard et al, PRL 99 (2007) 081802

- $N_2 \rightarrow \ell_2 + H, \quad N_1 \rightarrow \ell_1 + H, \quad \ell_2 = s\ell_1 + c\ell_o$   
 $\implies N_1$  interactions project  $\epsilon_2 \rightarrow s^2\epsilon_1 + c^2\epsilon_o$   
 $\implies \boxed{Y_L \sim (3/2)c^2 Y_{\ell_2}}$

## Resonant Leptogenesis

- The lepton asymmetry is resonantly enhanced for  $M_2 - M_1 \sim \Gamma_N$

- Successful leptogenesis is possible even with  $M \sim 1 \text{ TeV}$

e.g. Pilaftsis, Underwood, NP B692 (2004) 303

- Quantum Boltzmann equations should be employed

De Simeone, Riotto, JCAP 0708 (2007) 002

- MLFV:  $M_2 - M_1 \propto |Y|^2 \sim \Gamma$

- Ignoring flavor,  $\epsilon$  is higher order in  $Y \implies M \gtrsim 10^{12} \text{ GeV}$

Cirigliano, Isidori, Poretti, NP B763 (2007) 228

- With flavor,  $M \sim 1 \text{ TeV}$  is possible again
- Quantum effects are important

Cirigliano *et al.*, JCAP 0801 (2008) 004

# Variations

# Soft Leptogenesis

- The framework: Supersymmetric Standard Model + N's
- Soft SUSY breaking terms ( $A, B, m_{\tilde{w}}$ )
  - ⇒ New sources of lepton number violation
  - ⇒ New sources of CP violation
- The lepton asymmetry is generated by sneutrino decays
- Indirect CP violation can play a major role  
(Similar to  $\epsilon$  or to  $S_{\psi K_S}$ )
- Particularly significant for low  $M$   
(No gravitino problem)

Grossman, Kashti, Nir, Roulet, PRL 91 (2003) 251801; JHEP 0411 (2004) 080

D'Ambrosio, Giudice, Raidal, PL B575 (2003) 75

# Dirac Leptogenesis

- The framework:  $\boxed{\text{SM} + \text{N's} + \text{Lepton number} + \dots}$
- Neutrinos have Dirac masses  $\Rightarrow$  Tiny Yukawa couplings
- Some mechanism to make  $Y_N \neq 0$ ,  $Y_L \neq 0$   
but  $Y_N + Y_L = 0 \Rightarrow$  Total lepton number = zero
- Yukawa  $\lesssim 10^{-11}$ : no equilibration of  $L$ - and  $N$ -asymmetries until  $T \ll T_{\text{EWPT}}$
- Sphalerons convert  $L$ -asymmetry to baryon asymmetry

Akhmedov, Rubakov, Smirnov, PRL 81 (1998) 1359

Dick et al, PRL 84 (2000) 4039; Murayama, Pierce, PRL 89 (2002) 271601

# Conclusions

## Conclusions

- $m_\nu \neq 0 \implies$  See-saw  $\implies$  leptogenesis
- Quantitative success depends on unknown values of parameters:  
 $M \gtrsim 10^9 \text{ GeV}$  - gravitino problem?  
 $\tilde{m}_1 \sim 0.01 \text{ eV}$  - plausible  
( $\bar{m} \lesssim 0.12 \text{ eV}$  not valid with flavor effects)
- Various aspects have been explored/improved/corrected:  
Spectator processes, finite-temperature, flavor, heavier  $N$ 's...;  
Flavor effects particularly significant;  
Predictive power reduced
- Interesting variations have been proposed: Soft, Dirac...
- Observation of  $0\nu2\beta$  decay and/or CPV in  $\nu$  - further support;  
Direct tests are very unlikely