



CCLRC

Rutherford Appleton Laboratory

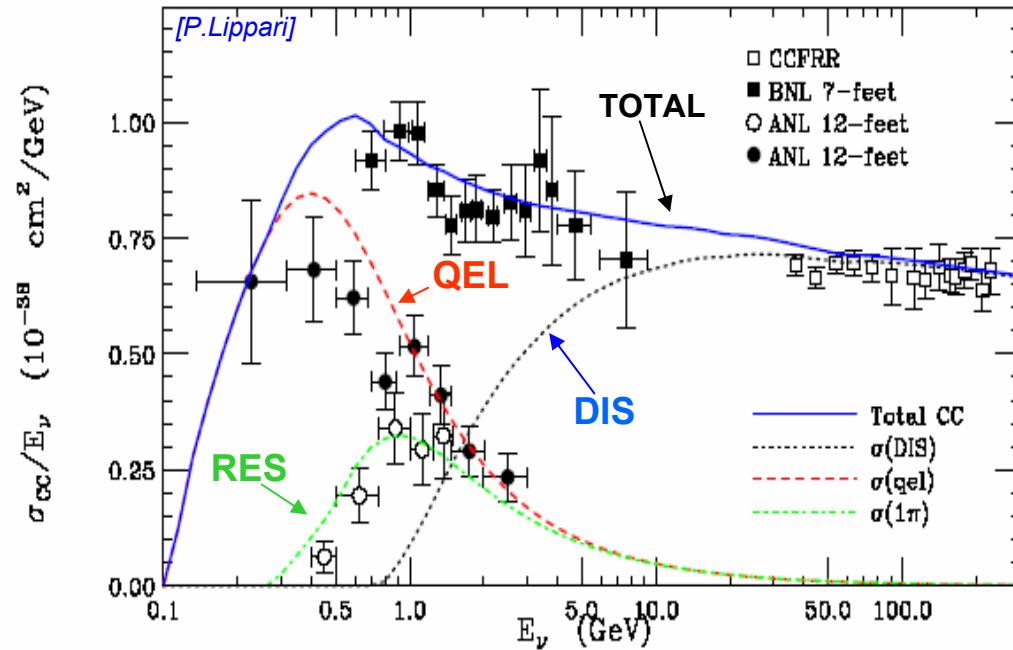


Neutrino Interactions & MC Event Generators

- **Neutrino Cross Sections in the ~ few GeV energy range**
- **The most important cross section components & related uncertainties**

- **Neutrino Interaction Monte Carlo Generators**

Neutrino Cross Sections in the ~ few GeV energy range



Typical cross section decompositions

$$\sigma_{total} = \sigma_{QEL} + \sigma_{1\pi} |_{W \leq W_{CUT}} + \sigma_{DIS} |_{W > W_{CUT}}$$

$$\sigma_{total} = \sigma_{0\pi} + \sigma_{1\pi} + \sigma_{2\pi} + \dots$$

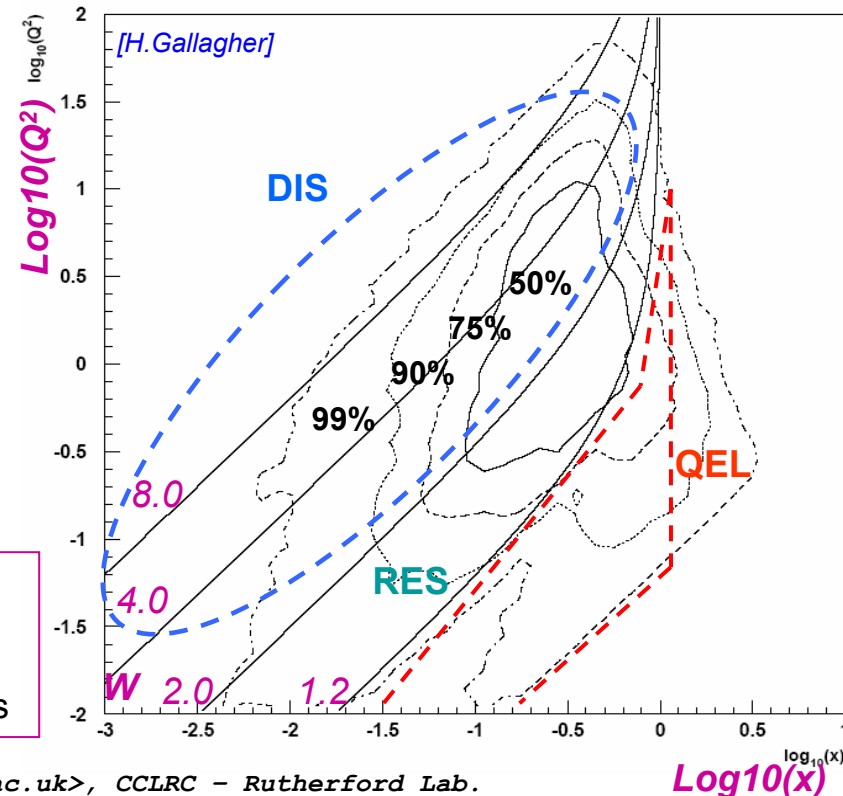
The situation:

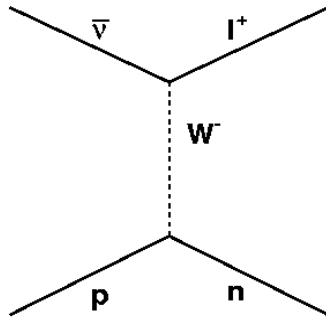
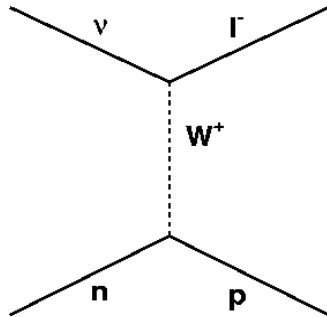
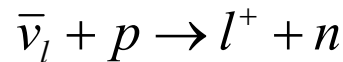
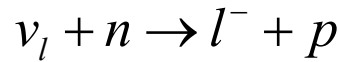
- ~GeV neutrino cross sections not well understood
- Experimental data are quite poor
- Is the largest limiting factor for precision oscillation studies

Most important components of total xsec @ few GeV

- Quasi-Elastic (QEL) scattering
low Q^2 , $W \approx M_N$
- Resonance (RES) excitation
low Q^2 , $\sim 1 \text{ GeV} < W < \sim 2 \text{ GeV}$
- Deep-Inelastic (DIS) scattering
large Q^2 , $W \gtrsim 2 \text{ GeV}$

Kinematic Coverage of NUMI PH2LE Beam





- Critical for current LBL oscillation experiments
- This how the “oscillating neutrinos” in current LBL experiments prefer to interact (*~60% of total CC cross section at 1 GeV*)

$$E_\nu = 1.2 \text{ GeV} \left(\frac{\Delta m_{23}^2}{2 \cdot 10^{-3} \text{ eV}^2} \right) \cdot \left(\frac{L}{750 \text{ km}} \right)$$

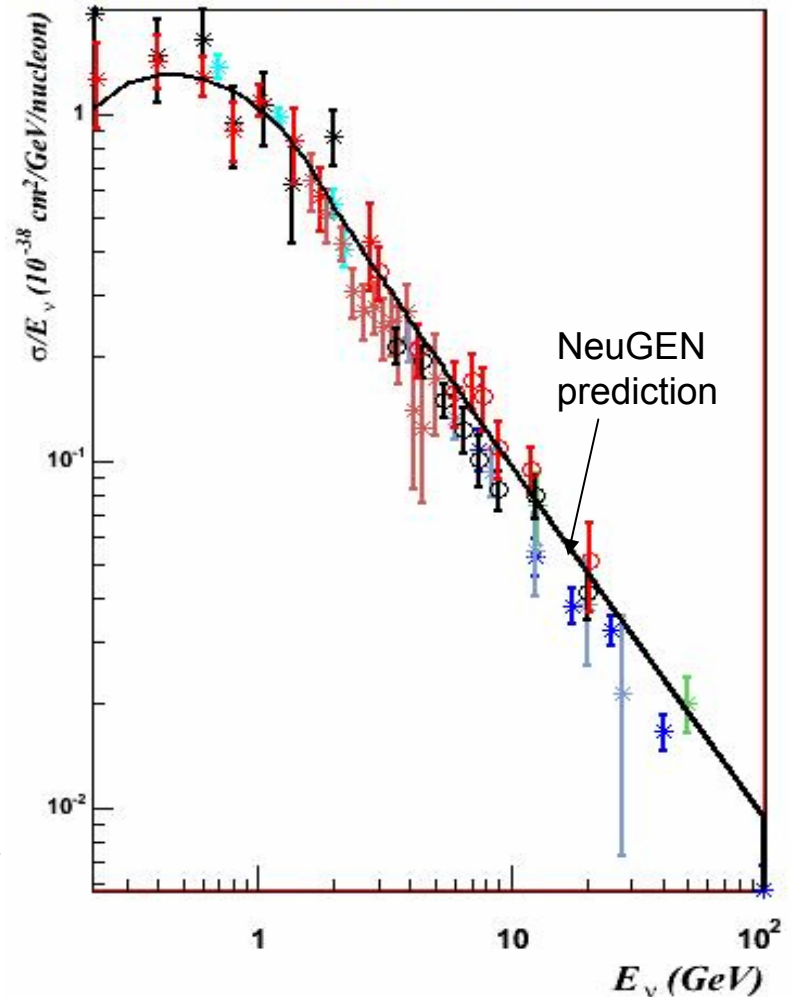
Described with:

