



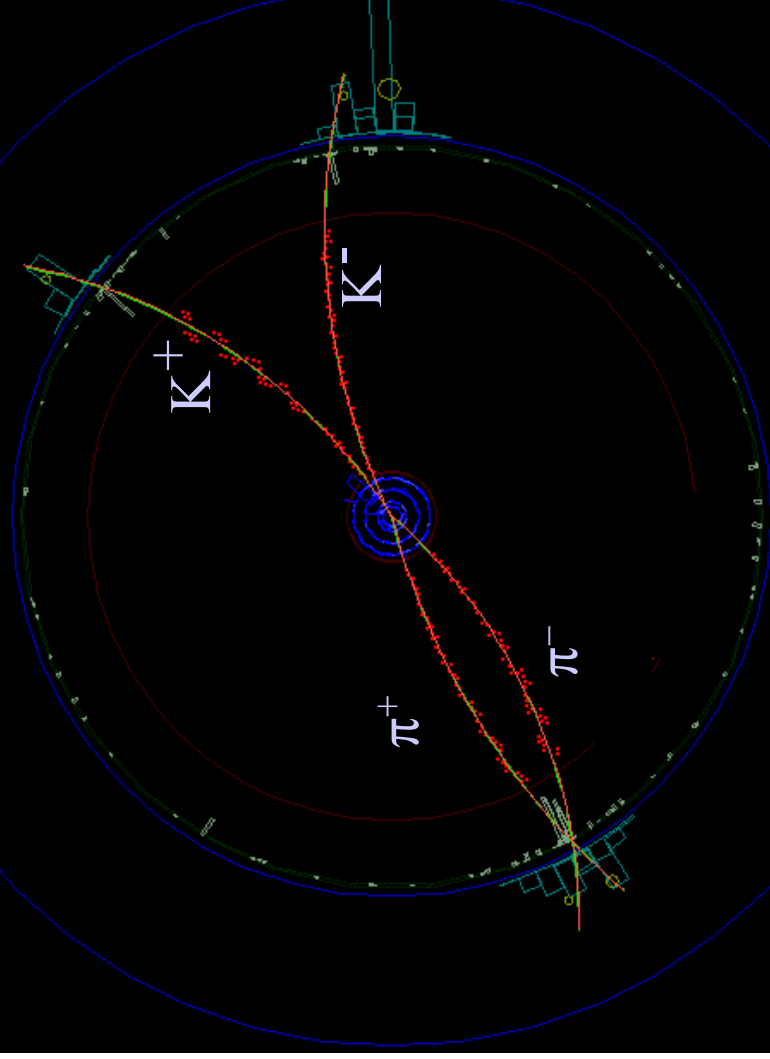
CKM Studies and New Physics Searches with Charm

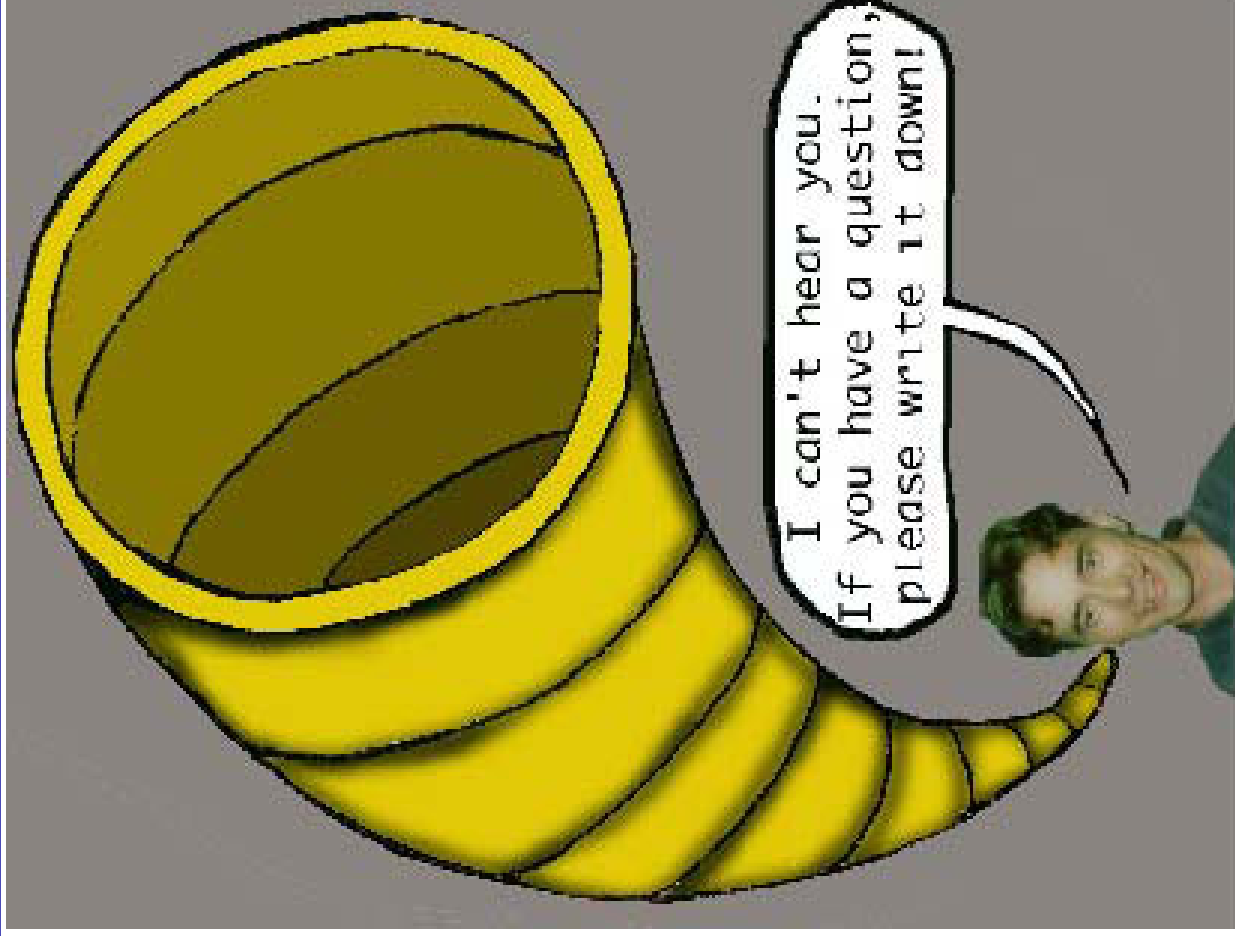
Two themes:

- 1) Why Charm Physics allows B Physics to reach its full potential
- 2) Charm physics as a probe of physics beyond the Standard Model

Ian Shipsey,
Purdue University

$$\psi'' \rightarrow D^0 \bar{D}^0, D^0 \rightarrow K^- \pi^+$$





- I am completely deaf
- I communicate by lip reading
- BUT lip reading obeys an inverse square law, and the audience is too far away
- Please write down your questions
- Pass them up to me
- I will read out your question before answering it



Outline of the Lectures

Overview: How Charm Physics Helps B Physics

→ Precision Quark Flavor Physics

Experiments That Contribute To Charm Physics

Precision CKM Physics:

Lifetimes

Hadronic Decays

Leptonic Decays and Decay constants

Semileptonic Decays and CKM matrix elements

Tests of Unitarity

Spectroscopy

Charm as a Probe of New Physics:

Mixing

CP Violation & Rare Decays

Summary & Outlook

Lecture 1

Lecture 2



Charm Physics:

What do we need to measure?

• **flavor physics: overcome the non pert. QCD roadblock**

Precision charm lifetimes ← exist do not exist

- precision charm abs. branching ratio measurements

Abs D hadronic
Br's normalize
B physics

Semileptonic decays:
Vcs, Vcd, unitarity
form factors

Tests QCD techniques in

c sector, apply to b sector → Improved Vub, Vcb, Vtd & Vts

- **strong coupling in Physics beyond the Standard Model**

- precise measurements of quarkonia spectroscopy & decay provide essential data to calibrate theory.

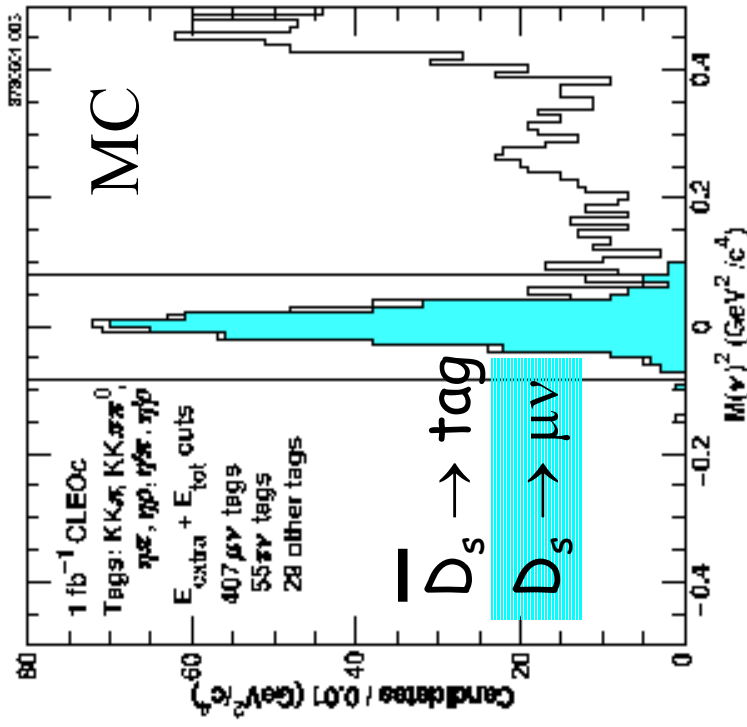
Important
Input for the lattice

- **Physics beyond the Standard Model:**

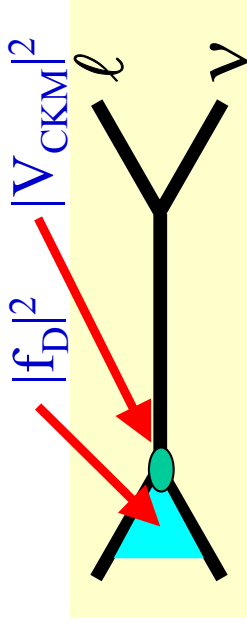
- D-mixing, CPV, rare decays. + measure strong phases

Charm physics builds the tools to enable this decade's flavor physics and the next decade's new physics.

f_{D_s} from Absolute $\text{Br}(D_s \rightarrow \mu^+\nu)$



- Measure absolute $\text{Br}(D_s \rightarrow \mu\nu)$
- Fully reconstruct one D (tag)
- Require one additional charged track and no additional photons



- Compute MM^2
 - Peaks at zero for $D_s^+ \rightarrow \mu^+\nu$ decay.
- Expect resolution of $\sim M_{\pi^0}$

$V_{cs}, (V_{cd})$ known from unitarity to 0.1% (1.1%)

	Reaction	Energy(MeV)	L fb ⁻¹	PDG	CLEO-c
f_{D_s}	$D_s^+ \rightarrow \mu\nu$	4140	3	17%	1.9%
f_{D_s}	$D_s^+ \rightarrow \tau\nu$	4140	3	33%	1.6%
f_{D^+}	$D^+ \rightarrow \mu\nu$	3770	3	UL	2.3%



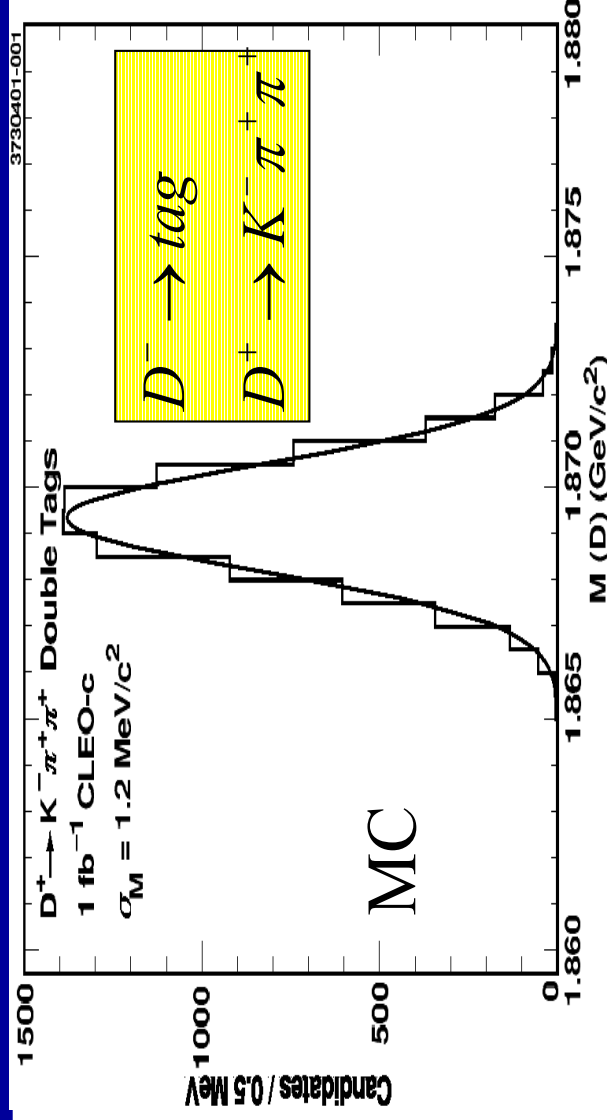
Absolute Branching Ratios

~ Zero background in hadronic tag modes

Measure absolute

$Br(D \rightarrow X)$ with double tags

$Br = \# \text{ of } X / \# \text{ of } D \text{ tags}$



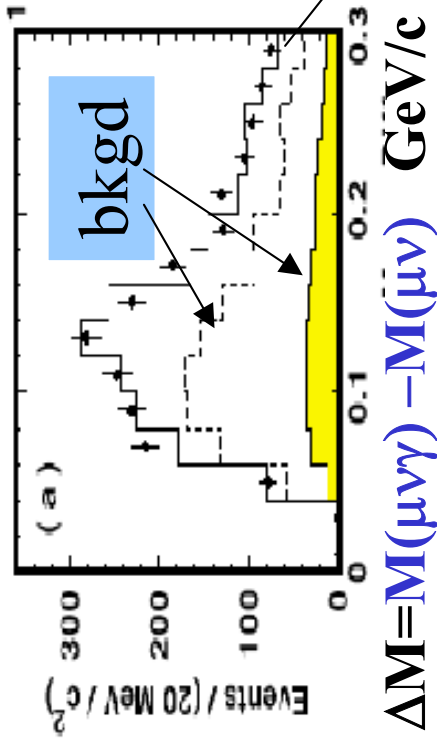
Decay	\sqrt{s}	L	Double tags	PDG ($\delta B/B$ %)	CLEOC ($\delta B/B$ %)
$D^0 \rightarrow K^- \pi^+$	3770	3	53,000	2.4	0.6
$D^+ \rightarrow K^- \pi^+ \pi^+$	3770	3	60,000	7.2	0.7
$D_s \rightarrow \phi \pi$	4140	3	6,000	25	1.9

