

# Recent developments in Neutrino physics

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# Glimpses at the field

## 50 anniversary of the neutrino discovery

F. Reines and C.L. Cowan, publications 1953 - 1956

From several 10th of events  
(lowest order in  $G_F$ )

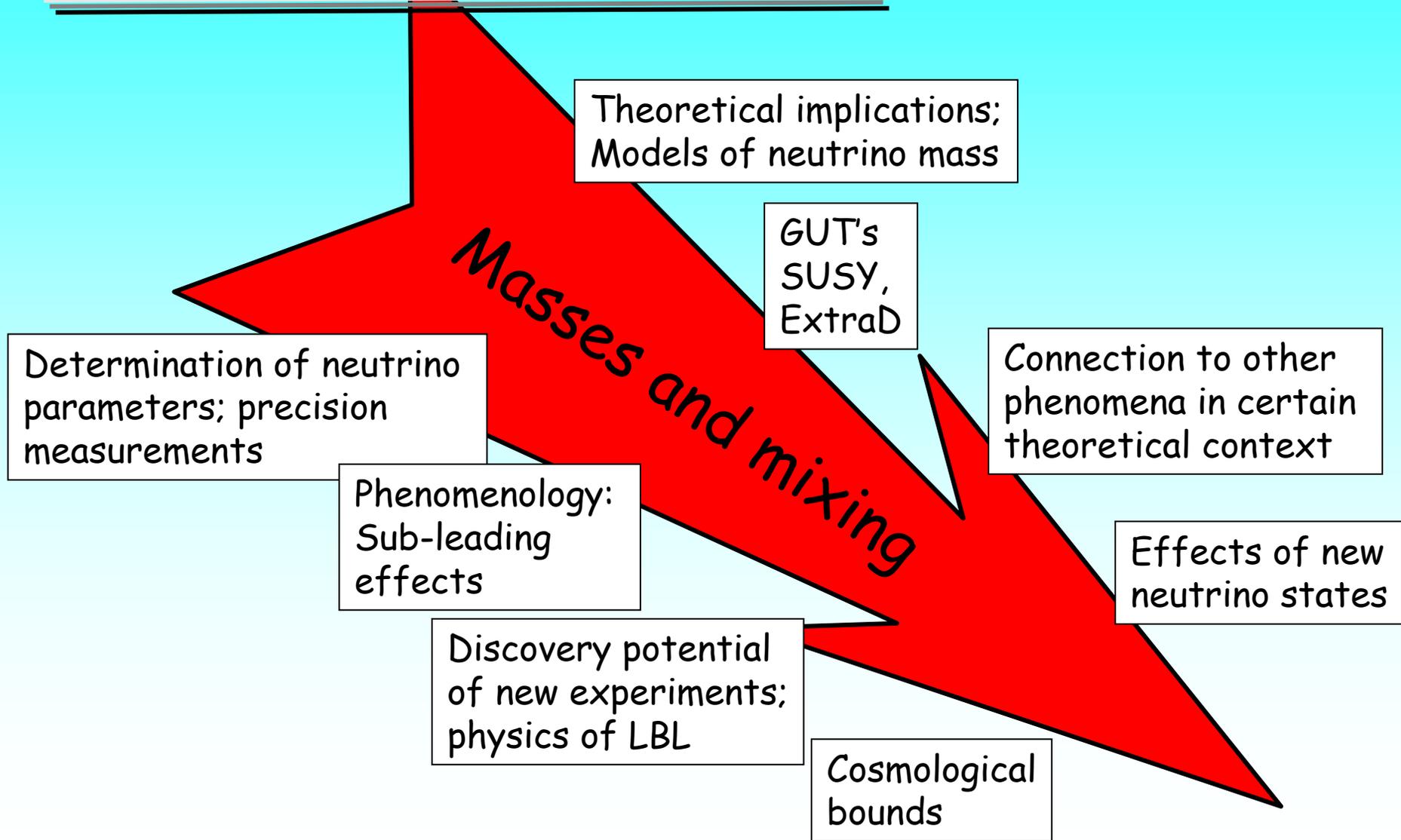
To 300 000 of the double beta  
decay events (second order in  $G_F$ )

25 years from idea to discovery

about 70 years to measure mass

Proton decay?  
30 year from GUT  
formulation

# Main stream



# Neutrino map

Masses and mixing

Non-standard interactions

- Light scalars
- Magnetic moments

Neutrino astrophysics

High energy cosmic neutrinos: sources, fluxes, detection

Supernova neutrinos

Neutrinos in Cosmology

Neutrinos and LSS  
Bounds on neutrino parameters

Neutrinos and Dark energy

New neutrino states

Phenomenology, LSND, Astrophysical consequences

Leptogenesis

# The last neutrino anomaly?

Neutrino anomalies: driving force of developments of the field for many years

What is left?

LSND?



What is hot?

MiniBooNE

`` Neutrino Standard Model''

Searches for physics beyond ``neutrinoSM''

# Main challenge

What is behind the observed pattern of neutrino masses and mixing?  
(as well as masses and mixings of other fermions)

What is the underlying physics?

How far we can go in this understanding using usual notions of the field theory (or effective field theory) and in terms of symmetries, various mechanisms of symmetry breaking, etc. ?

Do we need something more beyond this?

Is something important missed in our approaches, ideas, principles?

Are we on right track?

# Phenomenology of neutrino mass and mixing

1. Masses, Mixing, Effects

2. Phenomenology,  
determination of parameters,  
reconstructing neutrino spectrum

3. Beyond "standard picture"

Bottom-up: Implications

**Part I.**

**Masses, Mixing, Effects**

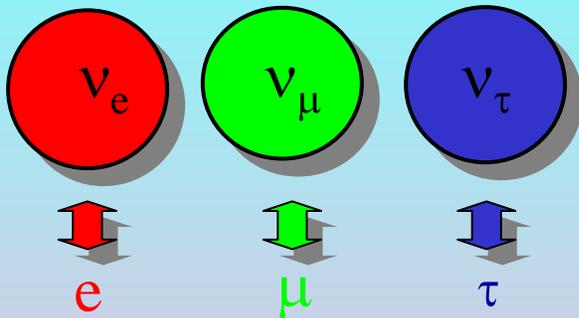
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# Flavors, Masses, Mixing

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# Flavors and masses

Flavor neutrino states:

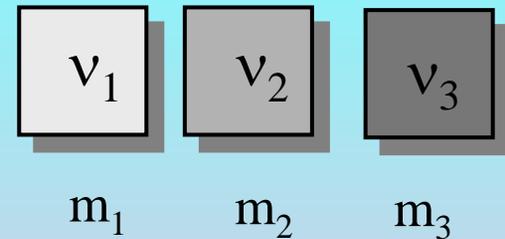


correspond to certain charged leptons

interact in pairs

Eigenstates of the CC weak interactions

Mass eigenstates



*Mixing*

Flavor states

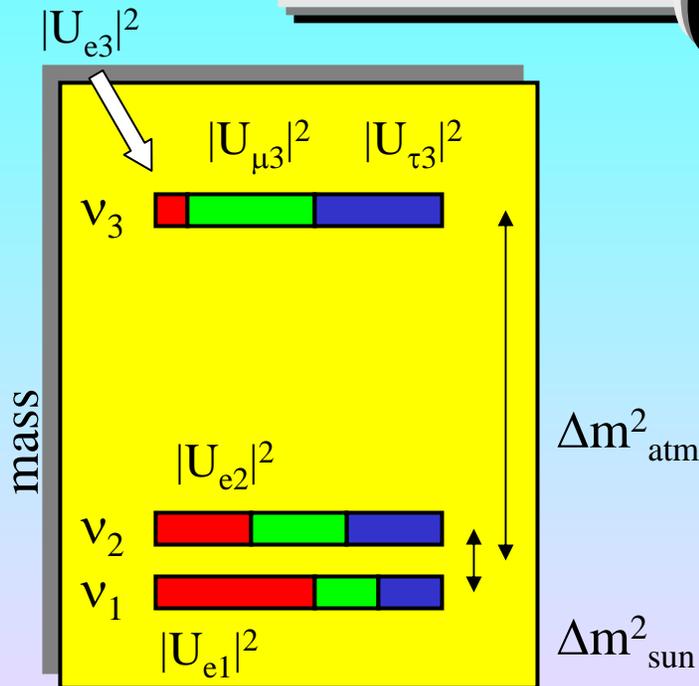
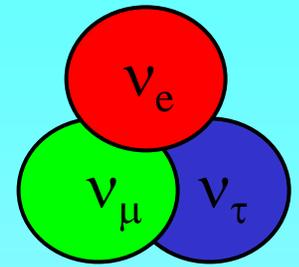
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Mass eigenstates



Sterile neutrinos?

# Mixing angles



Normal mass hierarchy

Moduli of mixing elements are parameterization independent

$$\tan^2\theta_{12} = |U_{e2}|^2 / |U_{e1}|^2$$

$$\sin^2\theta_{13} = |U_{e3}|^2$$

$$\tan^2\theta_{23} = |U_{\mu3}|^2 / |U_{\tau3}|^2$$

Mass eigenstates can be marked by the e-flavor (in parameterization independent way):

$\nu_1$  is the state with maximal amount of the e-flavor

$\nu_3$  is the state with minimal amount of the e-flavor

$$\Delta m^2_{\text{atm}} = \Delta m^2_{32} = m^2_3 - m^2_2$$

$$\Delta m^2_{\text{sun}} = \Delta m^2_{21} = m^2_2 - m^2_1$$

# Mixing matrix

$$\mathbf{v}_f = U_{\text{PMNS}} \mathbf{v}_{\text{mass}}$$

where

$$\mathbf{v}_f = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \quad \mathbf{v}_{\text{mass}} = \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

the matrix is unitary:

$$U_{\text{PMNS}}^\dagger U_{\text{PMNS}} = \mathbf{I}$$

Pontecorvo-Maki-Nakagawa-Sakata mixing matrix

$$U_{\text{PMNS}} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}$$

$$U_{\alpha i} = |U_{\alpha i}| e^{i\phi_{\alpha i}}$$

Due to unitarity and possibility to renormalize wave functions of neutrinos and charge leptons only one phase is physical

# Parameterization

$$U_{\text{PMNS}} = U_{23} I_{\delta} U_{13} U_{12}$$

$$I_{\delta} = \text{diag} (1, e^{i\delta}, e^{-i\delta})$$

$$U_{\text{PMNS}} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

$c_{12} = \cos \theta_{12}$ , etc.

$\delta$  is the Dirac CP violating phase

$\theta_{12}$  is the ``solar'' mixing angle

$\theta_{23}$  is the ``atmospheric'' mixing angle

$\theta_{13}$  is the mixing angle restricted by CHOOZ/PaloVerde experiments

# Two aspects of mixing

vacuum mixing angle

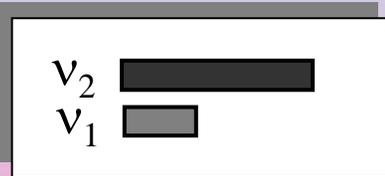
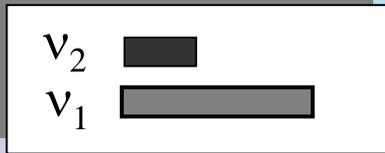
$$\begin{aligned} \nu_e &= \cos\theta \nu_1 + \sin\theta \nu_2 \\ \nu_\mu &= -\sin\theta \nu_1 + \cos\theta \nu_2 \end{aligned}$$

coherent mixtures of mass eigenstates

$$\begin{aligned} \nu_2 &= \sin\theta \nu_e + \cos\theta \nu_\mu \\ \nu_1 &= \cos\theta \nu_e - \sin\theta \nu_\mu \end{aligned}$$

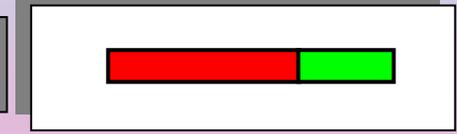
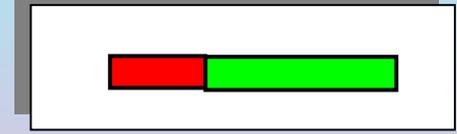
flavor composition of the mass eigenstates

inversely



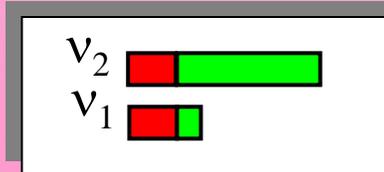
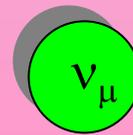
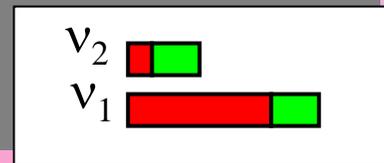
wave packets

inserting



Flavors of eigenstates

amplitudes



The relative phases of the mass states in  $\nu_e$  and  $\nu_\mu$  are opposite

Interference of the parts of wave packets with the same flavor depends on the phase difference  $\Delta\phi$  between  $\nu_1$  and  $\nu_2$

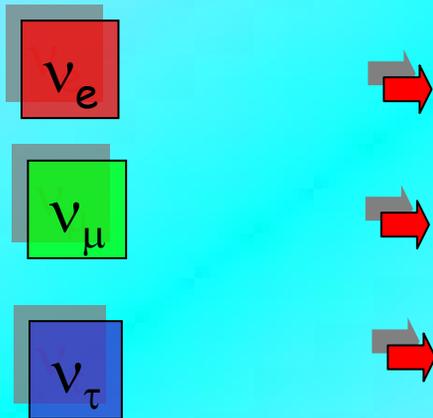
# Who mixes neutrinos?

Non-trivial  
interplay  
of

Charged current  
weak interactions

Kinematics  
of specific  
reactions

Difference  
of the charged  
lepton masses



$\beta^-$  decays,  
energy conservation

$\pi^-$  decays,  
chirality suppression

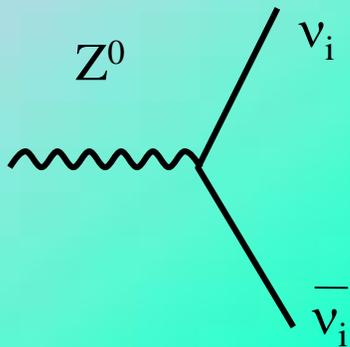
Beam dump,  
D - decay

What about neutral currents?

# Can NC interactions prepare mixed state?

Z is flavor blind

What is the neutrino state produced in the Z-decay in the presence of mixing?



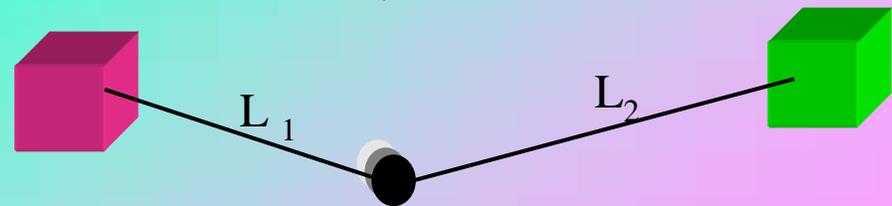
$$|f\rangle = \frac{1}{\sqrt{3}} [ |\bar{\nu}_1 \nu_1\rangle + |\bar{\nu}_2 \nu_2\rangle + |\bar{\nu}_3 \nu_3\rangle ]$$

$$|\langle f | H | Z \rangle|^2 = 3 |\langle \bar{\nu}_1 \nu_1 | H | Z \rangle|^2$$

Do neutrinos from  $Z^0$ - decay oscillate?