- **vector form factors:** determined from e-N via CVC
- **dipolar axial form factors:**

$$F_A = g_A \left(1 + \frac{Q^2}{M_A^2} \right)^{-2}$$

GENIE - C.Andreopoulos (CCLRC,Rutherford), H.Gallagher (Tufts)

<http://hepunix.rl.ac.uk/~candreop/generators/GENIE/>



The best understood channel..... but nevertheless, quite far from being well understood (see later)

$$\nu + N \rightarrow l + \text{Resonance}$$

$$\searrow \rightarrow N' + n \cdot \pi$$

$\nu CC :$	$\nu p \rightarrow l^- p \pi^+$	$\nu n \rightarrow l^- p \pi^0$	$\nu n \rightarrow l^- n \pi^+$	
$\bar{\nu} CC :$	$\bar{\nu} n \rightarrow l^+ n \pi^-$	$\bar{\nu} p \rightarrow l^+ n \pi^0$	$\bar{\nu} p \rightarrow l^+ p \pi^-$	
$\nu NC :$	$\nu p \rightarrow \nu p \pi^0$	$\nu p \rightarrow \nu n \pi^+$	$\nu n \rightarrow \nu n \pi^0$	$\nu n \rightarrow \nu p \pi^-$
$\bar{\nu} NC :$	$\bar{\nu} p \rightarrow \bar{\nu} p \pi^0$	$\bar{\nu} p \rightarrow \bar{\nu} n \pi^+$	$\bar{\nu} n \rightarrow \bar{\nu} n \pi^0$	$\bar{\nu} n \rightarrow \bar{\nu} p \pi^-$

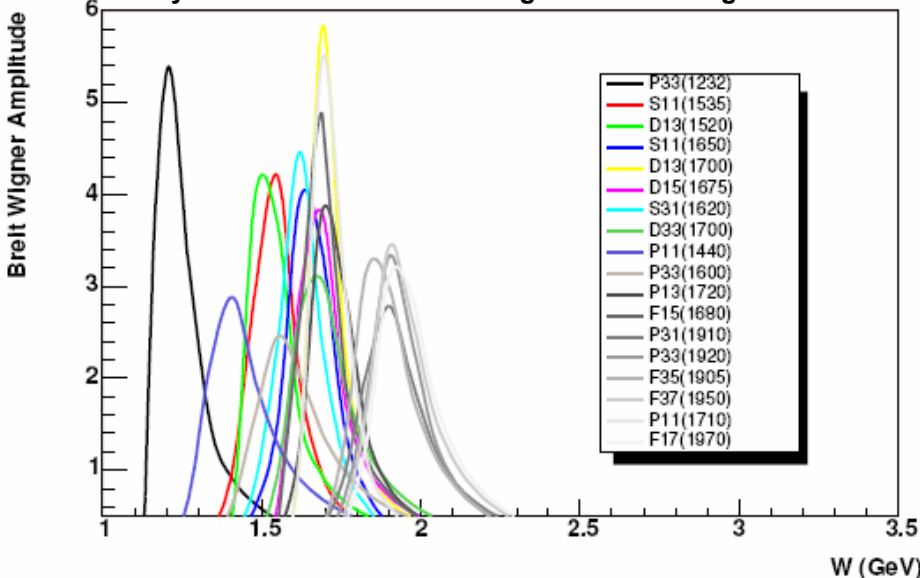
Very important channel(s) / ~30% of the total CC xsec around ~ 1 GeV

This process is **very complicated** theoretically and not very well constrained from existing data...

The most widely used model (D.Rein, L.M Sehgal, *Ann.Phys.*133, 79 (1981)) uses the FKR dynamical model (R.P.Feynman, M.Kislinger, F.Ravndall, *Phys.Rev.D* 3, 2706 (1971)) to describe excited states of a 3 quark bound system.



18 baryon resonances contributing in the Rein-Sehgal model

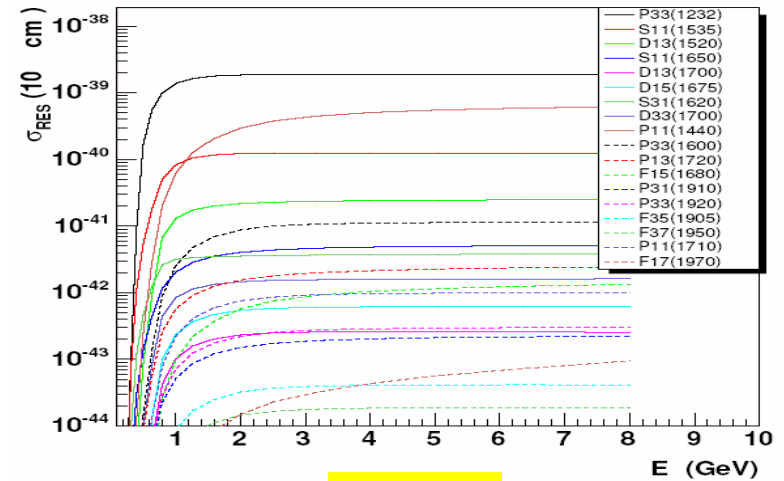
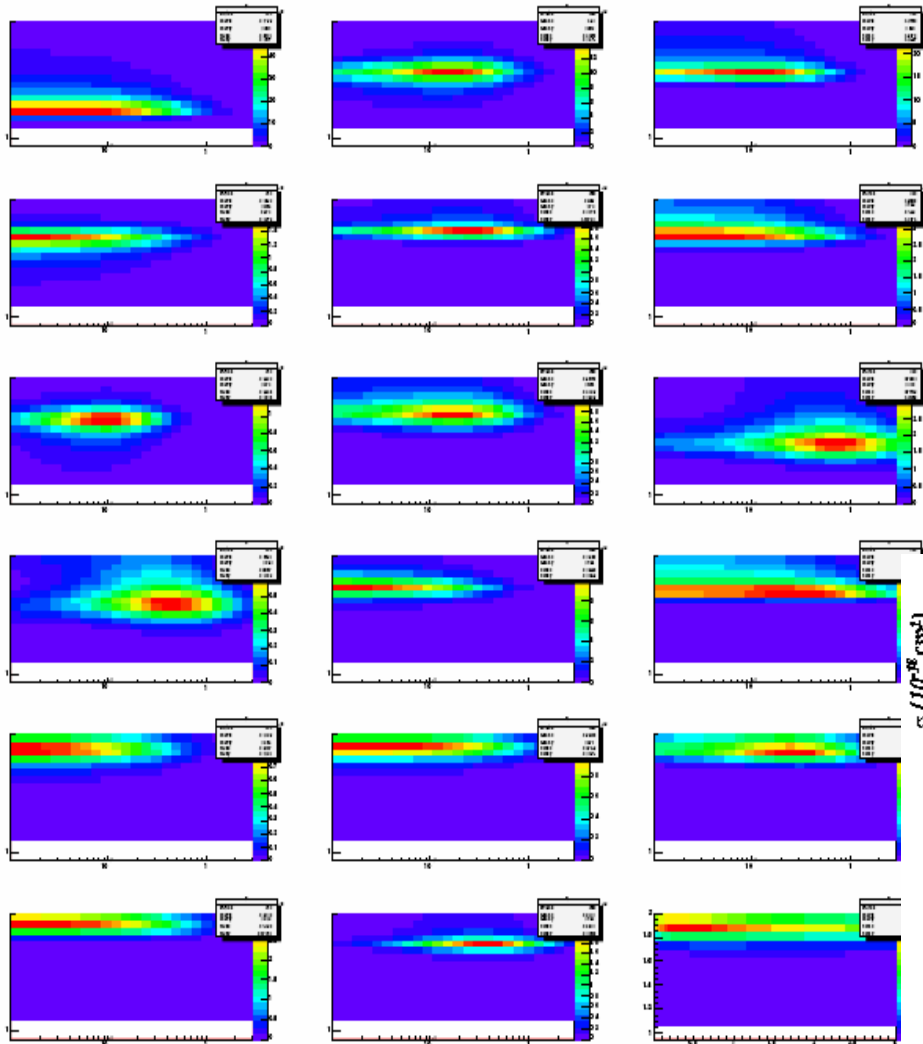


$$\frac{d^2\sigma}{dW dq^2} \propto u^2 \sigma_L(q^2, W) + v^2 \sigma_R(q^2, W) + 2uv \sigma_S(q^2, W)$$

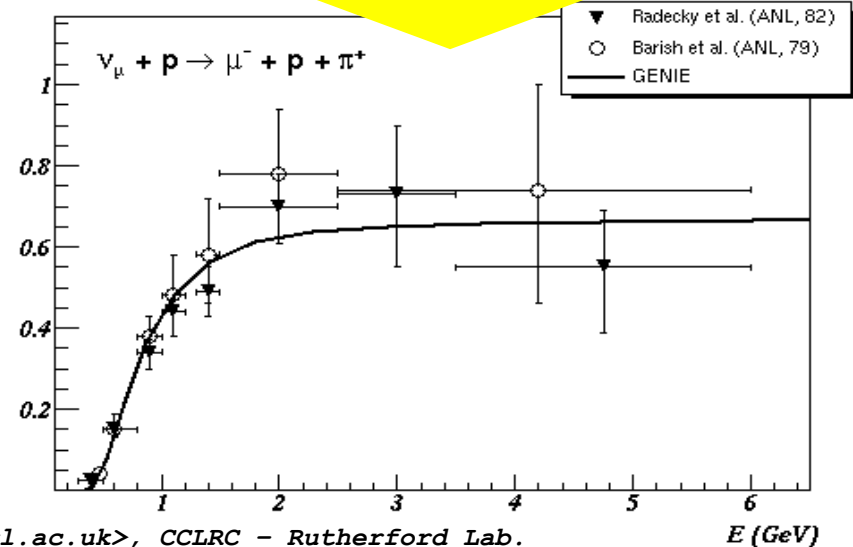
Helicity Cross Sections
[depend on the details of the FKR model]

Assuming dipole form Q^2 dependence

R/S Resonance Production Diff. Cross Sections ($\mu\mu^+p$, 4 GeV)



Include isospin amplitudes and 1pi BR
to weight the contribution of each resonance
to exclusive single pion reactions



New theoretical attempts to describe resonance excitation channels.