CLEO-c potential: set the absolute scale for all heavy quark measurements



Compare B factories & CLEO-C

CLEO: $f_{D_S} : D_S^* \rightarrow D_S \gamma D_S \rightarrow \mu\nu$



CLEO-c
3 fb⁻¹

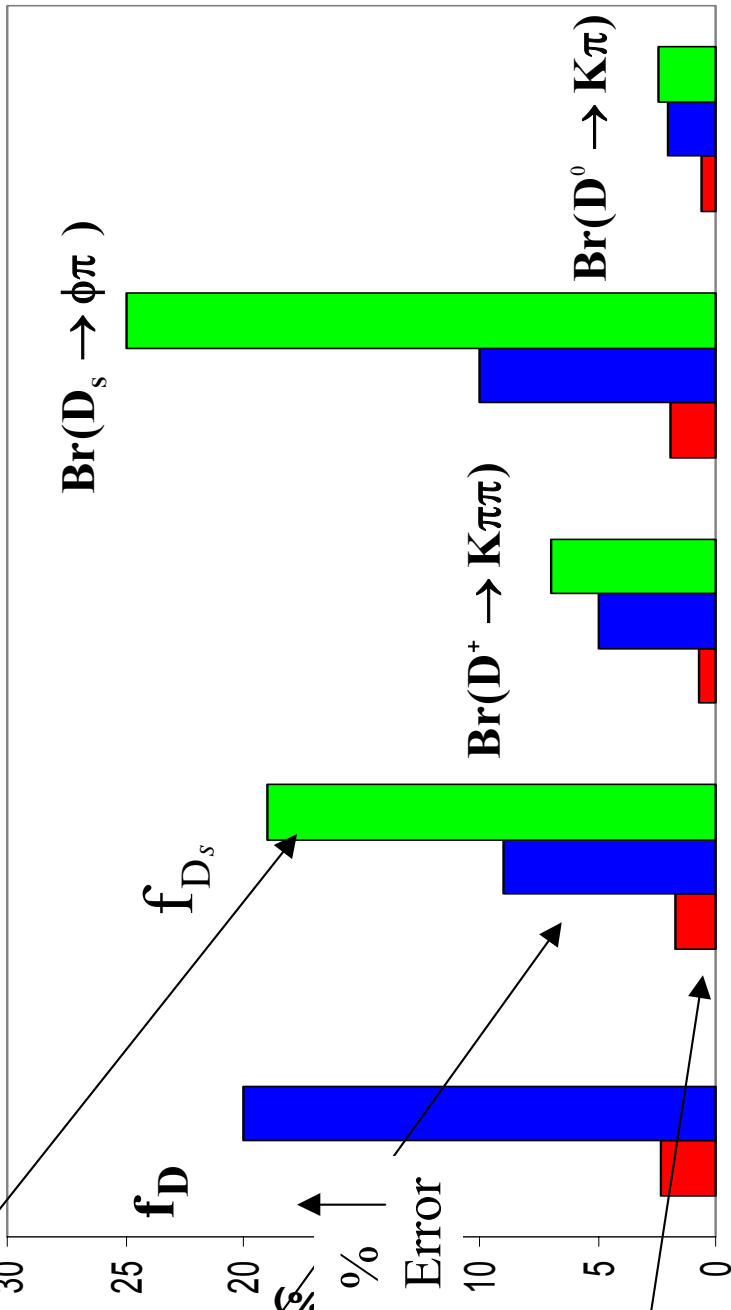
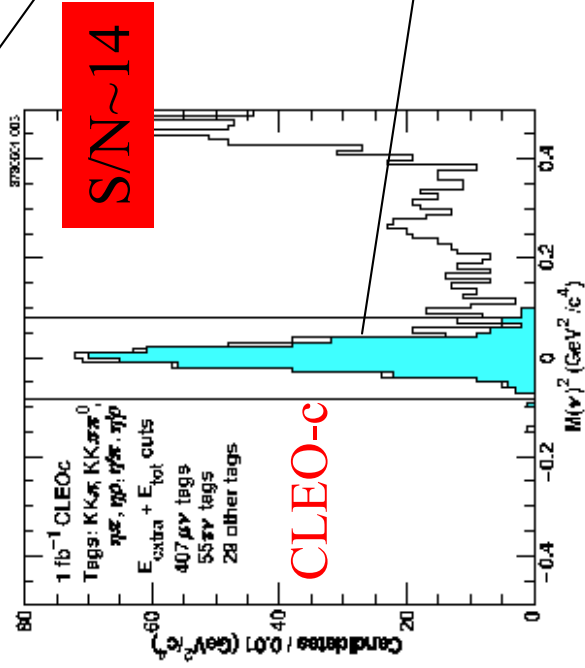
BFactory
400 fb⁻¹

Statistics limited
Systematics & Background limited

PDG

$\Delta M = M(\mu\nu\gamma) - M(\mu\nu) \text{ GeV}/c$ 30

B Factory CLEO technique
with improvements



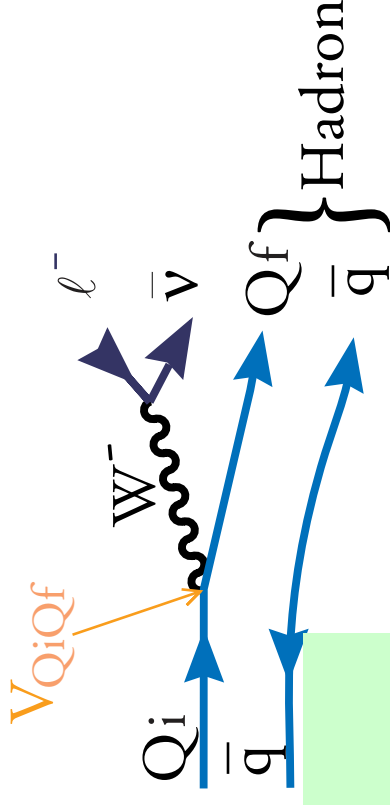


Semileptonic Decays: formalism

Best way to determine magnitudes of CKM elements, in principle, is to use semileptonic decays

Nuclear β decay $\rightarrow V_{ud}, K \rightarrow \pi e \nu \rightarrow V_{us}$

$D \rightarrow K e \nu \rightarrow V_{cs}, b \rightarrow c l \nu \rightarrow V_{cb}, b \rightarrow u l \nu \rightarrow V_{ub}$



• Kinematics: $q^2 = (p_D^\mu - p_{hadron}^\mu)^2 = m_D^2 + m_P^2 - 2E_P m_D$

• Weak current is understood. The work is in the hadronic matrix element expressed in terms of form-factors. for $D \rightarrow P$ pseudoscalar $\ell^+ \nu$

$$\langle P(P_P) | J_\mu | D(P_D) \rangle = f_+(q^2)(P_D + P_P)_\mu + f_-(q^2)(P_D - P_P)_\mu$$

• For $\ell = e$, contribution of $f_-(q^2) \rightarrow 0$, only way to get information on f_- is to use $\ell = \mu$, (for D decays)



Uses of Semileptonic Decay

Contraction with the leptonic current gives

$$\frac{d\Gamma(D \rightarrow P \ell \nu)}{dq^2} = \frac{|V_{cq}|^2 P_P^3}{24\pi^3} |f_+(q^2)|^2$$

Note: For $PS \rightarrow V$ there are three form factors

Quark models, HQET, and LGT have all been invoked to calculate form factor absolute normalizations. Until recently these calculations have been done mostly at $q^2 = q_{\max}^2$ (i.e. $w=1$, just like F in V_{cb} in $B \rightarrow D^* \ell \nu$)

To find V_{cs} & V_{cd} Need models for f at one fixed q^2 point.

For $PS \rightarrow PS$ decays the data is mostly at $q^2 = 0$. There is a need to interpolate.

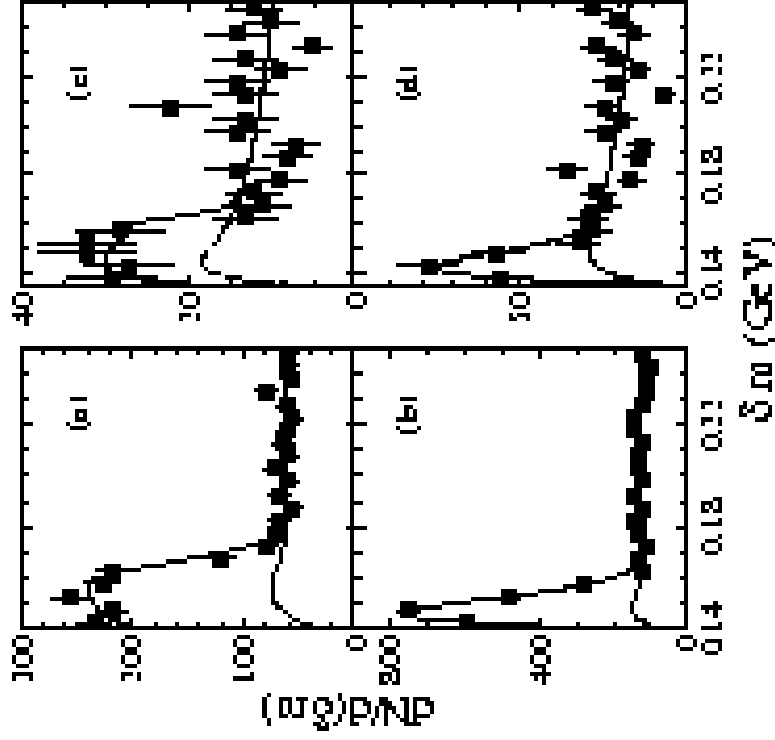
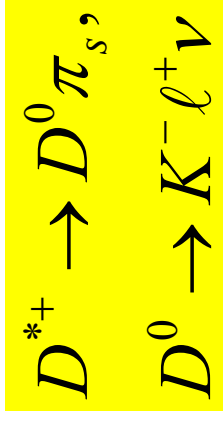
To do this models predict (or make educated guesses) about the shapes of the form factors as a function of q^2 .

More recently the lattice has calculated rates as a function of q^2 , and charm semileptonic decays provide a powerful test of the lattice predictions. Once validated, the lattice predictions can be used with confidence in the extraction of CKM matrix elements in other D and B semileptonic decays.



Current Status of D semileptonic decays

- Absolute Br's poorly known dB/B- 5% - 73% because no running at the $\psi(3770)$.
- Vcs $f_+(0)$ measured for $D \rightarrow Kl\nu$: $0.79 \pm 0.01 \pm 0.04$ (CLEO)
- Shape of $f_+(q^2)$, given by $f_+(0)/(1-q^2/m_p)^2$ measured for $D \rightarrow Kl\nu$: $m_p = 2.00 \pm 0.12 \pm 0.18$ GeV



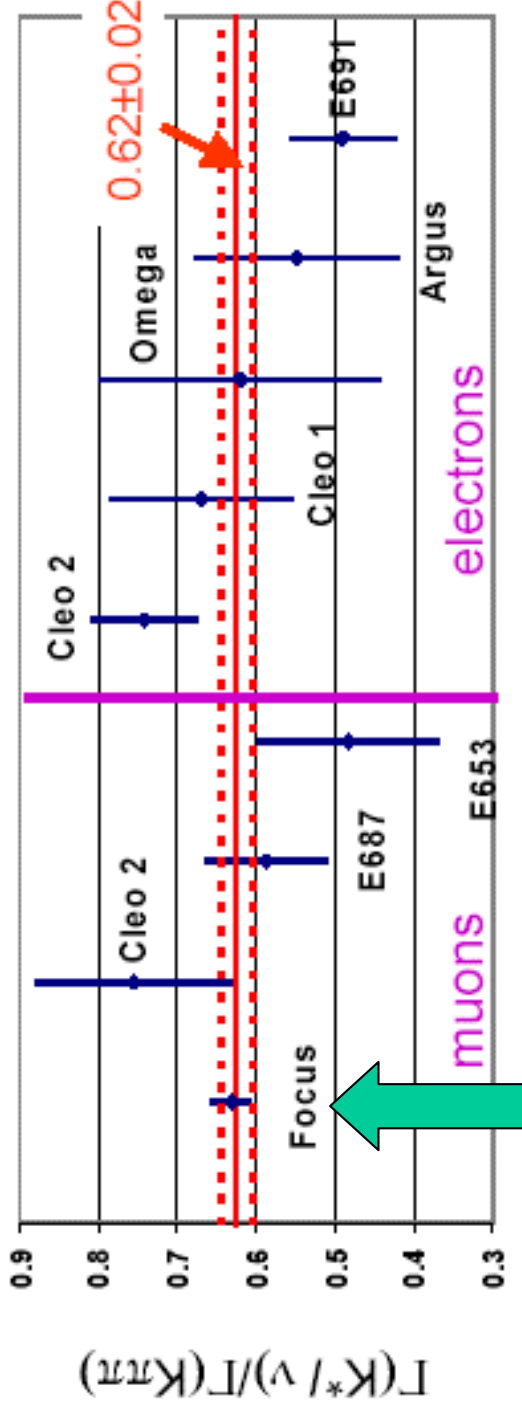
$$\Delta m = m(\pi_s K \ell) - m(K \ell)$$



PS → V Relative BR $\Gamma(D^+ \rightarrow \overline{K^{*0}} \mu^+ \nu)$

$$\frac{\Gamma(D^+ \rightarrow \overline{K^{*0}} \mu^+ \nu)}{\Gamma(D^+ \rightarrow K^- \pi^+ \pi^+)} = 0.602 \pm 0.01(stat) \pm 0.021(sys)$$

3.9% relative error



All values consistent with their average value with a CL of 19%

Significant improvement
in 2002

Precision measurements but
they are relative not absolute



$\Gamma(D^+ \rightarrow \overline{K^{*0}} \mu^+ \nu)$ Form Factor Ratios

The vector and axial form factors are generally parametrized by a pole dominance form hep-ex /0207049

$$A_i(q^2) = \frac{A_i(0)}{1 - q^2/M_A^2} \quad V(q^2) = \frac{V(0)}{1 - q^2/M_V^2}$$

$M_A = 2.5 \text{ GeV} / c^2$ Nominal spectroscopic pole masses
 $M_V = 2.1 \text{ GeV} / c^2$

Decay intensity $r_\nu \equiv V(0)/A_1(0)$ $r_2 \equiv A_2(0)/A_1(0)$

Parametrized by **Group** r_ν r_2

New FOCUS
 Results much
 more precise
 Than previous
 work:

FOCUS	$1.504 \pm 0.057 \pm 0.039$	$0.875 \pm 0.049 \pm 0.064$
<i>BEATRICE</i>	$1.45 \pm 0.23 \pm 0.07$	$1.00 \pm 0.15 \pm 0.03$
<i>E791(e)</i>	$1.90 \pm 0.11 \pm 0.09$	$0.71 \pm 0.08 \pm 0.09$
<i>E791(\mu)</i>	$1.84 \pm 0.11 \pm 0.09$	$0.75 \pm 0.08 \pm 0.09$
<i>E687</i>	$1.74 \pm 0.27 \pm 0.28$	$0.78 \pm 0.18 \pm 0.11$
<i>E653</i>	$2.00 \pm 0.33 \pm 0.16$	$0.82 \pm 0.22 \pm 0.11$
<i>E691</i>	$2.0 \pm 0.6 \pm 0.3$	$0.0 \pm 0.5 \pm 0.2$

$$\delta r_\nu / r_\nu = 4.6\%$$

$$\delta r_2 / r_2 = 9.2\%$$

Beautiful measurements. Ratios of form factors are known precisely known

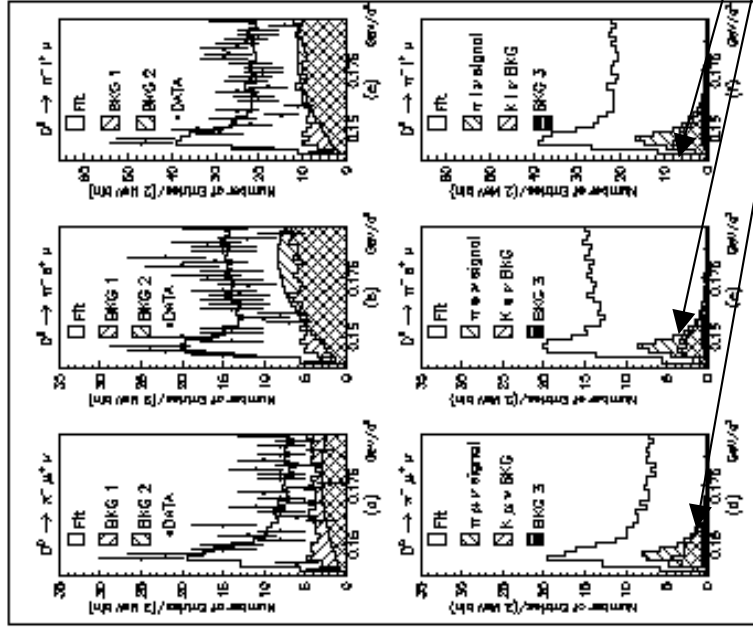
absolute magnitudes of the form factors cannot be measured with these experiments



Cabbibo suppressed semileptonic decays

The form factors governing $D \rightarrow \pi \ell \nu$, $D \rightarrow \rho \ell \nu$ and $B \rightarrow \pi \ell \nu$, $B \rightarrow \rho \ell \nu$ are related by HQS.