Two detectors experiment:  
detection of both neutrinos

If the flavor of one of  
the neutrino is fixed,  
another neutrino oscillates



$$P = \sin^2 2\theta \sin^2 [ \pi (L_1 + L_2) / l_V ]$$

# Determining oscillation parameters

Parameters

$$\Delta m^2_{12}, \theta_{12}$$

$$\Delta m^2_{23}, \theta_{23}$$

$$\theta_{13}$$

Source of info

Solar  
neutrinos

KamLAND

Atmospheric  
neutrinos,  
K2K, MINOS

CHOOZ,  
Atmospheric  
neutrinos + ...

Effects involved

Adiabatic conversion, MSW

Averaged oscillations

Vacuum oscillations

Vacuum oscillations

Vacuum oscillations

Oscillations in matter

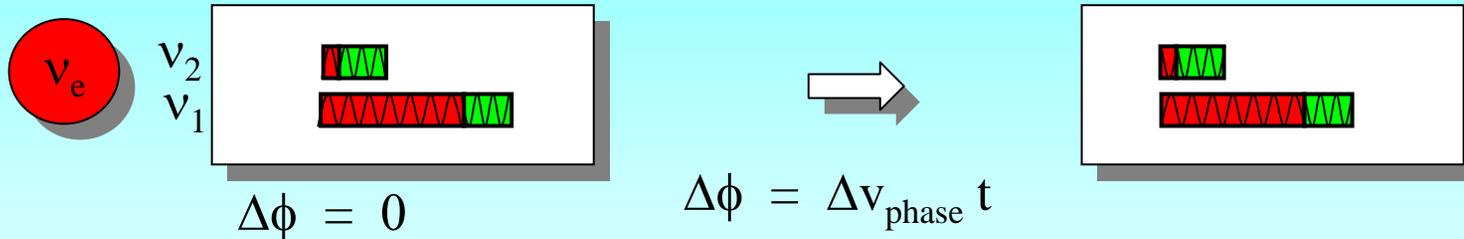
# Oscillations



# Vacuum Oscillations

- Flavors of mass eigenstates do not change
- Admixtures of mass eigenstates do not change: no  $\nu_1 \leftrightarrow \nu_2$  transitions

Determined by  $\theta$



- Due to difference of masses  $\nu_1$  and  $\nu_2$  have different phase velocities

***oscillations!***

effects of the phase difference increase which changes the interference pattern

# Oscillation phase

- Phases should be calculated in the same space time point:  $x, t$

$$\phi_i = E_i t - k_i x \sim E_i (t - x) + \frac{m_i^2}{2E_i} x$$

- $\Delta\phi = \Delta E t - \Delta p x$        $p = \sqrt{E^2 - m^2}$

$$\Delta p = (dp/dE) \Delta E + (dp/dm^2) \Delta m^2 = 1/v_g \Delta E + (1/2p) \Delta m^2$$

↙ group velocity

- $$\Delta\phi = \Delta E (t - x/v_g) + \frac{\Delta m^2}{2E} x$$

In general (depending on conditions of production and detection) both quantities are non-zero



Standard results are reproduced if both quantities are small

L. O. ...  
H. ...

C. Giunti,  
Center of the wave packet

Oscillation effects should disappear in the limit  $\Delta m^2 \rightarrow 0$

# Parameters of oscillations

- Oscillation length: the distance at which the neutrino systems returns to the initial state

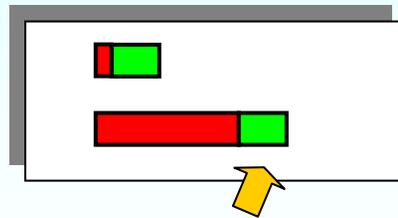
$$\Delta\phi = 2\pi \quad \Rightarrow \quad \Delta v_{\text{phase}} l_{\nu} = 2\pi \quad \Rightarrow$$

$$\Delta v_{\text{phase}} = \frac{\Delta m^2}{2E}$$

$$l_{\nu} = 2\pi / \Delta v_{\text{phase}} = 4\pi E / \Delta m^2$$

- Depth of oscillations: is given by maximal probability to find  $\nu_{\mu}$  in the originally produced  $\nu_e$  states.

Muonic parts in the the wave packet sum up:



$$\sin\theta \cos\theta \quad \Rightarrow$$

$$A_P = (2 \sin\theta \cos\theta)^2 = \sin^2 2\theta$$

# Oscillation probability

$$P(\nu_\mu) = \frac{A_p}{2} \left( 1 - \cos \frac{2\pi x}{l_\nu} \right) = \sin^2 2\theta \sin^2 \frac{\pi x}{l_\nu}$$

Features of neutrino oscillations in vacuum:

Oscillations -- effect of the phase difference increase between mass eigenstates

Admixtures of the mass eigenstates  $\nu_i$  in a given neutrino state do not change during propagation

Flavors (flavor composition) of the eigenstates are fixed by the vacuum mixing angle

# Paradoxes of Neutrino Oscillations

Still under discussion:

What interferes:  
``equal energies or momenta''?

Steady source  
approximation?

Phase of oscillations?  
Correct way to calculate

Coherence length

Meaning/relevance of  
the ``flavor states'';

Relevance of  
wave packets

Role of the uncertainty principle;

Limitations of the  
plane wave description

Effect of particles accompanying  
neutrino production (interaction)  
effect of ``recoil''

# Standard formula and beyond

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Plane wave:

V. Gribov, B. Pontecorvo,  
S. Bilenky, B. Pontecorvo,  
H. Fritzsch, P. Minkowski

Wave packet picture:

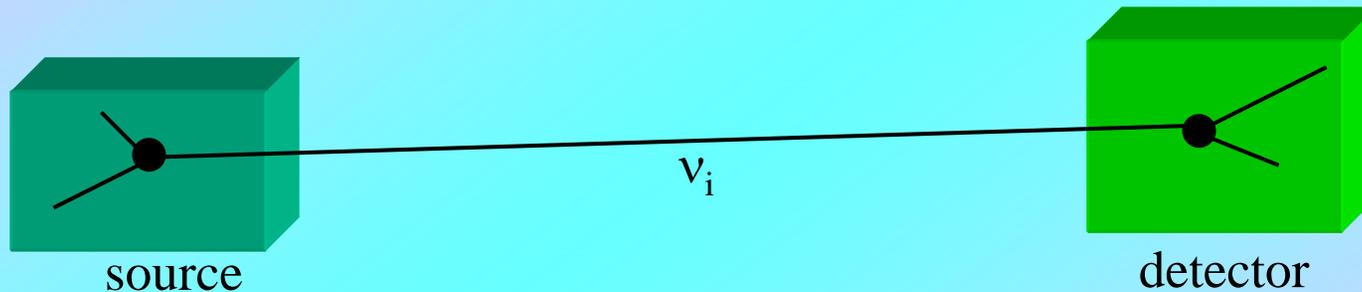
B. Kayser  
R. G. Winter

Field theory:

C. Giunti, C. W. Kim, U. W. Lee  
W. Grimus and P. Stockinger  
M. Blasone, G Vitiello ...

# Consistent description

Whole process of the oscillations experiment includes  
neutrino production  
propagation in between the source and detector  
detection



- ➔ Production, propagation and detection as a unique process  
neutrinos  $\nu_1$  and  $\nu_2$  are virtual particles propagating between the production  $x_p$  and detection  $x_D$  points
- ➔ Neutrinos  $\nu_i$  are described by propagators  $S_i(x_p - x_D)$
- ➔ Integration should be performed over finite production and detection regions (integration over  $x_p, x_D$ )
- ➔ Finite accuracy of "measurements" of the energy and momenta of external particles

# Truncating the process

For  $x_p - x_D \gg 1/\Delta p$  neutrinos can be considered as real (on shell) particles with negligible corrections due to virtuality

Whole the process can be truncated in three parts:

Production

Propagation of neutrinos  
as wave packets

Detection

Correct boundary (initial and final) conditions  
should be imposed

Wave packet description

Oscillations are essentially finite space - finite time phenomenon that is all the components; production, propagation, detection should be considered (occur) in the finite time intervals and finite region of space.

# Evolution equation

'Physical derivation'

- Input
- neutrinos are ultrarelativistic  $E \sim p + m^2/2E$
  - no spin-flip, no change of the spinor structure
  - lowest order in  $m/E$

In vacuum the mass states are the eigenstates of Hamiltonian

$$i \frac{dv_{\text{mass}}}{dt} = \left( p \mathbf{I} + \frac{1}{2E} \begin{pmatrix} m_1^2 & 0 \\ 0 & m_2^2 \end{pmatrix} \right) v_{\text{mass}} \quad v_{\text{mass}} = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$$

Using relation  $v_{\text{mass}} = U^+ v_f$  find equation for the flavors

$$i \frac{dv_f}{dt} = \frac{M^2}{2E} v_f \quad v_f = \begin{pmatrix} v_e \\ v_\mu \end{pmatrix}$$

$$M^2 = U \begin{pmatrix} m_1^2 & 0 \\ 0 & m_2^2 \end{pmatrix} U^+$$

mass matrix  
in flavor basis

the term  $p\mathbf{I}$  proportional to unit matrix is omitted

# **Graphic representation**

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# Graphic representation

$$\vec{v} = (\operatorname{Re} v_e^+ v_\mu, \operatorname{Im} v_e^+ v_\mu, v_e^+ v_e - 1/2)$$

elements of density matrix

$$\vec{B} = \frac{2\pi}{l_m} (\sin 2\theta_m, 0, \cos 2\theta_m)$$

$$l_m = 2\pi / \Delta H \quad \text{oscillation length}$$

Evolution equation

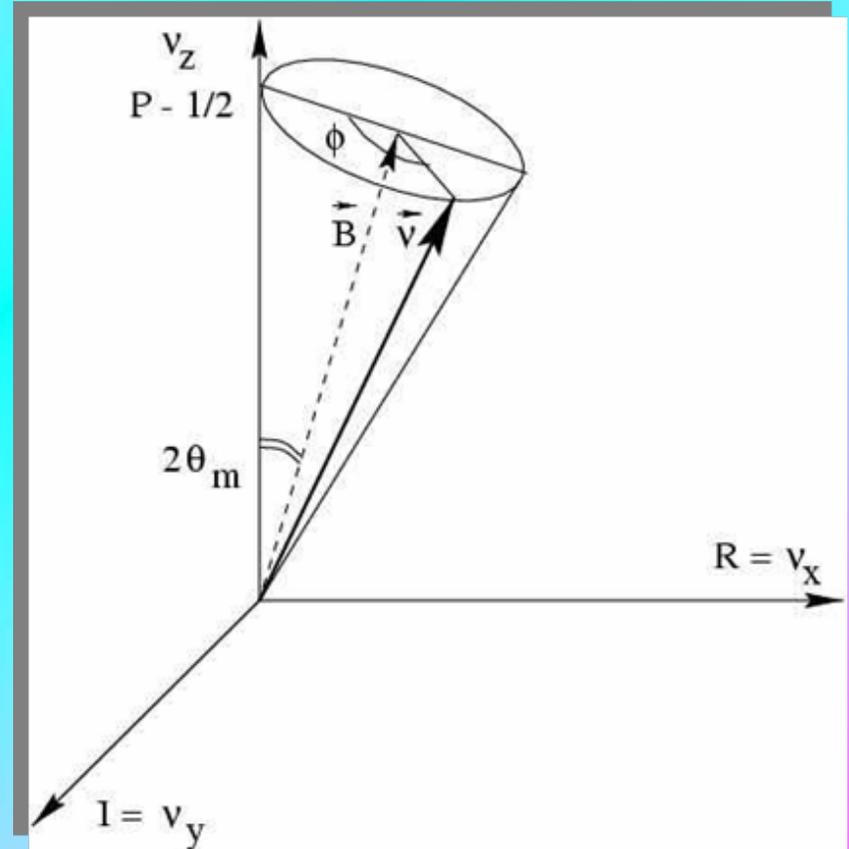
$$\frac{d\vec{v}}{dt} = (\vec{B} \times \vec{v})$$

Coincides with equation for the electron spin precession in the magnetic field

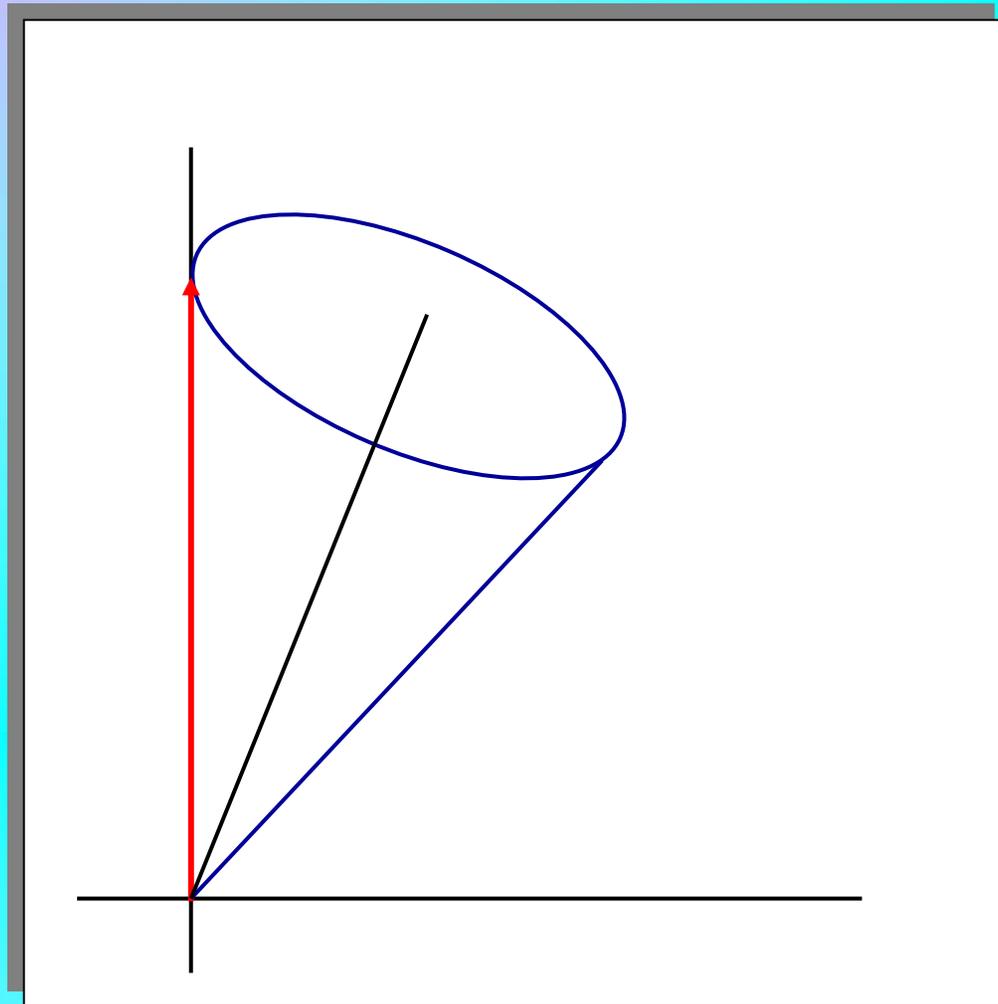
$$\phi = 2\pi t / l_m \quad \text{- phase of oscillations}$$