The new models address some known R/S model deficiencies

- Improved description of ν production of the Delta++ resonance.
[Important for the K2K, MINOS, MiniBooNE]
- Inclusion of final state lepton masses
[Important for low Q^2 interactions and for tau neutrino CC interactions]

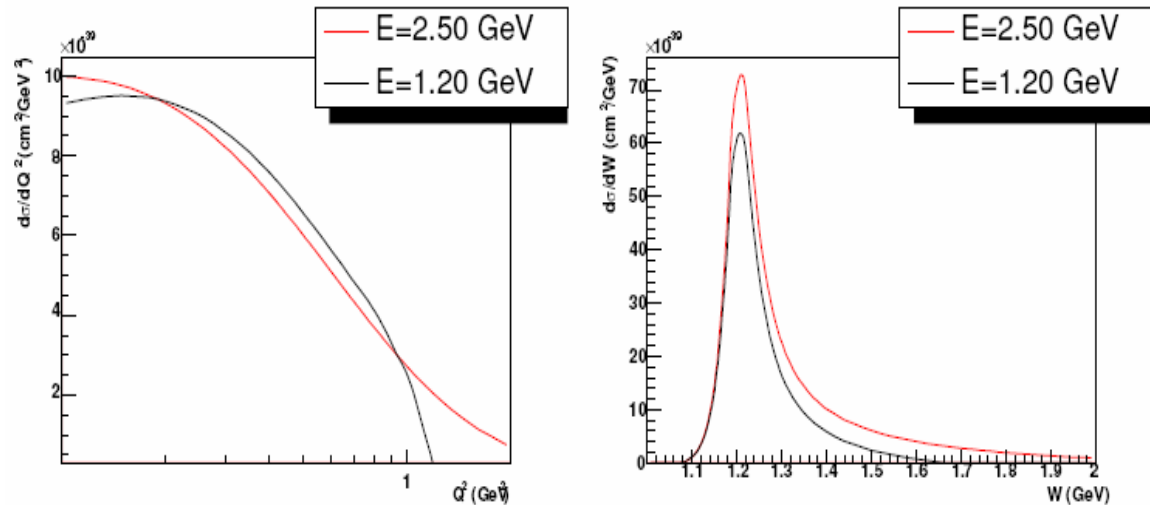
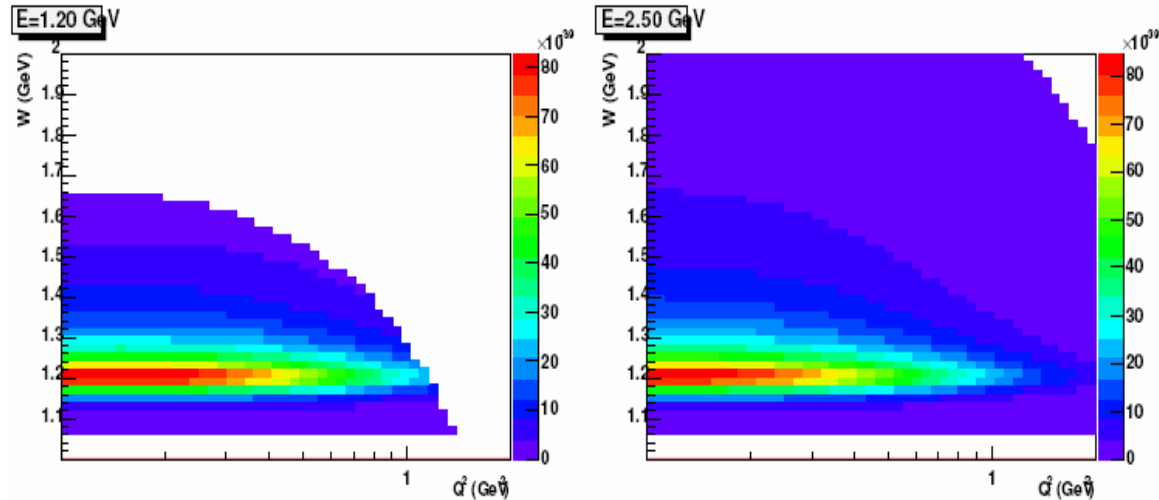
Kuzmin-Lyubushkin-Naumov model

“Extended Rein-Sehgal model for tau lepton production”, [hep-ph/0408106](#)

Paschos-Lalakulich model

“Resonance Production by Neutrinos - $J=3/2$ resonances.” [hep-ph/0501109](#)

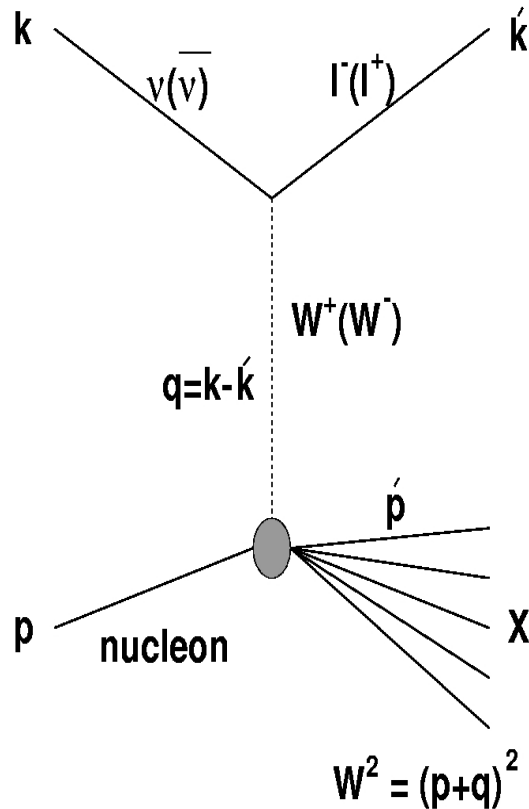
- The model parameterizes the $N \rightarrow \Delta$ hadronic current using phenomenological form factors.



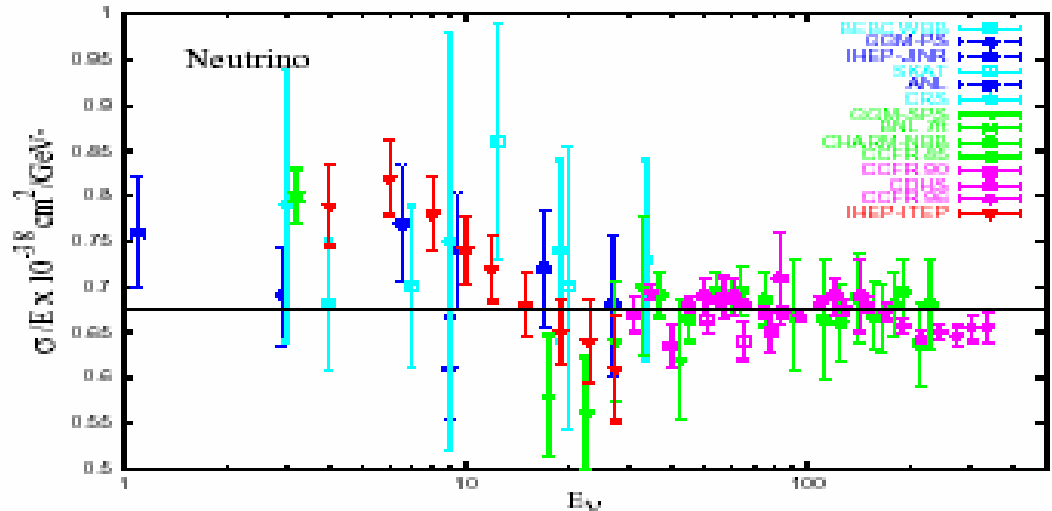
Examples: Paschos-Lalakulich model $\nu_\mu p \rightarrow \mu^- p \pi^+$ cross sections in the GENIE neutrino generator

$$\frac{d^2\sigma_{DIS}}{dxdy} = \frac{G_F^2 M E_\nu}{\pi} \left\{ y(xy + \frac{m_l^2}{2E_\nu M}) \cdot F_1 + (1 - y - \frac{xyM}{2E_\nu} - \frac{m_l^2}{4E_\nu^2}) \cdot F_2 \pm \right.$$

$$\left. (xy(1 - \frac{y}{2}) - \frac{ym_l^2}{4E_\nu M}) \cdot F_3 + (\frac{xym_l^2}{2E_\nu M} + \frac{m_l^4}{4E_\nu^2 M^2}) \cdot F_4 - \frac{m_l^2}{2E_\nu M} \cdot F_5 \right\}$$



- quite well known at higher energies
- ... but problematic at some phase space regions
 - PDF evolution stops at quite high Q^2
 - PDF uncertainties 'explode' at low Q^2 , at low and high x
- ...estimating non-resonance (DIS) bkg in the resonance region
- ...



