

# Galactic Supernova for neutrino mixing and SN astrophysics

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Tata Institute of Fundamental Research  
Mumbai

NNN05, Aussois, France, April 7-9, 2005

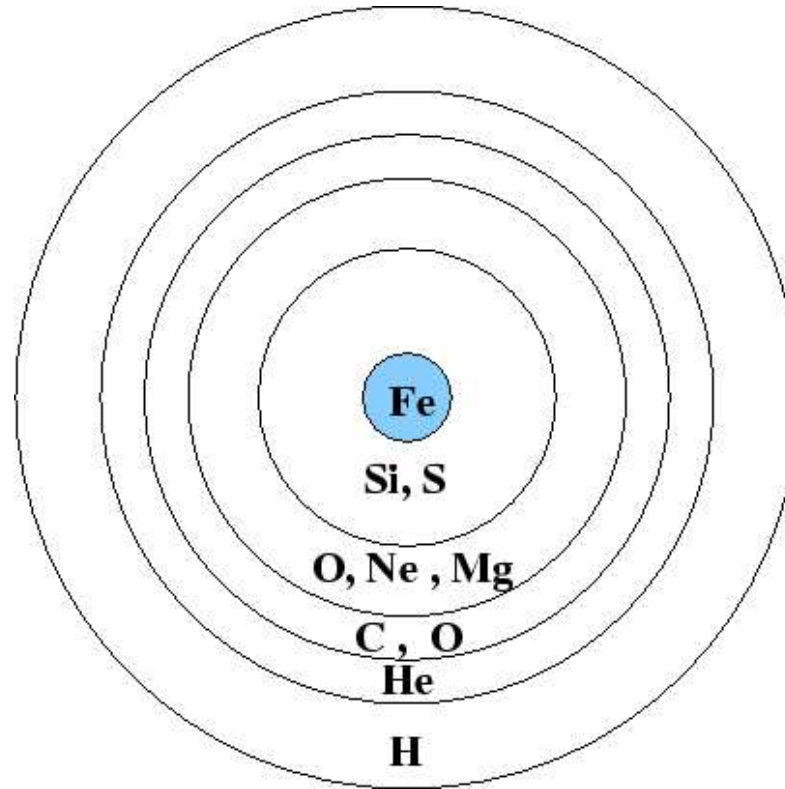
# Why study a rare event

(?? Galactic SN: once in a few decades ??)

- For neutrino mixing:
  - Identifying normal / inverted mass hierarchy
  - Probing extremely low values of  $\theta_{13}$
- For SN astrophysics:
  - Pointing to the SN in advance
  - Tracking the shock wave propagation inside SN
- For being prepared to observe relevant signals:
  - Water Cherenkov / Ice Cherenkov
  - Carbon-based Scintillator
  - Liquid Ar
- For long-term future:
  - A guide for design parameters of future long baseline experiments [superbeams / neutrino factories]

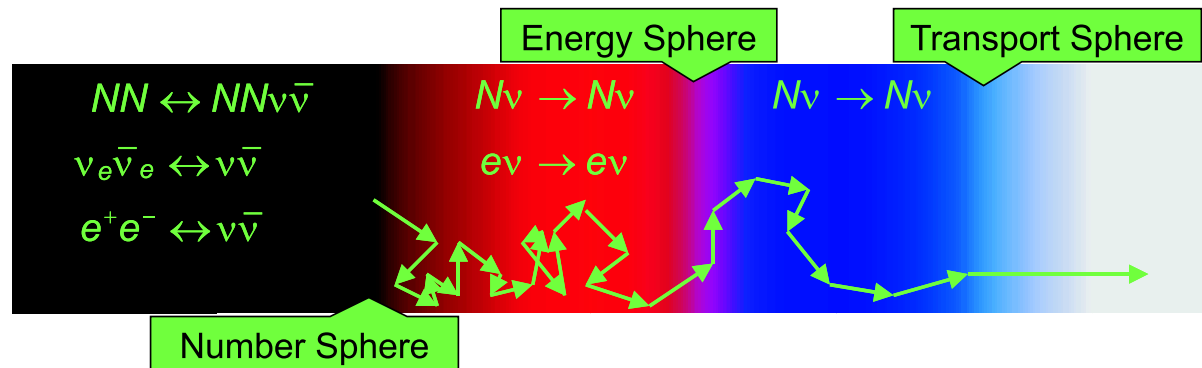
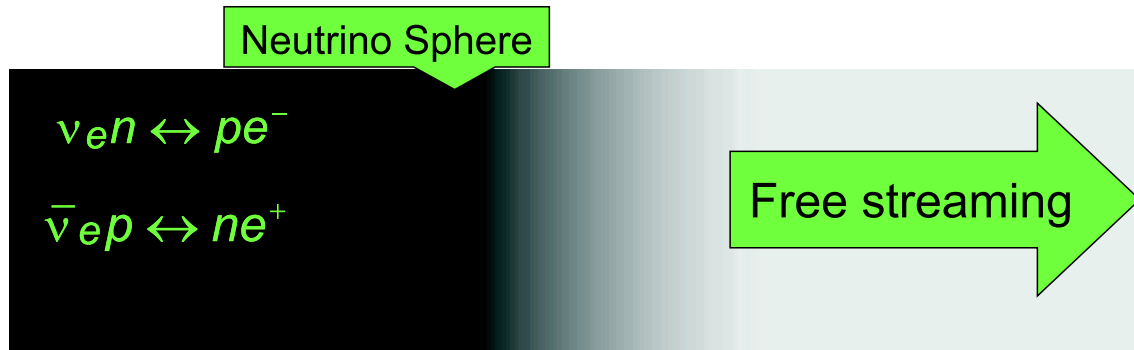
# A Type II supernova

“Onion-shell” structure:



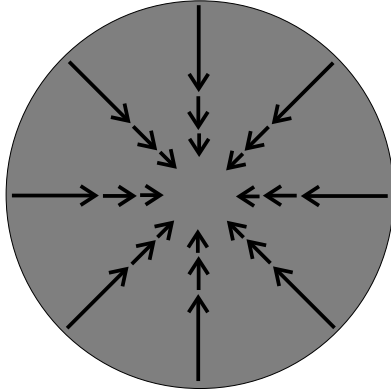
# Trapped neutrinos before the collapse

- Neutrinos trapped inside “neutrinospheres” around  $\rho \sim 10^{10}$  g/cc.



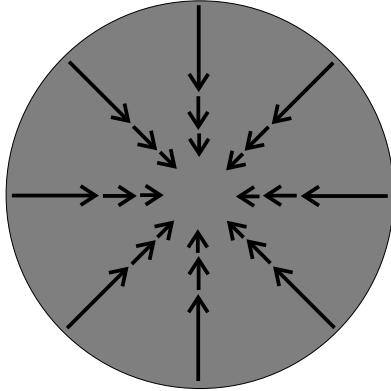
# The core collapse

- Gravitational core collapse

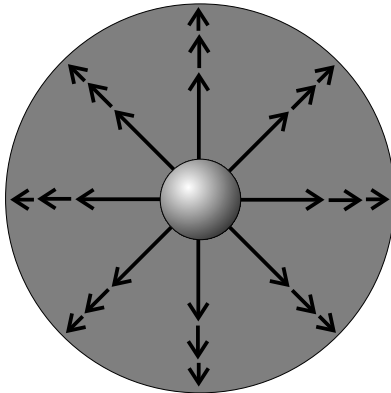


# The core collapse

- Gravitational core collapse



- Generation of a shock wave



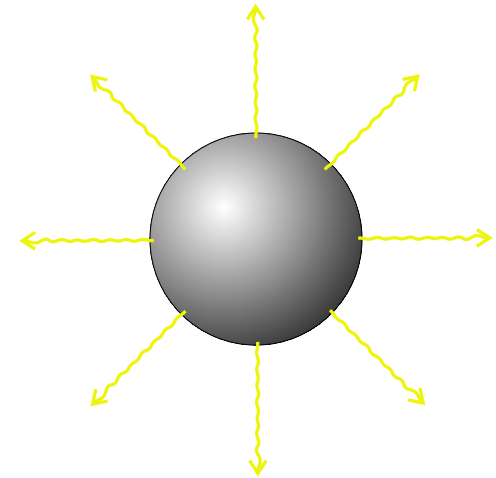
# Neutrino emission after the collapse

- Neutronization burst:  
Shock wave breaks up the nuclei  $\Rightarrow e^-$  capture enhanced  
 $\nu_e$  emitted at the  $\nu_e$  neutrinosphere.  
Duration: The first  $\sim 10$  ms

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Duration: The first  $\sim 10$  ms
- Cooling through neutrino emission:  $\nu_e, \bar{\nu}_e, \nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau$   
Duration: About 10 sec  
Emission of 99% of the SN energy in neutrinos

Can be used for “pointing” to the SN  
in advance. (“Early warning”)



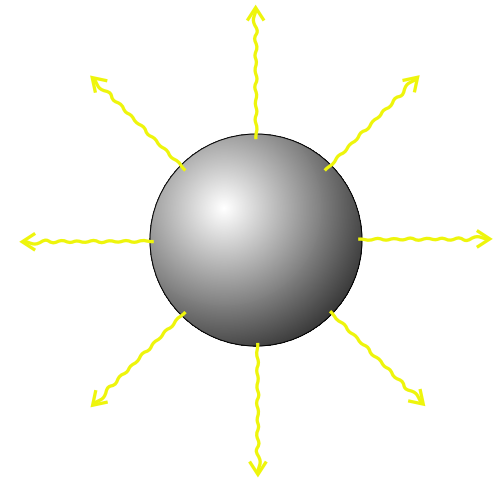


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- ??? Explosion ???

# Initial neutrino spectra

Neutrino fluxes:

$$F_{\nu_i}^0 = \frac{\Phi_0}{E_0} \frac{(1 + \alpha)^{1+\alpha}}{\Gamma(1 + \alpha)} \left( \frac{E}{E_0} \right)^\alpha \exp \left[ -(\alpha + 1) \frac{E}{E_0} \right]$$

$E_0, \alpha$ : in general time dependent

Known properties of the spectra:

- Energy hierarchy:  $E_0(\nu_e) < E_0(\bar{\nu}_e) < E_0(\nu_x)$
- Spectral pinching:  $\alpha_{\nu_i} > 2$

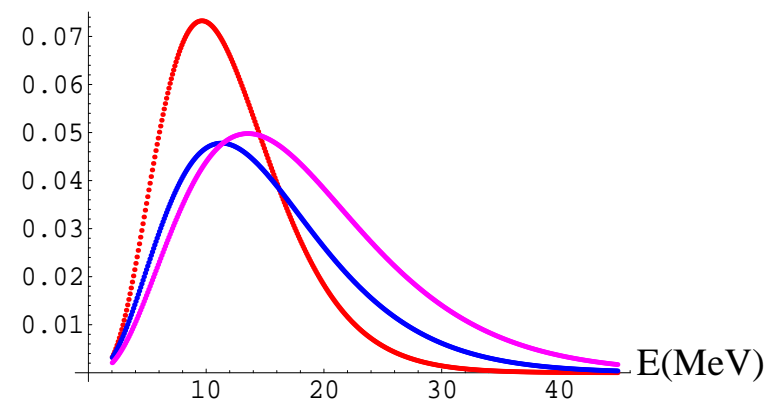
$E_0(\nu_e) \approx 10\text{--}12 \text{ MeV}$