$D \rightarrow \pi \ell \nu$
 E687 $D \rightarrow \rho \ell \nu$



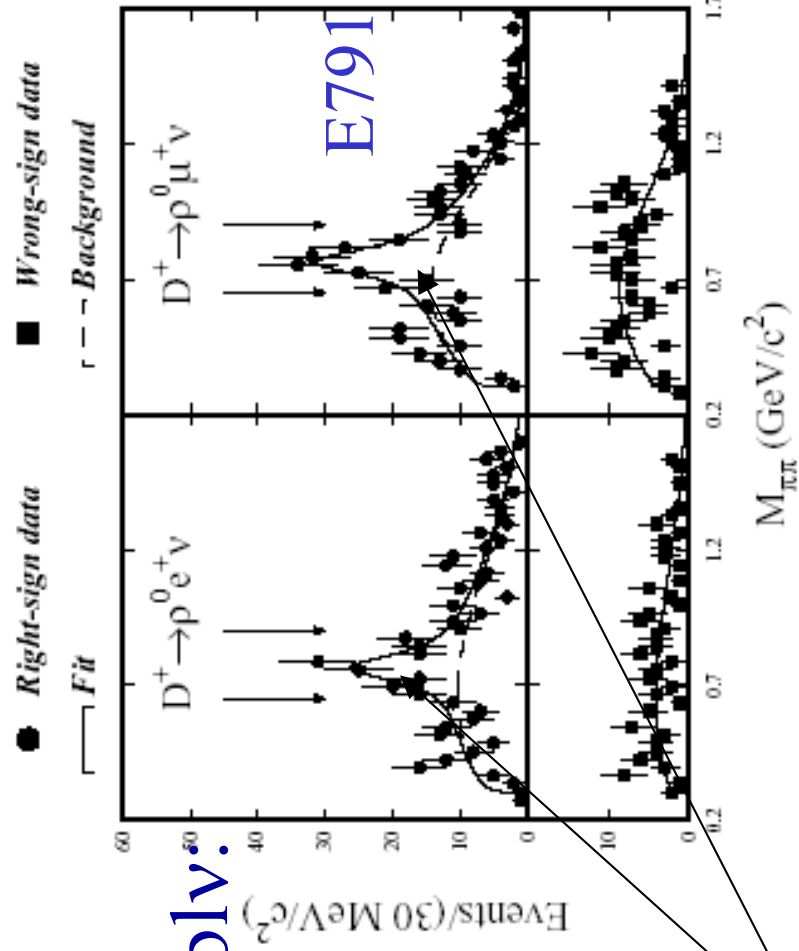
Composition of E687 $\pi \ell \nu$
 $M_D - M_D$ histogram
 BKG1 = mis-id
 BKG2 = random $\bar{\pi}$
 BKG3 = $K^* \ell \nu$

signal

$$\Delta m = m(\pi_s \pi \ell) - m(\pi \ell)$$

Expect the BABAR, Belle and CLEO to improve on this

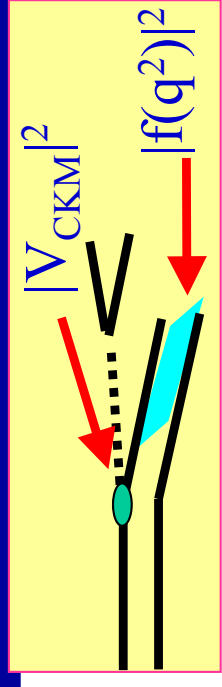
These modes are difficult to observe as their rates are low, and due to Cabbibo allowed semileptonic decay backgrounds. **No absolute rates, only relative rates to ~20% accuracy**



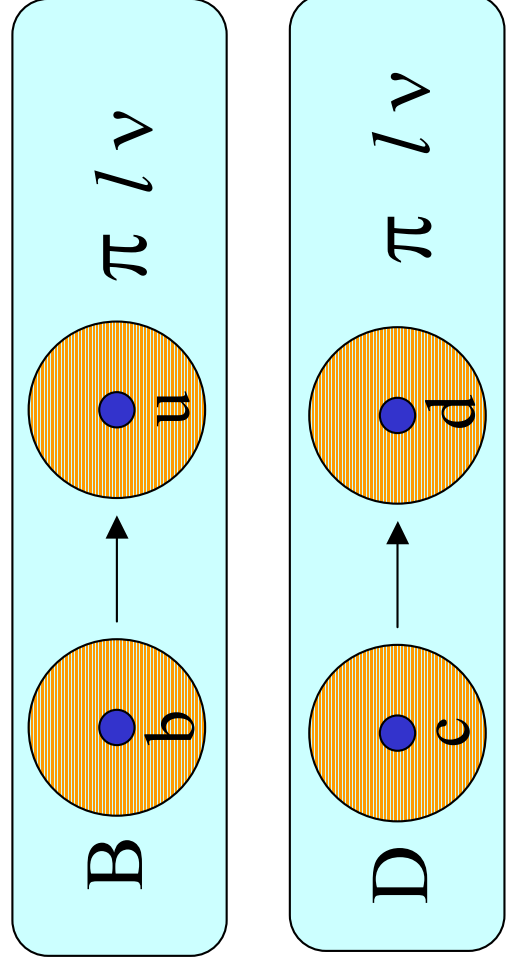


Importance of absolute charm semileptonic decay rates.

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{cs}|^2 p_K^3 |f_+(q^2)|^2$$



- I. Absolute magnitude & shape of form factors are a stringent test of theory.
- II. Absolute charm semileptonic rate gives direct measurements of V_{cd} and V_{cs} .
- III Key input to precise V_{ub} vital CKM cross check of $\sin 2\beta$



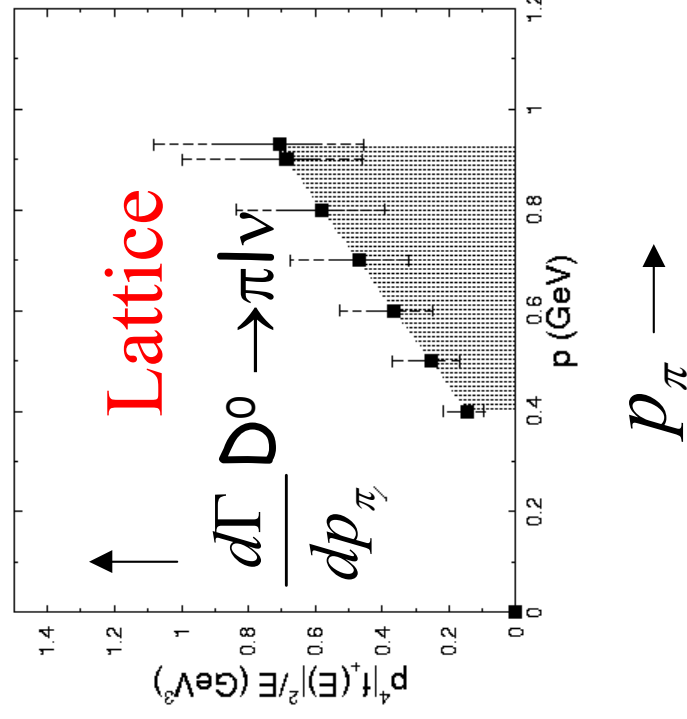
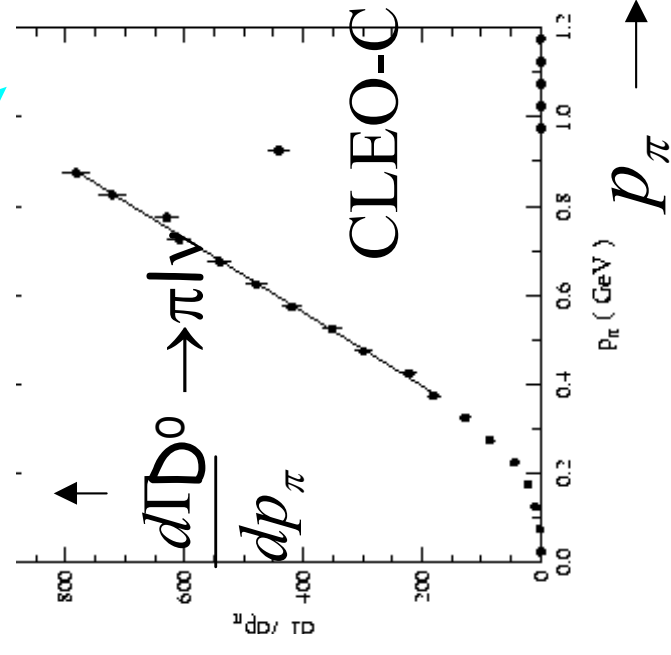
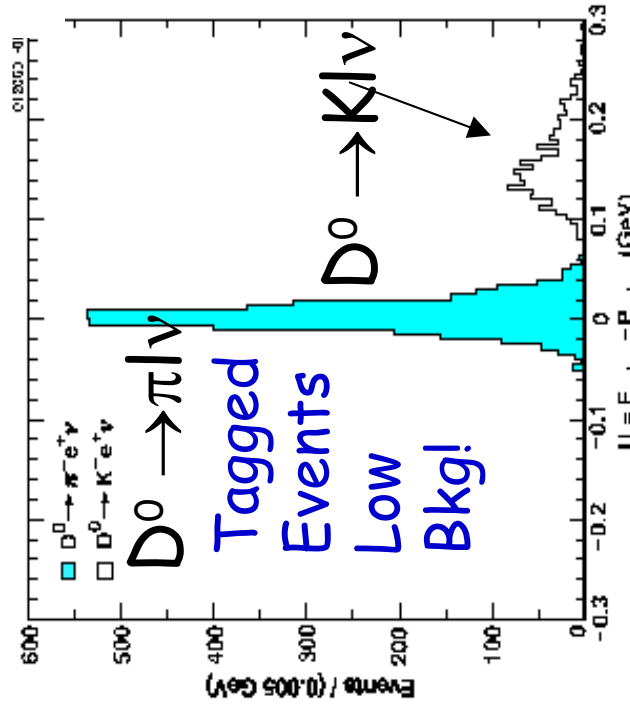
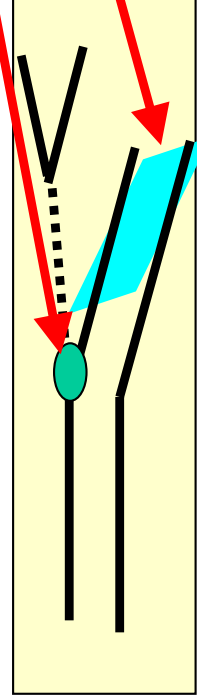
HQET

$$\frac{\delta B}{B} \sim 25\%$$

- 1) Measure $D \rightarrow \pi$ form factor in $D \rightarrow \pi l \nu$. Calibrate LQCD uncertainties .
 - 2) Extract V_{ub} at BaBar/Belle using *calibrated* LQCD calc. of $B \rightarrow \pi$ form factor.
 - 3) But: need absolute $\text{Br}(D \rightarrow \pi l \nu)$ and high quality $d\Gamma(D \rightarrow \pi l \nu)/dE\pi$ neither exist
- The program in charm semileptonic decay studies does not provide this...



Semileptonic Decays $|V_{CKM}|^2 |f(q^2)|^2$

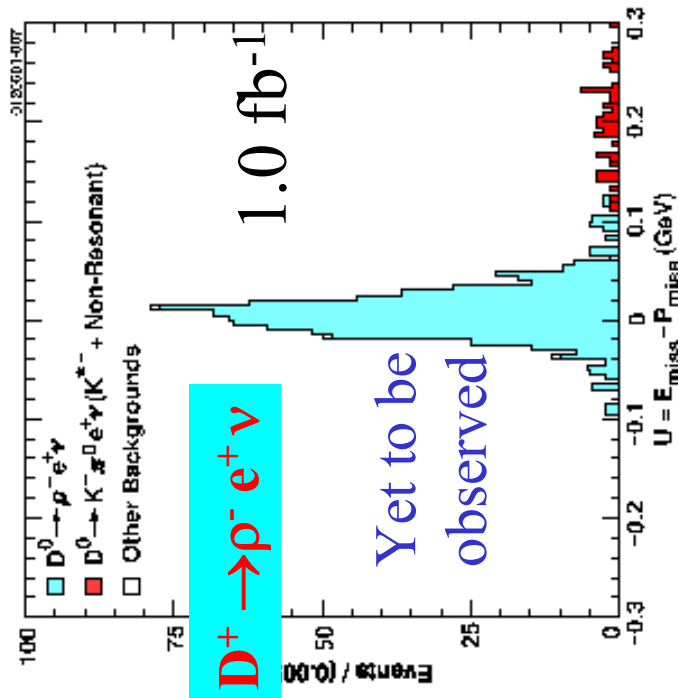


$$U = E_{\text{miss}} - P_{\text{miss}}$$

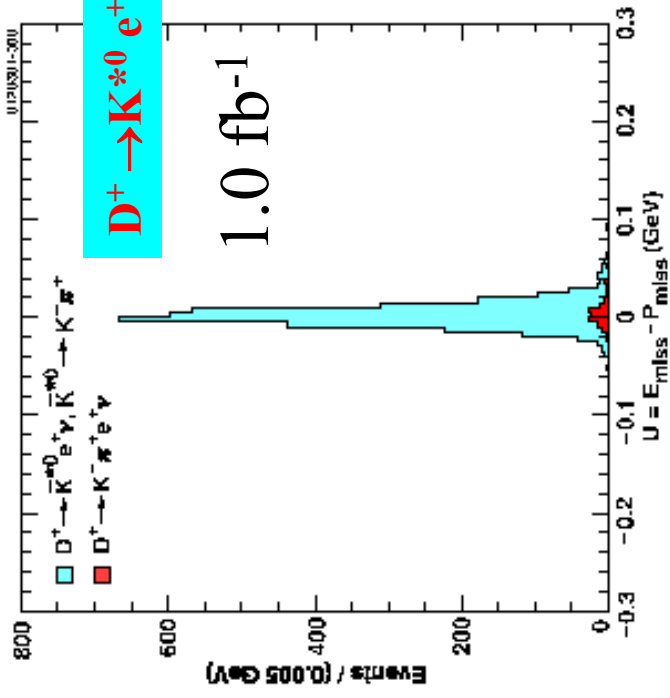
Assume 3 generation unitarity: for the first time measure complete set of charm $PS \rightarrow PS \& PS \rightarrow V$ absolute form factor magnitudes and slopes to a few% with ~zero bkgd in one experiment. Stringent test of theory!



Pseudoscalar to Vector transitions



$D^+ \rightarrow \rho^- e^+ \nu$



$D^+ \rightarrow K^{*0} e^+ \nu$

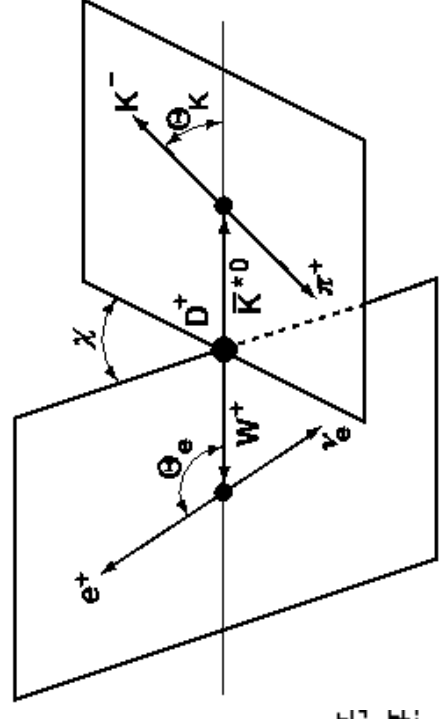
$D \rightarrow \rho \ell \nu$
HQS
 $B \rightarrow \rho \ell \nu$

$D \rightarrow K^* \ell \nu$
HQS & SU(3)
 $B \rightarrow \rho \ell \nu$

$$\frac{d\Gamma}{dq^2 d\cos\theta_K d\cos\theta_\ell d\chi}$$

$$= \frac{3G_F^4}{8(4\pi)^4} |V_{cs}|^2 \times \frac{p_K \cdot q^2}{M_D^2} [(1 + \cos\theta_\ell)^2 \sin^2\theta_K |H_+(q^2)|^2 + (1 - \cos\theta_\ell)^2 \sin^2\theta_K |H_-(q^2)|^2 - 4 \sin^2\theta_\ell \cos^2\theta_K |H_0 - (q^2)|^2 + 4 \sin\theta_\ell(1 + \cos\theta_\ell) \sin\theta_K \cos\theta_K \cos\chi H_+(q^2) I - 4 \sin\theta_\ell(1 - \cos\theta_\ell) \sin\theta_K \cos\theta_K \cos\chi H_-(q^2) I - 2 \sin^2\theta_\ell \sin^2\theta_K \cos 2\chi H_+(q^2) H_-(q^2)] \times \mathcal{B}(K^* \rightarrow K\pi).$$

The four-fold joint angular decay distribution for $D \rightarrow K^* \ell \nu$:



Note: figure also applies to $D \rightarrow \rho \ell \nu$.