- *What have we measured?*
- *How well do we understand cross sections?*
- *What are the major unknowns?*

$$|M|^2 = L^{\mu\nu} W_{\mu\nu}$$

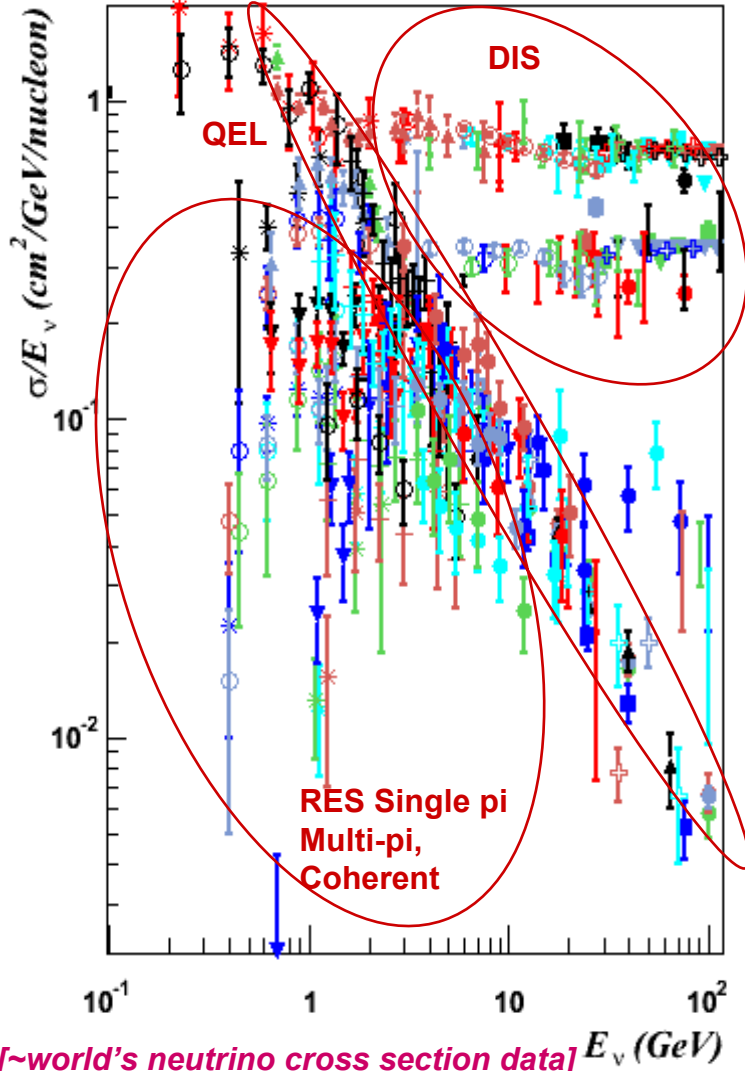
Hadronic tensor



$$W^{\mu\nu} = W_1 g^{\mu\nu} + W_2 p^\mu p^\nu + W_3 \varepsilon^{\mu\nu\alpha\beta} p_\alpha p_\beta + W_4 q^\mu q^\nu + W_5 (p^\mu q^\nu + p^\nu q^\mu)$$

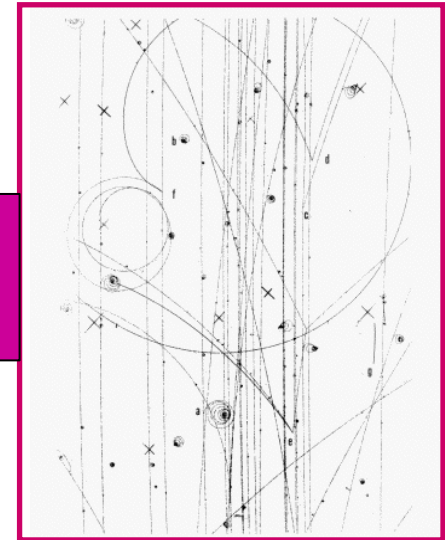
GENIE - C.Andreopoulos (CCLRC,Rutherford), H.Gallagher (Tufts)

<http://hepunix.rl.ac.uk/~candreop/generators/GENIE/>



ANL 12FT	Campbell et al.	Phys.Rev.Lett.30:335, 1973	
ANL 12FT	Mann et al.	Phys.Rev.Lett.31:844, 1973	
ANL 12FT	Radecky et al.	Phys.Rev.D25:1161, 1982	
ANL 12FT	Day et al.	Phys.Rev.D28:2714, 1983	
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ANL 12FT	Day et al.	Phys.Rev.D28:2714, 1983	
ANL 12FT	Barish et al.	Phys.Rev.D16:3103, 1979	
ANL 12FT	Barish et al.	Phys.Rev.D19:2521, 1979	
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ANL 12FT	Radecky et al.	Phys.Rev.D25:1161, 1982	
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BEBC	Bossoli et al.	Phys.Lett.870:273, 1977	
BEBC	Bossoli et al.	Phys.Lett.870:273, 1977	
BEBC	Marago et al.	Z.Phys.C43:523, 1989	
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BEBC	Bossoli et al.	Phys.Lett.B10:167, 1982	
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BEBC	Parker et al.	Nucl.Phys.B232:1, 1984	
BEBC	Allen et al.	Nucl.Phys.B264:221, 1986	
BNL 7FT	Balloy et al.	Phys.Rev.Lett.44:516, 1980	
BNL 7FT	Fanourakis et al.	Phys.Rev.D21:562, 1980	
BNL 7FT	Fanourakis et al.	Phys.Rev.D21:562, 1980	
BNL 7FT	Baker et al.	Phys.Rev.D23:2496, 1981	
BNL 7FT	Baker et al.	Phys.Rev.D25:517, 1982	
BNL 7FT	Kikabaki et al.	Phys.Rev.D34:2954, 1986	
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CCFR	Auchincloss et al.	Zeit.Phys.C48:11, 1990	
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CCFR	Seligman	News Report 282, 1996	
CCFR	Seligman	News Report 282, 1996	
CCFR	MacFarlane et al.	Zeit.Phys.C26:1, 1984	
CDHS	Borgo et al.	Zeit.Phys.C35:443, 1987	
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FNAL 15FT	Sell et al.	Phys.Rev.Lett.41:1038, 1978	
FNAL 15FT	Kikabaki et al.	Phys.Rev.Lett.49:98, 1982	
FNAL 15FT	Barish et al.	Phys.Lett.B91:161, 1980	
FNAL 15FT	Kikabaki et al.	Phys.Rev.D28:436, 1983	
FNAL 15FT	Taylor et al.	Phys.Rev.Lett.51:739, 1983	
FNAL 15FT	Karapay et al.	Phys.Lett.B137:122, 1984	
FNAL 15FT	Adenholz et al.	Phys.Rev.Lett.63:449, 1989	
FNAL 15FT	Adenholz et al.	Phys.Rev.Lett.63:2349, 1989	
FNAL 15FT	Wilcoq et al.	Phys.Rev.D47:2561, 1993	
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Gargamelle	Eichten et al.	Phys.Lett.468:274, 1973	
Gargamelle	Eichten et al.	Phys.Lett.468:274, 1973	
Gargamelle	Giampollo et al.	Phys.Lett.84B:281, 1979	
Gargamelle	Emiquez et al.	Phys.Lett.880:309, 1979	
Gargamelle	Morin et al.	Phys.Lett.8104:235, 1981	
Gargamelle	Morin et al.	Phys.Lett.8104:235, 1981	
Gargamelle	Iskral et al.	Phys.Rev.Lett.52:1096, 1984	
Gargamelle	Iskral et al.	Phys.Rev.Lett.52:1096, 1984	
Gargamelle	S. Bonetti et al.	Nuovo Cimento A38:260, 1977	
Gargamelle	S. Bonetti et al.	Nuovo Cimento A38:260, 1977	
Gargamelle	Lariche et al.	Phys.Lett.87B:510, 1978	
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Gargamelle	Bolognese et al.	Phys.Lett.881:393, 1979	
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IHEP	Voverko et al.	Sov.J.Nucl.Phys.30:527, 1980	
IHEP	Voverko et al.	Sov.J.Nucl.Phys.30:527, 1980	
IHEP	JNR	Anikav et al.	Zeit.Phys.C70:39, 1996
IHEP	JNR	Anikav et al.	Zeit.Phys.C70:39, 1996
LSND	Auerbach et al.	Phys.Rev.D60:15901, 2002	
SERP A1	Belikov et al.	Yad.Fiz.35:59, 1982	
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SERP A1	Belikov et al.	Z.Phys.A320:625, 1985	
SKAT	Baranov et al.	Phys.Rev.B81:25, 1979	
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SKAT	Bruner et al.	Zeit.Phys.C45:551, 1990	
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Most of the available data come from old ('60-'80) bubble chamber experiments