$E_0(\bar{\nu}_e) \approx 13\text{--}16 \text{ MeV}$

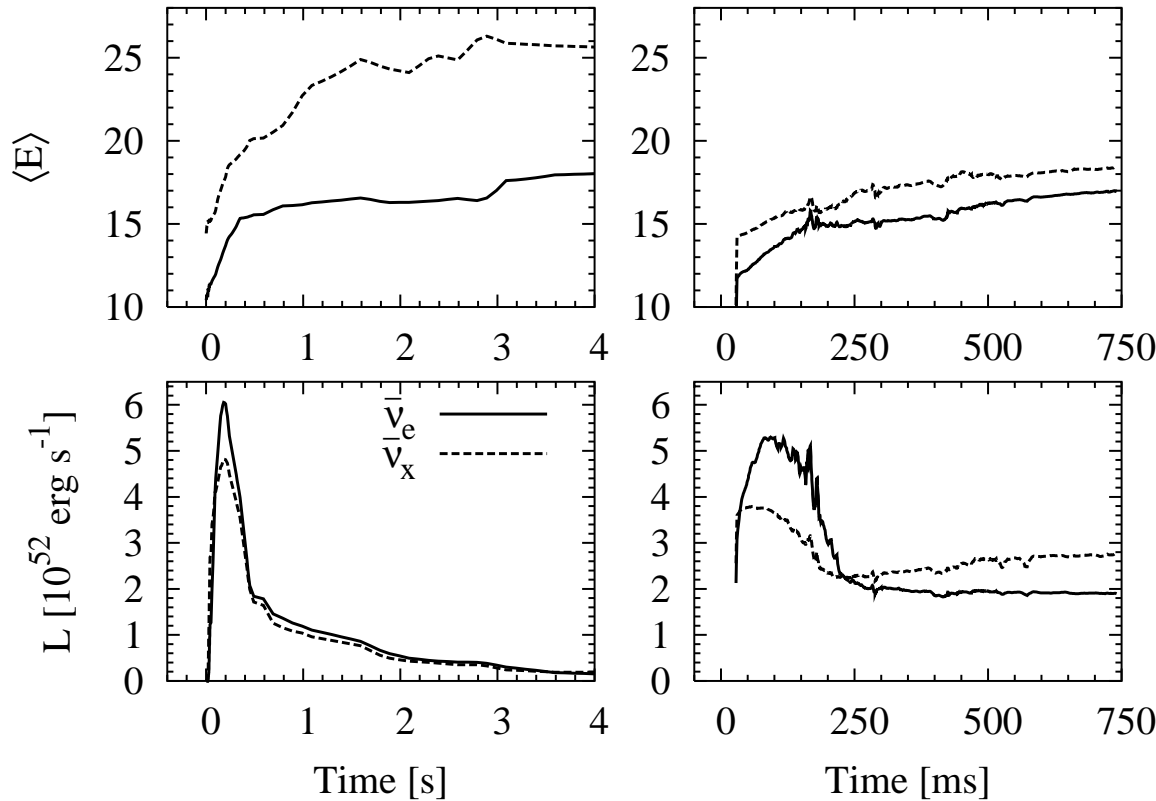
$E_0(\nu_x) \approx 15\text{--}25 \text{ MeV}$

$\alpha_{\nu_i} \approx 2\text{--}4$

G. G. Raffelt, M. T. Keil, R. Buras, H. T. Janka  
and M. Rampp, astro-ph/0303226



# Flavor-dependent neutrino fluxes



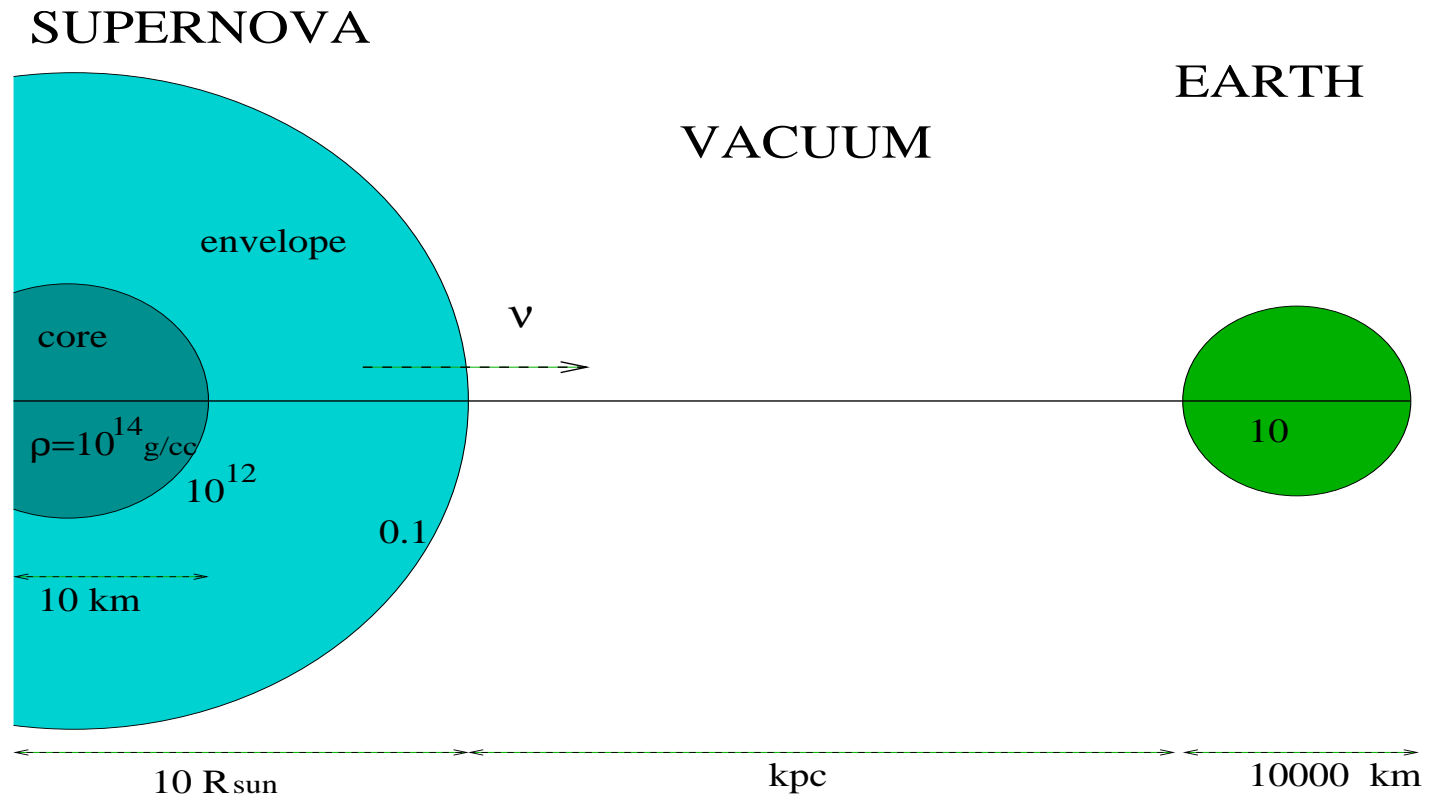
solid line:  $\bar{\nu}_e$   
dotted line:  $\bar{\nu}_x$

Model	$\langle E_0(\nu_e) \rangle$	$\langle E_0(\bar{\nu}_e) \rangle$	$\langle E_0(\nu_x) \rangle$	$\frac{\Phi_0(\nu_e)}{\Phi_0(\nu_x)}$	$\frac{\Phi_0(\bar{\nu}_e)}{\Phi_0(\nu_x)}$
Garching (G)	12	15	18	0.8	0.8
Livermore (L)	12	15	24	2.0	1.6

G. G. Raffelt, M. T. Keil, R. Buras, H. T. Janka and M. Rampp, astro-ph/0303226

T. Totani, K. Sato, H. E. Dalhed and J. R. Wilson, Astrophys. J. 496, 216 (1998)

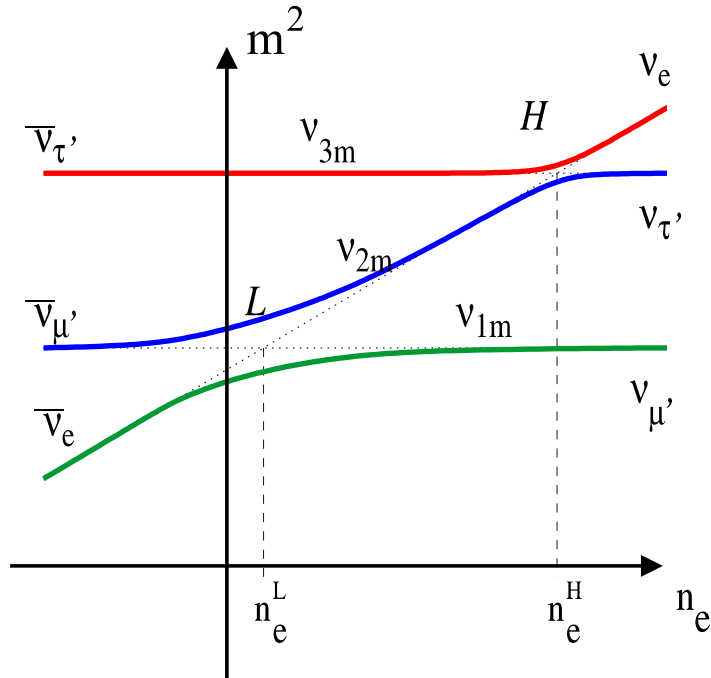
# Propagation through matter



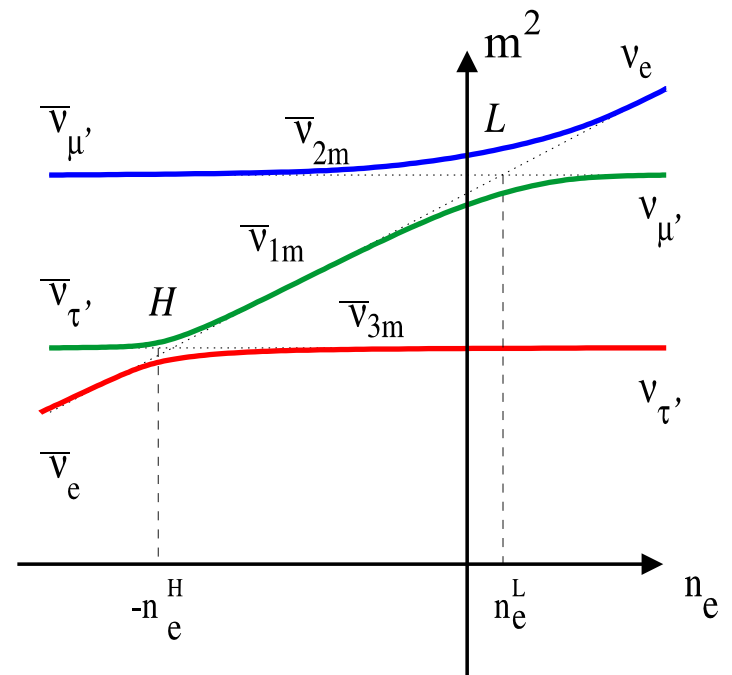
- Matter effects on neutrino mixing **crucial**
- Flavor conversions at resonances / level crossings