$$P = v_e^+ v_e = v_z + 1/2 = \cos^2 \theta_z / 2$$

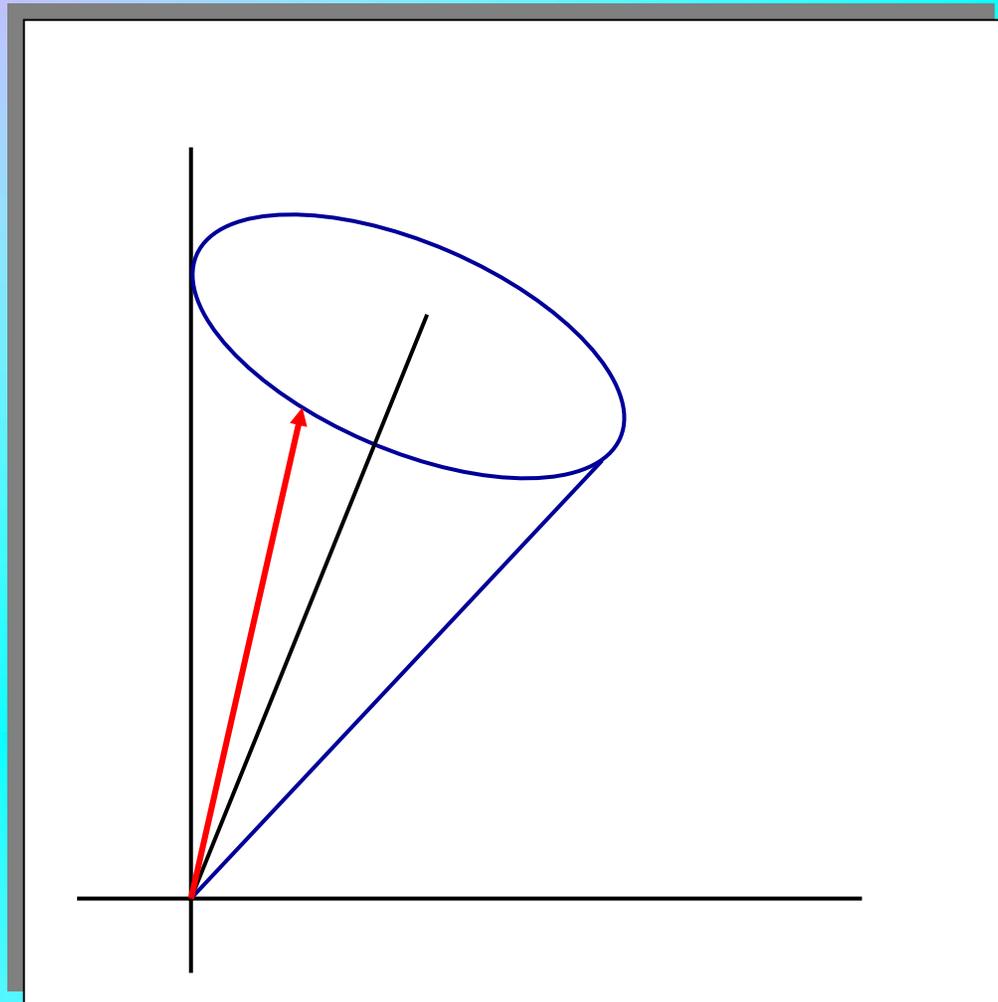
probability to find  $v_e$



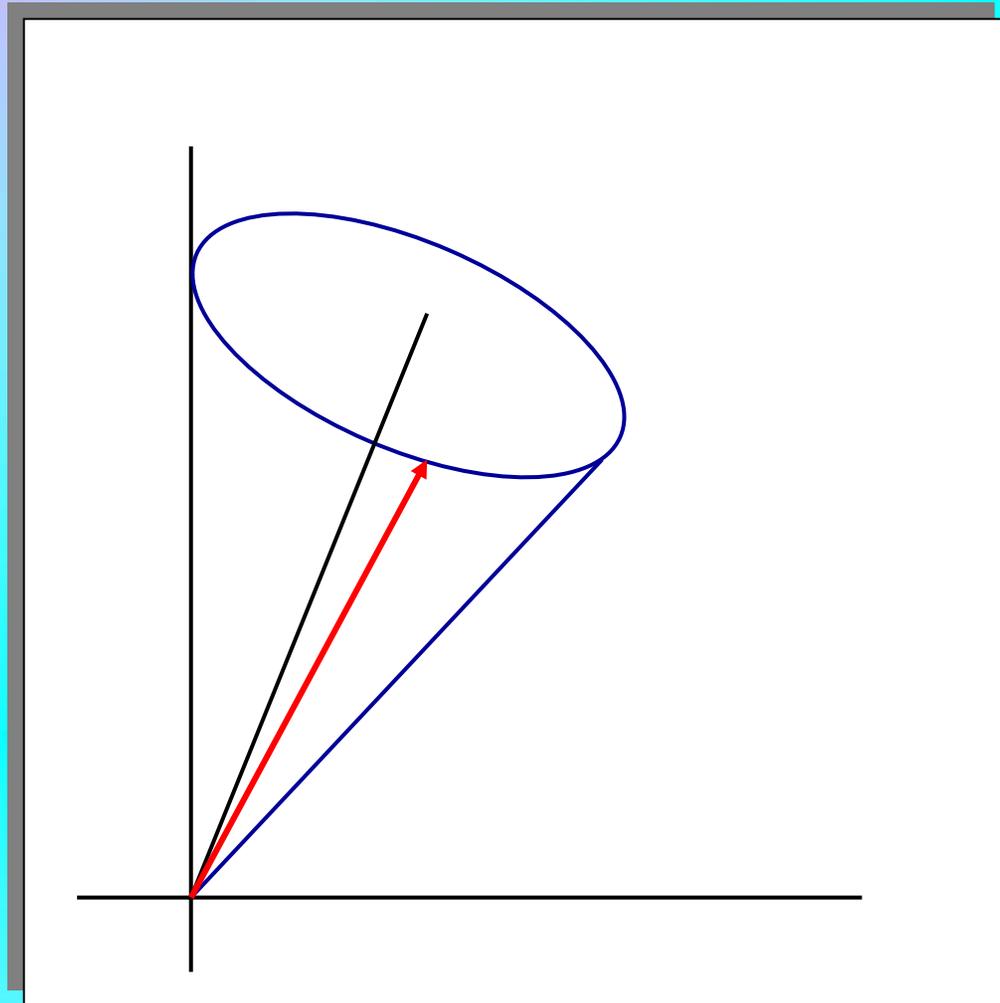
# Oscillations



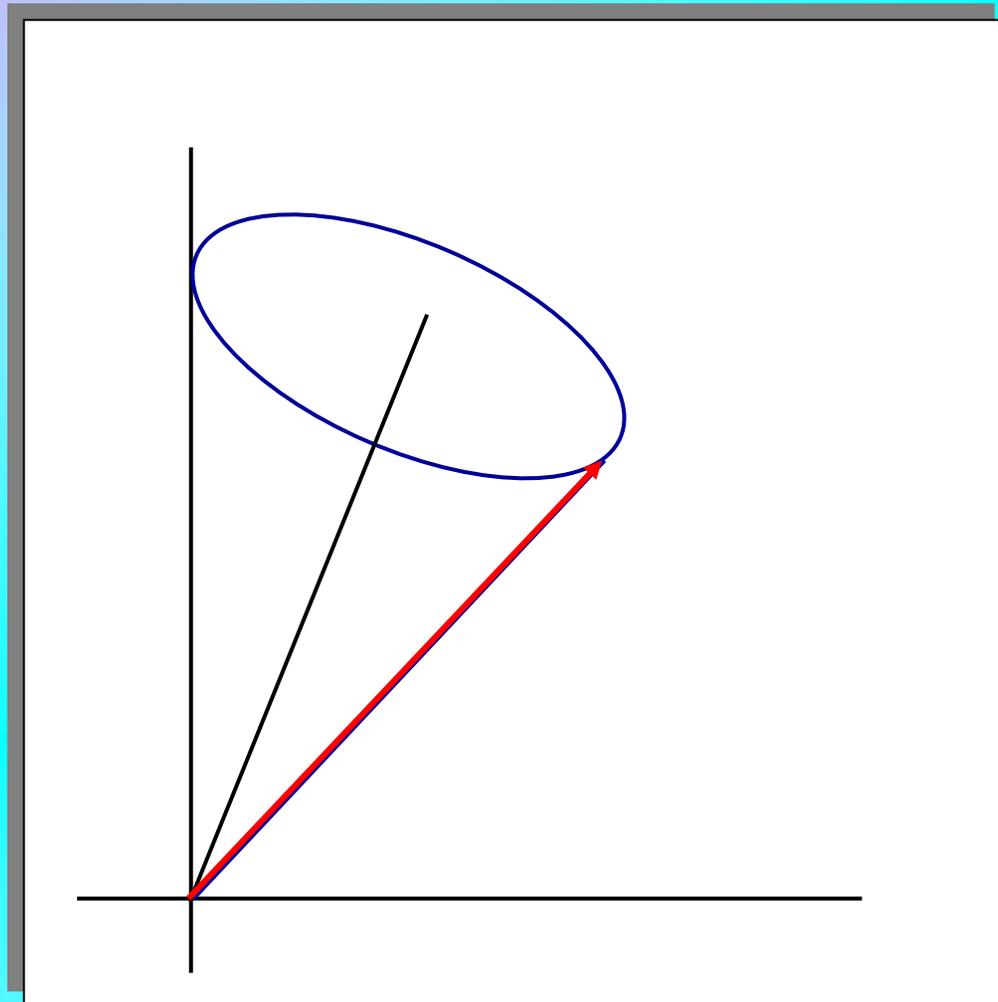
# Oscillations



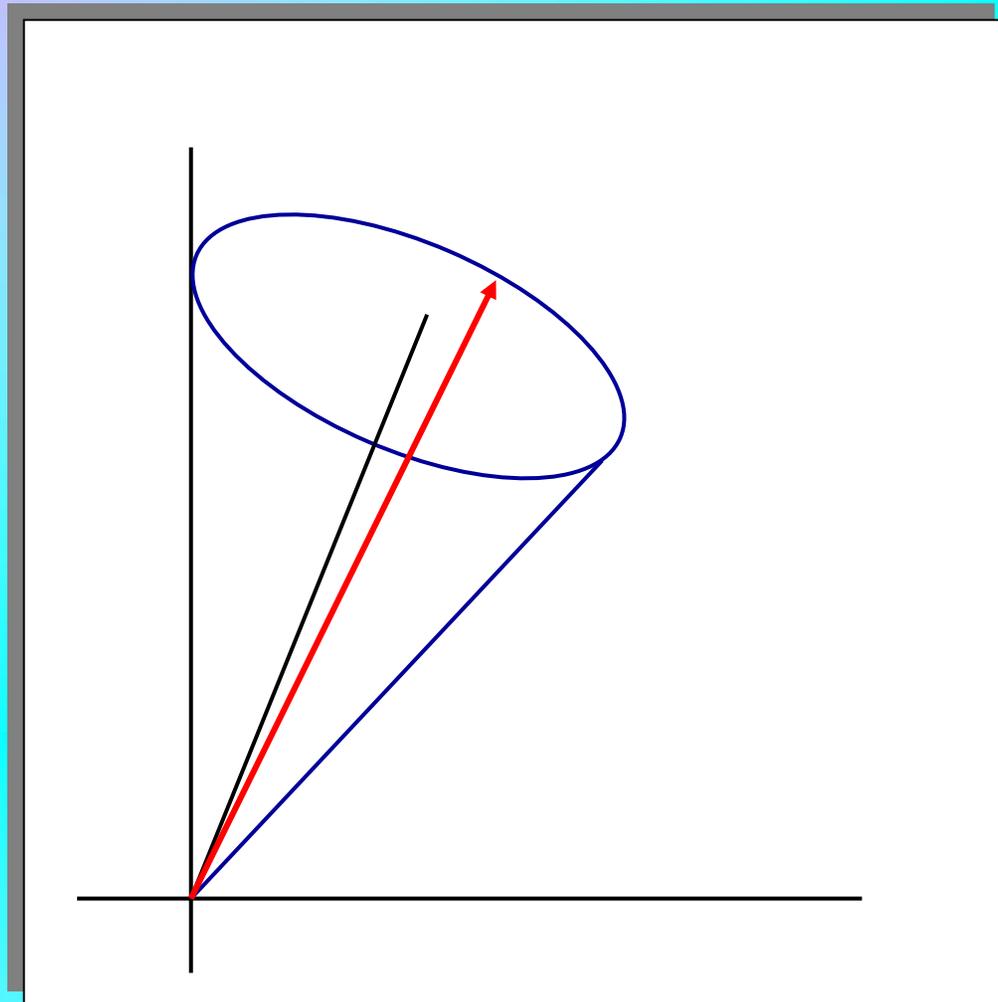
# Oscillations



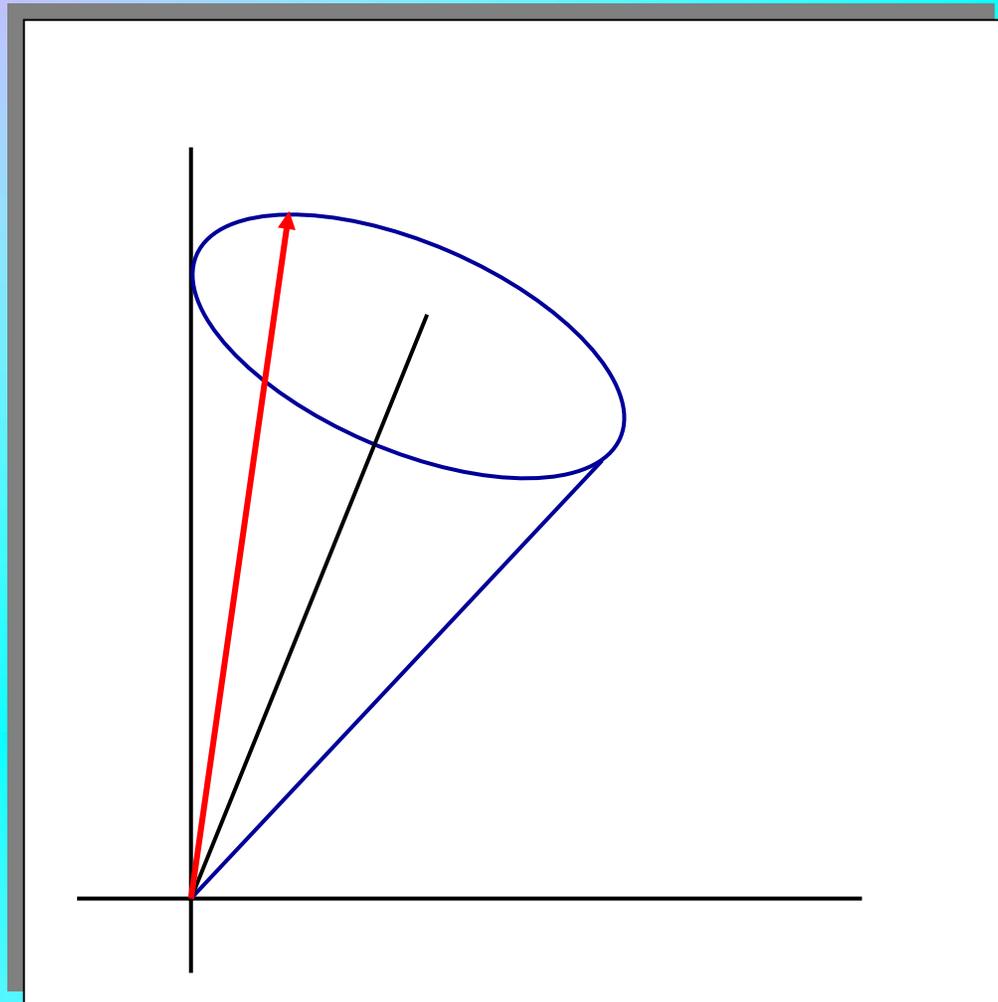
# Oscillations



# Oscillations



# Oscillations



# Matter effects

# Refraction

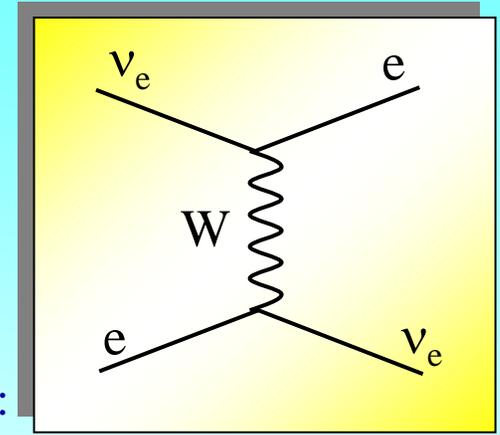
L. Wolfenstein, 1978

Elastic forward scattering



Potentials  
 $V_e, V_\mu$

- $V \sim 10^{-13}$  eV inside the Earth for  $E = 10$  MeV
- Difference of potentials is important  $\Rightarrow$  for  $\nu_e, \nu_\mu$ :