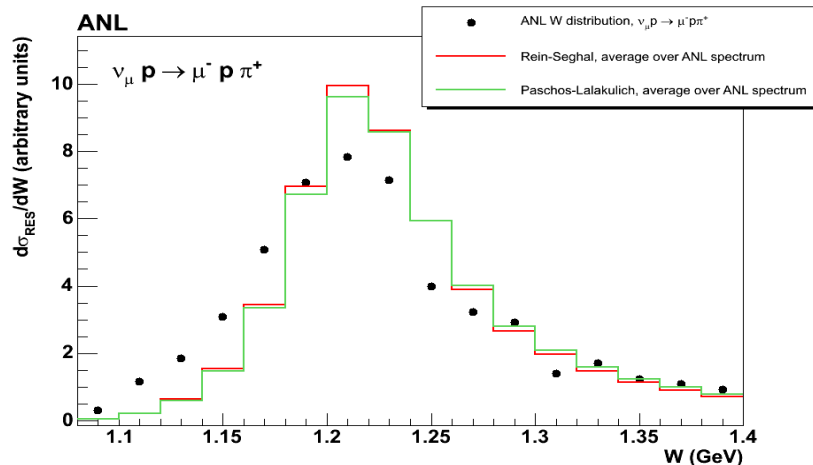
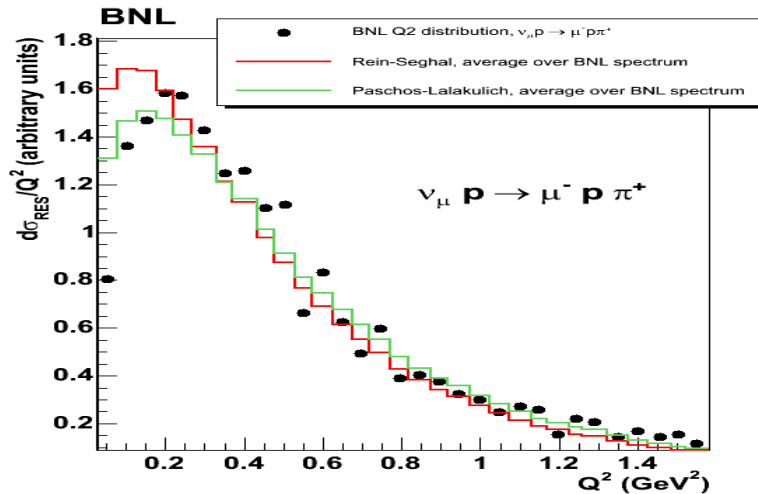
Data are rather poor with large errors

but nevertheless

are an invaluable resource in developing / tuning neutrino interaction models

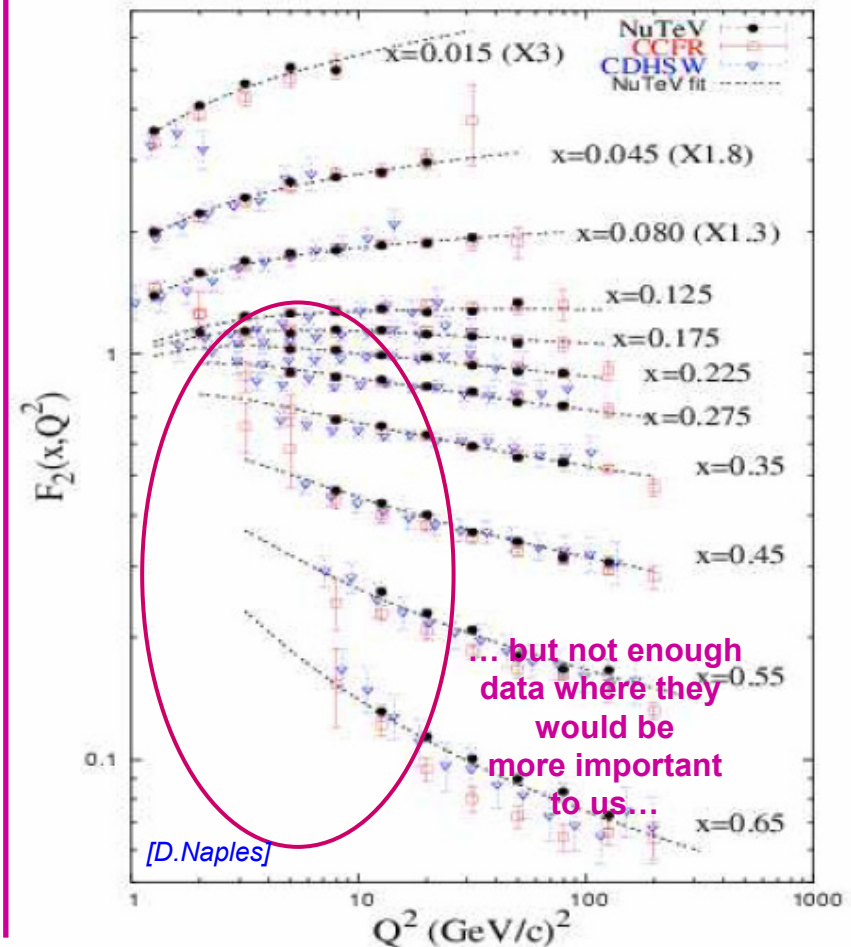
Differential Neutrino Cross Sections

- even more sparse and even more useful data
- many effects (radiative corrections, nuclear effects,...) can change the differential xsec more dramatically than the total xsec



Structure Functions

Measurements exist mainly from higher energy experiments (CCFR, NuTeV...)



GENIE - C.Andreopoulos (CCLRC,Rutherford), H.Gallagher (Tufts)

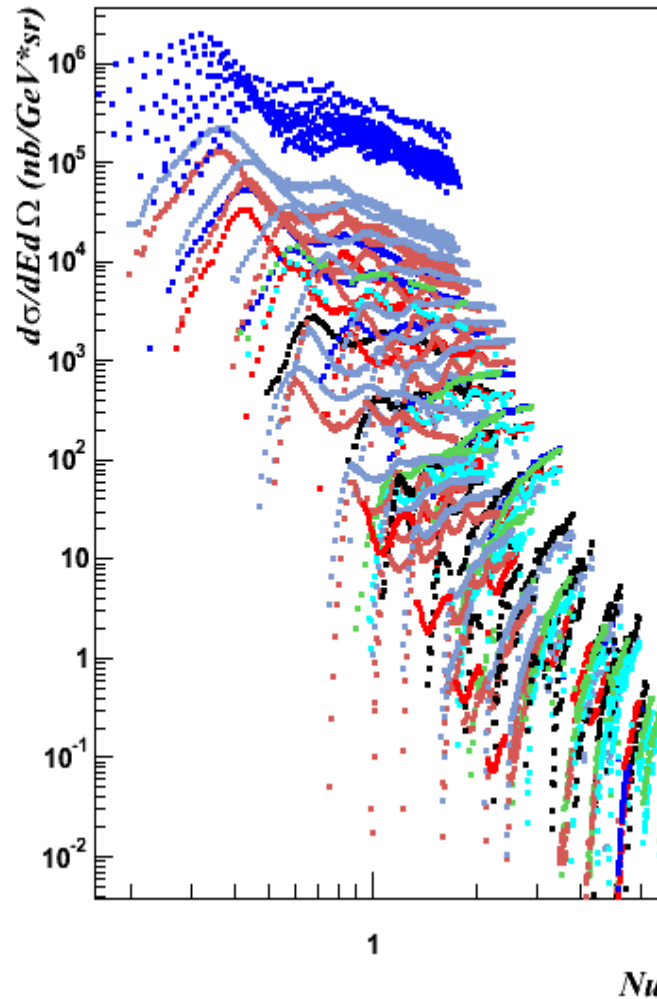
<http://hepunix.rl.ac.uk/~candreop/generators/GENIE/>

Lots of precise, low energy eN scattering data

[mainly eN inclusive scattering data
in the RES region from JLAB for
hydrogen, deuterium and
nuclear targets. (E04-001)]
are (or are becoming) **available**.