# Level crossings during propagation

Normal mass hierarchy

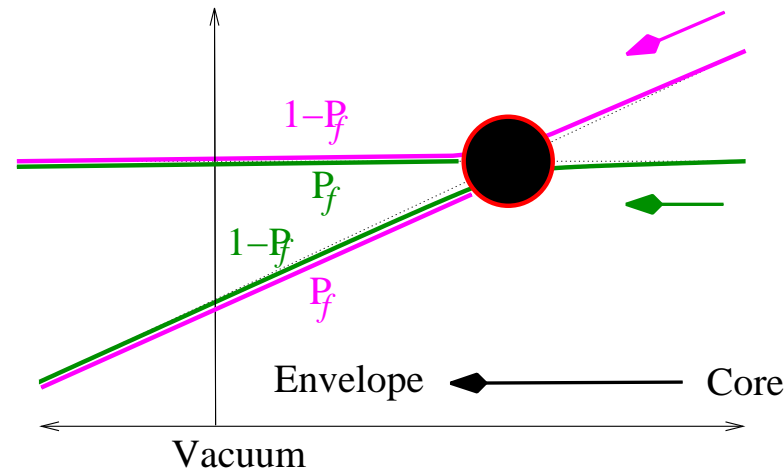


Inverted mass hierarchy



- $H$  resonance:  $(\Delta m_{\text{atm}}^2, \theta_{13})$ ,  $\rho \sim 10^3$  g/cc  
In  $\nu$  channel for normal hierarchy,  $\bar{\nu}$  channel for inverted hierarchy
- $L$  resonance:  $(\Delta m_{\odot}^2, \theta_{\odot})$ ,  $\rho \sim 10$  g/cc  
Always in  $\nu$  channel
- $\Delta m^2$  hierarchy  $\Rightarrow$  Independent dynamics at resonances

# Conversion probability at resonance



$$P_f \approx \exp\left(-\frac{\pi}{2}\gamma\right), \quad \gamma \equiv \frac{\Delta m^2}{2E} \frac{\sin^2 2\theta}{\cos 2\theta} \left(\frac{1}{n_e} \frac{dn_e}{dr}\right)^{-1}$$

$\gamma \gg 1 \Rightarrow P_f \ll 1 \Rightarrow$  Adiabatic resonance

Landau'1932, Zener'1932

- $L$  resonance always adiabatic
- $H$  resonance adiabatic for  $|U_{e3}|^2 \gtrsim 10^{-3}$ ,  
non-adiabatic for  $|U_{e3}|^2 \lesssim 10^{-5}$

AD, A. Smirnov, PRD 62, 033007 (2000)

# Fluxes arriving at the Earth

Mixture of initial fluxes:

$$F_{\nu_e} = p F_{\nu_e}^0 + (1 - p) F_{\nu_x}^0 ,$$

$$F_{\bar{\nu}_e} = \bar{p} F_{\bar{\nu}_e}^0 + (1 - \bar{p}) F_{\nu_x}^0 ,$$

$$4F_{\nu_x} = (1 - p) F_{\nu_e}^0 + (1 - \bar{p}) F_{\bar{\nu}_e}^0 + (2 + p + \bar{p}) F_{\nu_x}^0 .$$

Survival probabilities in different scenarios:

Case	Hierarchy	$\sin^2 \Theta_{13}$	$p$	$\bar{p}$
A	Normal	Large	0	$\cos^2 \Theta_{\odot}$
B	Inverted	Large	$\sin^2 \Theta_{\odot}$	0
C	Any	Small	$\sin^2 \Theta_{\odot}$	$\cos^2 \Theta_{\odot}$

- “Small”:  $\sin^2 \Theta_{13} \lesssim 10^{-5}$ , “Large”:  $\sin^2 \Theta_{13} \gtrsim 10^{-3}$ .
- Sensitivity to  $\sin^2 \Theta_{13}$  an order of magnitude better than current reactor experiments !

# SN87A



(Hubble image)

- Confirmed the **SN cooling mechanism** through neutrinos
- **Number of events too small** to say anything concrete about **neutrino mixing**
- Some **constraints on SN parameters** obtained

J. Arafune, J. Bahcall, V. Barger, M. Fukugita, B. Jegerlehner, M Kachelrieß, C. Lunardini, D. Marfatia, H. Minakata, F. Neubig, D. Nötzold, H. Nunokawa, G. G. Raffelt, K. Shiraishi, A. Smirnov, D. Spergel, A. Strumia, H. Suzuki, R. Tomàs, J. V. F. Valle, B. Wood, T. Yanagida, M. Yoshimura, *et al*



# Detecting a galactic SN

Events expected at **Super-Kamiokande** with a SN at 10 kpc:

- $\bar{\nu}_e p \rightarrow n e^+$ :  $\approx 7000 - 12000$
- $\nu e^- \rightarrow \nu e^-$ :  $\approx 200 - 300$
- $\nu_e + {}^{16}\text{O} \rightarrow X + e^-$ :  $\approx 150-800$

Some useful reactions at other detectors:

- **Carbon-based scintillator**:  $\nu + {}^{12}\text{C} \rightarrow \nu + X + \gamma$  (15.11 MeV)
- **Liquid Ar**:  $\nu_e + {}^{40}\text{Ar} \rightarrow {}^{40}\text{K}^* + e^-$

# Identifying neutrino mixing scenario

A ??

B ??

C ??

# The task at hand

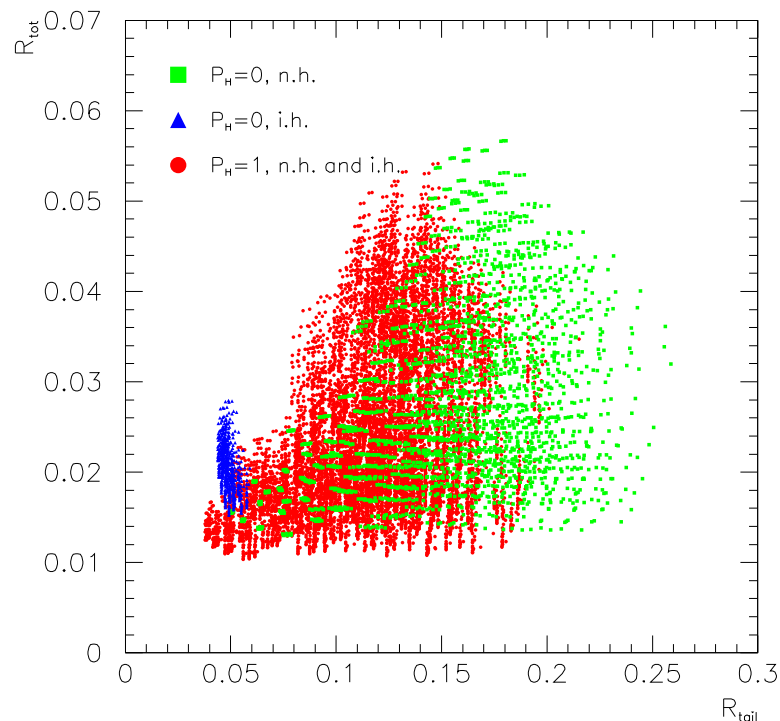
Measure the spectra, determine the mixing scenario.

A. Bandyopadhyay, AD, S. Choubey, G. Dutta, I. Gil-Botella, S. Goswami, D. Indumathi, M. Kachelrieß, K. Kar, C. Lunardini, H. Minakata, M. V. N. Murthy, H. Nunokawa, G. Raffelt, G. Rajasekaran, A. Rubbia, K. Sato, A. Smirnov, K. Takahashi, R. Tomàs, J. Valle, *et al*

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- Poorly known initial spectra
- Only final  $\bar{\nu}_e$  spectrum cleanly available (till we have liquid Ar)
- Difficult to find a “clean” observable, i.e. one independent of some assumptions about the initial spectra

# Some possible observables

- During neutronization burst:  $R^B \equiv N^{CC}/N^{NC}$   
Case A  $\Rightarrow R^B/R_0^B \approx |U_{e3}|^2 \leq 0.05$
- Broadening of spectra:  
pinched  $\rightarrow$  antipinched, i.e.  $\alpha > 2 \rightarrow \alpha < 2$
- Pinching parameter  $3\langle E^2 \rangle / 4\langle E \rangle^2$ :  
Information about the spectrum around the peak
- Tail fraction:

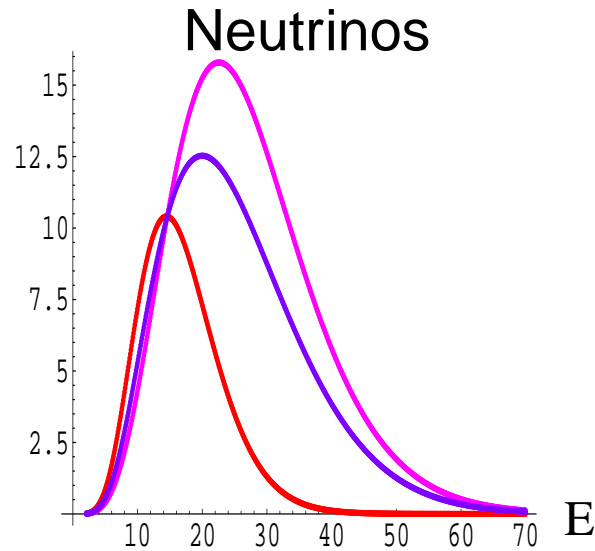
$$f_{tail} = \frac{N(E > E_{tail})}{N(E > E_{th})}$$

Information about the high-energy part of the spectrum

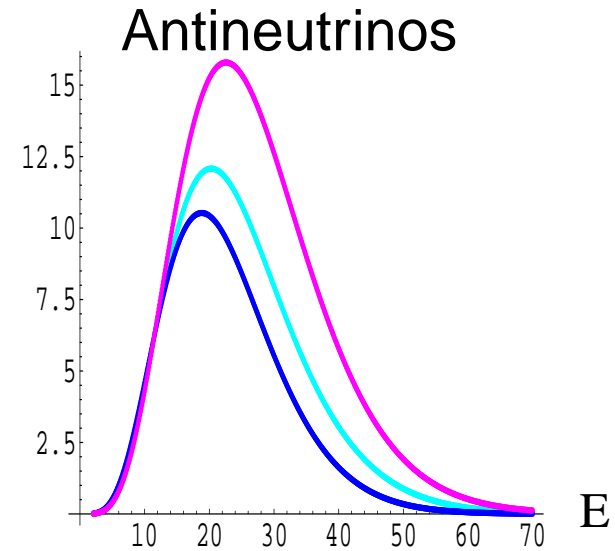
Difficult to find a “clean” observable, i.e. one independent of some assumptions about the initial spectra.