- Refraction index:

$$n - 1 = V / p$$

- $n - 1$   $\begin{cases} \sim 10^{-20} & \text{inside the Earth} \\ < 10^{-18} & \text{inside the Sun} \\ \sim 10^{-6} & \text{inside the neutron star} \end{cases}$

$$V_e - V_\mu = \sqrt{2} G_F n_e$$

- Refraction length:

$$l_0 = 2\pi / (V_e - V_\mu) \\ = \sqrt{2} \pi / G_F n_e$$

- Neutrino optics  $\Rightarrow$  focusing of neutrinos fluxes by stars  
complete internal reflection, etc

# Matter potential

At low energies: neglect the inelastic scattering and absorption effect is reduced to the elastic forward scattering (refraction) described by the potential  $V$ :

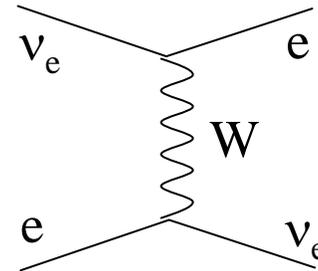
$$H_{\text{int}}(v) = \langle \psi | H_{\text{int}} | \psi \rangle = V \bar{v} v$$

$\psi$  is the wave function of the medium



CC interactions with electrons

$$H_{\text{int}} = \frac{G_F}{\sqrt{2}} \bar{v} \gamma^\mu (1 - \gamma_5) v \bar{e} \gamma_\mu (1 - \gamma_5) e$$



$\langle \bar{e} \gamma_0 e \rangle = \langle e^+ e \rangle = n_e$  - is the electron number density

$\langle \bar{e} \vec{\gamma} e \rangle = n_e \vec{v}$

$\langle \bar{e} \vec{\gamma} \gamma_5 e \rangle = n_e \vec{\lambda}_e$  - averaged polarization vector of  $e$

For unpolarized medium at rest:

$$V = \sqrt{2} G_F n_e$$

# Evolution equation in matter

$$i \frac{dv_f}{dt} = H_{\text{tot}} v_f$$

$$v_f = \begin{pmatrix} v_e \\ v_\mu \end{pmatrix}$$

$H_{\text{tot}} = H_{\text{vac}} + V$  is the total Hamiltonian

$H_{\text{vac}} = \frac{M^2}{2E}$  is the vacuum (kinetic) part

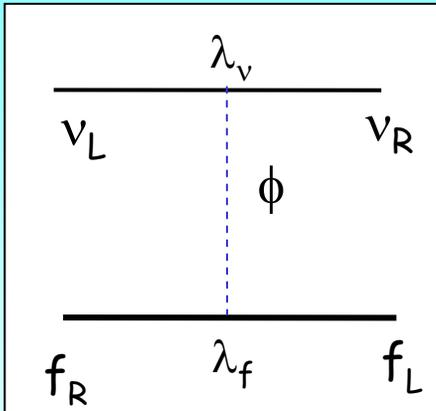
$V = \begin{pmatrix} V_e & 0 \\ 0 & 0 \end{pmatrix}$  matter part  $V_e = \sqrt{2} G_F n_e$

$$i \frac{d}{dt} \begin{pmatrix} v_e \\ v_\mu \end{pmatrix} = \begin{pmatrix} -\frac{\Delta m^2}{2E} \cos 2\theta + V_e & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & 0 \end{pmatrix} \begin{pmatrix} v_e \\ v_\mu \end{pmatrix}$$

$H_{\text{tot}}$  

# "Soft" neutrino mass

Exchange by very light scalar



$$m_\phi \sim 10^{-8} - 10^{-6} \text{ eV}$$

$$f = e, u, d, \nu$$

Recently: in the context of MaVaN scenario

*D B Kaplan, E. Nelson, N. Weiner, K. M. Zurek, M. Cirelli, M.C. Gonzalez-Garcia, C. Pena-Garay, V. Barger, P Huber, D. Marfatia*

chirality flip - true mass:

$$m_{\text{soft}} = \lambda_v \lambda_f n_f / m_\phi$$

$$\lambda_f \sim \phi / M_{\text{pl}}$$

In the evolution equation:

$$m_{\text{vac}} \rightarrow m_{\text{vac}} + m_{\text{soft}}$$

generated by some short range physics (interactions) EW scale VEV

medium dependent mass

# Eigenstates and mixing in matter

*in vacuum:*

■ Effective Hamiltonian

$$H_0$$

■ Eigenstates

$$v_1, v_2$$

■ Eigenvalues

$$m_1^2/2E, m_2^2/2E$$

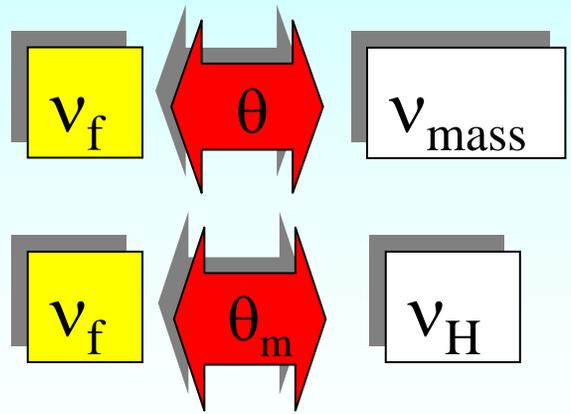
*in matter:*

$$H = H_0 + V$$

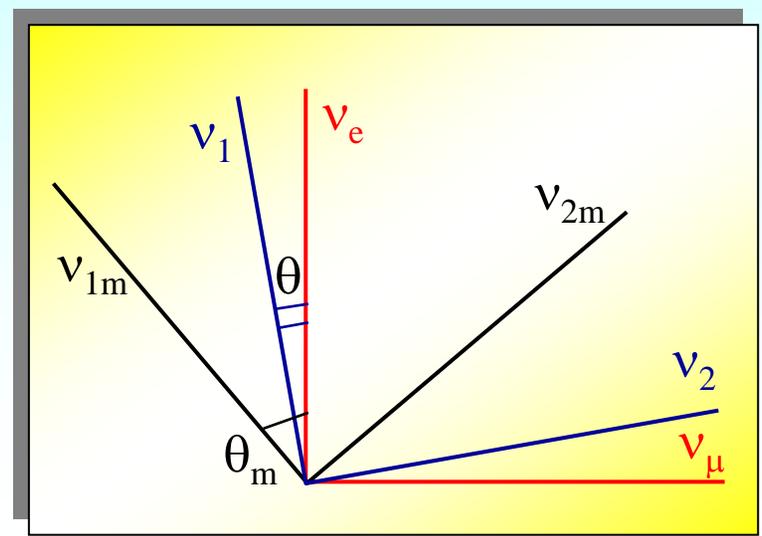
$$v_{1m}, v_{2m}$$

$$H_{1m}, H_{2m}$$

depend on  $n_e, E$   
instantaneous



Mixing angle determines flavors (flavor composition) of the eigenstates



# Mixing angle in matter Resonance

Diagonalization of the Hamiltonian:

$$\sin^2 2\theta_m = \frac{\sin^2 2\theta}{(\cos 2\theta - 2\sqrt{2G_F n_e} E / \Delta m^2)^2 + \sin^2 2\theta}$$

- Mixing is maximal for

$$\sqrt{2G_F n_e} = \frac{\Delta m^2}{2E} \cos 2\theta$$

Resonance condition

$$\sin^2 2\theta_m = 1 \quad H_e = H_\mu \quad \text{level crossing}$$

- Difference of the eigenvalues

$$H_2 - H_1 = \frac{\Delta m^2}{2E} \sqrt{(\cos 2\theta - 2\sqrt{2G_F n_e} E / \Delta m^2)^2 + \sin^2 2\theta}$$

# Resonance

In resonance:

$$\sin^2 2\theta_m = 1$$

- Flavor mixing is maximal
- Level split is minimal

$$I_\nu = I_0 \cos^2 2\theta$$

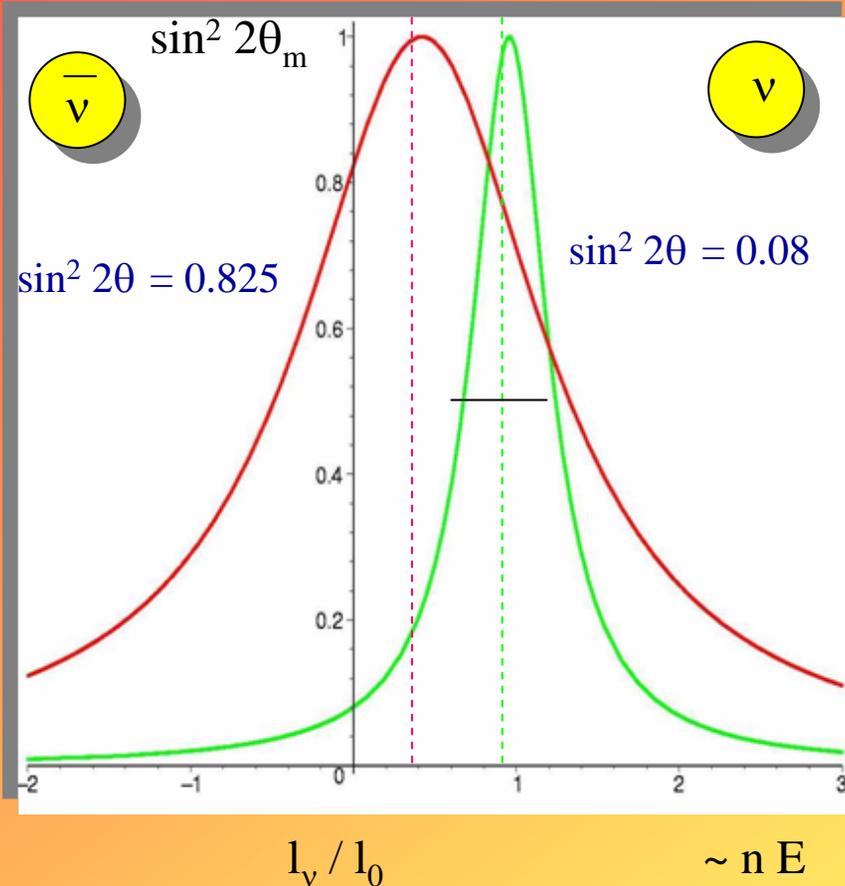
Vacuum  
oscillation  
length

≈

Refraction  
length

For large mixing:  $\cos 2\theta \sim 0.4$   
the equality is broken:  
strongly coupled system →  
shift of frequencies.

- Manifestations depend on density profile
- Determines scale of  $\rho$  and  $E$  of strong flavor transition occurs



- Resonance width:  $\Delta n_R = 2n_R \tan 2\theta$
- Resonance layer:  $n = n_R + \Delta n_R$

# Level crossing

Dependence of the neutrino eigenvalues on the matter potential (density)

$$\frac{I_\nu}{I_0} = \frac{2E V}{\Delta m^2}$$

V. Rubakov, private comm.  
 N. Cabibbo, Savonlinna 1985  
 H. Bethe, PRL 57 (1986) 1271

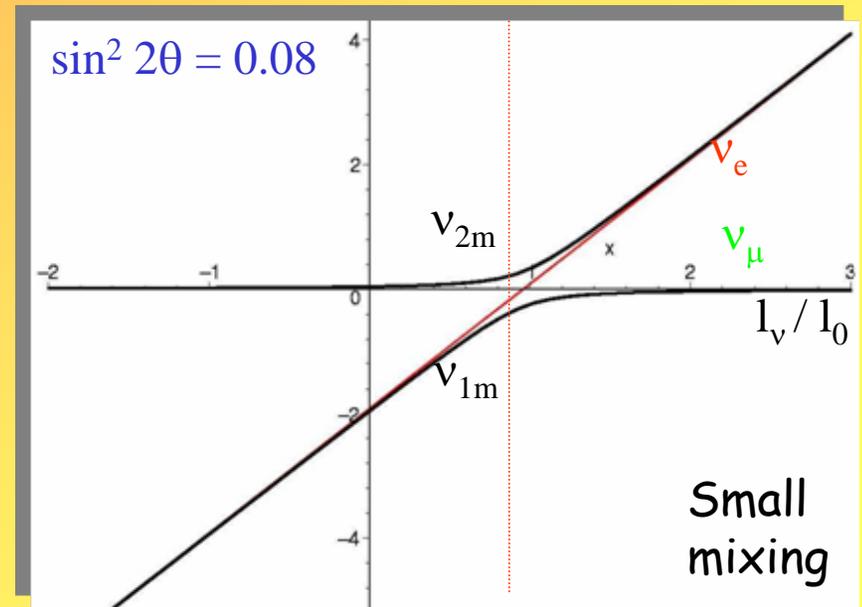
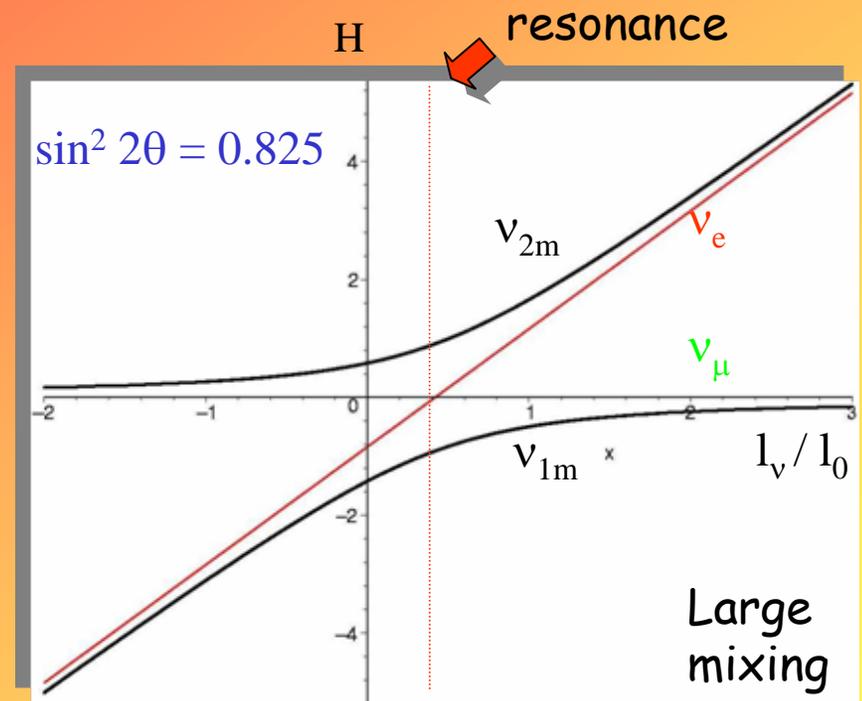
$$\frac{I_\nu}{I_0} = \cos 2\theta$$