Very useful data for
neutrino physics:

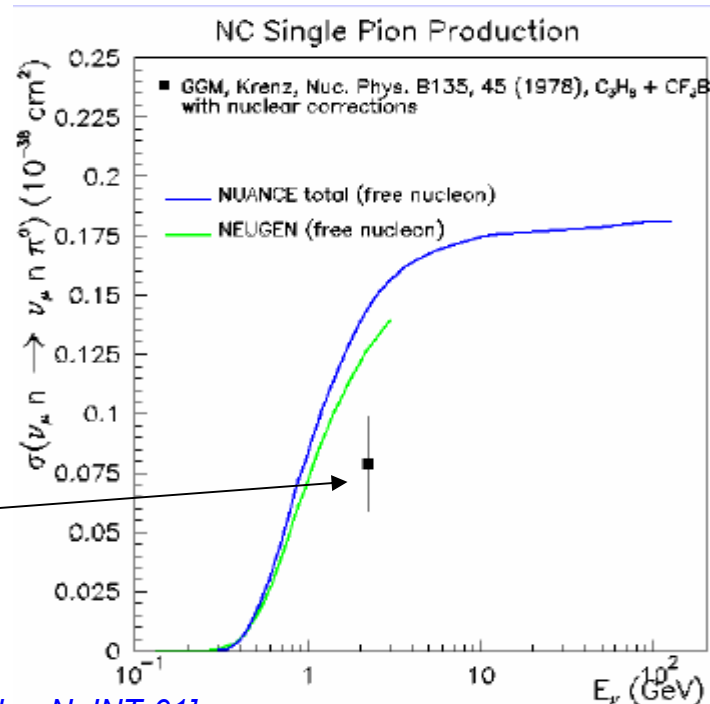
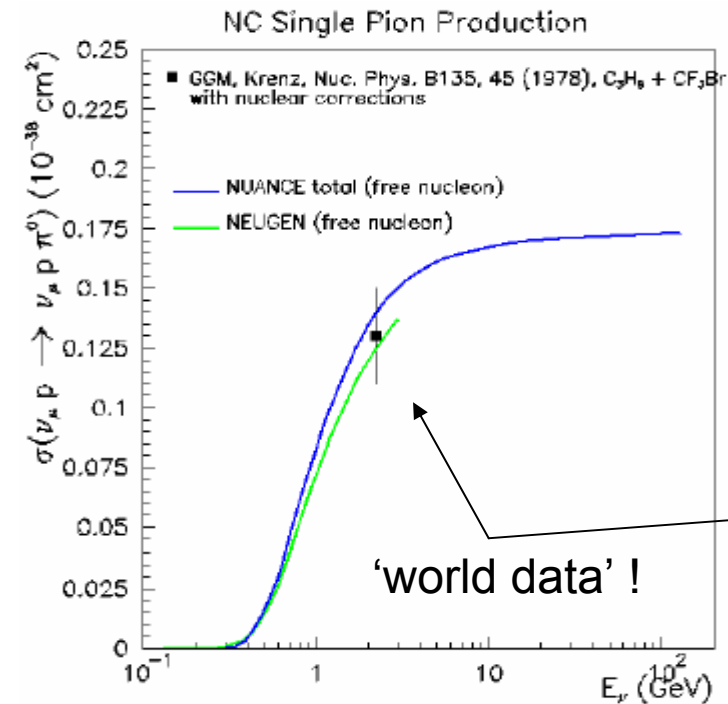
- Evaluating the vector part of neutrino interaction models
- Studying the RES/DIS joining region
- Modelling nuclear effects



—	E133: S.Wood et al; e133.txt, 2004
—	E133: S.Wood et al; e133det.txt, 2004
—	E140: S.Wood et al; e140.txt, 2004
—	E140: S.Wood et al; e140det.txt, 2004
—	E140x: S.Wood et al; e140x.txt, 2004
—	E140x: S.Wood et al; e140xdet.txt, 2004
—	E49A10: S.Wood et al; e49a10.txt, 2004
—	E49A10: S.Wood et al; e49a10det.txt, 2004
—	E49A6: S.Wood et al; e49a6.txt, 2004
—	E49A6: S.Wood et al; e49a6det.txt, 2004
—	E49B: S.Wood et al; e49b.txt, 2004
—	E49B: S.Wood et al; e49bdet.txt, 2004
—	E61: S.Wood et al; e61.txt, 2004
—	E61: S.Wood et al; e61det.txt, 2004
—	E87: S.Wood et al; e87.txt, 2004
—	E891: S.Wood et al; e891.txt, 2004
—	E891: S.Wood et al; e891det.txt, 2004
—	E8920: S.Wood et al; e8920.txt, 2004
—	E8920: S.Wood et al; e8920det.txt, 2004
—	JLAB: S.Wood et al; jlabh2.txt, 2004
—	JLAB: S.Wood et al; jlabd2.txt, 2004
—	NE11: S.Wood et al; ne11.txt, 2004
—	NE11: S.Wood et al; ne11det.txt, 2004
—	ONEN1HAF: S.Wood et al; onen1haf.txt, 2004

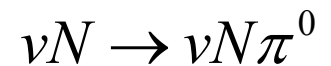
Process	uncertainty
Quasi-Elastic scattering	~20%
Resonance excitation	~40%
Deep-Inelastic scattering	~15% at high E

Large uncertainties even for the major ~GeV cross section components

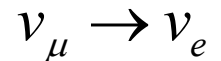


Some exclusive channels are extremely poorly constrained

example



Important background in



[Sam Zeller, NuINT-01]

What we can do best is:

Predicting cross sections for free nucleons and the final state primary lepton variables

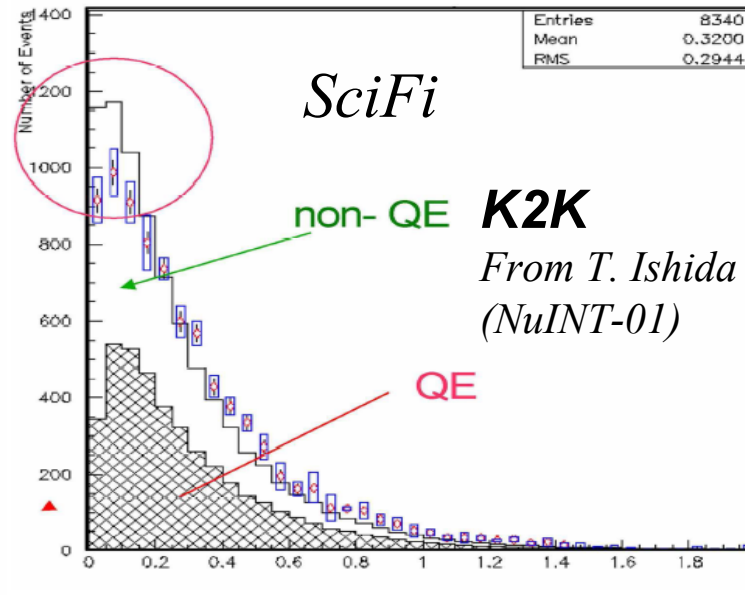
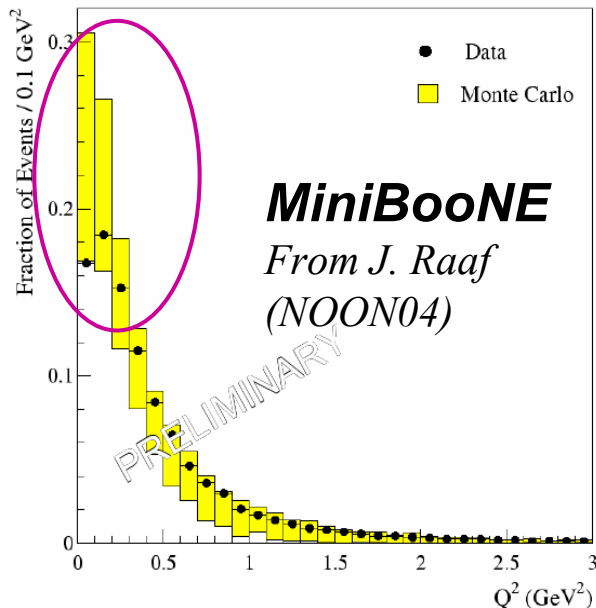
Predicting cross sections for nuclear targets

Predicting the final state primary lepton variables for ν interactions with nuclear targets

Predicting the final state hadronic system variables

...but these are strongly affected by nuclear effects

EXAMPLES:

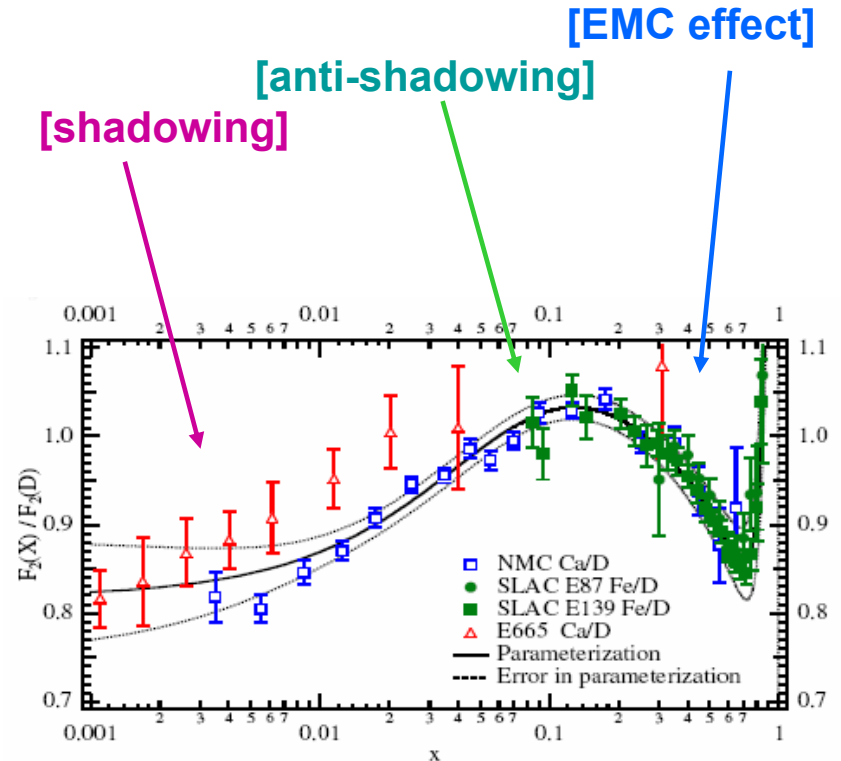
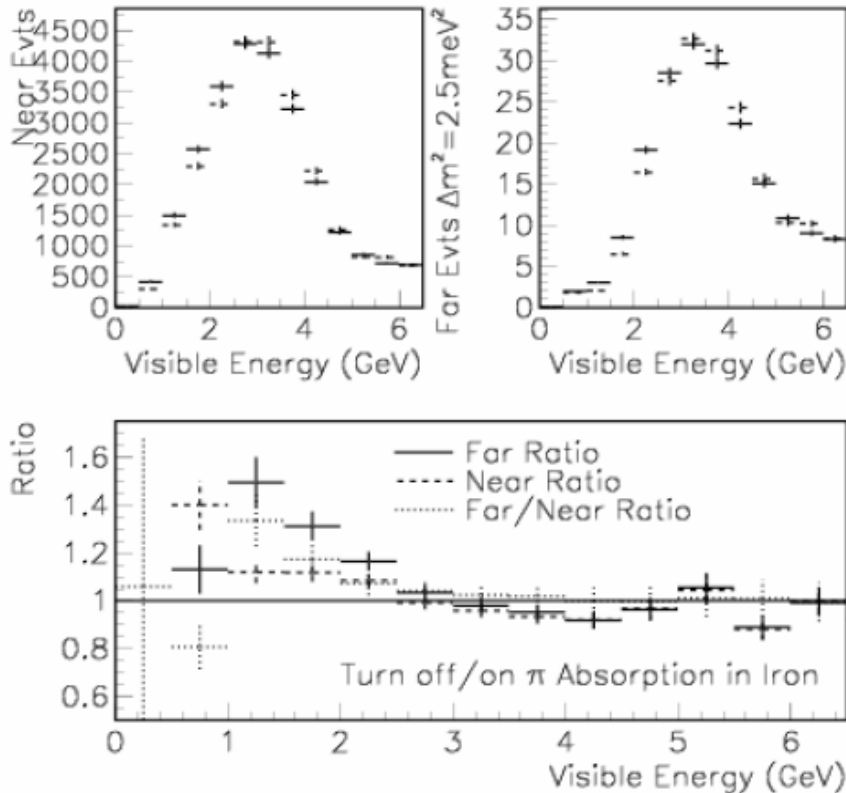