M. Kachelrieß, C. Lunardini, H. Minakata, H. Nunokawa, G. Raffelt,  
K. Sato, A. Smirnov, K. Takahashi, R. Tomàs, J. Valle, *et al*

# Exploiting Earth matter effects

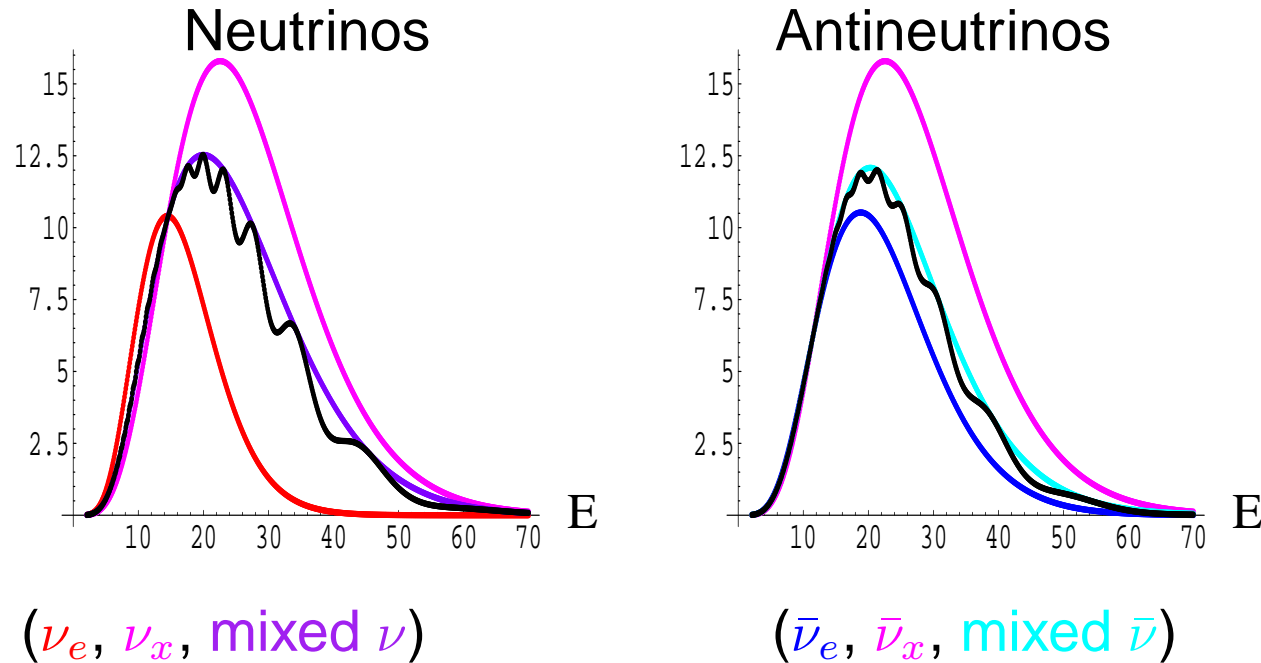


$(\nu_e, \nu_x, \text{mixed } \nu)$



$(\bar{\nu}_e, \bar{\nu}_x, \text{mixed } \bar{\nu})$

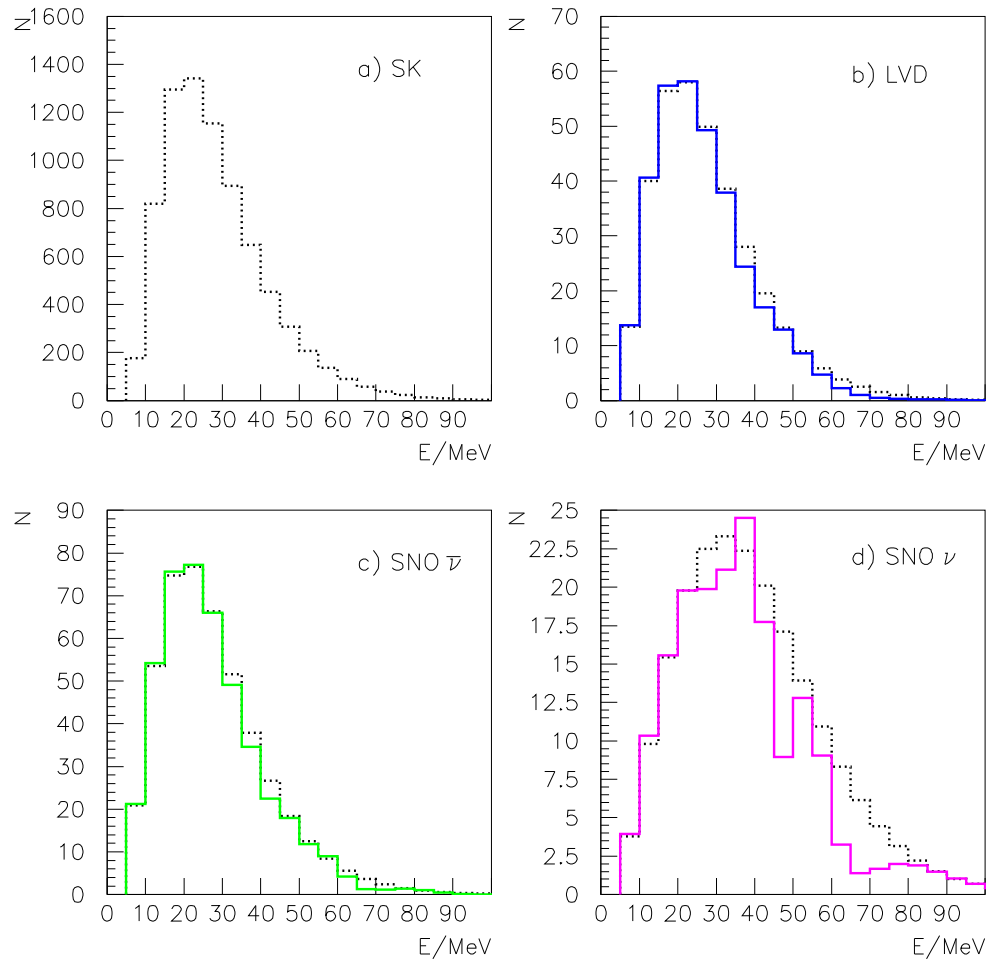
# Exploiting Earth matter effects



- Total number of events (in general) decreases
  - Compare signals at two detectors
- “Earth effect” oscillations are introduced
  - Scenarios B, C for  $\nu_e$ , scenarios A, C for  $\bar{\nu}_e$

# Comparing spectra at multiple detectors

t=17 hours

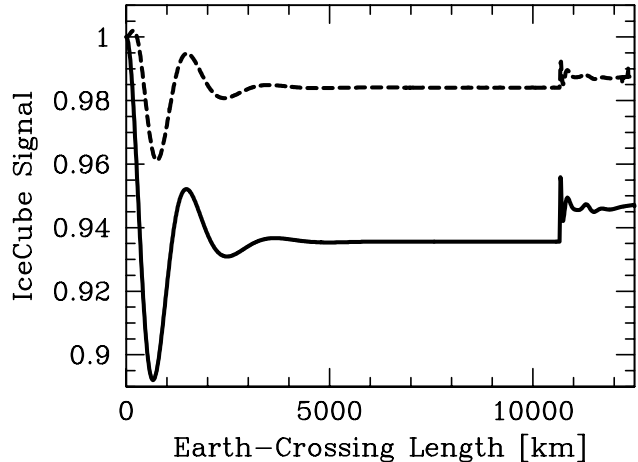


C. Lunardini and A. Smirnov, NPB616:307 (2001)



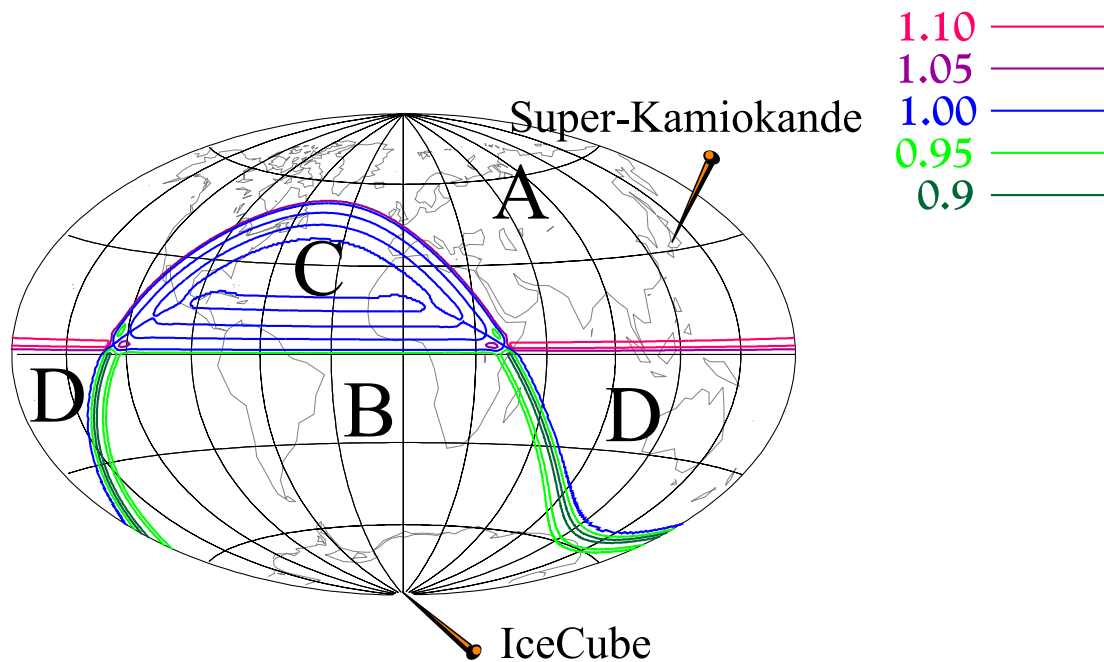
# IceCube as a co-detector with SK/HK

- IceCube primarily meant for neutrinos with energy  $\gtrsim 150$  GeV
- The number of Cherenkov photons increases beyond statistical background fluctuations during a SN burst
- This signal can be determined to a statistical accuracy of  $\sim 0.25\%$  for a SN at 10 kpc.
- The Earth effects may change the signal by  $\sim 0-10\%$ .