D⁺ → K*⁰ form factor determination at threshold

$$r_V = V/A_1 \quad r_2 = A_2/A_1$$

CLEO-C 12 K events/3 fb⁻¹ S/B ~ 20/1

$$\frac{\delta r_V}{r_V} \approx 2\% \text{ (stat)} \quad \frac{\delta r_2}{r_2} \approx 3\% \text{ (stat)}$$

E791 3K S/B 5/1 (FOCUS 40K S/B 8/1)

$$\frac{\delta r_V}{r_V} \approx 7\% \text{ (4.6\%)} \quad \frac{\delta r_2}{r_2} \approx 17\% \text{ (9.2\%)}$$

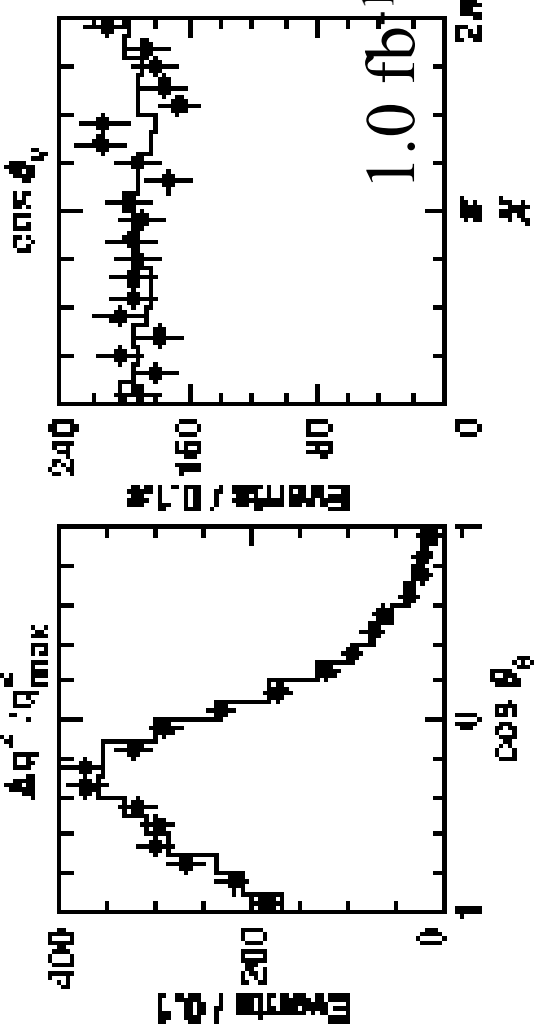
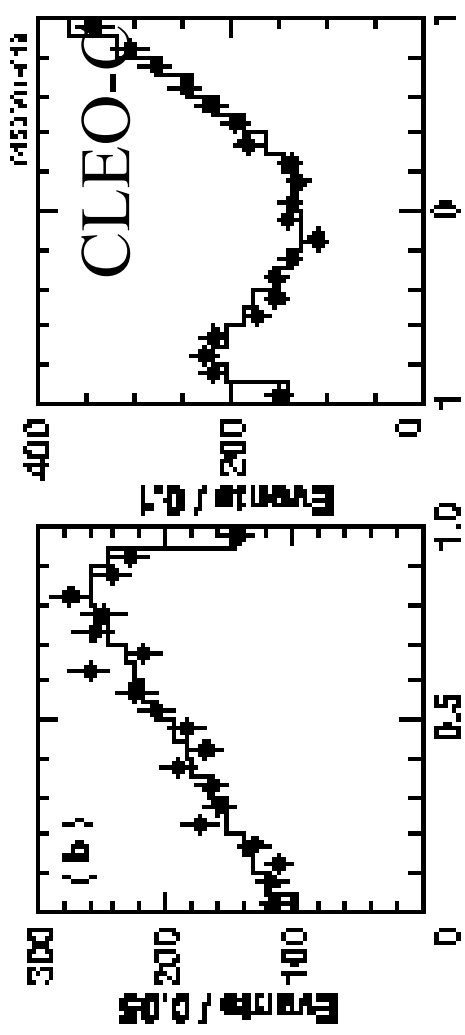
From CLEO-c determined abs Br

Lifetime and unitarity:

$$\frac{\delta A_1(0)}{A_1(0)} \approx 1\% \quad \frac{\delta A_2(0)}{A_2(0)} \approx 3\% \quad \frac{\delta V(0)}{V(0)} = 3\%$$

E791 PDG Br, Lifetime & unitarity

$$\frac{\delta A_1(0)}{A_1(0)} \approx 5\% \quad \frac{\delta A_2(0)}{A_2(0)} \approx 15\% \quad \frac{\delta V(0)}{V(0)} = 8\%$$

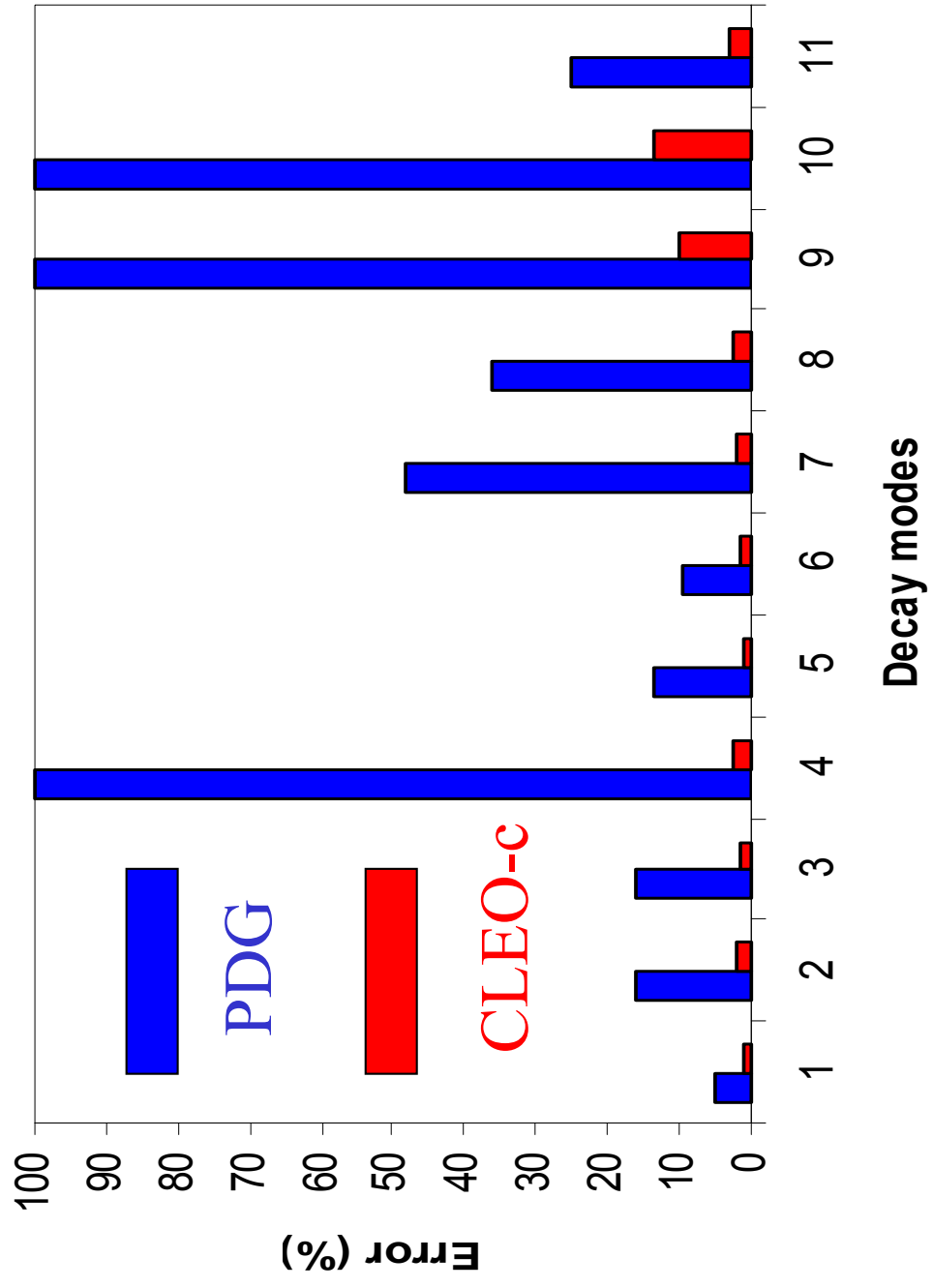


+ excellent for form factor determination in the Cabibbo suppressed modes D → π



CLEO-c Impact semileptonic dB/B

- 1 : $D^0 \rightarrow K^- e^+ \nu$
- 2 : $D^0 \rightarrow K^{*-} e^+ \nu$
- 3 : $D^0 \rightarrow \pi^- e^+ \nu$
- 4 : $D^0 \rightarrow \rho^- e^+ \nu$
- 5 : $D^+ \rightarrow K^0 e^+ \nu$
- 6 : $D^+ \rightarrow K^{*0} e^+ \nu$
- 7 : $D^+ \rightarrow \pi^0 e^+ \nu$
- 8 : $D^+ \rightarrow \rho^0 e^+ \nu$
- 9 : $D_s \rightarrow K^0 e^+ \nu$
- 10 : $D_s \rightarrow K^{*0} e^+ \nu$
- 11 : $D_s \rightarrow \phi e^+ \nu$



CLEO-c will make significant improvements in the precision with which each absolute charm semileptonic branching ratio is known



Determining V_{cs} and V_{cd}

combine semileptonic and leptonic decays eliminating V_{CKM}

$\Gamma(D^+ \rightarrow \pi l \nu) / \Gamma(D^+ \rightarrow l \nu)$ independent of V_{cd}
Test rate predictions at $\sim 4\%$

$\Gamma(D_s \rightarrow \phi l \nu) / \Gamma(D_s \rightarrow l \nu)$ independent of V_{cs}
Test rate predictions at $\sim 4.5\%$

Test amplitudes at 2%

Stringent test of theory! If theory passes the test....

$$\begin{array}{l} \text{I} \\ D^0 \rightarrow K^- e^+ \nu \quad \delta V_{cs} / V_{cs} = 1.6\% \quad (\text{now: } 11\%) \\ D^0 \rightarrow \pi^- e^+ \nu \quad \delta V_{cd} / V_{cd} = 1.7\% \quad (\text{now: } 7\%) \end{array}$$

II Use CLEO-c validated lattice to calc. B semileptonic form factor, then B factories can use $B \rightarrow \rho/\pi/\eta/l\nu$ for precise V_{ub}



Unitarity Constraints from threshold running

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

$$V_{CKM} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + O(\lambda^4)$$

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1??$$

With current values this test fails at $\sim 2.7\sigma$ (PDG2002)

$$|V_{cd}|^2 + |V_{cs}|^2 + |V_{cb}|^2 = 1??$$

CLEO - c: test to $\sim 3\%$ (if theory $D \rightarrow K/\pi \nu$ good to few %)

Also 1st column

$$\frac{|V_{ud}V_{cd}^*|}{|V_{us}V_{cs}^*|}$$

$$|V_{ub}V_{cb}^*|$$

Compare ratio of long sides to 1.3%

Also major contributions to $V_{ub}, V_{cb}, V_{td}, V_{ts}$.

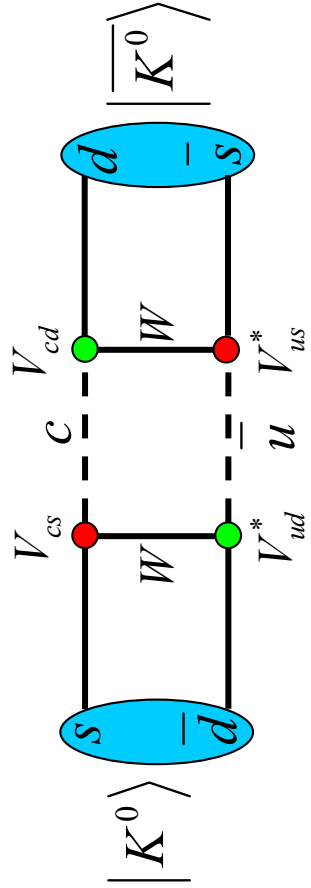


Why study D mixing?

Mixing has been fertile ground for discoveries:

CKM factors $\propto \Theta_c^2$
 same order as τ_{kaon}
 i.e. $s \rightarrow u$

Mixing rate ≈ 1



Mixing $\propto (m_c^2 - m_u^2)/m_W^2$
 measured rate in 1958 used to bound c quark mass \rightarrow discovery (1974)

$$\Delta\Gamma = \Gamma_- - \Gamma_+ = \Gamma_{K_L^0} - \Gamma_{K_S^0} \approx -\Gamma_{K_S^0} \approx 10^{10} \text{ s}^{-1}$$

$$\Delta M = M_- - M_+ = M_{K_L^0} - M_{K_S^0} = (0.5304 \pm 0.0014) \times 10^{10} \text{ s}^{-1}$$

$$\Delta m / \Delta\Gamma \approx 1 / 2$$

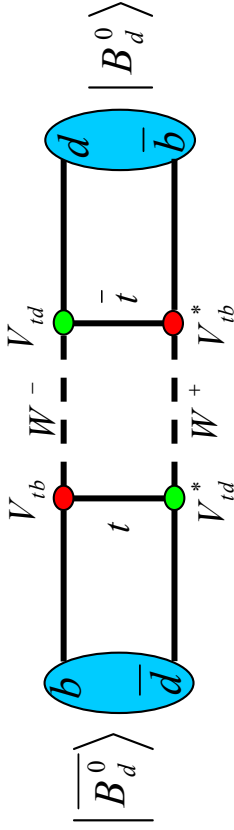
CPV part of transition, ϵ_K measured in 1964 was a crucial clue that the top quark exists (1994)



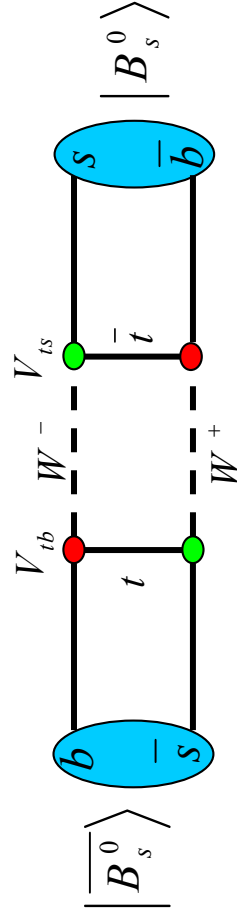
Why study D mixing?

strange \rightarrow beauty

$$B_d^0 - \overline{B}_d^0$$



$$B_s^0 - \overline{B}_s^0$$



Mixing dominated by top quark
 Mixing $\propto (m_t^2 - m_{c,u}^2) / m_W^2 \rightarrow$ Large
 B lifetime Cabibbo suppressed $\propto V_{cb}^2$
 Mixing rate also Cabibbo suppressed (V_{td}^2)
 But large Mixing rate \rightarrow early indication
 m_{top} large

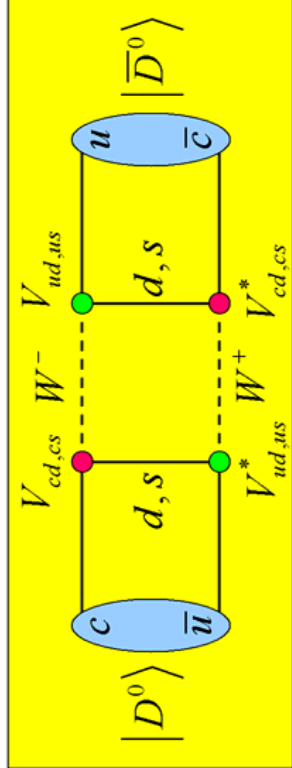
Now: $\sin 2\beta$ (in the Standard Model)