Low- Q^2 problems seen in all K2K detectors and in MiniBooNE

(Final State re-Interactions/ FSI)

eg: Pion absorption

and how it affects the neutrino energy calibration



- Never really measured with neutrinos
- Theoretical expectations for neutrinos differ

[Debbie Harris, NuINT-04]

The list can become quite long...

**Neutrino Cross Sections can be the limiting factor
for current & future precision neutrino studies**

There is no real alternative to **measuring what we do not know**

- in near detectors of LBL neutrino experiments (K2K, MINOS)
- in \sim GeV SBL neutrino experiments (MiniBooNE)
- in dedicated experiments (MINERvA)

**The importance of Neutrino Monte Carlos
for making the most out of the data that we have already got
can not be overstated**

Neutrino Monte Carlos are critical components for our research.

(In the absence of clear theoretical / phenomenological prescriptions)

They contain invaluable experience in tweaking / tuning models, the experimenter's "magic" tricks that get the poor theoretical inputs into agreement with the data...

Plenty of available Neutrino Monte Carlo's developed by brilliant physicists

GENEVE – NeuGEN – NEUT - NUANCE - NUX

These are certainly the state of the art in physics they describe, *but...*

- developed within specific experiments and they usually work best
 - *for some targets, and*
 - *in some fraction of the total phase space*
- written in fortran (with 'fortran-style' software engineering...)
 - *difficult to maintain & improve*
 - *difficult to integrate into the Object-Oriented Analysis framework of modern experiments*
 - *difficult to integrate with other popular MC's making the transition to the OO-world*
 - *eg GEANT, PYTHIA*
 - *serious problems with dependencies on software products that eventually will stop being supported (eg CERNLIB etc...)*

***There is no neutrino generator that
(technically) is up to the challenges we face***

***A well-engineered software product with a lifetime of ~20+ years
(from now to the Neutrino Factory era)***

***A scalable & easily maintainable software product that will be
easier to extend as 'knowledge' accumulates fast from current experiments
(K2K, MiniBooNE, MINOS...)***

***A neutrino generator that will combine the best of all worlds
(whatever each existing generator does best)***

A neutrino generator that will work for all nuclear targets in all energies...

GENIE

OBJECT - ORIENTED
NEUTRINO GENERATOR

Being developed for MINOS

(Hugh Gallagher [Tufts] and myself)

but not MINOS specific

Large Scale Effort / Currently:

- ~75,000 lines of C++
- 270 classes organized in 32 packages.

Is more than a neutrino generator:

Is a framework for developing neutrino generators

Is NOT fortran dressed as C++

Has a proper object-oriented design and
implements successful OO Architecture Design Patterns

More information at: <http://hepunix.rl.ac.uk/~candreop/generators/GENIE/>

Code is available: free read-only CVS repository (at Rutherford Lab) accessed via AFS

The right way ahead is through a cross-experiment but still small & focused collaboration
(along the lines of the HERWIG collaboration for example)

We are in the process of inviting all neutrino MC authors and other experts to join us

We wish that:

- all major neutrino experiments will be represented
- neutrino experts will be joining, understanding the system and leading various aspects of its development by transferring their expertise and migrating their MC's into the new framework
- 'experiment liaisons' bringing in requirements that are specific to their experiment so they can all be integrated into a unified design...

We intend to hold phone conferences and maybe a small workshop for all interested parties (*somewhere* – RAL? FNAL?) BEFORE the upcoming NuINT-05 in Japan.

There is more than enough initial momentum...

We really have the opportunity here to produce something which will be the state of the art in both the neutrino interaction physics & software engineering

Framework:

- All algorithms **fully externally configurable** via an **XML-based config system**
 - (Named) algorithm **configurations** ($\geq 1/\text{algorithm}$) **available at run-time as 'Registry'** (key->value) **containers** served from a (singleton) **Configuration Pool**
 - **Error/Message logging** system based on log4cpp
 - Algorithm abstractions & **standardized interfaces**
 - **AlgFactory** ('Factory Design Pattern') **serves pre-configured algorithm instances**
 - **Data / Algorithm decoupling** using the 'Strategy Pattern' guarantees scalability
 - **Static & Dynamic Validation Schemes**
 - Access to standard PDG data and extensions (eg Baryon Resonance data)
 - **Access to the world's data on neutrino scattering cross sections** (& SFs, hadronic multiplicities,...)
 - **Extensible / Scalable event generation framework built using a combination of the 'Visitor' and the 'Chain of Responsibility' Design Patterns** (see later)
- ... [many more]

Physics:

• **Cross-Section models:**

- **Quasi-Elastic:** *Paschos and Yu*, hep-ph/010760
- **Resonance Excitation:** *Rein and Sehgal*, Ann.Phys.133, 79 (1981); *Paschos and Lalakulich*, hep-ph/0501109
- **Deep Inelastic:** *Paschos and Yu*, hep-ph/010760
- **Deep Inelastic + radiative corrections:** *Bardin and Dokuchaeva*, JINR-E2-86-260 (1986)
- **Coherent NC π^0 :** *Rein and Sehgal*, Nucl.Phys.B233, 29 (1983)
- **LO DIS charm production:** *Aivazis et al.*, Phys.Rev.D50, 3085 (1994)
- **QEL charm production:** *Kovalenko*, Sov.J.Nucl.Phys.52:934(1990)
- **Inverse Muon Decay + radiative corrections:** *Bardin and Dokuchaeva*, Nucl.Phys.B287:839 (1987)
- ...

• **Hadronization schemes:**

- **NeuGEN's KNO-based hadronization** (tuned to reproduce exp. data for low multiplicity interactions)
- **Interface to PYTHIA hadronization model** (suitable for higher multiplicity interactions)
- **Charm hadronization scheme** (using fragmentation functions + charm fractions)

• **Particle Decays:**

- **Interface to PYTHIA decayer**
- **Custom resonance decayer** using ROOT's phase space generator