- The extent of Earth effects changes by 3–4 % between the accretion phase (first 0.5 sec) and the cooling phase.
- Absolute calibration not essential

# Useful SN locations for SK-IC comparison



Earth effects detectable in regions (A) and (B)

AD, M. Keil, G. Raffelt, JCAP 0306:005 (2003)

# At a single detector

(Identifying Earth oscillation frequency)

$$F_{\bar{\nu}_e} = \sin^2 \Theta_{12} F_{\nu_x}^0 + \cos^2 \Theta_{12} F_{\bar{\nu}_e}^0 + \Delta F^0 \bar{A}_{\oplus} \sin^2(\overline{\Delta m_{\oplus}^2} Ly)$$

$(F_{\bar{\nu}_e}^0 - F_{\nu_x}^0)$        $\sin 2\bar{\Theta}_{12}^{\oplus} \sin(2\bar{\Theta}_{12}^{\oplus} - 2\Theta_{12})$        $(12.5/E)$

Oscillation frequency:  $k_{\oplus} \equiv \overline{\Delta m_{\oplus}^2} L$

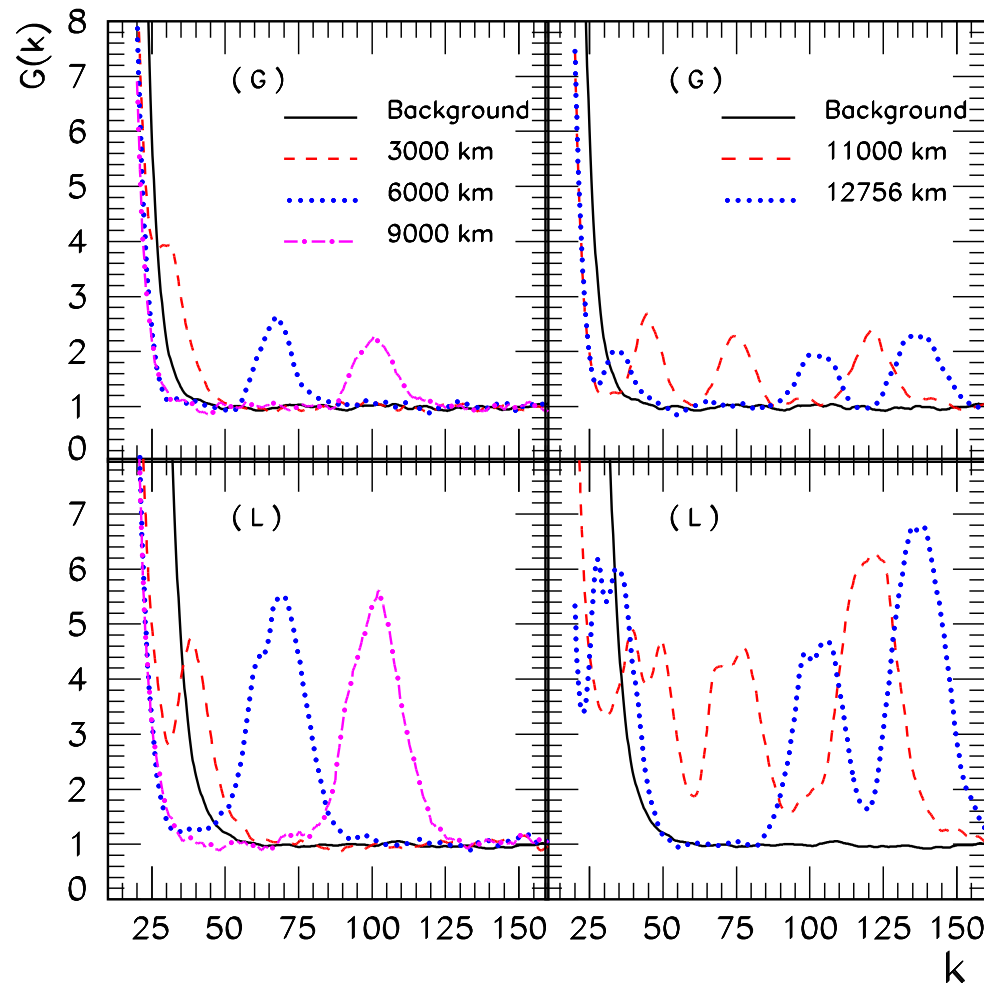
- The **highest** frequency in the “inverse energy” dependence of the spectrum
- Completely **independent** of the primary neutrino spectra
- Depends only on **solar oscillation parameters**, **Earth density** and the distance travelled through the Earth
- **Fourier transform**: peak in the power spectrum

$$G_N(k) = \frac{1}{N} \left| \sum_{events} e^{iky} \right|^2$$

AD, M. Keil, G. Raffelt, JCAP 0306:006 (2003)

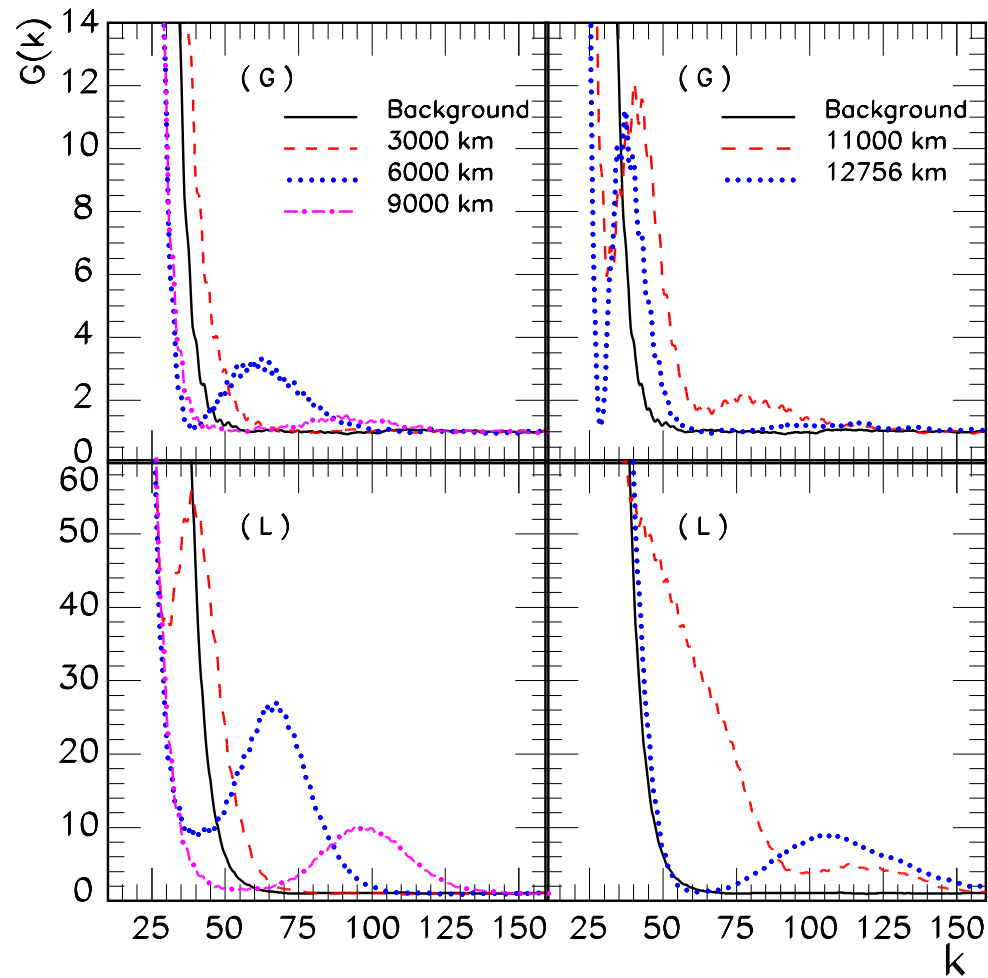
# At a scintillation detector

- Passage through the Earth core gives rise to extra peaks.
- Model independence of peak positions:

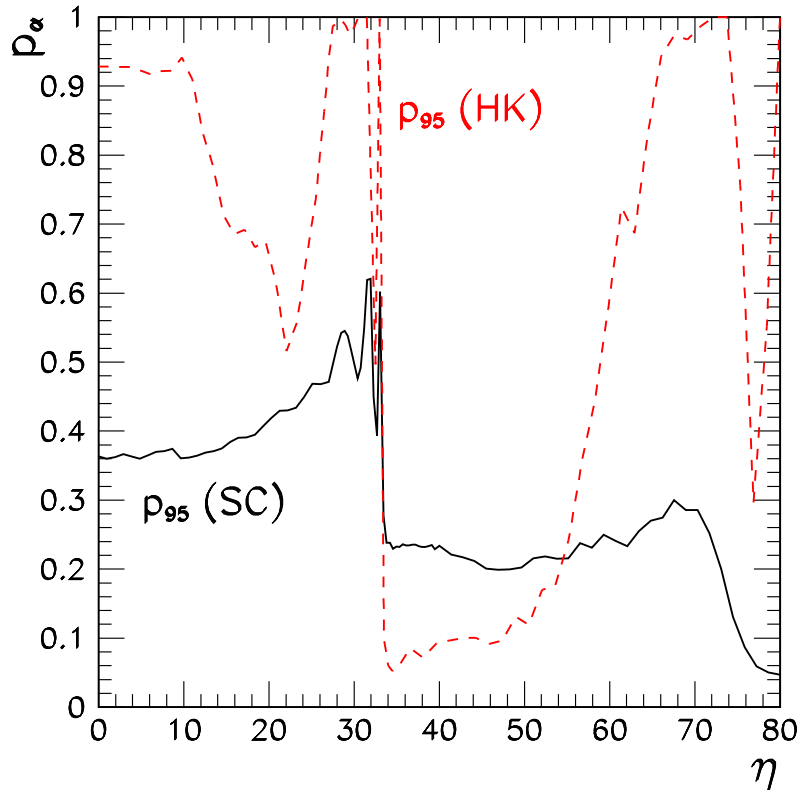


# At a water Cherenkov detector

## ● High- $k$ suppression:



# Efficiencies of detectors



- High- $k$  suppression affects the efficiency of HK for  $35^\circ < \eta < 55^\circ$ . ( $\eta$ : nadir angle)
- Large number of events compensates for poorer energy resolution

AD, M. Kachelrieß, G. Raffelt, R. Tomàs, JCAP 0401:004 (2004)

- Observation of a Fourier peak in  $\bar{\nu}_e \Rightarrow$   
Eliminate scenario B independently of SN models !!!

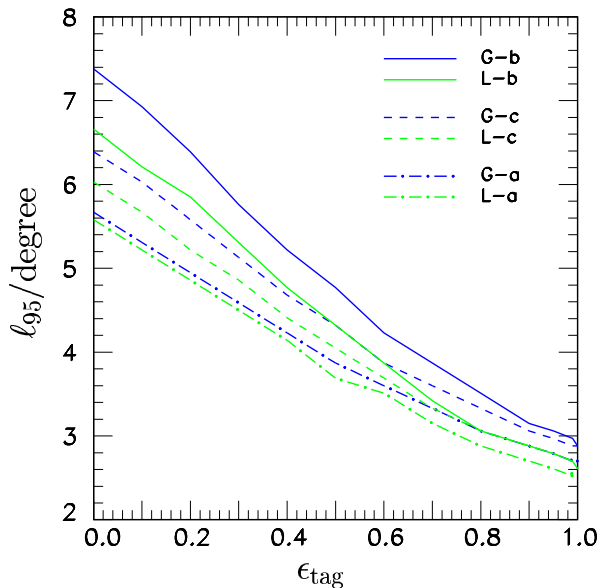
# Neutrinos for SN astrophysics

- Pointing to the SN in advance
- Learning about the shock wave

# Pointing to the SN in advance

J. Beacom and P. Vogel, PRD60:033007 (1999)

- Needed if no optical observation
- $\bar{\nu}_e p \rightarrow n e^+$ : nearly isotropic background
- $\nu e^- \rightarrow \nu e^-$ : forward-peaked “signal”
- Background-to-signal ratio:  $N_B/N_S \approx 30\text{--}50$
- Decrease  $N_B/N_S$ : neutron tagging with Gd



Pointing accuracy improved 2–3 times using Gd

R. Tomàs, D. Semikoz, G. Raffelt,  
M. Kachelrieß, AD  
PRD 68, 093013 (2003).

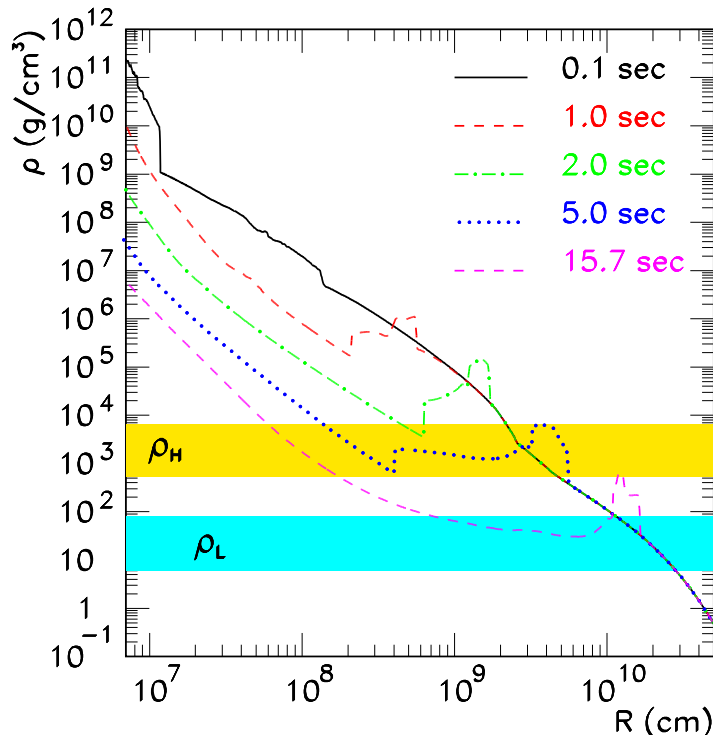
## GADZOOKS

(Gadolinium Antineutrino Detector Zealously  
Outperforming Old Kamiokande, Super!)