Future: once f_B is known $\rightarrow V_{td}$ (in SM)

$$y = \Delta\Gamma / 2\Gamma \ll x = \Delta m / \Gamma$$

Mixing $\propto (m_t^2 - m_{c,u}^2) / m_W^2 \rightarrow$ Large
 As $V_{ts} \gg V_{td}$ B_s mixing $>$ B_d mixing
 B lifetime Cabibbo suppressed $\propto V_{cb}^2$
 Future: Y(5S) & hadron collider studies
 Route to γ & $\sin 2\chi$.
 Once f_{B_s} is known $\rightarrow V_{ts}$ (in SM)

D mixing



CKM factors $\propto \Theta_c^2 \sim 0.05$
 (b-quark contribution $\propto V_{ub} V_{cb}$
 can be neglected)

But τ_D not Cabibbo suppressed ($V_{cs} \sim 1$)

Mixing $\cdot \tau \sim 0.05$

Additional suppression:

Mixing $\propto (m_s^2 - m_d^2) / m_W^2 = 0$ SU(3) limit

SM mixing very small $\propto \Theta_c^2$ x additional suppression
 → A window for new physics

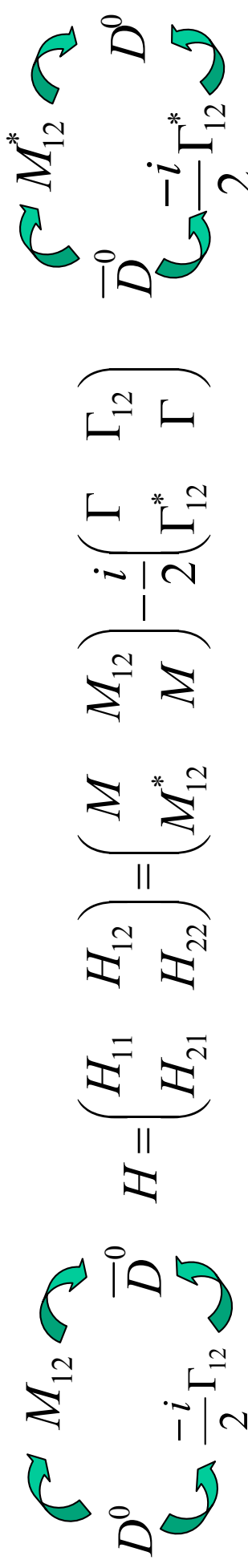


Some Formalism

O. Schneider &
David Asner RPP
2002

Time evolution of D^0 or \bar{D}^0 is determined by Schrodinger's Equation

$$\frac{\partial}{\partial t} \begin{pmatrix} D^0 \\ \bar{D}^0 \end{pmatrix} = \left| M - \frac{i}{2} \Gamma \right| \begin{pmatrix} D^0 \\ \bar{D}^0 \end{pmatrix} \quad a(t) | D^0 \rangle + b(t) | \bar{D}^0 \rangle$$



- Diagonalizing H gives mass eigenstates as linear combinations of D^0 and \bar{D}^0 .
- Γ_{12} describes $D^0 \rightarrow f \rightarrow \bar{D}^0$ via on-shell intermediate states.
- M_{12} describes $D^0 \rightarrow f \rightarrow \bar{D}^0$ via off-shell intermediate states.
- CP violation in mixing can arise from interference between on-shell and off-shell amplitudes. This leads to $\Gamma(P^0 \rightarrow \bar{P}^0) \neq \Gamma(\bar{P}^0 \rightarrow P^0)$
- Note in the B system Γ_{12} is very small; mixing is dominated by $\Delta M = 2M_{12}$.



Switching to x and y

O. Schneider &
David Asner RPP
2002

$$a(t) |D^0\rangle + b(t) |\bar{D}^0\rangle$$

$$i \frac{\partial}{\partial t} \begin{pmatrix} a \\ b \end{pmatrix} = \left[\begin{pmatrix} M - \frac{i}{2}\Gamma & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & \frac{i}{2}\Gamma_{12}^* \\ M_{12}^* - \frac{i}{2}\Gamma_{12} & 0 \end{pmatrix} \right] \begin{pmatrix} a \\ b \end{pmatrix}$$

$\frac{1}{\tau_{D^0}}$ C.P.T assumed

$K^0 - \bar{K}^0 : |M_{12}| \sim |\Gamma_{12}|$

$B^0 - \bar{B}^0 : |M_{12}| \gg |\Gamma_{12}|$
 $D^0 - \bar{D}^0 : \text{to be determined}$

$$CP : \left| M_{12}^* - \frac{i}{2}\Gamma_{12}^* \right| \neq \left| M_{12} - \frac{i}{2}\Gamma_{12} \right|$$

But initially assume conservation here

$$\frac{1}{2} \begin{pmatrix} 0 & -ix - y \\ -ix - y & 0 \end{pmatrix}$$

➤ Measure time in units of τD^0

$$D^0 \longleftrightarrow -\frac{i}{2}x \bar{D}^0$$

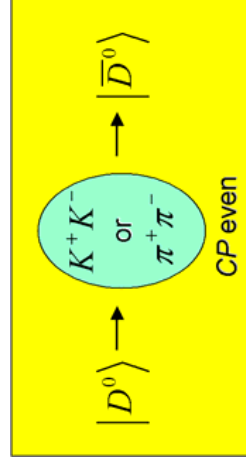
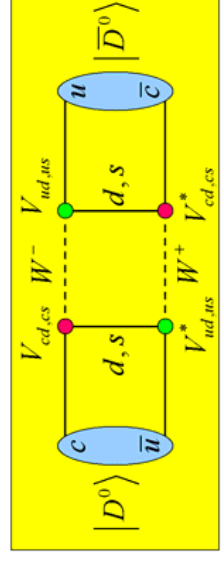
$$x = \frac{2M_{12}}{\Gamma}$$

shifts energy of CP eigenstates

$$D^0 \longleftrightarrow -\frac{y}{2} \bar{D}^0$$

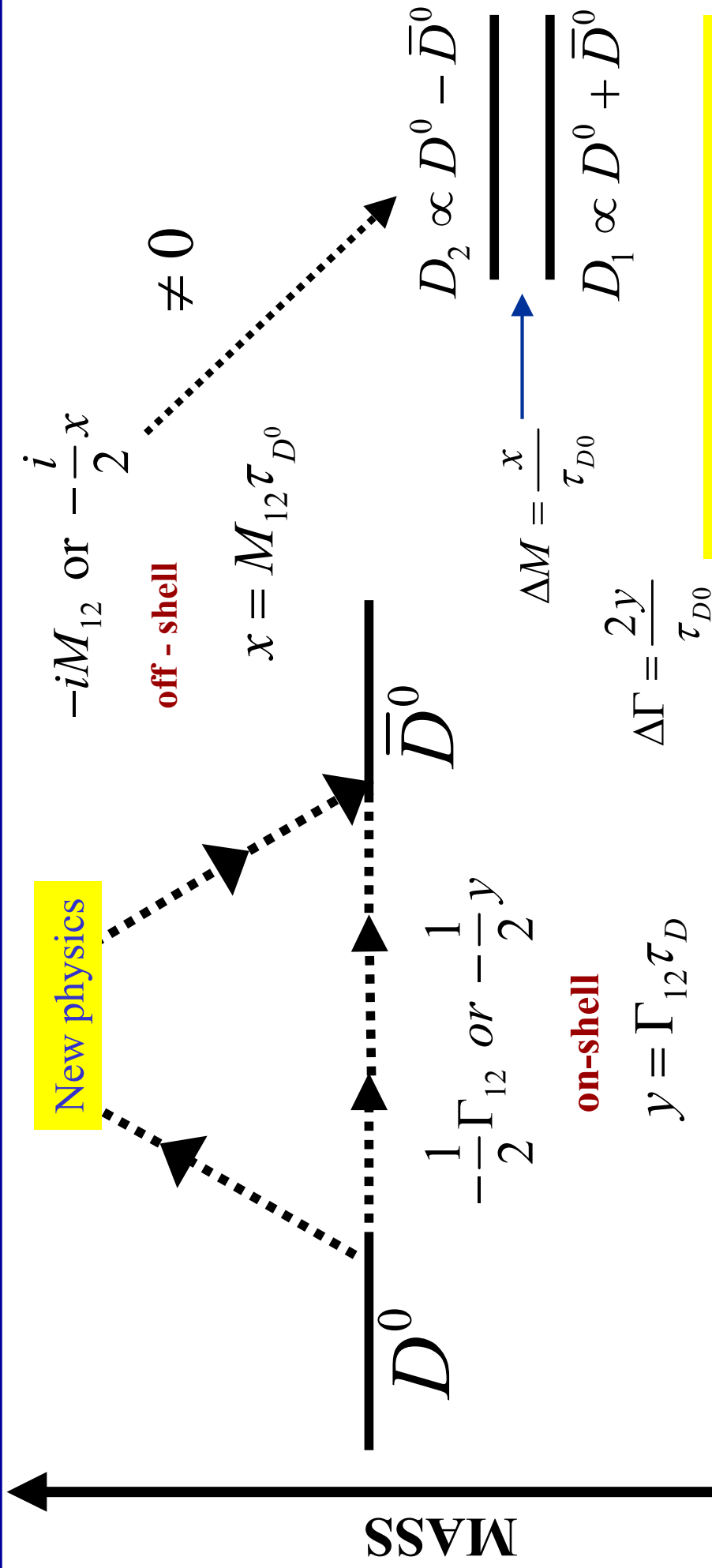
$$y = \frac{\Gamma_{12}}{\Gamma}$$

shifts lifetimes of CP eigenstates



New Physics Alters x not y

Assume:
CP Conserved



Studying time evolution is a route to distinguishing new physics, i.e determining x and y separately but it is not the only way to achieve this.

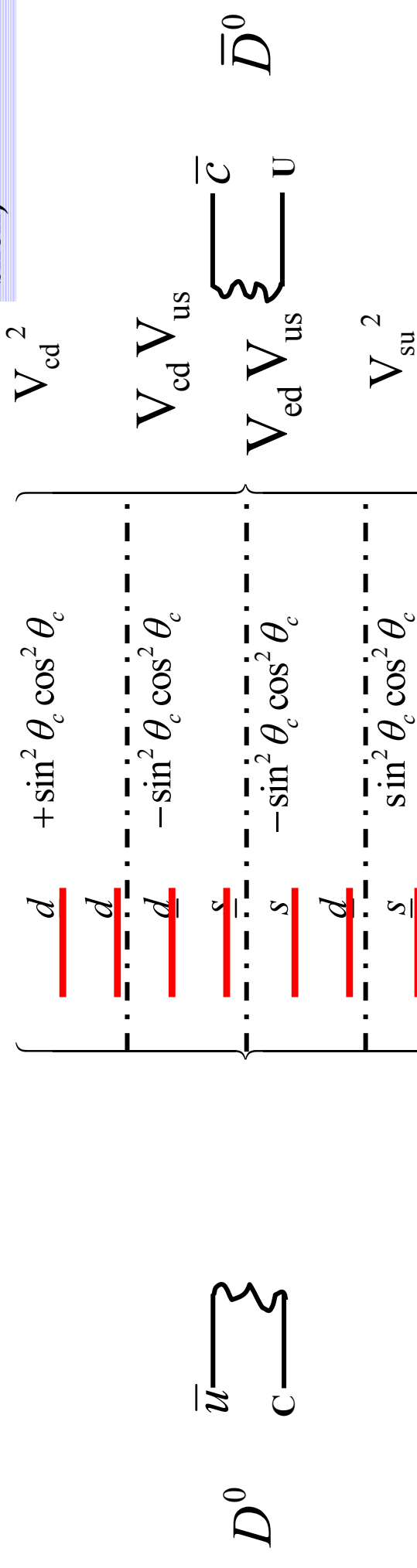
$$\frac{\partial}{\partial t} \left\{ e^{-t} \left[\frac{D^0}{D^0} \right] \right\} = -\frac{1}{2} \begin{bmatrix} 1 & 0 \\ ix+y & 0 \end{bmatrix} \left\{ e^{-t} \left[\frac{D^0}{D^0} \right] \right\} \Rightarrow$$



x in the Standard Model

$$D^0 \xrightarrow{-\frac{i}{2}xt} \bar{D}^0 \xrightarrow{x} \sum_n \frac{\langle \bar{D}^0 | H | n \rangle \langle n | H | D^0 \rangle}{m_{D^0} - E_n}$$

n=index of intermediate state
(states are off mass shell)



Standard Model

Sum $\simeq 0$ when $m_s = m_d$

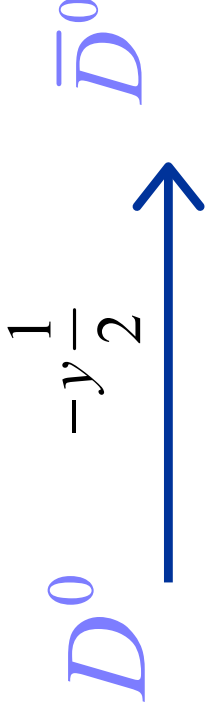
Estimate: $x \leq 10^{-3}$

(b case suppressed by $V_{cb} V_{ub}$) In SU(3) limit $x = 0$ But large range

➤ Because x is very small, x is a window for new physics



y in the Standard Model



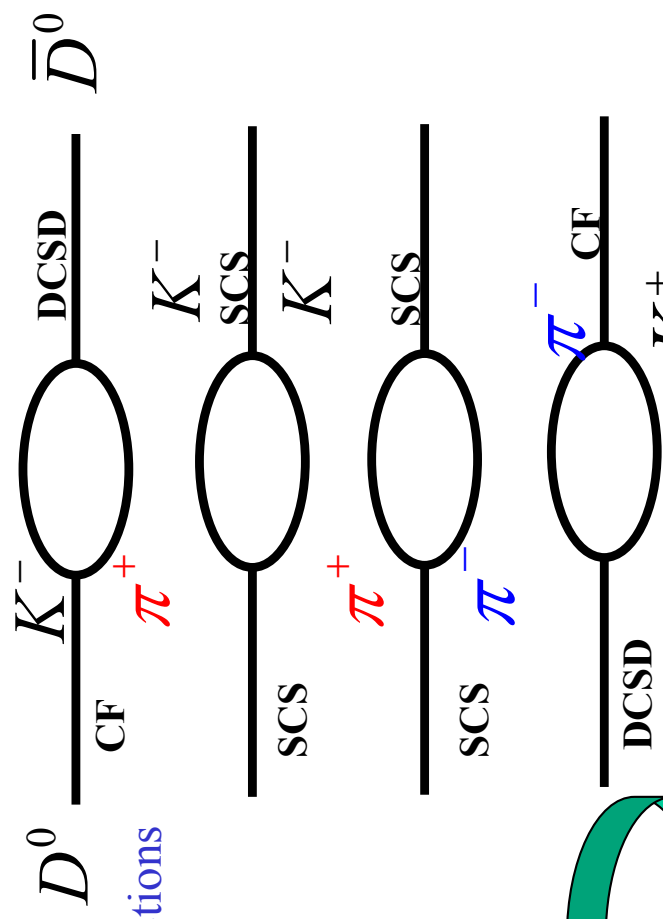
$$y \sim \sum A(D^0 \rightarrow \text{Common State}) \propto \sqrt{BR} \quad A(\bar{D}^0 \rightarrow \text{Common State}) \propto \sqrt{BR}$$

Common States
 > In principle, a precision experimental determination O(1%) of all branching ratios, and phases, “measures” y to 1%

$$E_n = M_{D^0} \quad y \leq 10^{-3}$$

Short Distance predictions

Span wide range
 But major sources of SU(3) breaking exist $m_K \neq m_\pi \neq m_\eta$
 $f_K \neq f_\pi$ and relative phases between DCSD and CF amplitudes



Recent work by Falk, Grossman, Ligeti, Nir, Petrov indicate $y \sim 1\%$ possible (see later)

Approximate cancellation

Hadronic Problem : $\frac{\Gamma(D^0 \rightarrow K^+ K^-)}{\Gamma(D^0 \rightarrow \pi^+ \pi^-)} \approx 3.0 \pm 0.2$

$$-\sin^2 \theta_C \cos^2 \theta_C$$

> Probably not a window for new physics

More on x, y in SM

In fact the diagrams for y may also be the best way to think about x as well.

Both x and y are generated by s, d contributions. The same diagram generates both the real part (x) and the imaginary part (y)

Same thing happens in B mixing (the c quark contribution takes place for both x and y) but for B 's the real part (x) is dominated by top. If $m_{\text{top}} \sim m_{\text{charm}}$ then B and D mixing would be analogs.

for y $B \rightarrow 4\pi$ is cancelled $B \rightarrow 4K$ in the $SU(3)$ limit

example

but $4K$ is kinematically forbidden so it cannot contribute to y

→ For y $SU(3)$ cancellation is broken by phase space.