Crossing point - resonance

- the level split is minimal
- the oscillation length is maximal

For maximal mixing: at zero density

v



# Oscillation length and refraction length

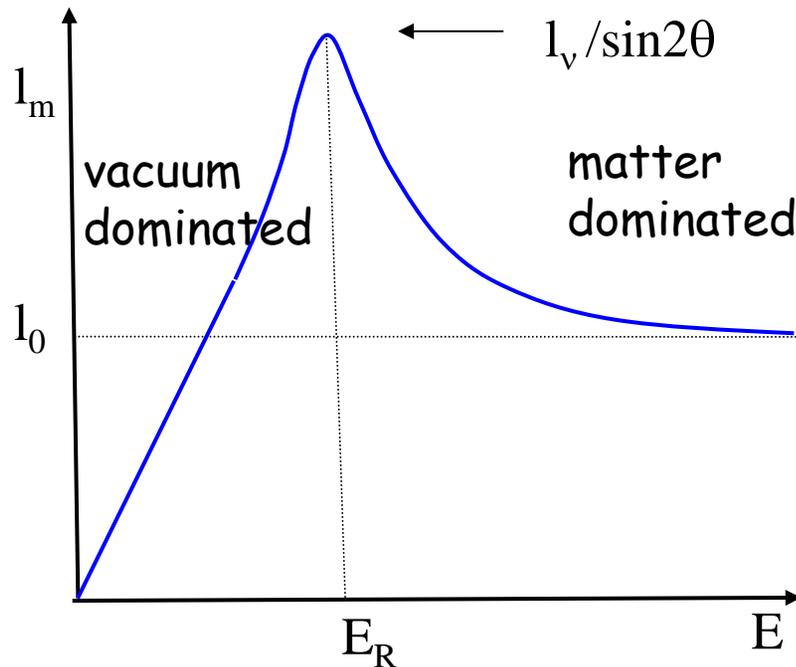
Oscillation length in matter

$$l_m = \frac{2\pi}{H_2 - H_1}$$

Refraction length

$$l_0 = \frac{2\pi}{\sqrt{2} G_F n_e}$$

Determines the phase produced by interaction with matter



Resonance condition:

$$l_v = l_0 \cos 2\theta$$

# Oscillations in matter

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Propagation of neutrinos in the matter of the Earth

- solar neutrinos
- supernova neutrinos
- accelerator neutrinos, LBL

# Oscillations in matter

Physical picture

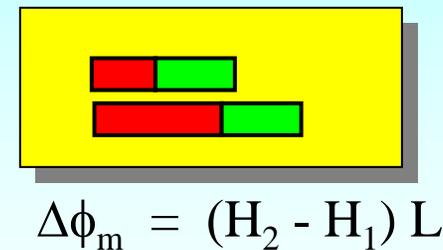
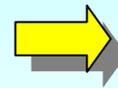
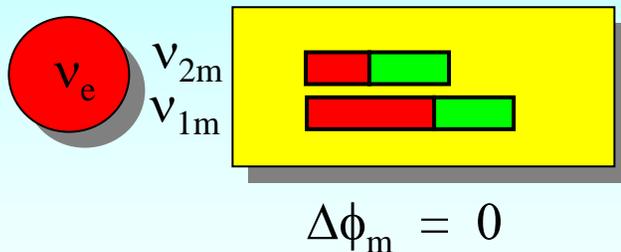
In uniform matter (constant density)  
mixing is constant

$$\theta_m(E, n) = \text{constant}$$

- Flavors of the eigenstates do not change
- Admixtures of matter eigenstates do not change: no  $\nu_{1m} \leftrightarrow \nu_{2m}$  transitions
- Monotonous increase of the phase difference between the eigenstates  $\Delta\phi_m$

➔ **Oscillations**

as in vacuum



Parameters of oscillations (depth and length) are determined by mixing in matter and by effective energy split in matter

$$\sin^2 2\theta, l_\nu$$



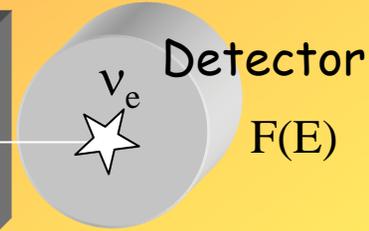
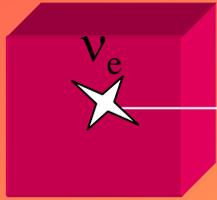
$$\sin^2 2\theta_m, l_m$$

# Resonance enhancement of oscillations

Constant density

oscillations determined by  $\theta_m$  and  $I_m (\Delta H)$

Source  
 $F_0(E)$

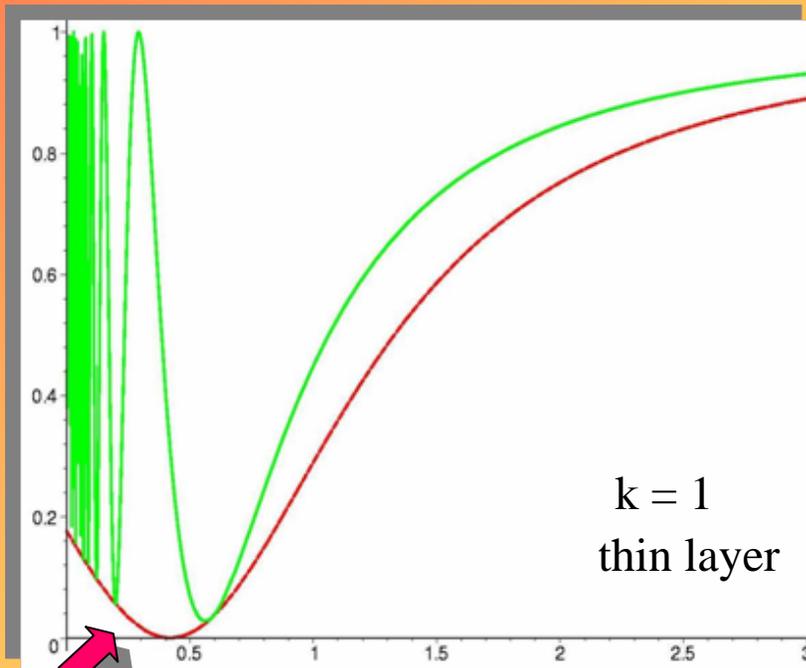


Layer of length  $L$

$$k = \pi L / l_0$$

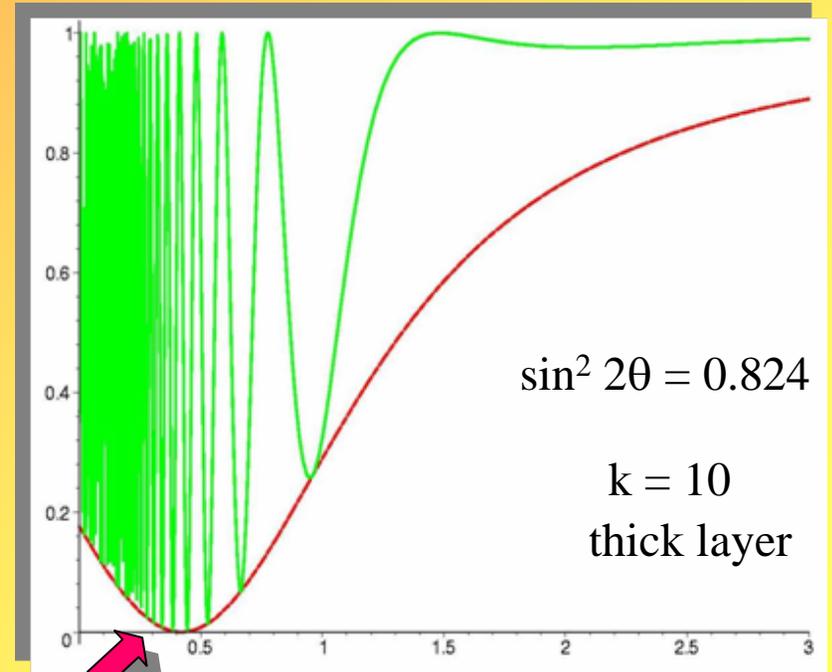
$$\sin^2 2\theta = 0.824$$

$\frac{F(E)}{F_0(E)}$



$k = 1$   
thin layer

$E/E_R$



$$\sin^2 2\theta = 0.824$$

$k = 10$   
thick layer

$E/E_R$

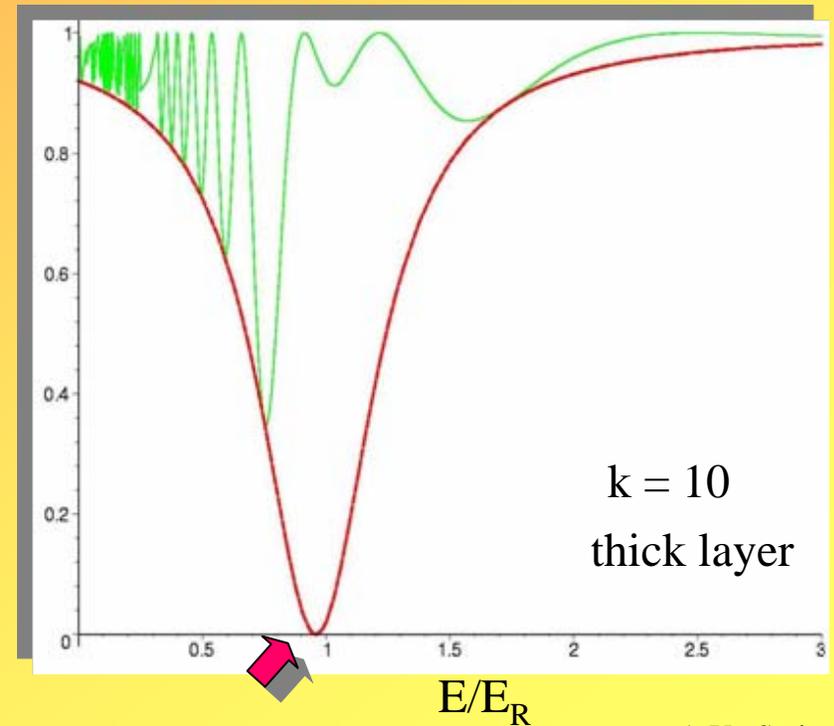
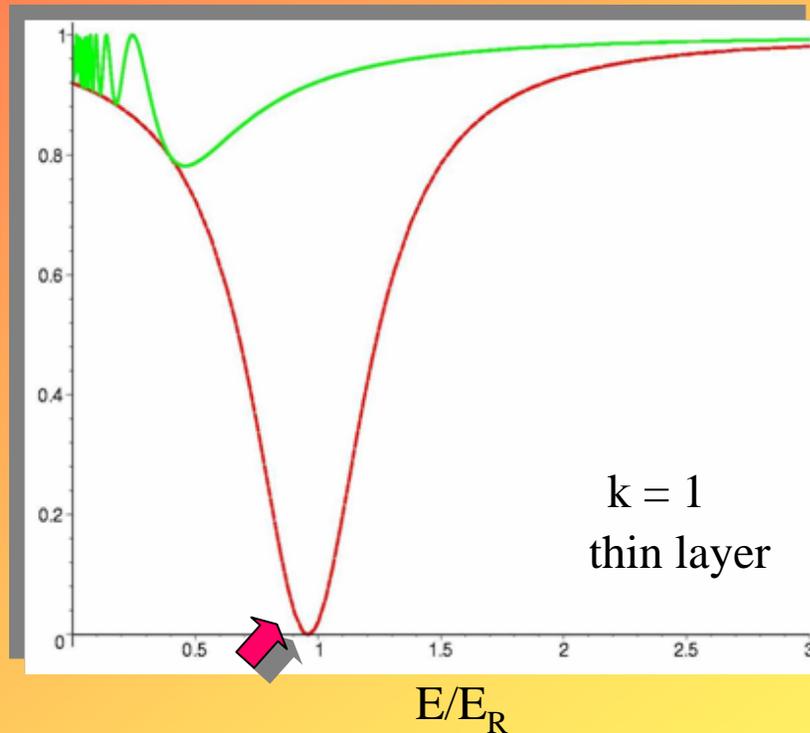
High energy neutrinos in the mantle of the Earth  
(constant density is a good first approximation)

# Applications:

Atmospheric  
neutrinos

Accelerator  
neutrinos,  
LBL experiments

$$\sin^2 2\theta = 0.08$$



# Degrees of freedom

Arbitrary state:

$$v(t) = \cos\theta_a v_{1m} + \sin\theta_a v_{2m} e^{-i\phi(t)}$$

Effects associated to different degrees of freedom

- $\theta_a = \theta_a(t)$  - determines the admixtures of the eigenstates
- $\phi(t)$  is the phase difference between the two eigenstates

$$\phi(t) = \int_0^t H dt'$$

- Flavors (flavor composition) of the eigenstates are determined by the mixing angle in matter

$$\langle v_e | v_{1m} \rangle = \cos\theta_m \quad \langle v_\mu | v_{1m} \rangle = -\sin\theta_m$$

- Combination of effects

$\theta_a(t) + \phi(t) \rightarrow$  parametric effects, etc.