J. F. Beacom and M. R. Vagins,  
hep-ph/0309300



# Shock wave and level crossings

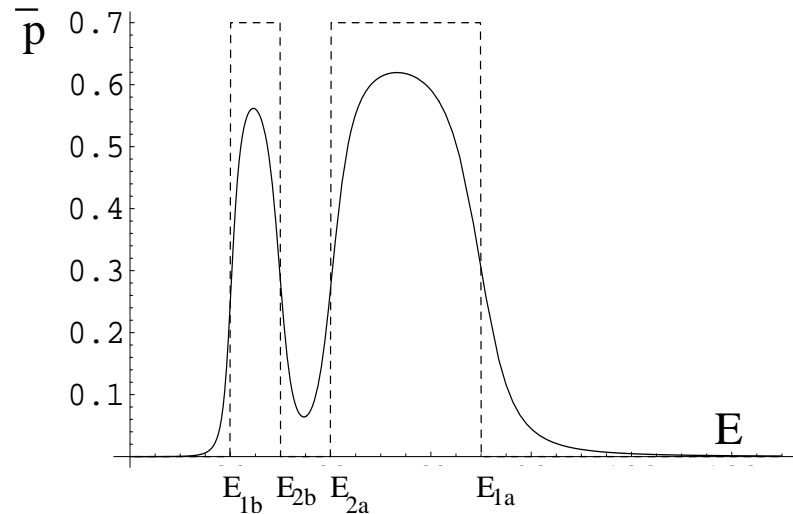
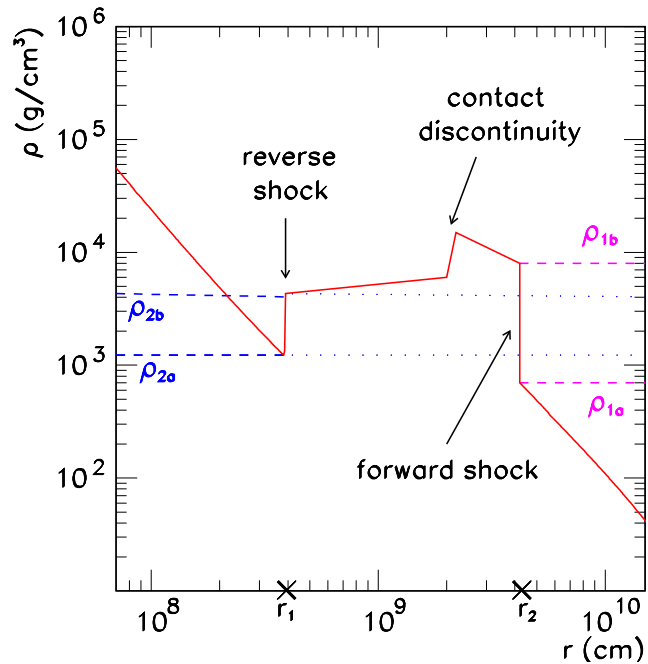


- When shock wave passes through a resonance region, **adiabatic resonances may become non-adiabatic** for some time  
scenario A → scenario C  
scenario B → scenario C
- May cause **sharp changes** in the **final spectra** even if the primary spectra are unchanged / smoothly changing

R. C. Schirato, G. M. Fuller,  
astro-ph/0205390

G. L. Fogli, E. Lisi, D. Montanino and  
A. Mirizzi, PRD 68, 033005 (2003)

# $\bar{\nu}_e$ Survival probability



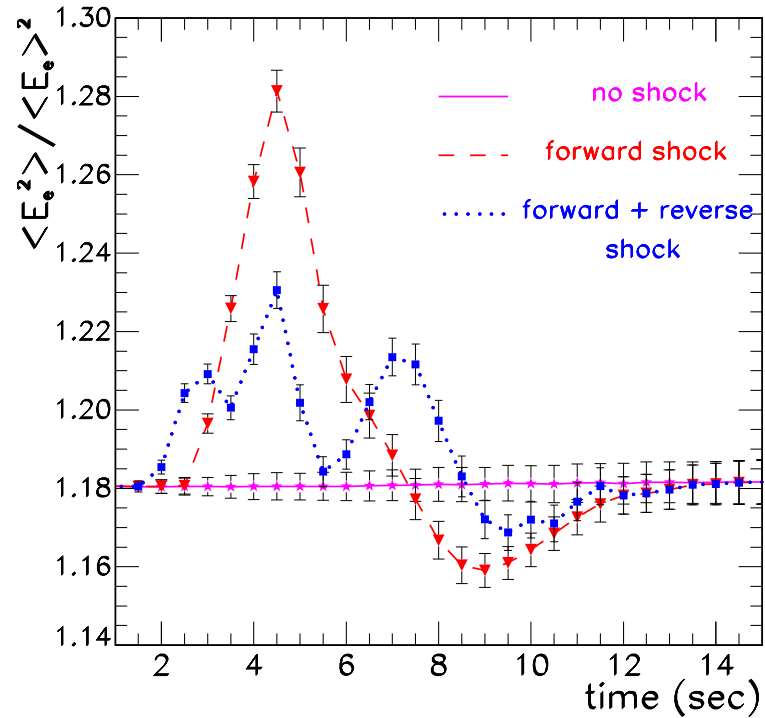
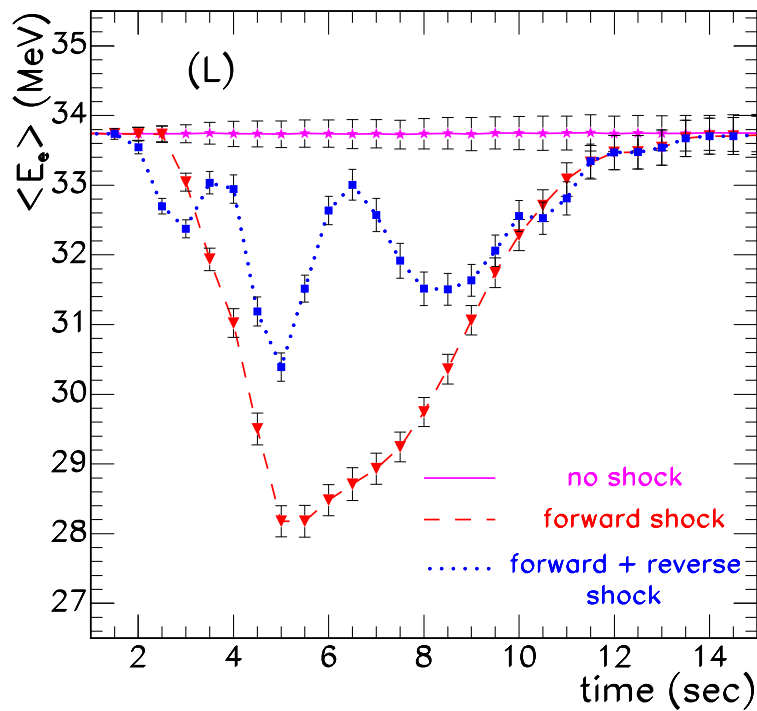
Shifts right as shock propagates to lower densities

Correspondence between densities and energies:

$$\rho_i = \frac{m_N \Delta m_{\text{atm}}^2 \cos 2\theta_{13}}{2\sqrt{2}G_F Y_e E_i} \approx 600 \text{ g/cm}^3 \cos 2\theta_{13} \frac{25 \text{ MeV}}{E_i} \frac{1}{Y_e}$$

For sharp changes in the density profile:  $\bar{p} = \sin^2(\theta_a - \theta_b) \cos^2 \theta_\odot$

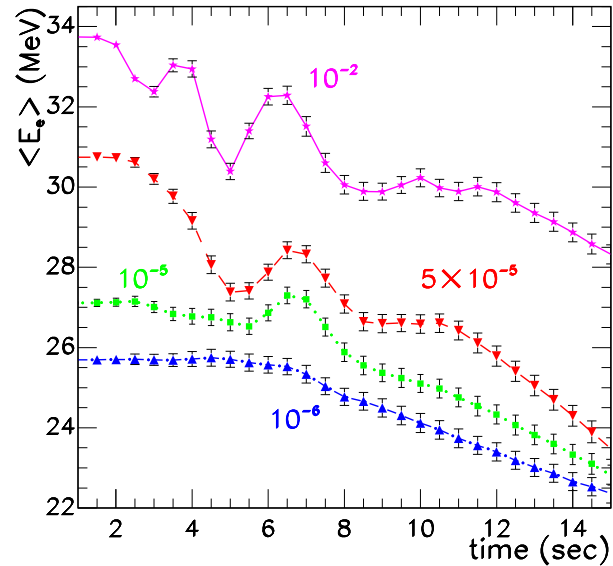
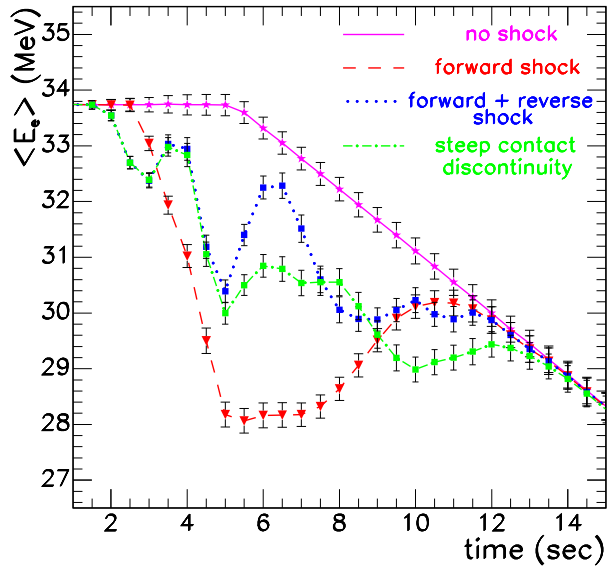
# At a megaton water Cherenkov



- “Double dip” feature for  $\langle E_e \rangle$
- “Double peak” feature for  $\langle E_e^2 \rangle / \langle E_e \rangle^2$