For x both $B \rightarrow 4\pi$ & $B \rightarrow 4K$ can contribute. For x there

is more effective $SU(3)$ cancellation than in y . Nobody knows

how big the size of this effect is. It is feasible that $y > x$ (SM)

New physics

Signatures:



: New physics will enhance x but not y we can consider y as a SM background. CP violation in mixing would be a smoking gun for new physics

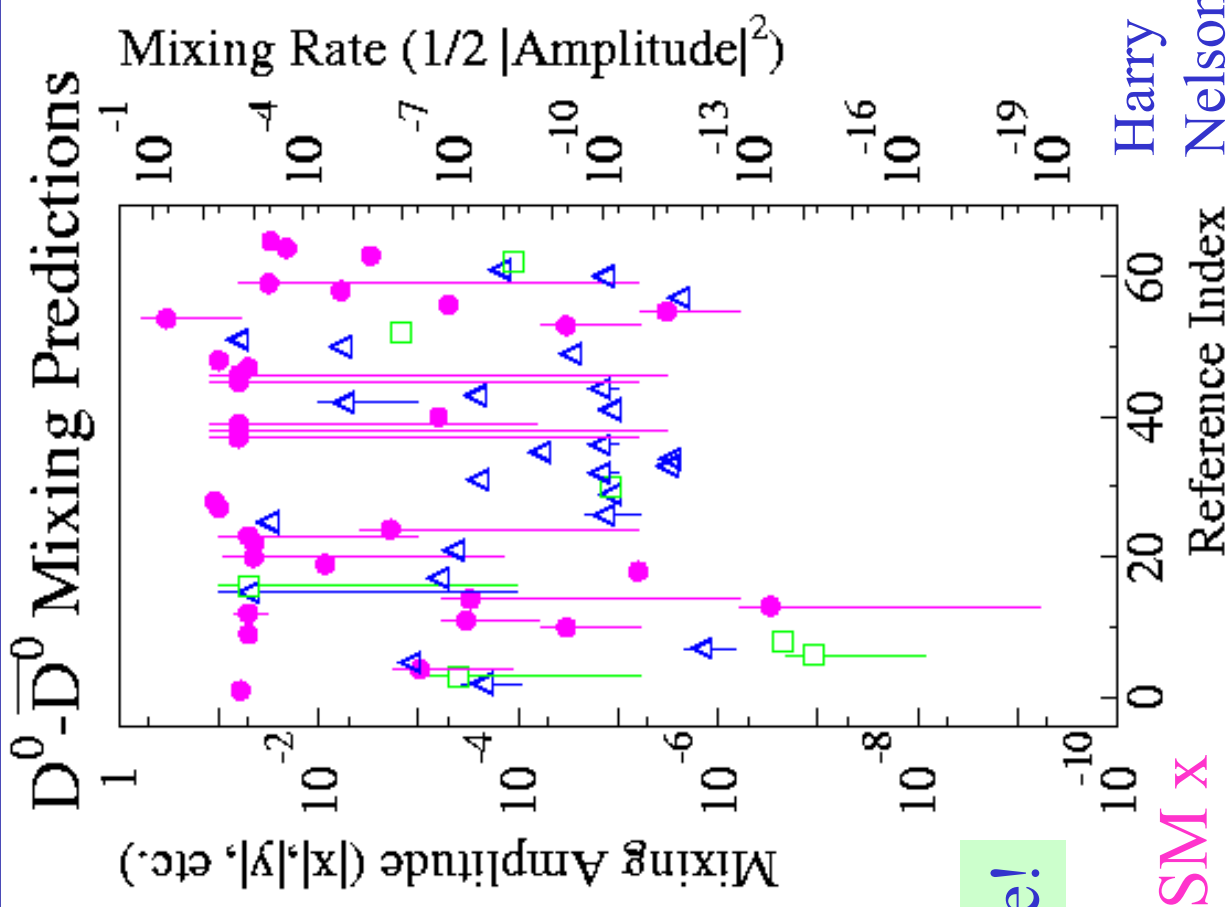


Non-standard model mixing

Several classes of model exist
 Higgs Doublet
 Flavor Changing Neutral Currents
 SUSY
 Fourth Generation Quarks
 Iso(singlet quarks)

Non-standard model DD
 mixing rate depends on the
 chosen model of new physics

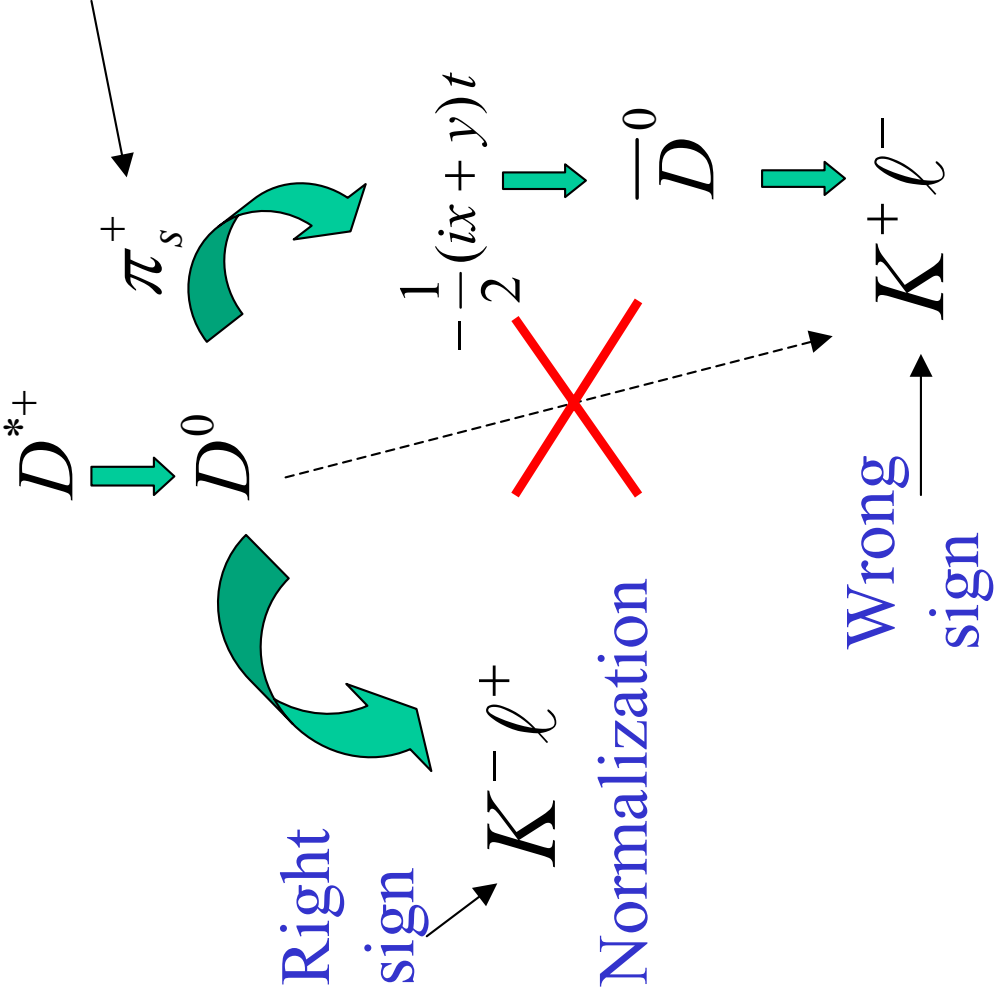
Predictions span orders of magnitude!



Harry Nelson



$\overline{D^0}D^0$ Mixing Measurements | Theoretically Cleanest



- Charge of the “soft pion” tags whether the initial state was D^0 or $\overline{D^0}$.
- Cabibbo favored decay: $D^0 \rightarrow K^- \ell^+$ (7%) gives “right-sign” charge correlation
- Mixing is a source of “wrong-sign” decays: $D^0 \rightarrow D^0 \rightarrow K^+ \ell^+$
- As there is only one diagram there is no direct decay route for a $D^0 \rightarrow K^+ \ell^+$
- This is what “clean” means, the wrong sign pair is unambiguously a mixing signature

time distribution $\propto \frac{1}{4}([x^2 + y^2] t^2) e^{-t}$
 but $x^2 + y^2 \ll x$ or y

Theoretically clean usually means experimentally challenging

$$m(\pi_s K^+ \ell^-) - m(K^+ \ell^-) \rightarrow \text{Missing } \nu$$

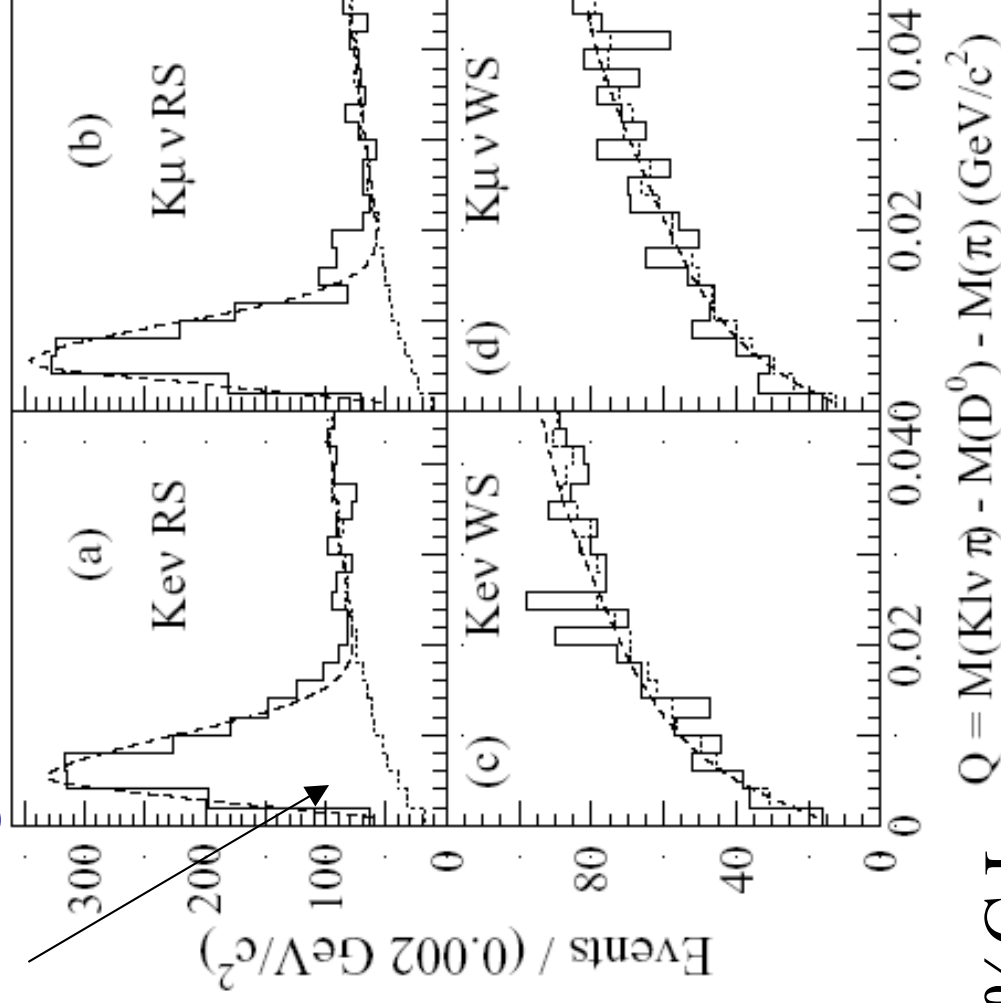


D⁰D⁰ Mixing Measurements E791 Semileptonic

Width : → Missing ν

E791 (fixed target π Pt)
(after t requirement)

The t^2 -e^{-t} particularly suits
fixed target experiments, but
x and y not separated



$$R_{\text{mix}} = \frac{1}{2} (x^2 + y^2) < 0.5\% \text{ at } 90\% \text{ C.L.}$$



Measurements of the Mixing Rate Using Wrong Sign Semileptonic D^0 Decays

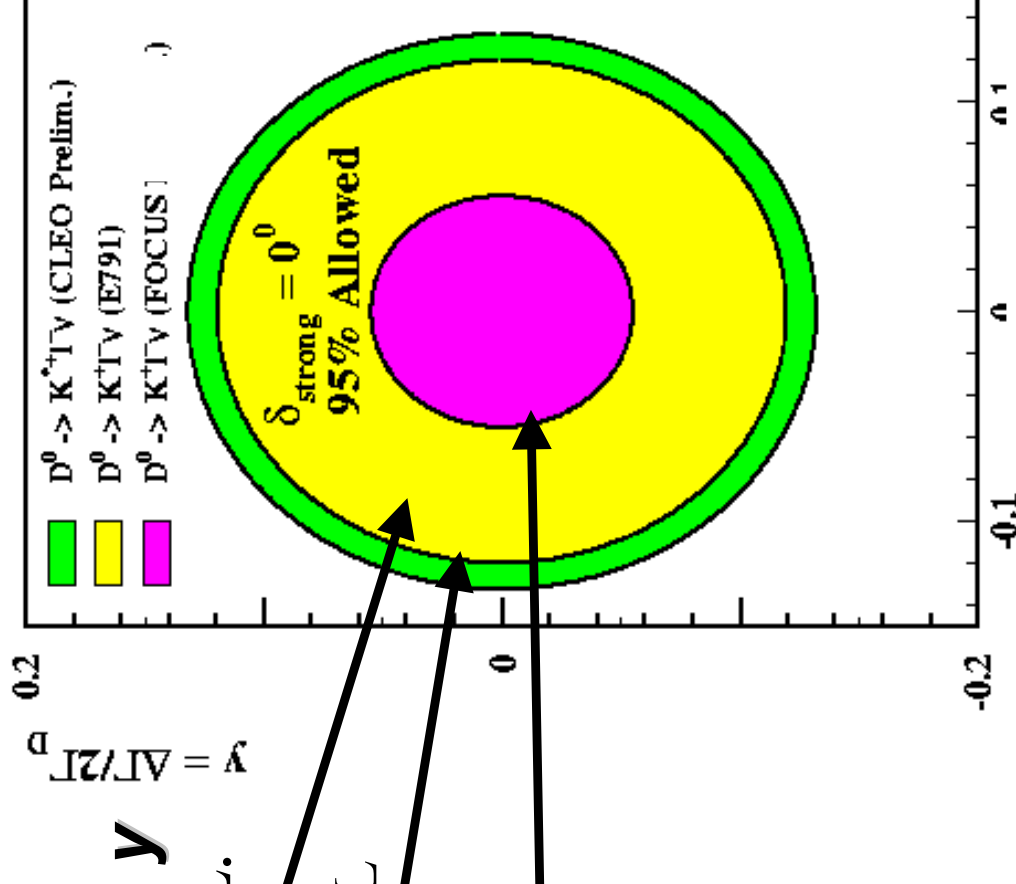
$$R_{\text{mix}} = \frac{1}{2} (x^2 + y^2)$$

- Measurements from E791 ($D^0 \rightarrow K l \nu$): $< 0.5\%$ at 90% C.L.
- CLEO ($D^0 \rightarrow K^* l \nu$): $R = (0.00 \pm 0.31 \pm 0.32)\%$, or ~~0.87%~~ @90% CL
- FOCUS (ICHEP02) $R < 0.12\%$ @90% CL (best)

- B factories will have results soon
- Accessible to future experiments
 - Hadron machines
 - Lepton helps triggering
 - CLEO-c
 - Opposite side tag