• **Nuclear effects:**

- **Bodek-Ritchie Fermi Gas model**
- **Pauli blocking**

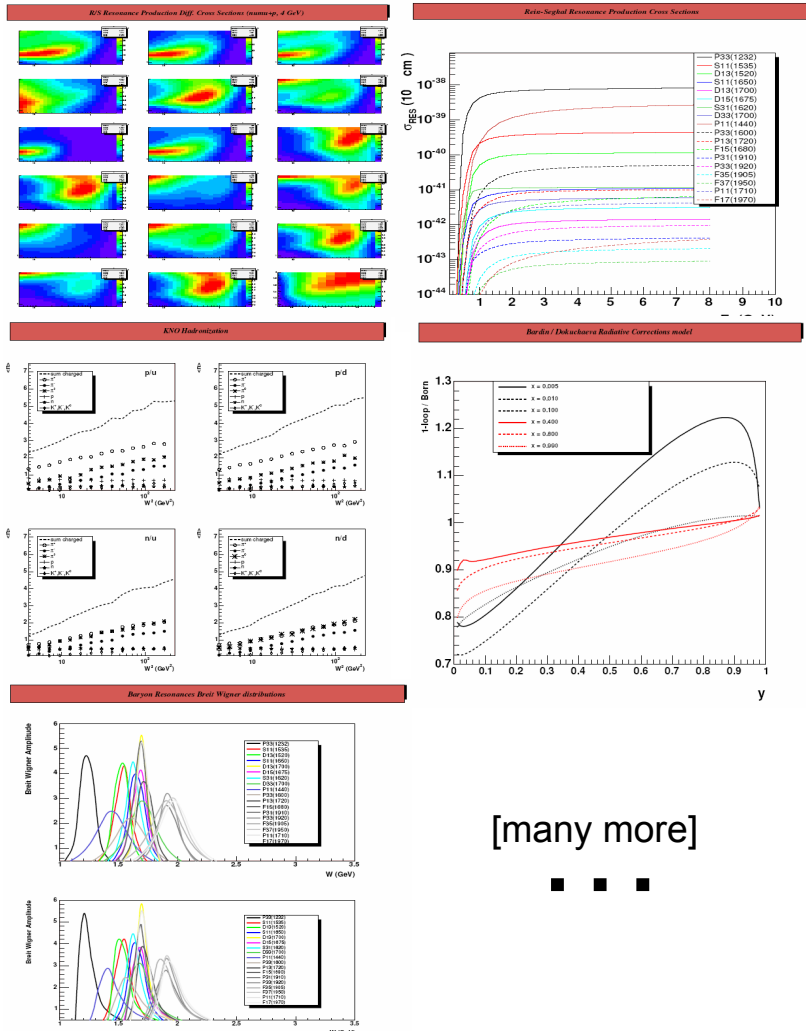
• **PDFs:** PDFLIB interface, Bodek-Yang PDFs

• **Event Generation Modules:** developed for QEL, RES & DIS

• ... [many more]

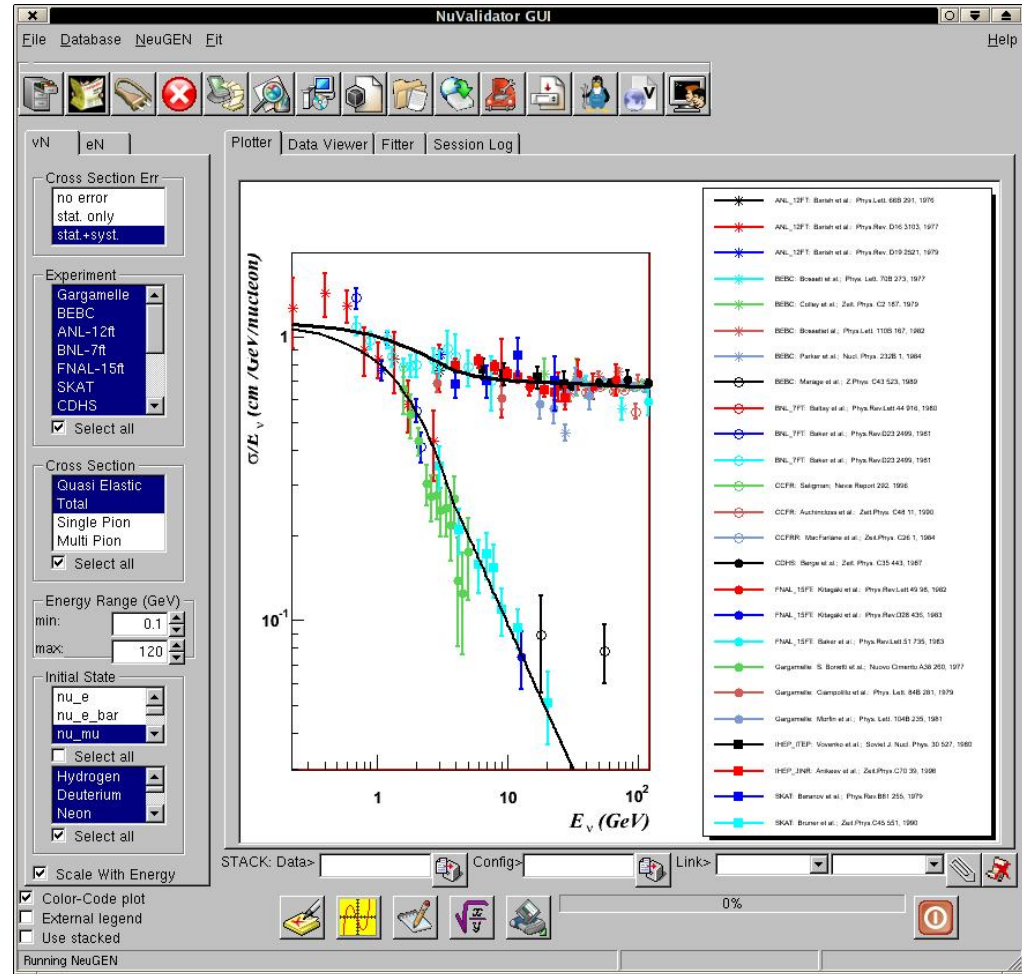
“Static” Model Validation Scheme

Automatically generated multi-page document
Showing model predictions / comparisons with data

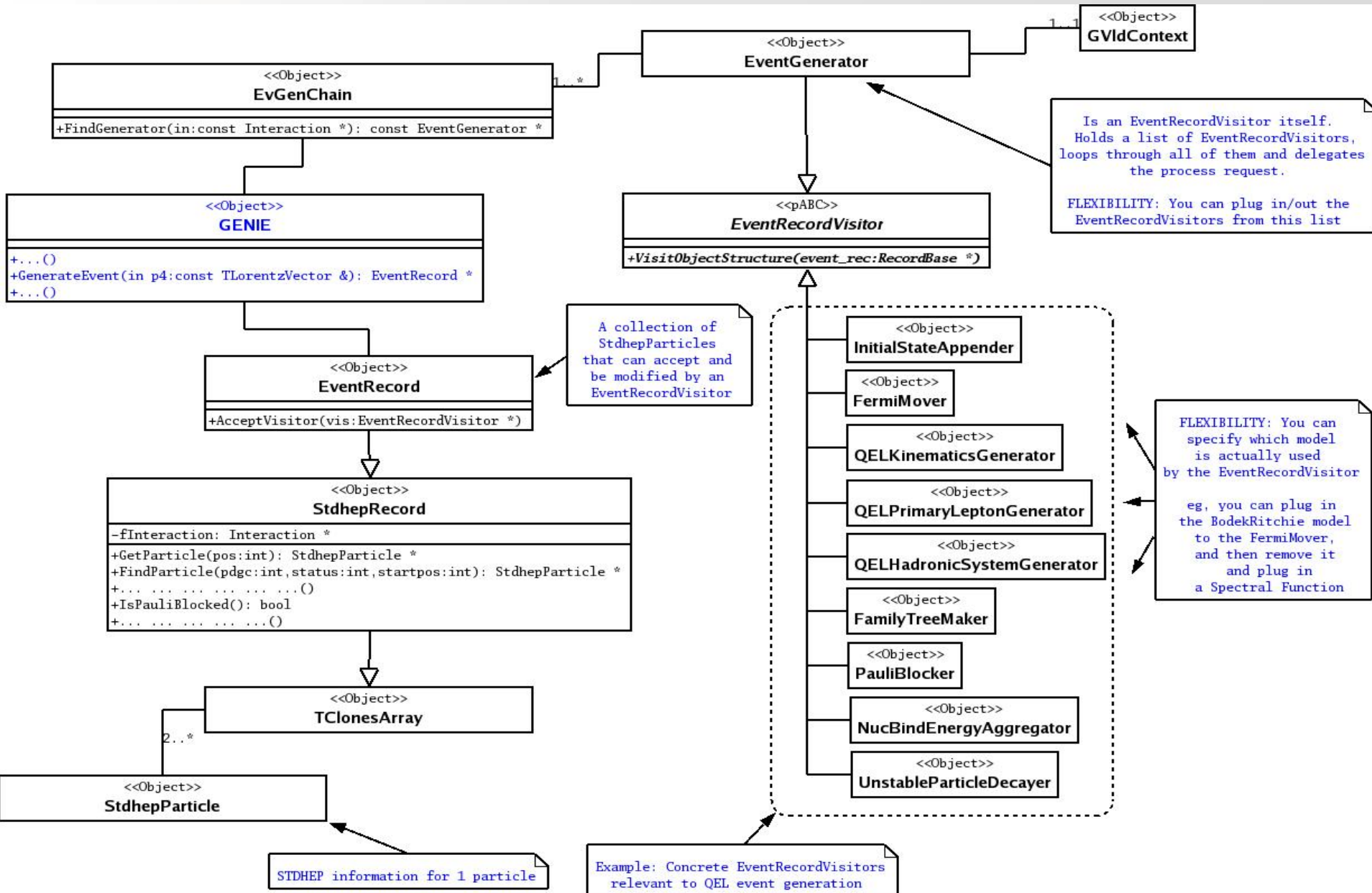


“Dynamic” Model Validation Scheme

GUI application accessing MySQL dbase with the world's v data,
showing cross sections for various channels / physics config.,
performing global fits...



GENIE OO Design Highlight: Event Generation Framework



Lots of interesting ideas were discussed in previous talks

- *Measurements in the neutrino sector with unprecedented accuracy*
- *In detectors of mind-blowing size*

However, to study neutrinos you must get them to interact...

**and understanding neutrino interactions can be a major limiting factor
for future precision studies with \sim GeV neutrinos**

We are trying to address the MC generator issues
and we hope that we have kick-started what will evolve
into the Universal Neutrino Generator