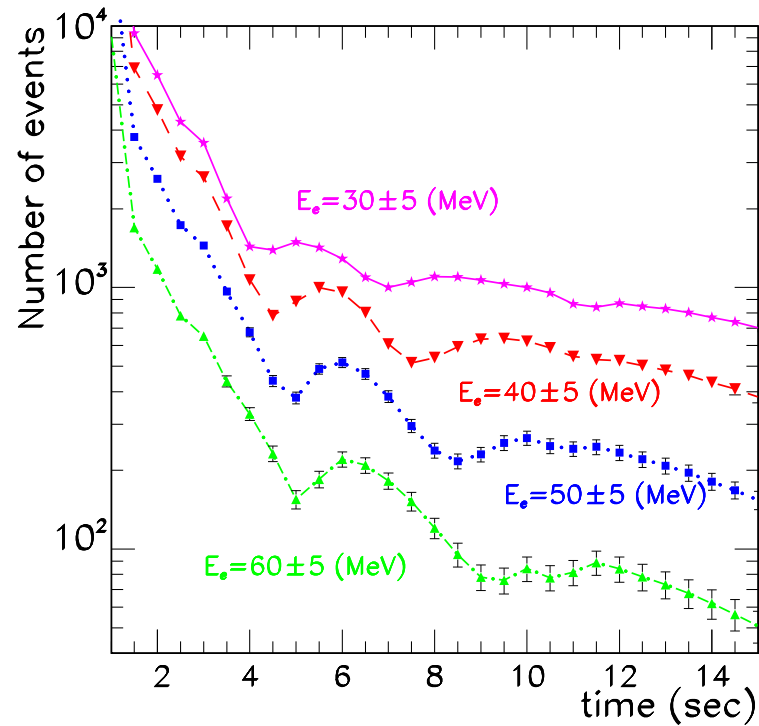
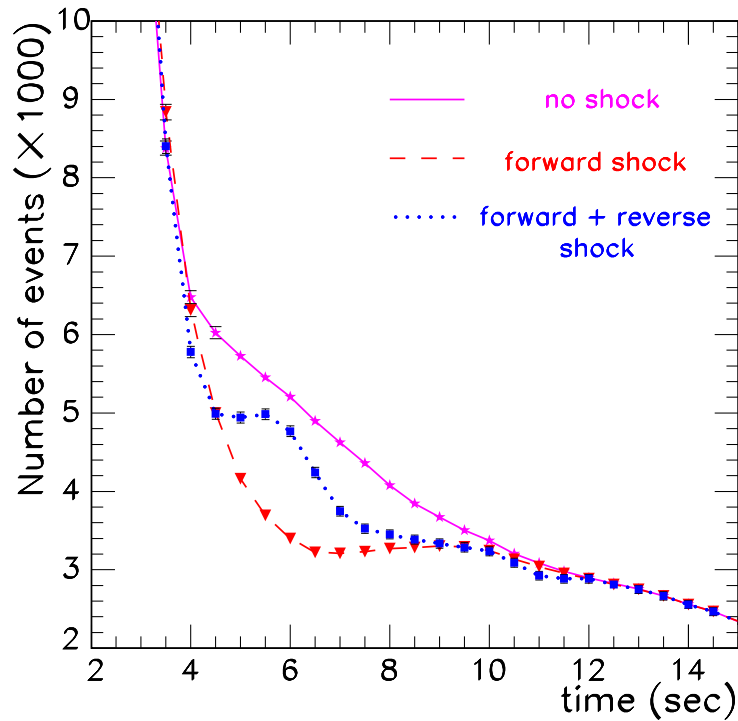
R. Tomás, M. Kachelrieß, G. Raffelt, AD,  
H. T. Janka, L. Scheck, JCAP09(2004)015

# Single/double dip



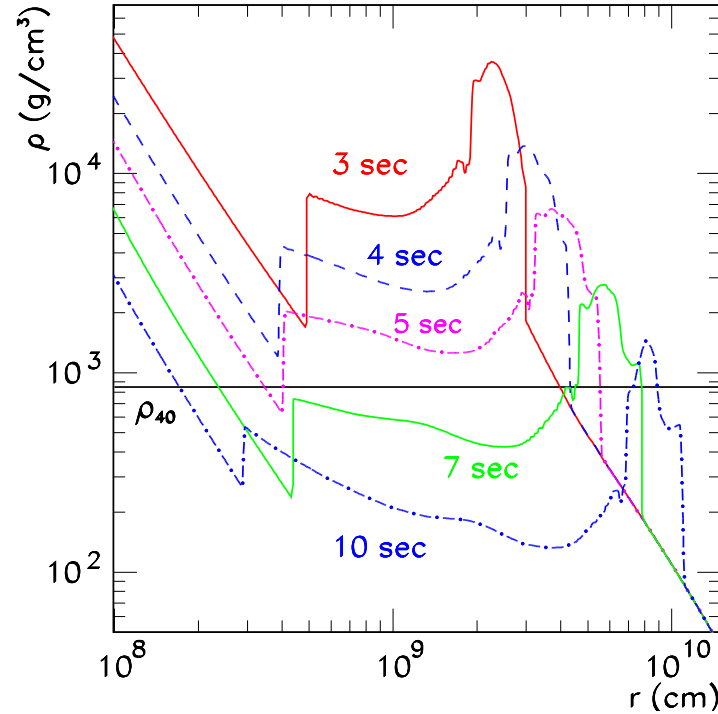
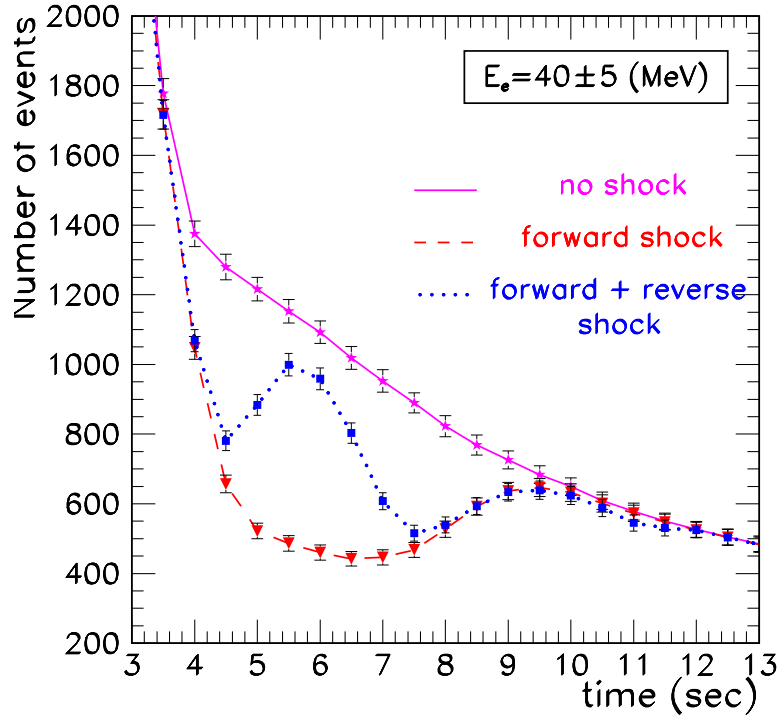
- “Single/double dip” robust under
  - neutrino flux models
  - monotonically decreasing average energy
- “Single/double dip” visible for
  - $\sin^2 2\theta_{13} \gtrsim 10^{-5}$
  - In  $\nu_e$  for normal hierarchy
  - In  $\bar{\nu}_e$  for inverted hierarchy

# Splitting events into energy bins



● Dip-times energy-bin dependent !!!

# Tracking the shock fronts



- At  $t \approx 4.5$  sec, (reverse) shock at  $\rho_{40}$
- At  $t \approx 7.5$  sec, (forward) shock at  $\rho_{40}$
- Multiple energy bins  $\Rightarrow$  the times the shock fronts reach different densities of  $\rho \sim 10^2 - 10^4$  g/cc

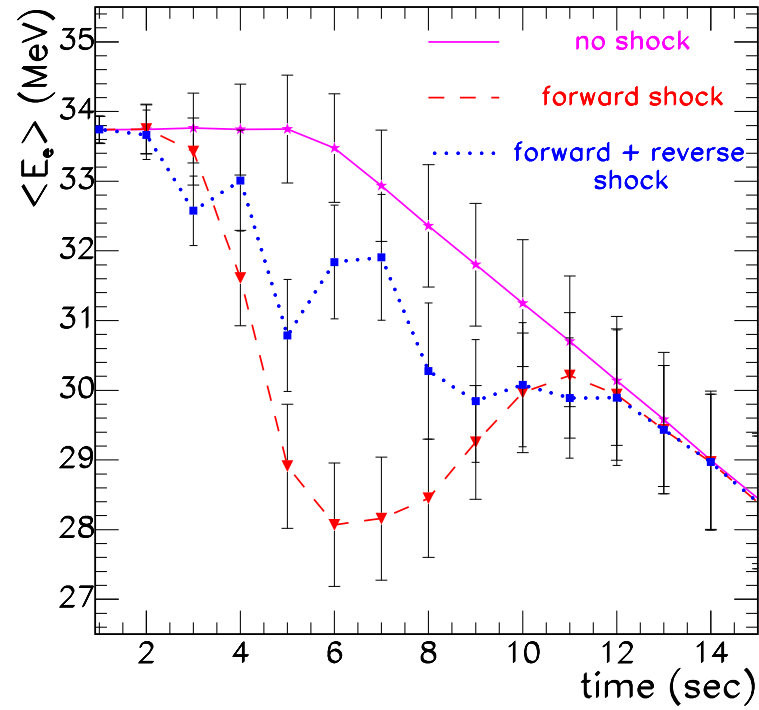
# Summary [Detector wishlist]

- SN  $\nu$  spectra can help identifying the neutrino mixing scenario:
  - normal / inverted mass hierarchy
  - small / large  $\theta_{13}$
- A positive identification of the Earth effects rules out scenario B (inverted hierarchy  $\oplus \sin^2 \Theta_{13} > 10^{-3}$ ) from  $\bar{\nu}_e$  or scenario A (normal hierarchy  $\oplus \sin^2 \Theta_{13} > 10^{-3}$ ) through  $\nu_e$ .
  - comparison between multiple detectors [SK/MWC, IceCube]
  - identifying earth matter oscillations [SK/MWC, LENA, liquid Ar]
- Advance SN pointing accuracy with neutrinos: less than  $10^\circ$ 
  - can be improved 2–3 times using Gd [SK/MWC] to tag neutrons.
- Tracking the shock fronts “in neutrinos”
  - recognizes the presence / absence of a reverse shock
  - determines the times the shocks pass through  $\rho \sim 10^2\text{--}10^4$  g/cc
  - confirms the scenario A [liquid Ar] or scenario B [MWC].