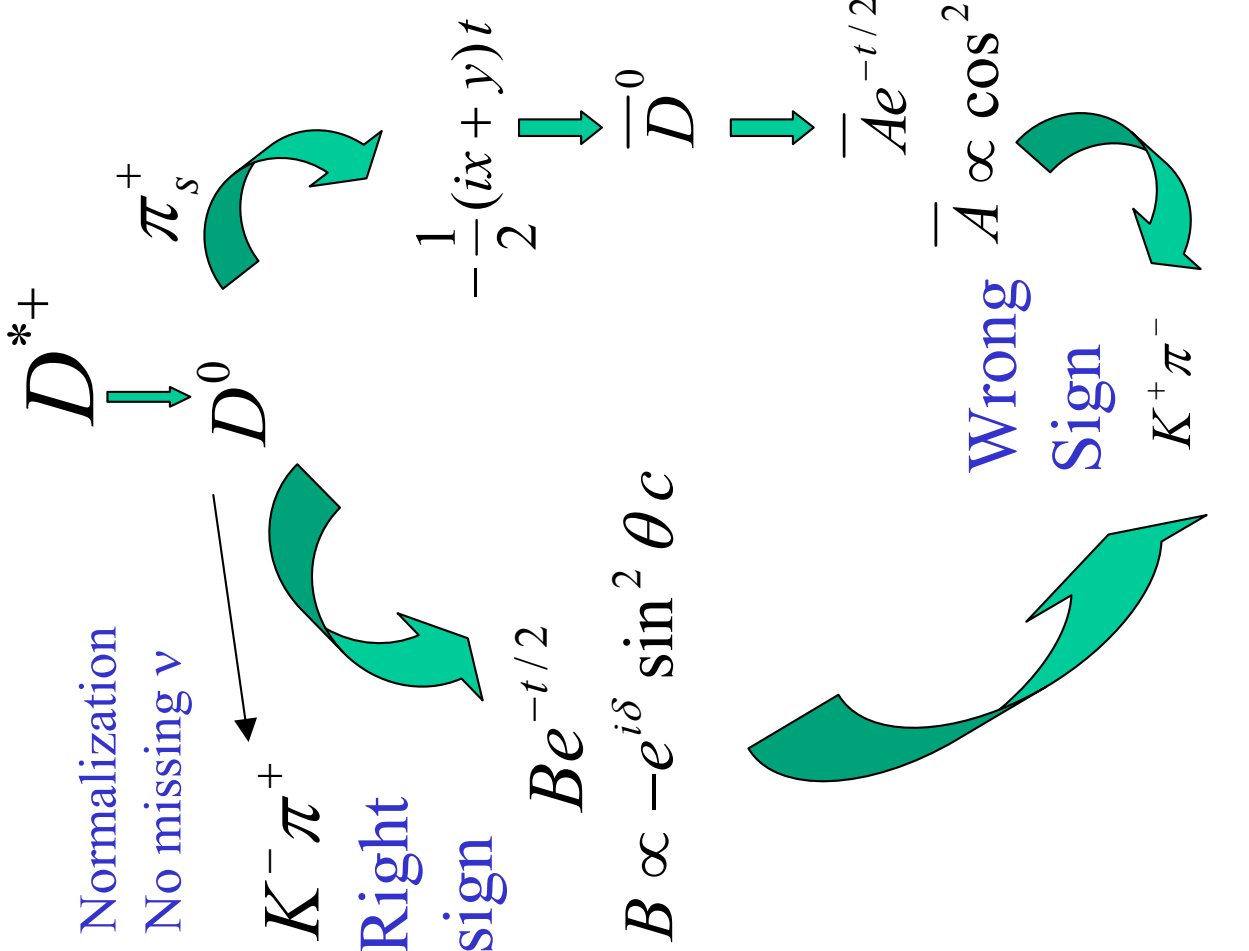
• Will need separate measurement of y if that turns out to be larger or comparable to x

$D^u - D^v$ Mixing Limits



$D^0\bar{D}^0$ Mixing II from hadronic decays CLEO

- Production: $e^+e^- \rightarrow cc; c \rightarrow D^{*+} \rightarrow D^0\pi_s^+$
- Charge of the “soft pion” tags whether the initial state was D^0 or D^0 (as before)
- Cabibbo favored decay: $D^0 \rightarrow K^-\pi^+$ (4%) gives “right-sign” charge correlation
- Mixing is a potential source of wrong-sign decays: $D^0 \rightarrow D^0 \rightarrow K^+\pi^-$
- **Doubly Cabibbo suppressed decay $D^0 \rightarrow K^+\pi^-$ also gives wrong-sign ($\pi_s^+ K^+$) charge correlation with strong phase δ**
- Use time dependence to distinguish:



$$r_{ws}(t) = \left(\left[\frac{B}{A} - \frac{1}{2}(ix+y)t \right] e^{-t/2} \right)^2$$

$$R_D = |B/A|^2$$

$$\left[R_D + \sqrt{R_D} (y \cos \delta - x \sin \delta) t + \frac{1}{4} (x^2 + y^2) t^2 \right] e^{-t}$$

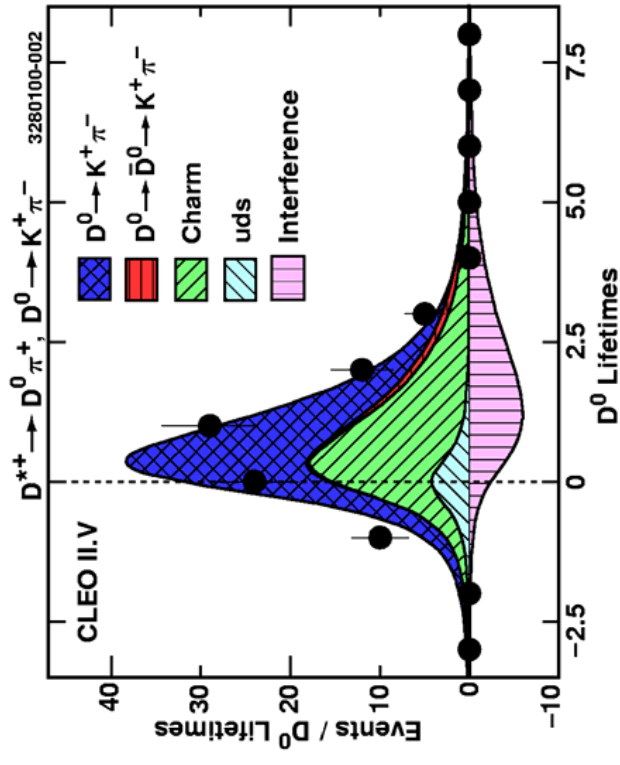
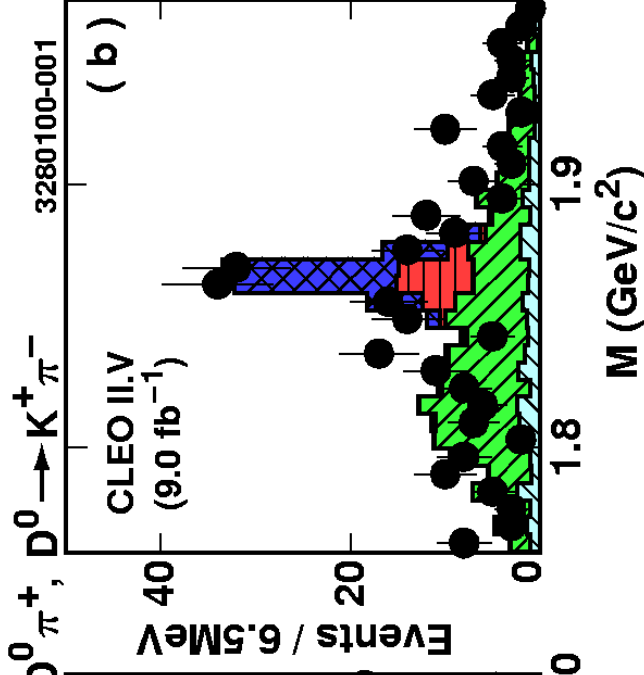
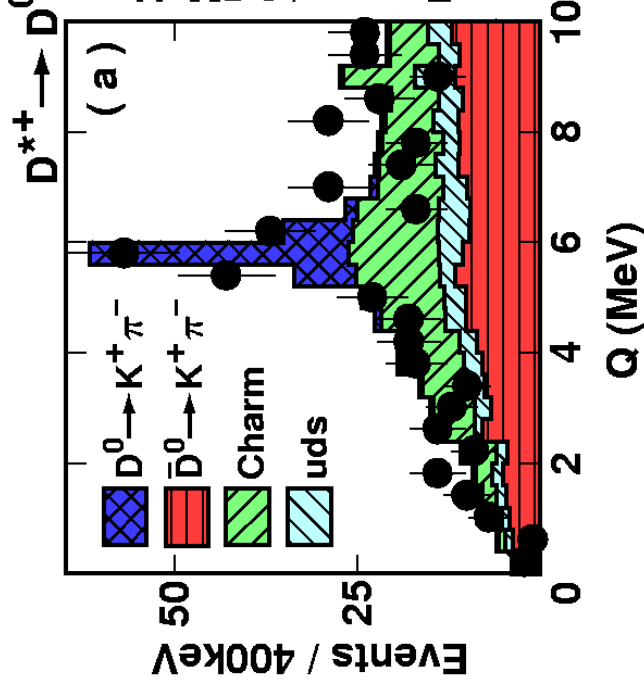
$$r_{ws}(t) = (R_D + \sqrt{R_D} y' t + \frac{1}{4} [x'^2 + y'^2] t^2) e^{-t}$$



$$x, y \text{ and } R_D \text{ from } D^0 \rightarrow K^+ \pi^-$$

CLEO

- Currently, best constraints come from this mode **if assumptions about strong phase are made**
- Unknown strong phase difference weakens these constraints

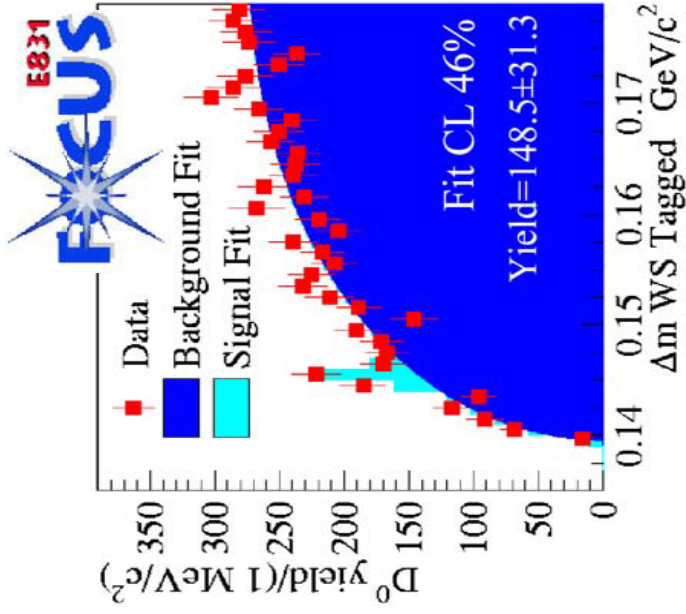


$$N_{WS} = 44.8^{+9.7}_{-8.7}$$

$$N_{RS} = 13527 \pm 116$$

$$\left. \begin{aligned} |x'| &< 2.8\% \\ -5.2\% &< y' < 0.2\% \\ 0.24\% &< R_D < 0.69\% \end{aligned} \right\} @95\% \text{ C.L.}$$

x , y , and R_D from $D^0 \rightarrow K^+ \pi^-$

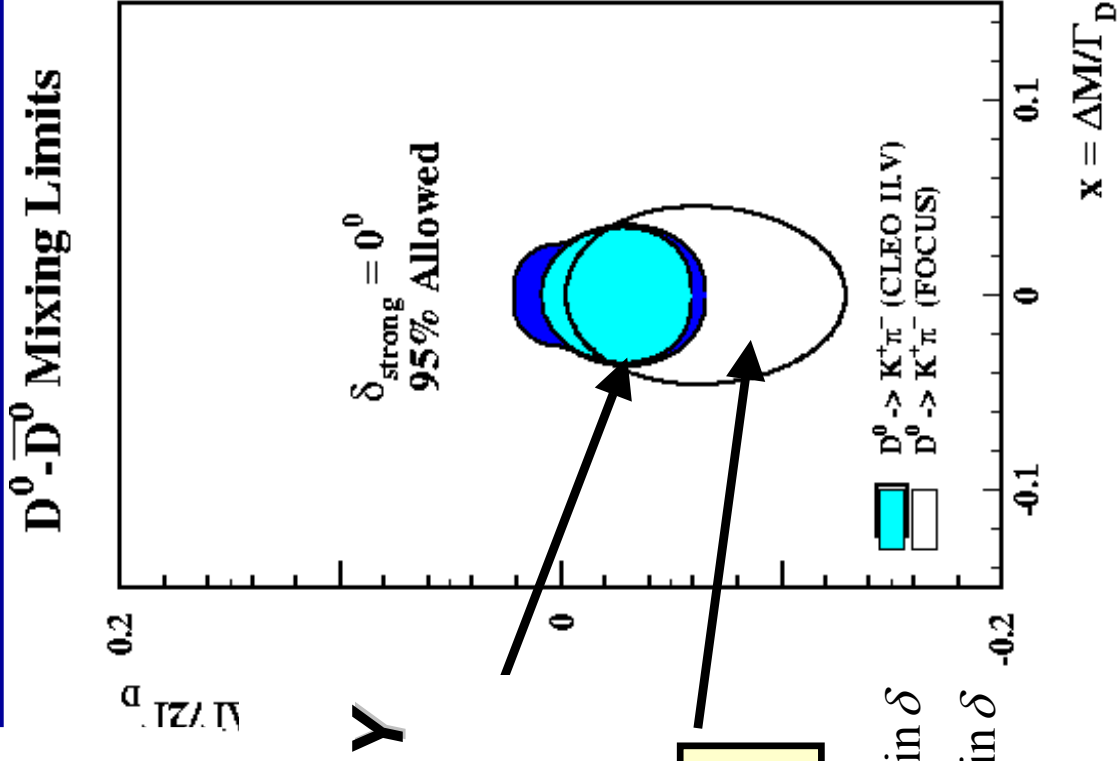


$$N_{WS} = 148.5 \pm 31.3$$

$$N_{RS} = 36760 \pm 195$$

CLEO limit (still best constraint on x)

FOCUS x - y limit



$$y' = y \cos \delta - x \sin \delta$$

$$x' = x \cos \delta - y \sin \delta$$

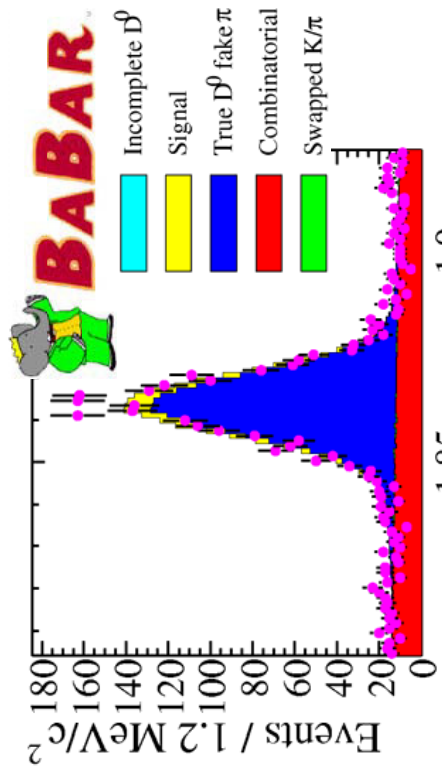
$$\left. \begin{aligned} &|x'| < 3.9\% \\ &-12.4\% < y' < -0.6\% \\ &0.43\% < R_D < 1.73\% \end{aligned} \right\} \text{ @95\% C.L.}$$