$\theta_m(t) + \phi(t) \rightarrow$  ad. conv. + oscillations

Adiabaticity violation

Oscillations

Adiabatic conversion

# The MSW - effect

---

Adiabatic or partially adiabatic  
flavor conversion of neutrinos  
in medium with varying density



Flavor of the neutrino state  
follows density change

# Adiabatic case

Physical picture



■ Admixtures of the eigenstates do not change (adiabaticity)



Determined by mixing  $\theta_m^0$  in the production point

■ Flavors of the eigenstates follow the density change



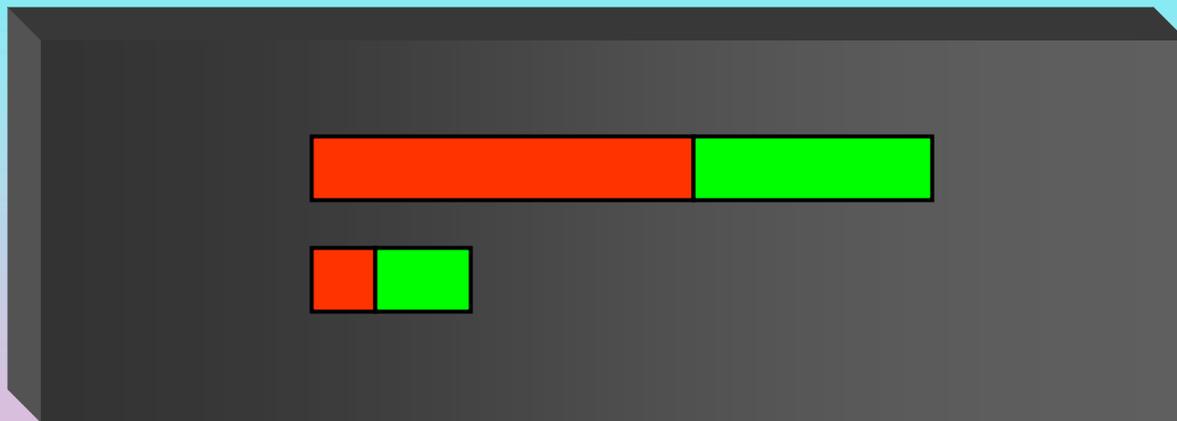
Flavor:  $\theta_m = \theta_m(\rho(t))$

■ Phase difference of the eigenstates changes leading to oscillations



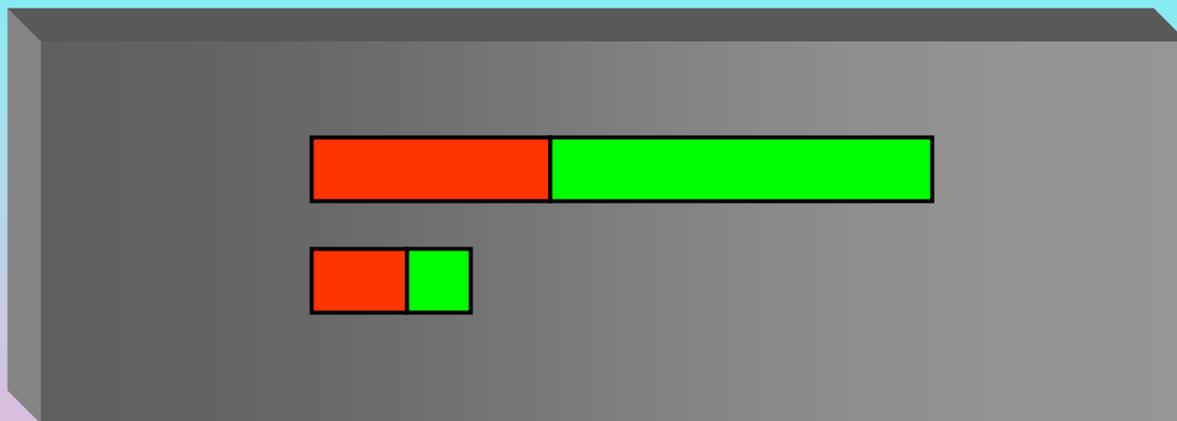
$$\phi = (H_1 - H_2) t$$

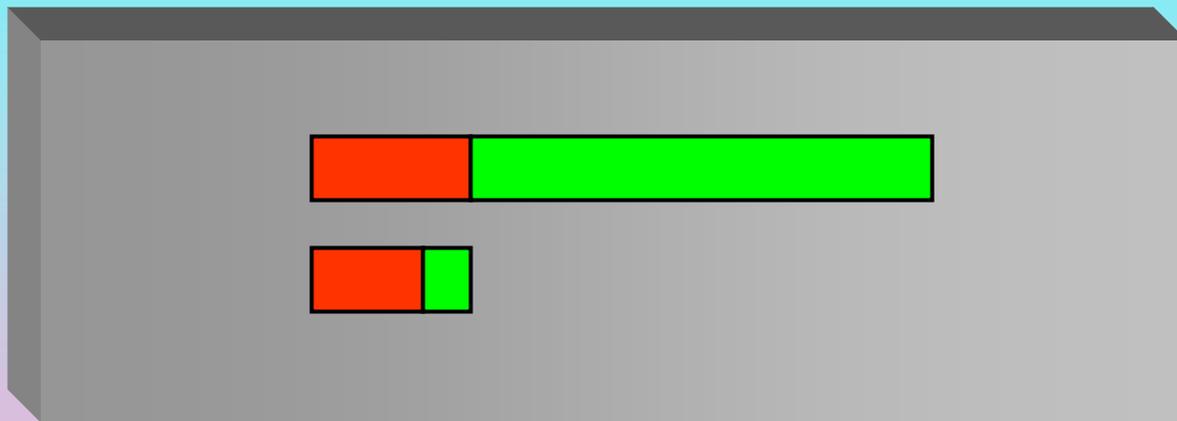




Resonance density  
mixing is maximal







# Evolution of eigenstates in matter

In non-uniform medium the Hamiltonian depends on time:  $H_{\text{tot}} = H_{\text{tot}}(n_e(t))$

→ Its eigenstates,  $v_{\text{matter}}$ , do not split the equations of motion

$$i \frac{dv_f}{dt} = H_{\text{tot}} v_f$$

$$v_f = \begin{pmatrix} v_e \\ v_\mu \end{pmatrix}$$

Inserting  $v_f = U(\theta_m) v_{\text{matter}}$  we get evolution equation for

$$v_{\text{matter}} = \begin{pmatrix} v_{1m} \\ v_{2m} \end{pmatrix}$$

$$i \frac{d}{dt} \begin{pmatrix} v_{1m} \\ v_{2m} \end{pmatrix} = \begin{pmatrix} 0 & i \frac{d\theta_m}{dt} \\ -i \frac{d\theta_m}{dt} & H_2 - H_1 \end{pmatrix} \begin{pmatrix} v_{1m} \\ v_{2m} \end{pmatrix}$$

$$\theta_m = \theta_m(n_e(t))$$

The Hamiltonian is non-diagonal  
no split of equations



transitions

$$v_{1m} \longleftrightarrow v_{2m}$$

# Adiabaticity

- Adiabaticity condition

$$\frac{\left| \frac{d\theta_m}{dt} \right|}{H_2 - H_1} \ll 1$$

External conditions (density) change slowly the system has time to adjust them

Essence:  
transitions between the neutrino eigenstates can be neglected

$$v_{1m} \langle \not{=} \rangle v_{2m}$$

→ The eigenstates propagate independently

Some more details

# Adiabatic conversion formula

Initial state:

$$v(0) = v_e = \cos\theta_m^0 v_{1m}(0) + \sin\theta_m^0 v_{2m}(0)$$

Adiabatic evolution  
to the surface of  
the Sun (zero density):

$$\begin{array}{l} v_{1m}(0) \rightarrow v_1 \\ v_{2m}(0) \rightarrow v_2 \end{array}$$

→ Final state:

$$v(f) = \cos\theta_m^0 v_1 + \sin\theta_m^0 v_2 e^{-i\phi}$$

Probability  
to find  $v_e$   
averaged over  
oscillations

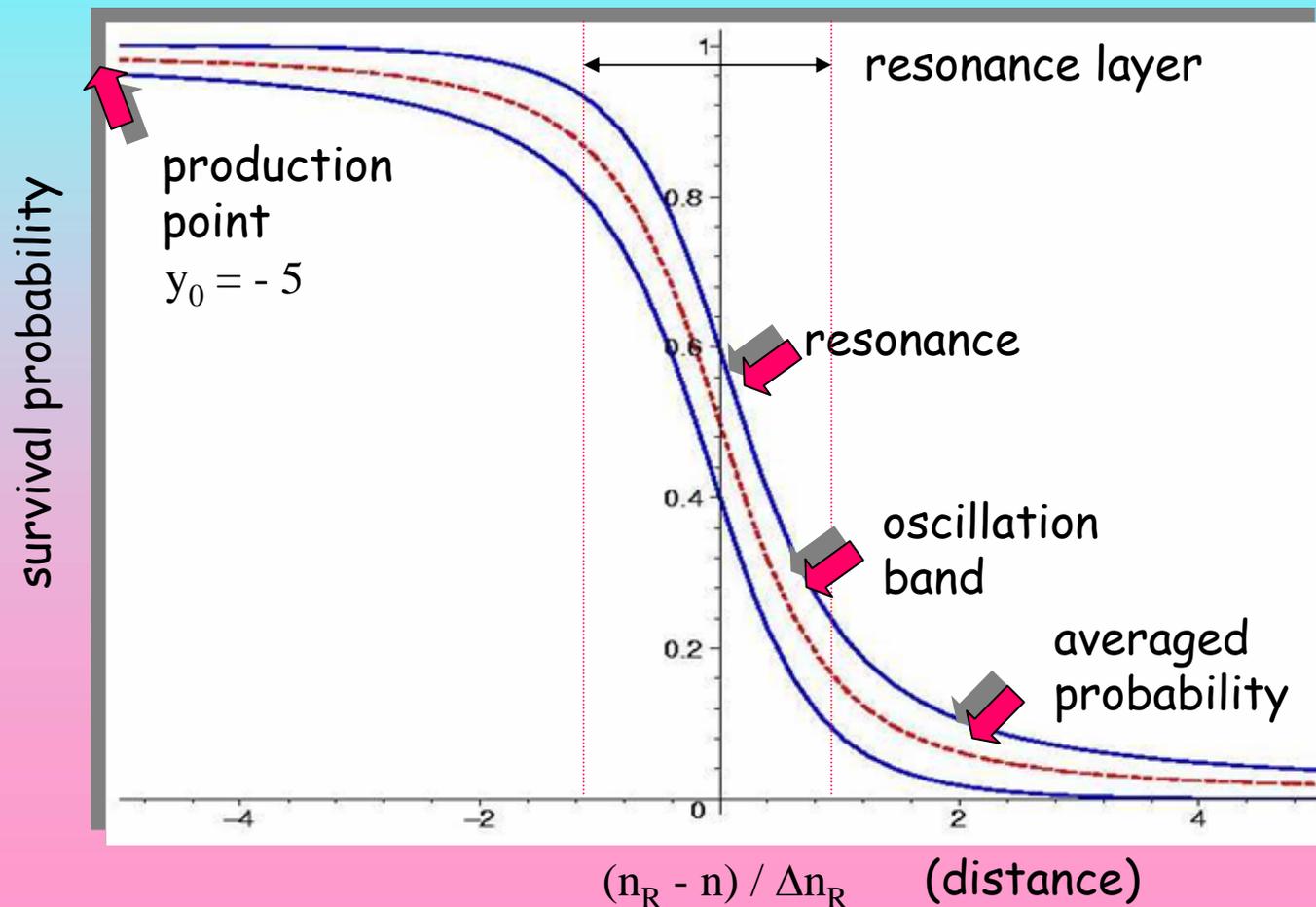
$$P = |\langle v_e | v(f) \rangle|^2 = (\cos\theta \cos\theta_m^0)^2 + (\sin\theta \sin\theta_m^0)^2$$

$$= 0.5[1 + \cos 2\theta_m^0 \cos 2\theta]$$

$$P = \sin^2\theta + \cos 2\theta \cos^2\theta_m^0$$

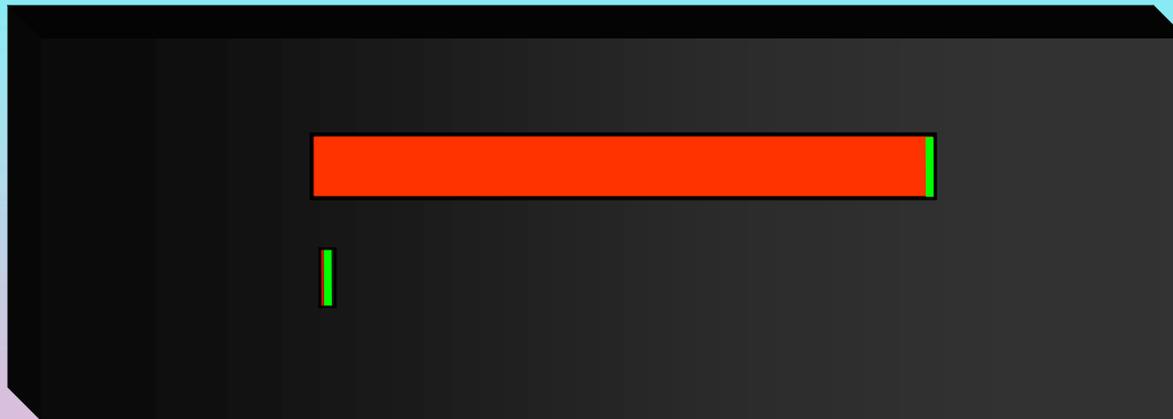
# Spatial picture

The picture is universal in terms of variable  $y = (n_R - n) / \Delta n_R$   
no explicit dependence on oscillation parameters, density distribution, etc.  
only initial value  $y_0$  matters



# Adiabaticity violation

Physical picture



■ Transitions  $\nu_{1m} \leftrightarrow \nu_{2m}$  occur  
admixture of the eigenstates change