# Extra slides



# Shock wave at SK



# Time evolution of observables

Primary spectra:

$$F_i^0(E) = \frac{\Phi_i}{\langle E_i \rangle} \frac{\beta_i^{\beta_i}}{\Gamma(\beta_i)} \left( \frac{E}{\langle E_i \rangle} \right)^{\beta_i - 1} \exp \left( -\beta_i \frac{E}{\langle E_i \rangle} \right)$$

Number of events:

$$N_{\text{obs}} = \mathcal{N} \left[ \Phi_{\bar{\nu}_x} \frac{\langle E_{\bar{\nu}_x} \rangle^2}{\beta_{\bar{\nu}_x}^2} \frac{\Gamma(\beta_{\bar{\nu}_x} + 2)}{\Gamma(\beta_{\bar{\nu}_x})} + \cos^2 \theta_{\odot} (\Phi_{\bar{\nu}_e} g_2^{\bar{\nu}_e} - \Phi_{\bar{\nu}_x} g_2^{\bar{\nu}_x}) \right]$$

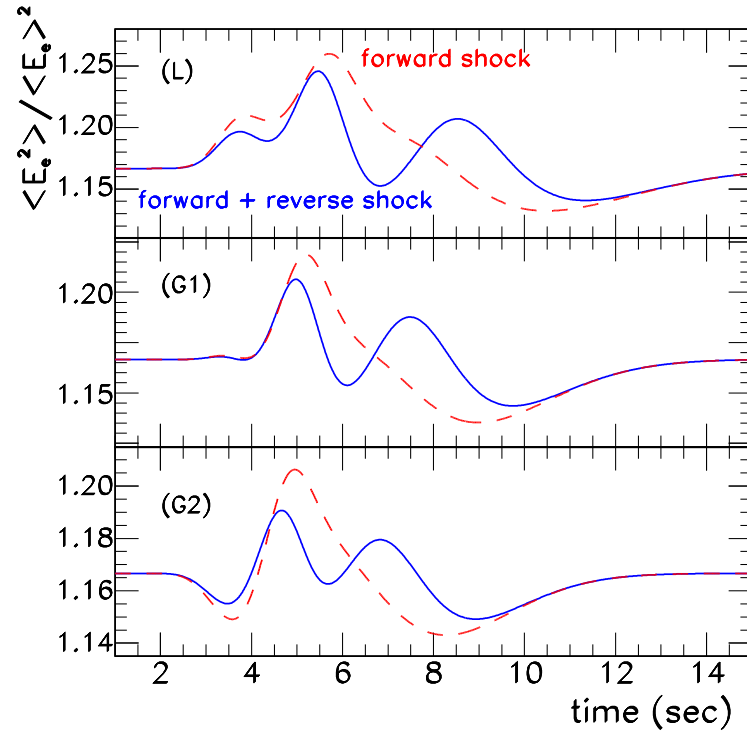
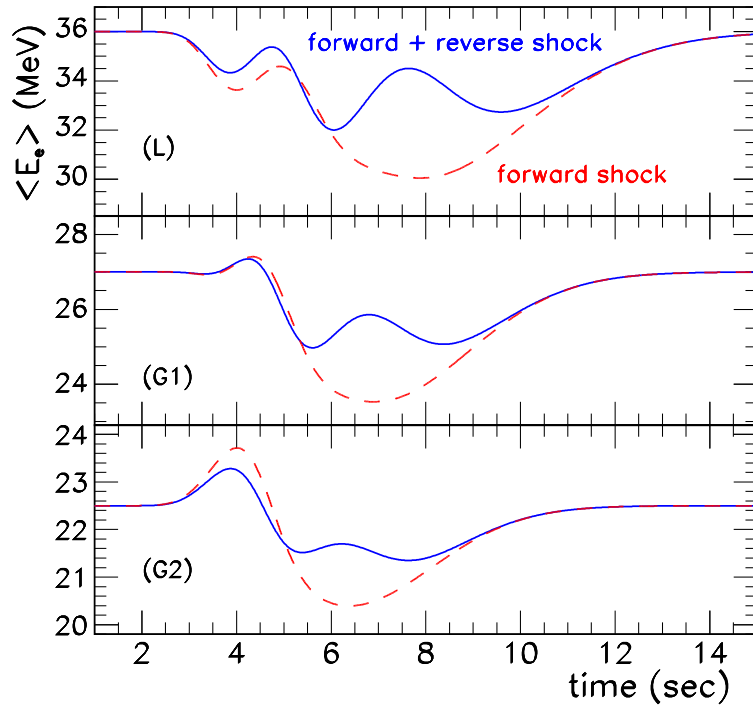
$$\text{where } g_k^i = \frac{\langle E_{\bar{\nu}_x} \rangle^k}{\beta_i^k \Gamma(\beta_i)} \left[ \Gamma \left( a, \frac{E_1}{\langle E_i \rangle} \beta_i, \frac{E_2}{\langle E_i \rangle} \beta_i \right) + \Gamma \left( a, \frac{E_3}{\langle E_i \rangle} \beta_i, \frac{E_4}{\langle E_i \rangle} \beta_i \right) \right]$$

(Time dependence through the time evolution of  $E_1, E_2, E_3, E_4$ )

Energy moments:

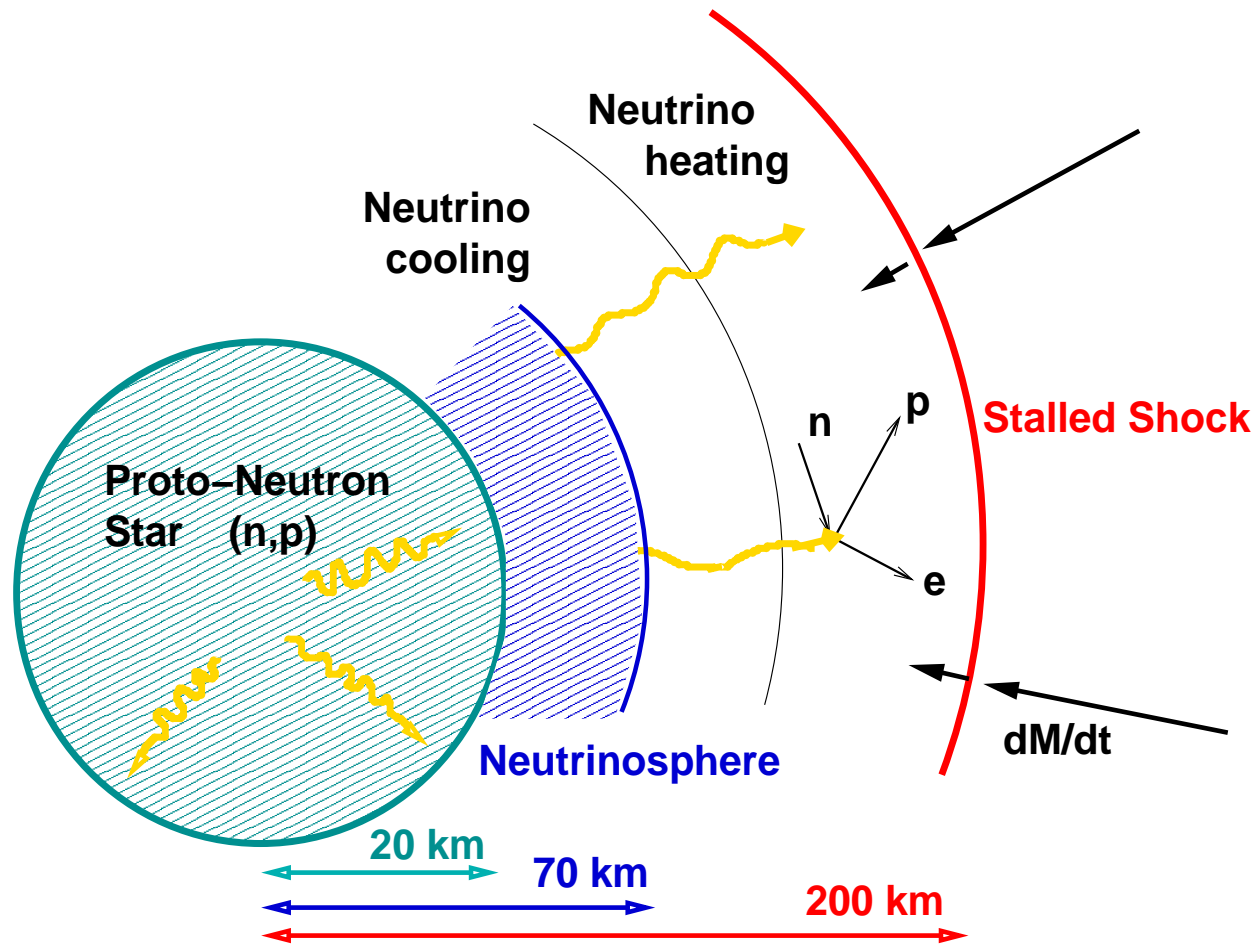
$$E_{\text{obs}}^m = \mathcal{N} \left[ \Phi_{\bar{\nu}_x} \frac{\langle E_{\bar{\nu}_x} \rangle^{2+m}}{\beta_{\bar{\nu}_x}^{2+m}} \frac{\Gamma(\beta_{\bar{\nu}_x} + 2 + m)}{\Gamma(\beta_{\bar{\nu}_x})} + \cos^2 \theta_{\odot} (\Phi_{\bar{\nu}_e} g_{2+m}^{\bar{\nu}_e} - \Phi_{\bar{\nu}_x} g_{2+m}^{\bar{\nu}_x}) \right]$$

# Time dependence of observables



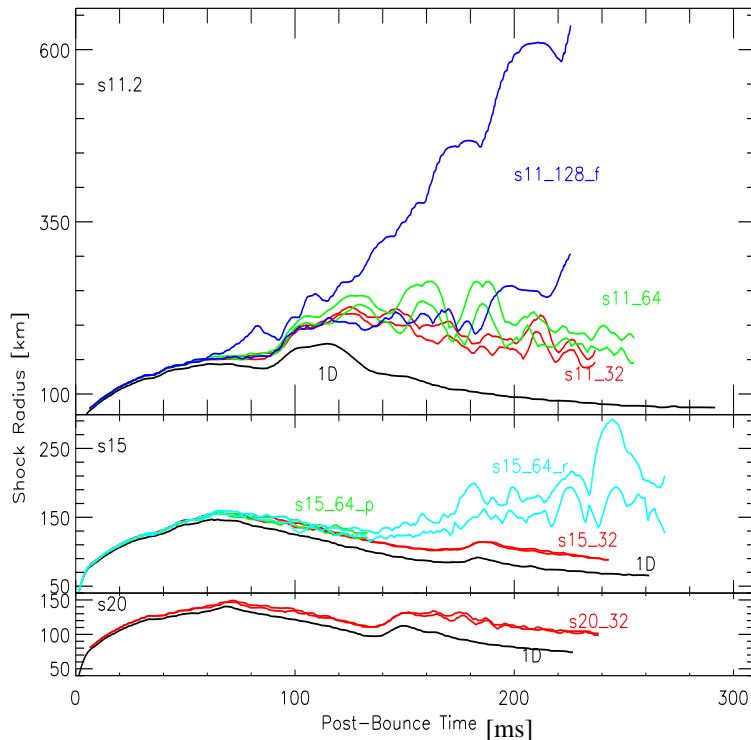
Model	$\langle E_0(\nu_e) \rangle$	$\langle E_0(\bar{\nu}_e) \rangle$	$\langle E_0(\nu_x) \rangle$	$\frac{\Phi_0(\nu_e)}{\Phi_0(\nu_x)}$	$\frac{\Phi_0(\bar{\nu}_e)}{\Phi_0(\nu_x)}$
L	12	15	24	2.0	1.6
G1	12	15	18	0.8	0.8
G2	12	15	15	0.5	0.5

# Role of neutrinos in explosion



- Neutrino heating essential, but not enough
- No spherically symmetric (1-D) simulations show robust explosions

# Ingredients required for explosion



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- Neutrino heating: higher neutrino opacity
- Large scale convection modes
- Stiffer equation of state for the core
- Rotation of the star

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