■ Flavors of the eigenstates  
follow the density change

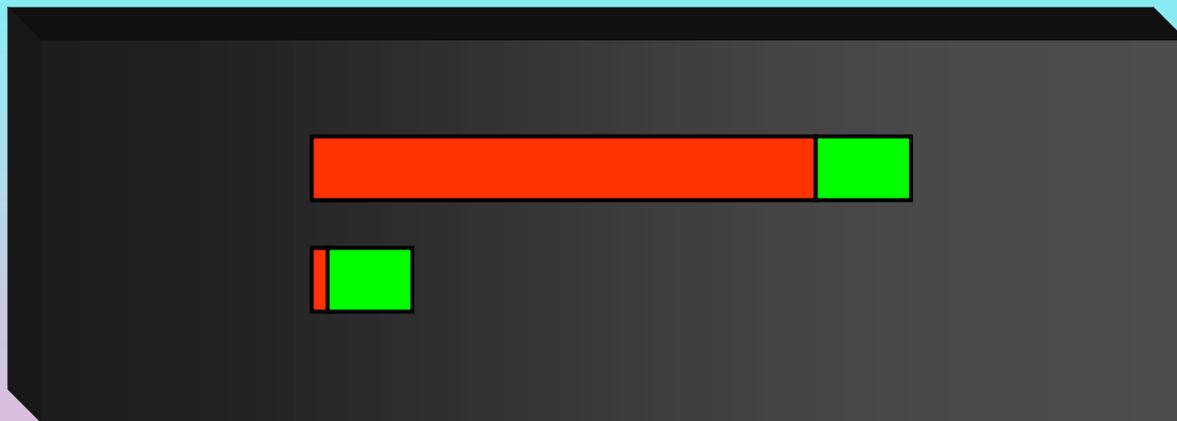


Flavor:  $\theta_m = \theta_m(\rho(t))$

■ Phase difference of the eigenstates  
changes leading to oscillations



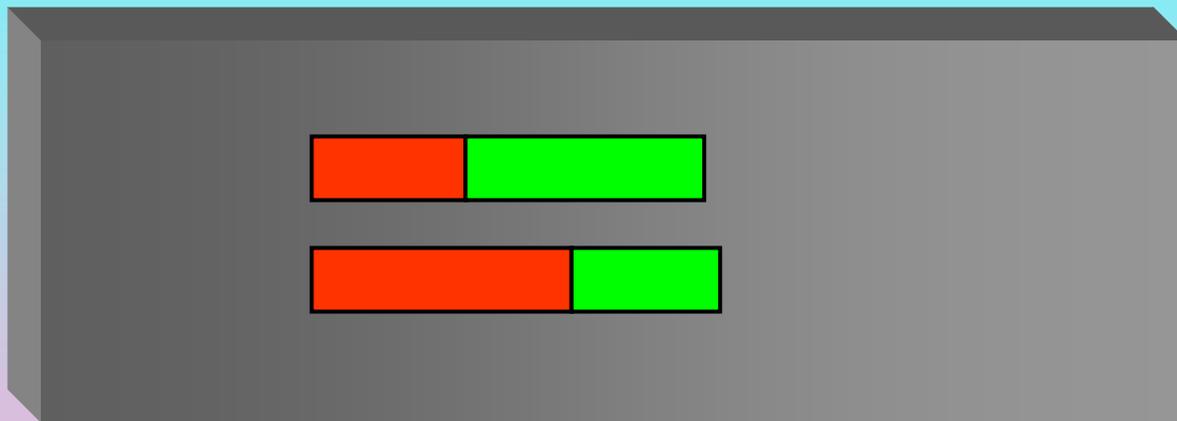
$$\phi = (H_1 - H_2) t$$

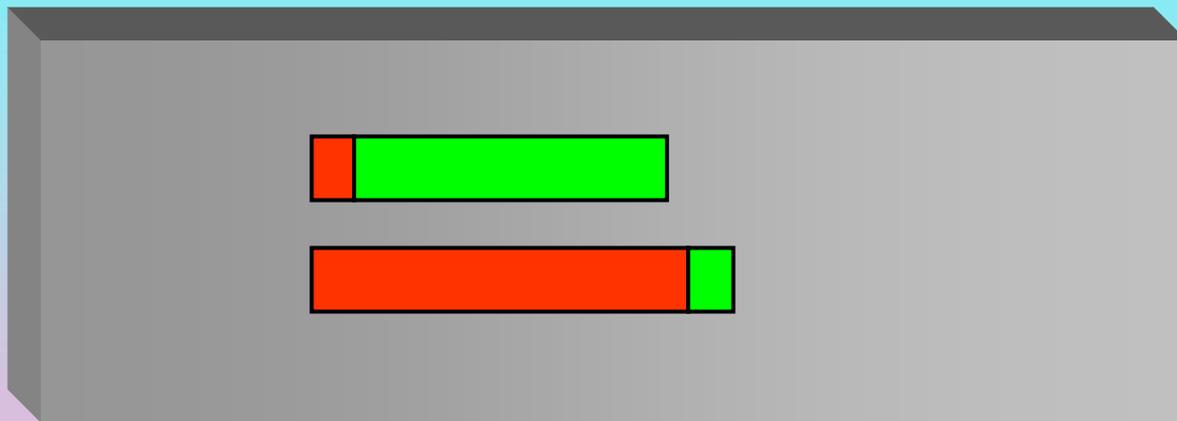




Resonance density  
mixing is maximal

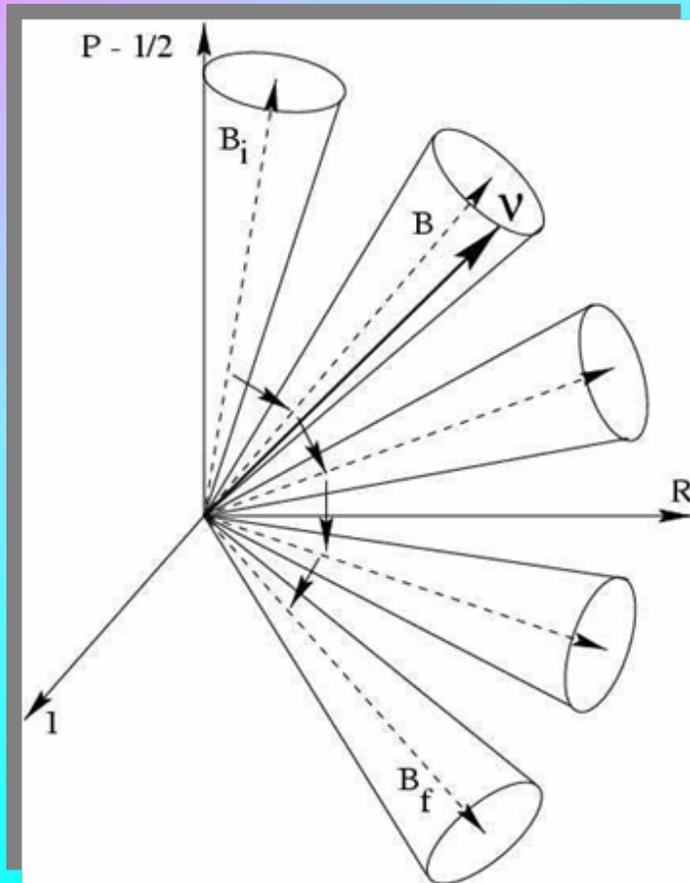




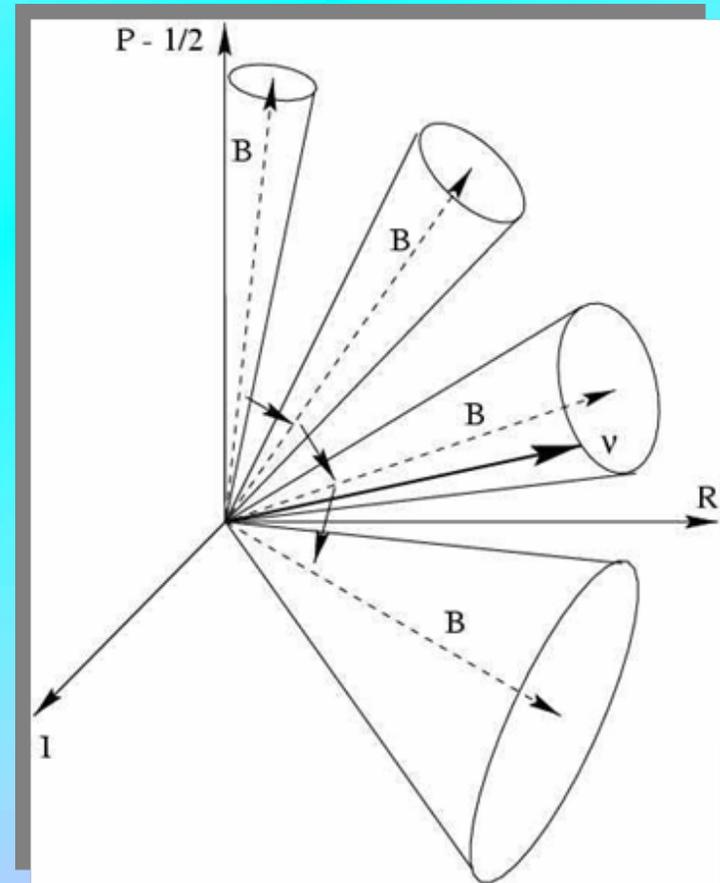


# Graphic representation

Pure adiabatic conversion

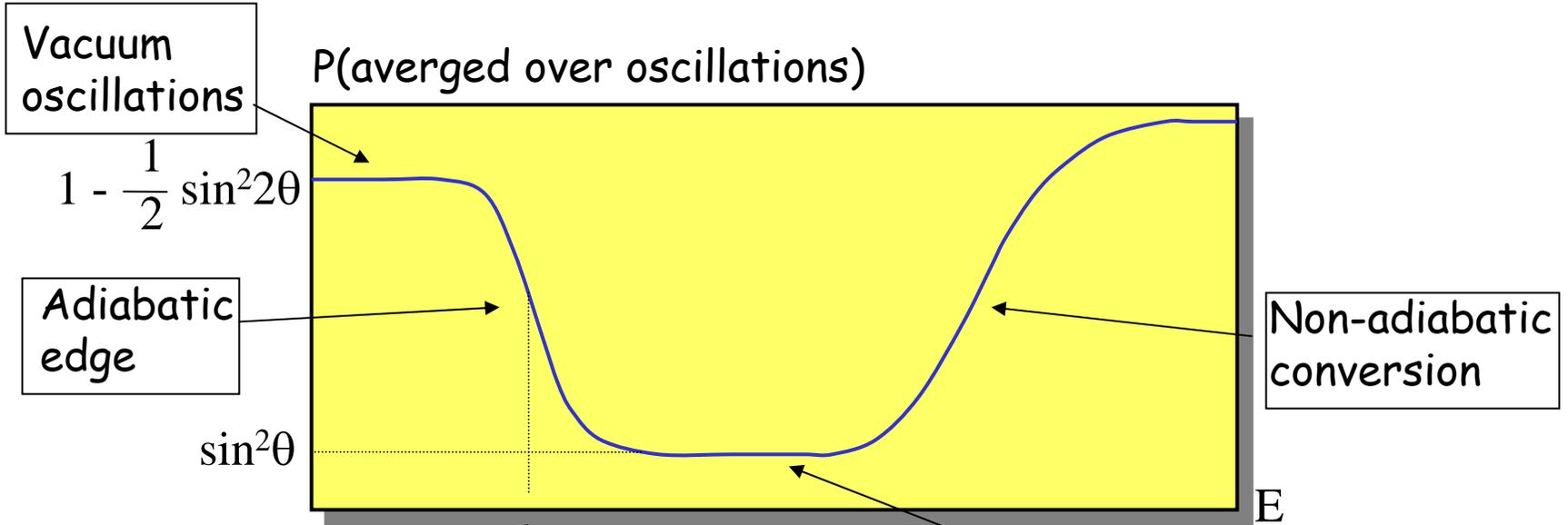


Partially adiabatic conversion



# Survival Probability

Non-uniform medium



Resonance at the highest density



$$v(0) = \nu_e = \nu_{2m} \longrightarrow \nu_2$$

$$P = |\langle \nu_e | \nu_2 \rangle|^2 = \sin^2 \theta$$

Non-oscillatory adiabatic conversion

adiabaticity

# Oscillations versus MSW

What is essential difference between oscillations and the MSW effect?

Both require mixing, MSW is usually accompanying by oscillations

## Oscillations

- Vacuum or uniform medium with constant parameters
- Phase difference increase between the eigenstates

$\phi$

Different degrees of freedom

## Adiabatic conversion

Non-uniform medium or/and medium with varying in time parameters

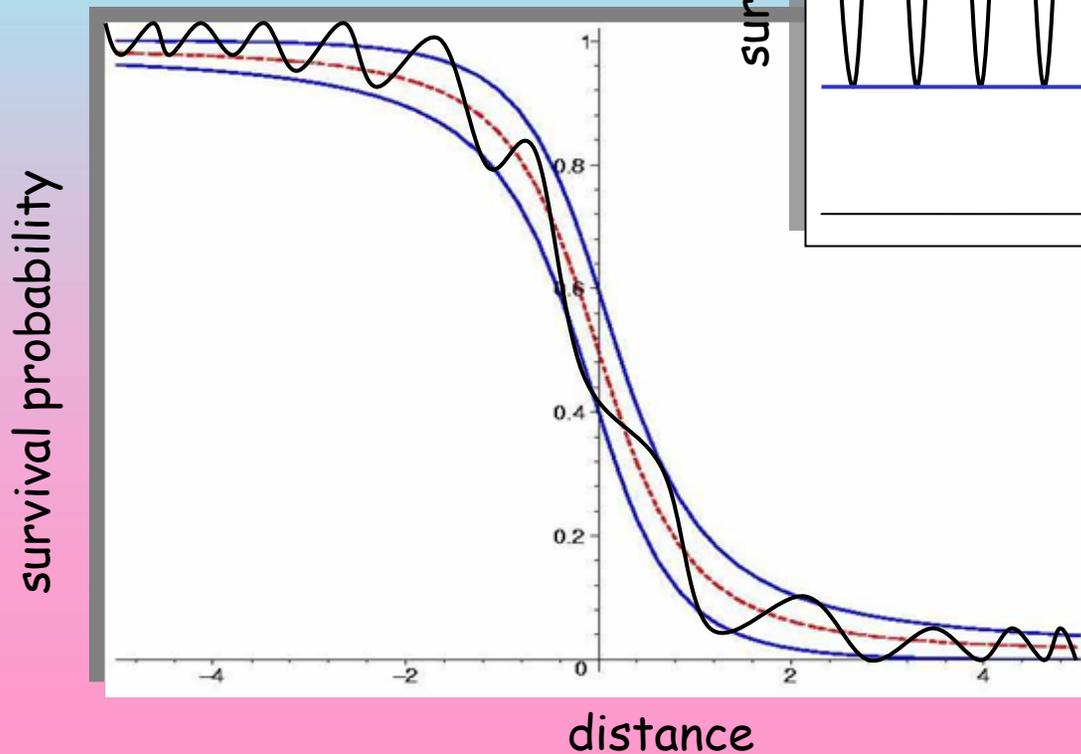
Change of mixing in medium = change of flavor of the eigenstates

$\theta_m$

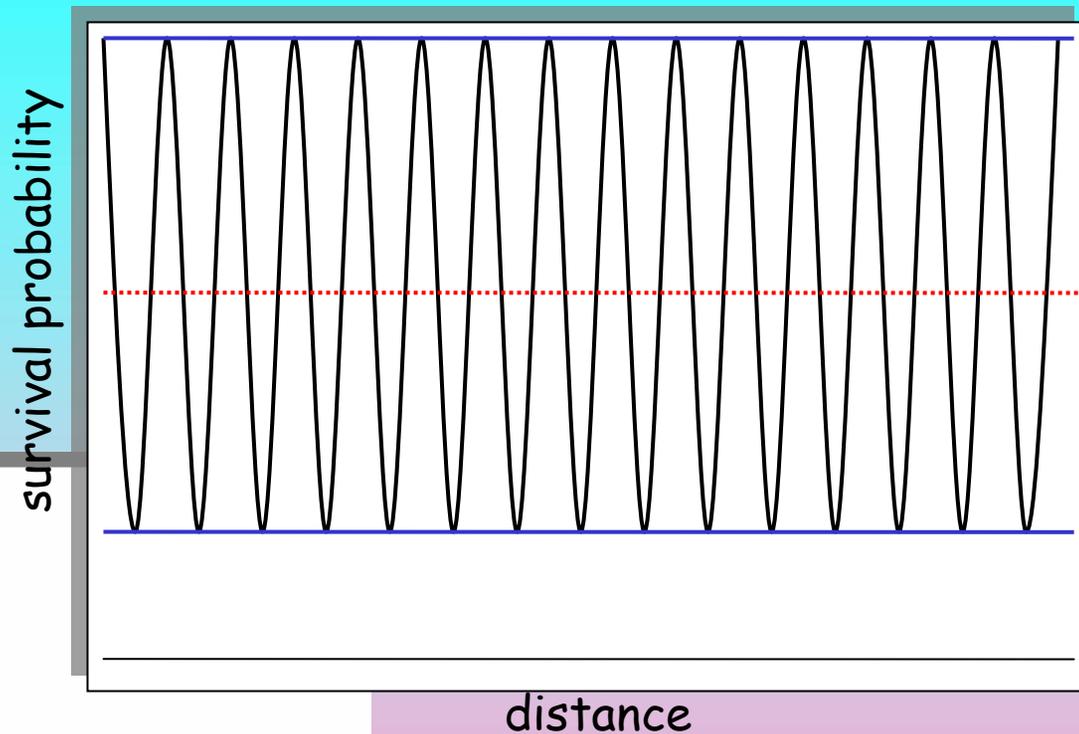
In non-uniform medium:  
interplay of both processes

# Spatial picture

Adiabatic conversion



Oscillations

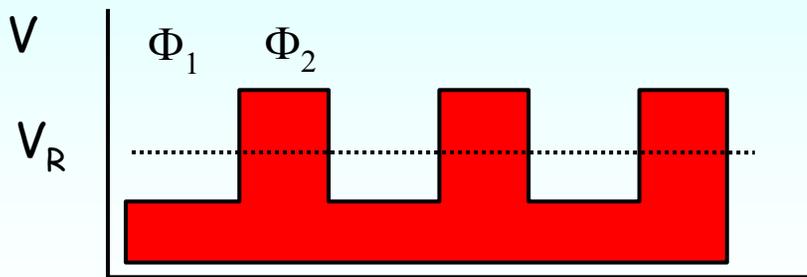


# Parametric enhancement of oscillations

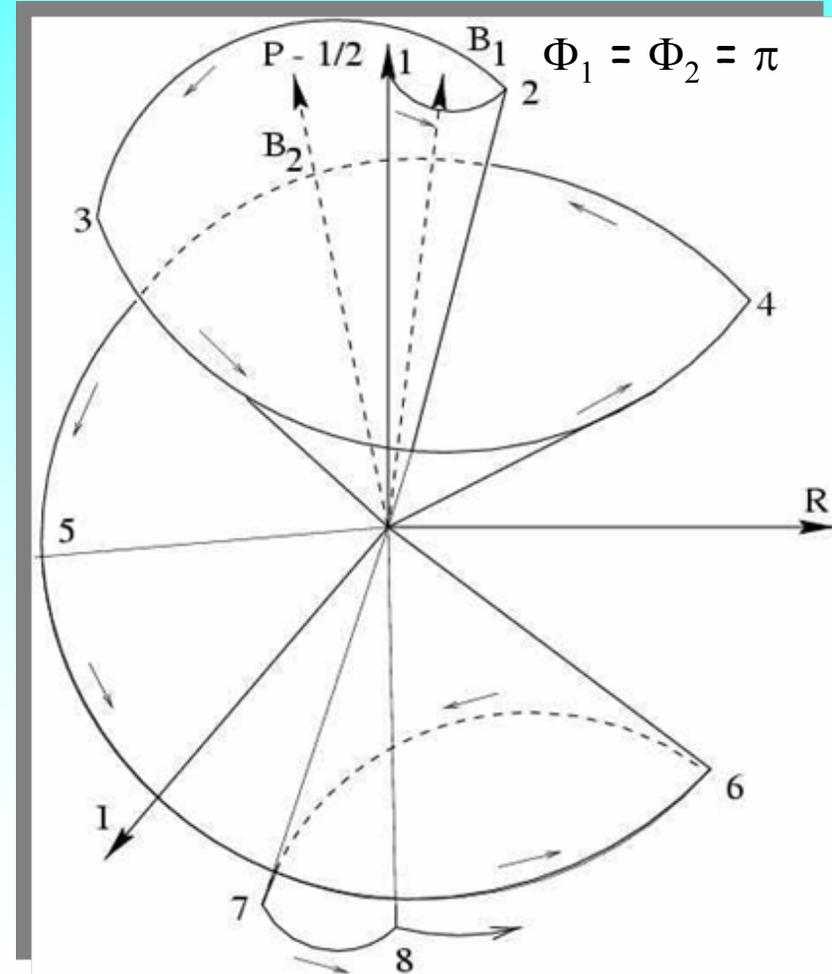
Enhancement associated to certain conditions for the phase of oscillations

Another way to get strong transition  
No large vacuum mixing and no matter enhancement of mixing or resonance conversion

V. Ermilova V. Tsarev, V. Chechin  
E. Akhmedov  
P. Krastev, A.S., Q. Y. Liu,  
S.T. Petcov, M. Chizhov

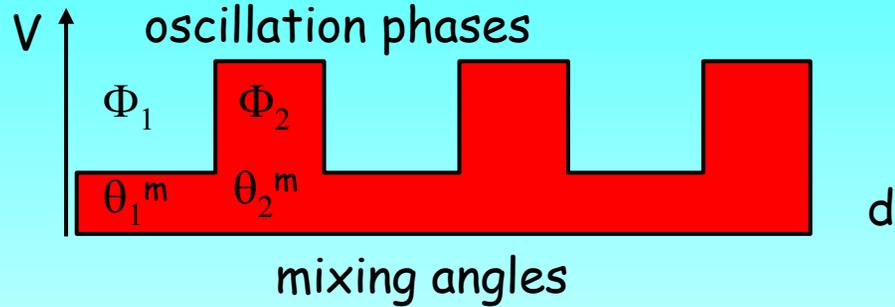


`` Castle wall profile''



# Parametric resonance

“Castle wall profile”



Resonance condition: *E. Kh. Akhmedov*

$$s_1 c_2 \cos 2\theta_1^m + s_2 c_1 \cos 2\theta_2^m = 0$$

$$s_i = \sin \Phi_i / 2, \quad c_i = \cos \Phi_i / 2, \quad (i = 1, 2)$$

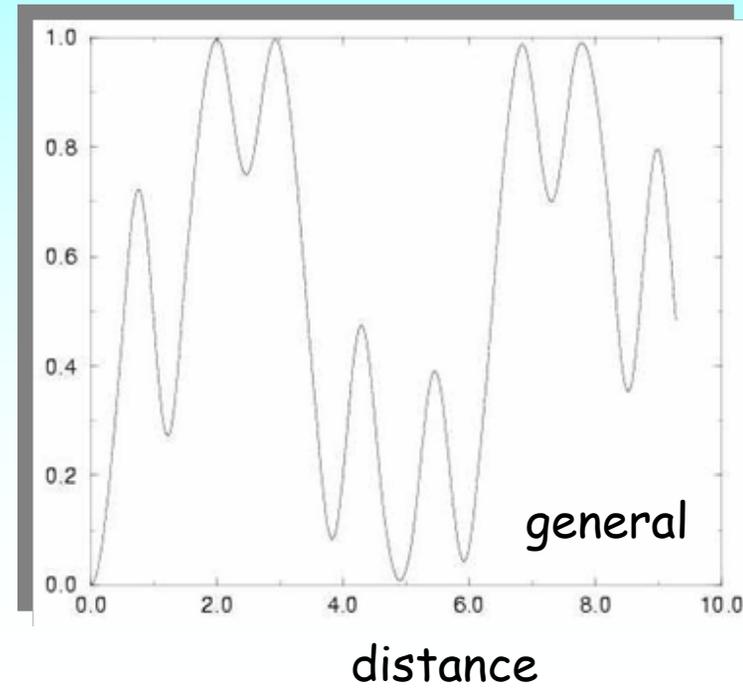
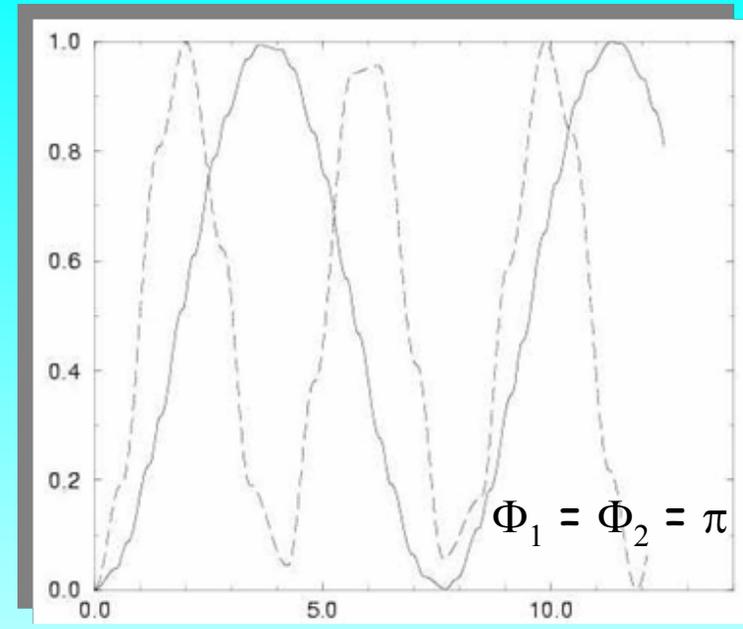
= maximal depth of oscillations

also *S. Petcov M. Chizhov*

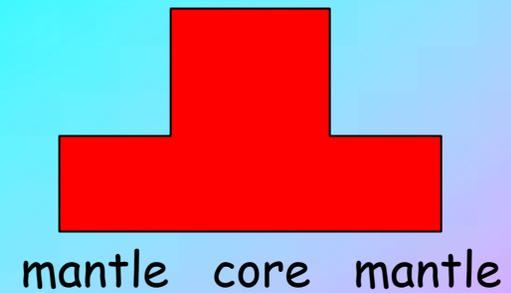
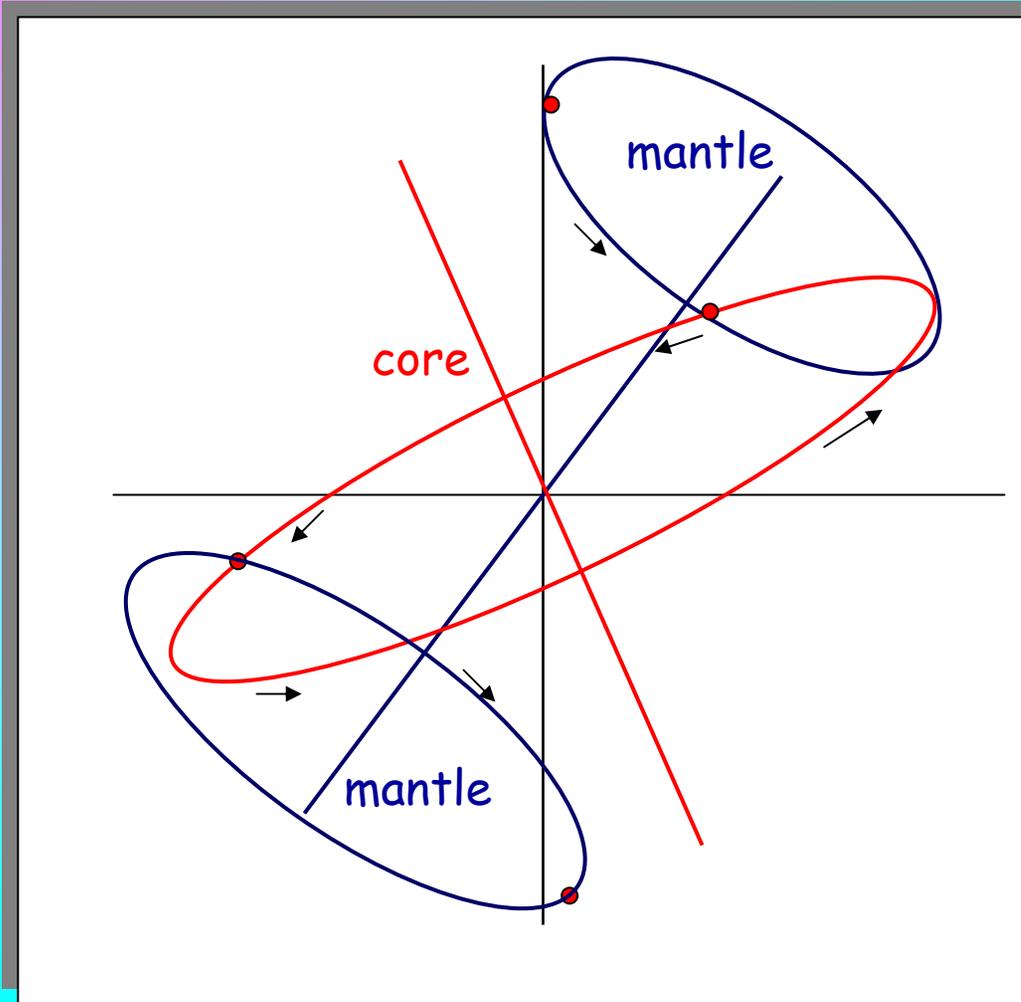
Simplest realization:

$$c_1 = c_2 = 0 \quad \Phi_1 = \Phi_2 = \pi$$

In general, certain correlation between the phases and mixing angles



# Parametric enhancement in the Earth

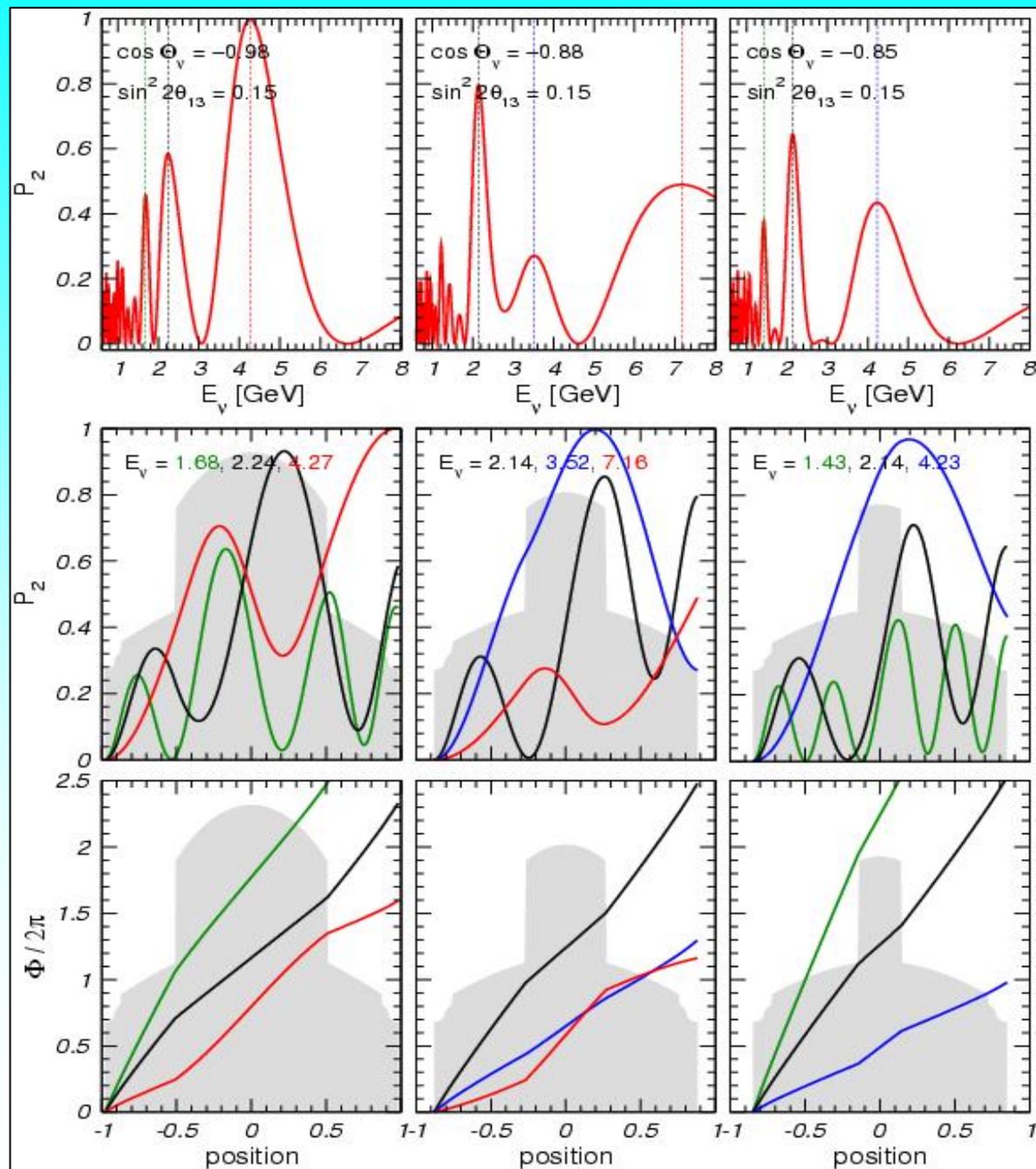


For the atmospheric neutrinos in multi GeV range

# Parametric effects

$$P_2 = P(\nu_e - \nu_\alpha)$$

$\nu_\alpha$  = combination  
of  $\nu_\mu$  and  $\nu_\tau$  in  $\nu_3$



# Main challenge

Simple vs. complex

toward the underlying physics

fractal

Bottom-up

Where end meet?

Regularities

Anarchy, randomness

Symmetries

Landscape:  
accidental...

To large extent masses and mixings  
are ``accidental'' parameters with  
rather complicated physics behind  
determined e.g. by vacuum of complex  
(many components) system of scalar fields