set $\delta = 0$ for comparison

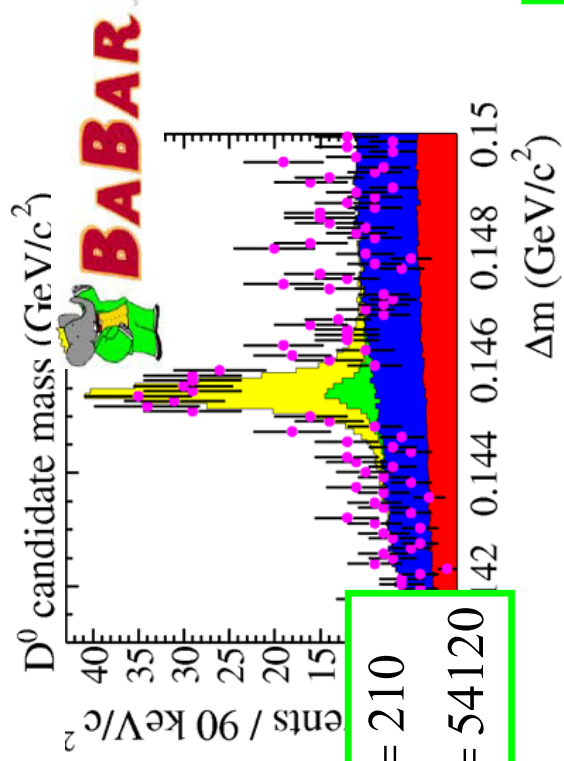
X



R_{WS} from $D^0 \rightarrow K^+ \pi^-$

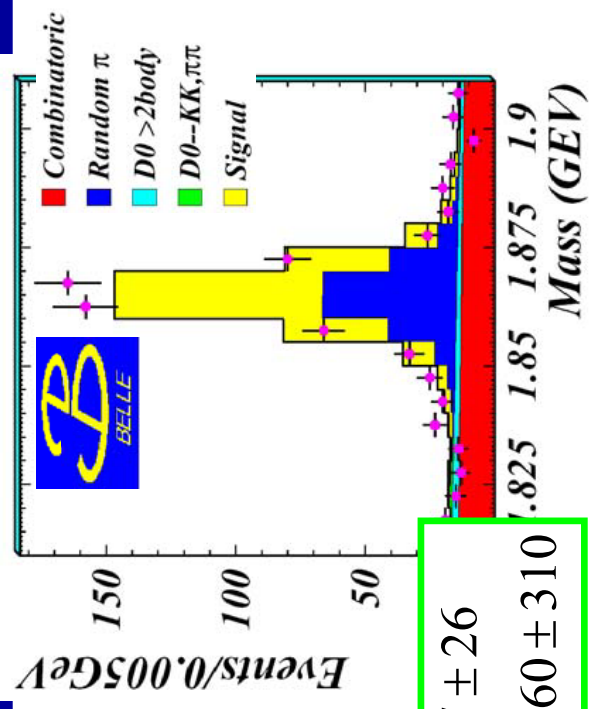


$N_{WS} = 317 \pm 26$
 $N_{RS} = 82960 \pm 310$

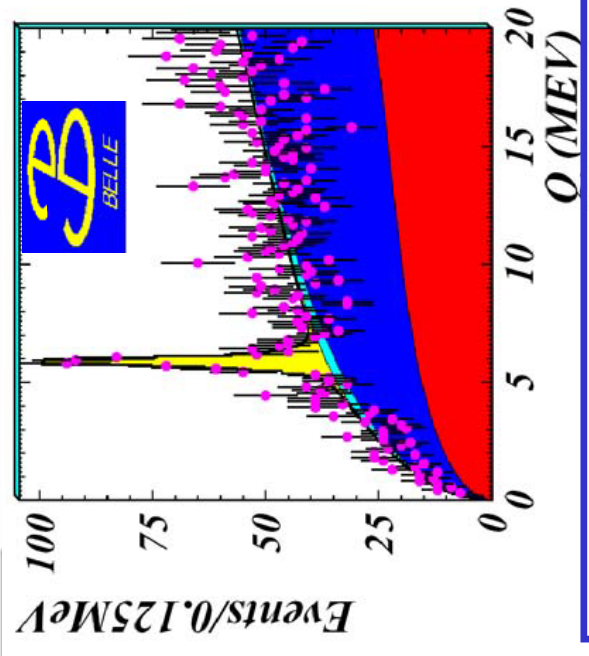


$N_{WS} = 210$
 $N_{RS} = 54120$

$$R_{WS} = (0.38 \pm 0.04 \pm 0.02)\%$$



$N_{WS} = 317 \pm 26$
 $N_{RS} = 82960 \pm 310$



$$R_{WS} = (0.38 \pm 0.03 \pm \sim 0.03)\%$$

$$R_{WS} \equiv \frac{\Gamma(WS)}{\Gamma(RS)}$$



Measurements of the Wrong Sign Rate R_{WS}

R_{WS} in multi-body hadronic modes

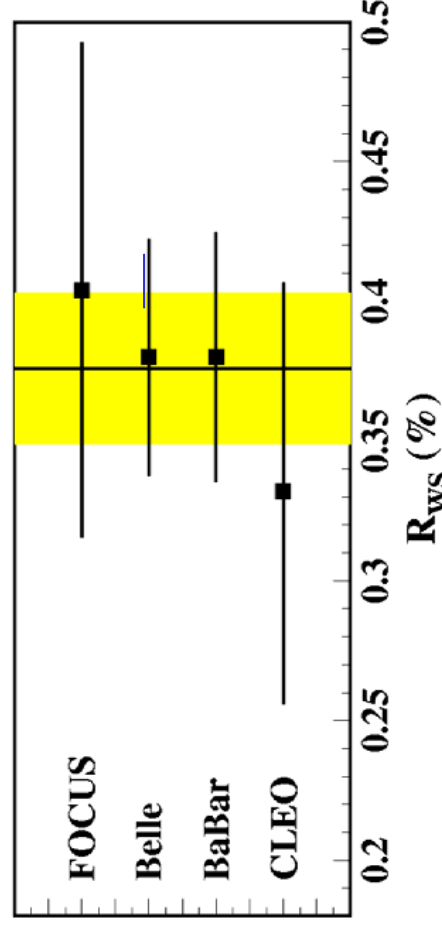
- Belle and BaBar
 - Significant improvements in R_{WS}
 - x' and y' proper time fits soon!
- Information in multi-body modes not yet fully exploited
 - x, y, CP violation



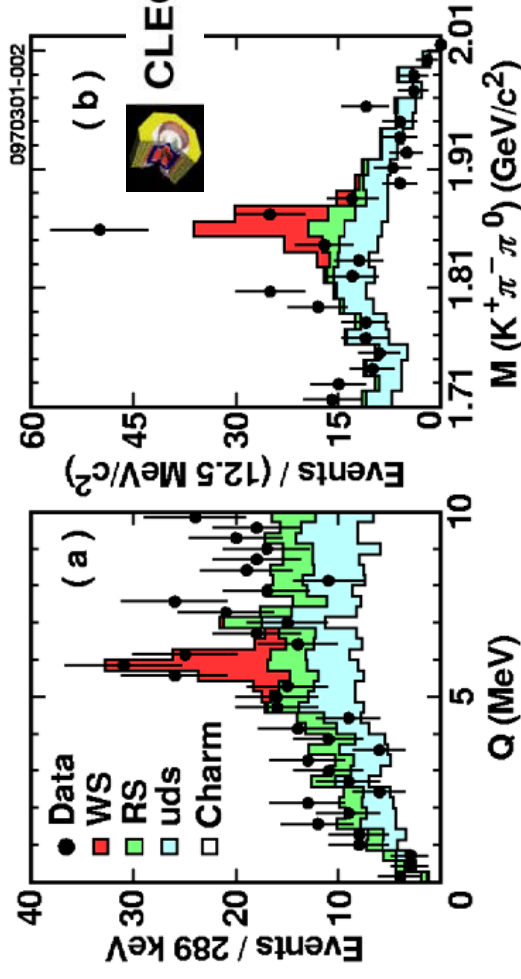
CLEO $R_{WS}(D^0 \rightarrow K^+ \pi^- \pi^0) = (0.43^{+0.11}_{-0.10} \pm 0.07)\%$
 $R_{WS}(D^0 \rightarrow K^+ \pi^- \pi^+ \pi^-) = (0.41^{+0.12}_{-0.11} \pm 0.04)\%$

- Situation more complicated
- Time dependent Dalitz plot fits of RS and WS required to get limits on x, y, CPV
- Need lots of statistics, Advantages
- Fit is to amplitudes i.e linear in x and y

R_{WS} in $D^0 \rightarrow K^+ \pi^-$



$$R_{WS}(D^0 \rightarrow K^+ \pi^-) = (0.38 \pm 0.03)\%$$



$D^0 \rightarrow K^+ \pi^- \pi^0$



Measurement of y_{CP} from $D^0 \rightarrow K^+ K^-$ & $D^0 \rightarrow \pi^+ \pi^-$

y can be determined by measuring the lifetime difference between D^0 decays to CP -even and CP -odd final states:

$$y = \frac{\Delta\Gamma}{2\Gamma} = \frac{\Gamma_{CP^+} - \Gamma_{CP^-}}{\Gamma_{CP^+} + \Gamma_{CP^-}}$$

Experimentally, it is easier to measure the lifetime difference of a CP -even decay relative to the non- CP final state $D^0 \rightarrow K^- \pi^+$ (assumes no CP violation):

In the limit of no CP violation $\Gamma_+ = \Gamma + \delta, \Gamma_- = \Gamma - \delta$

$$y_{cp} = \frac{\Gamma + \delta - (\Gamma + \delta)}{2\Gamma} = \frac{\delta}{\Gamma} = \frac{\Gamma + \delta}{\Gamma} - 1 = \frac{\tau_{mixed}}{\tau_+} - 1$$

$K^- K^+$ (or $\pi^+ \pi^-$)	pure CP	D_+	D_+	50%	D_-	D_-
$K^- \pi^+$	50%	D_+	50%	D_-	D_+	D_+

$$y_{CP} = \frac{\tau(D^0 \rightarrow K^- \pi^+)}{\tau(D^0 \rightarrow K^- K^+)} - 1$$

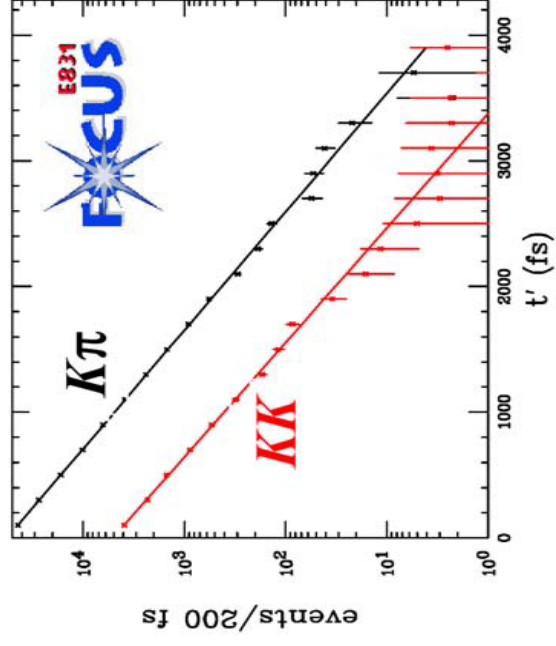
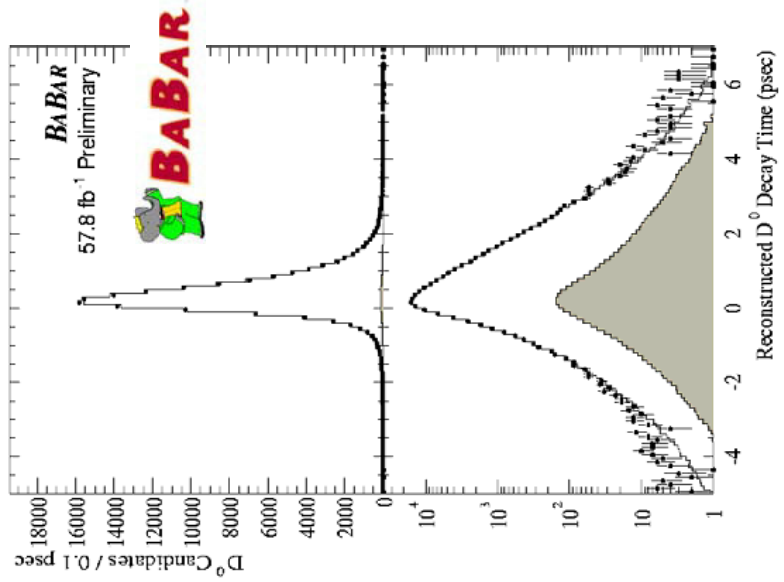
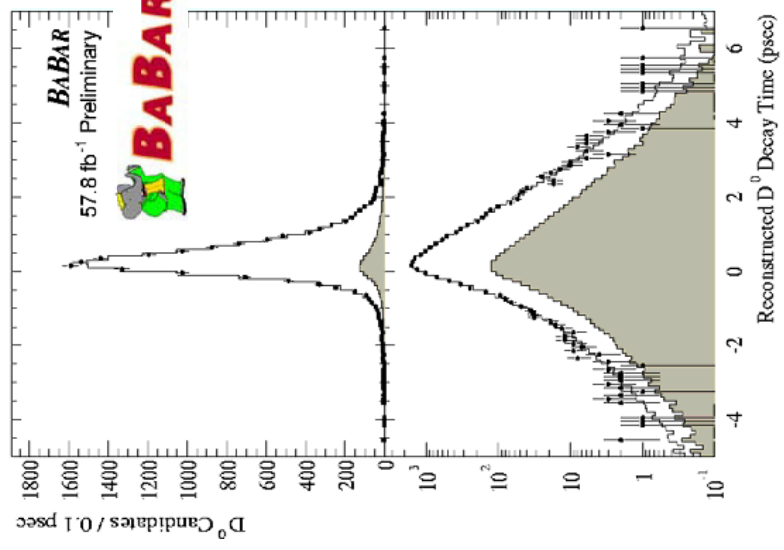


Measurement of y_{CP} from

$$D^0 \rightarrow K^+ K^- \text{ \& \ } D^0 \rightarrow \pi^+ \pi^-$$

$$D^0 \rightarrow K^+ K^-$$

$$D^0 \rightarrow K^+ \pi^-$$



Many systematic errors cancel in the ratio

Technique, resolution, and systematics are quite

different at fixed target experiments (FOCUS, E791) and

e^+e^- (Belle BaBar, CLEO)

These were some of the first D mixing results to come out

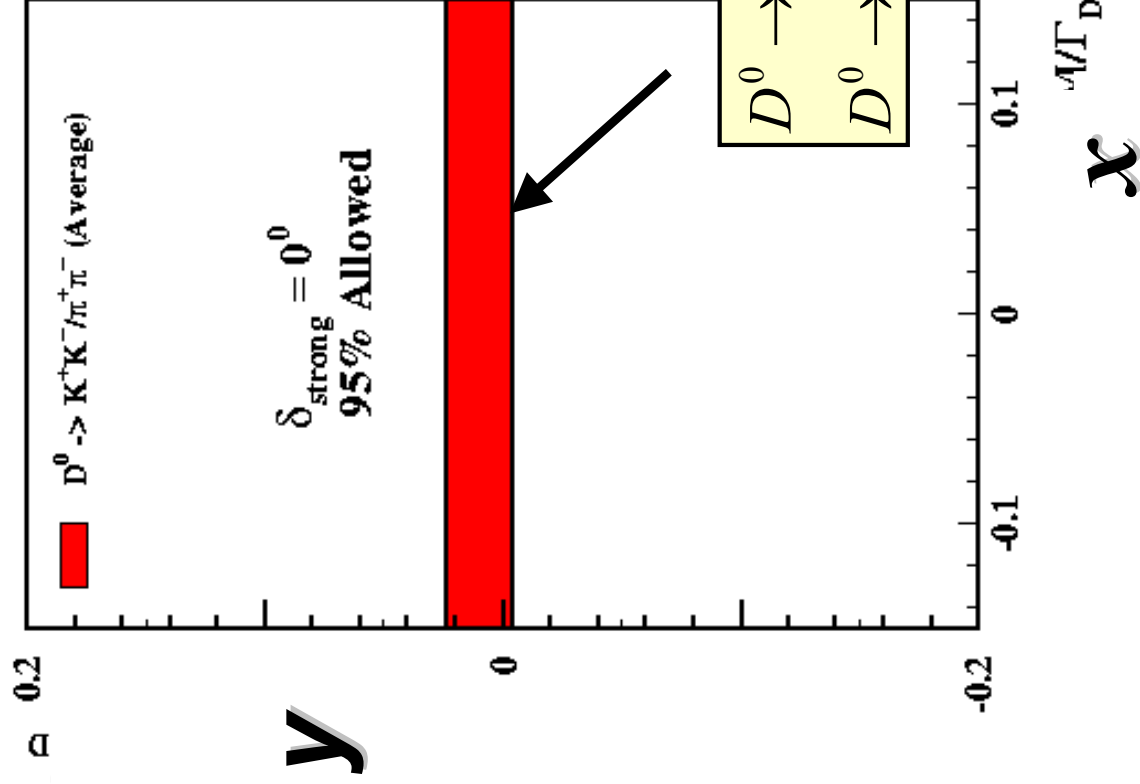
of the B factories.....
 SSI 2002 Lecture 2 I. Shipsey

$$e^+e^- : \sigma_t \approx 160 \text{ fs}$$

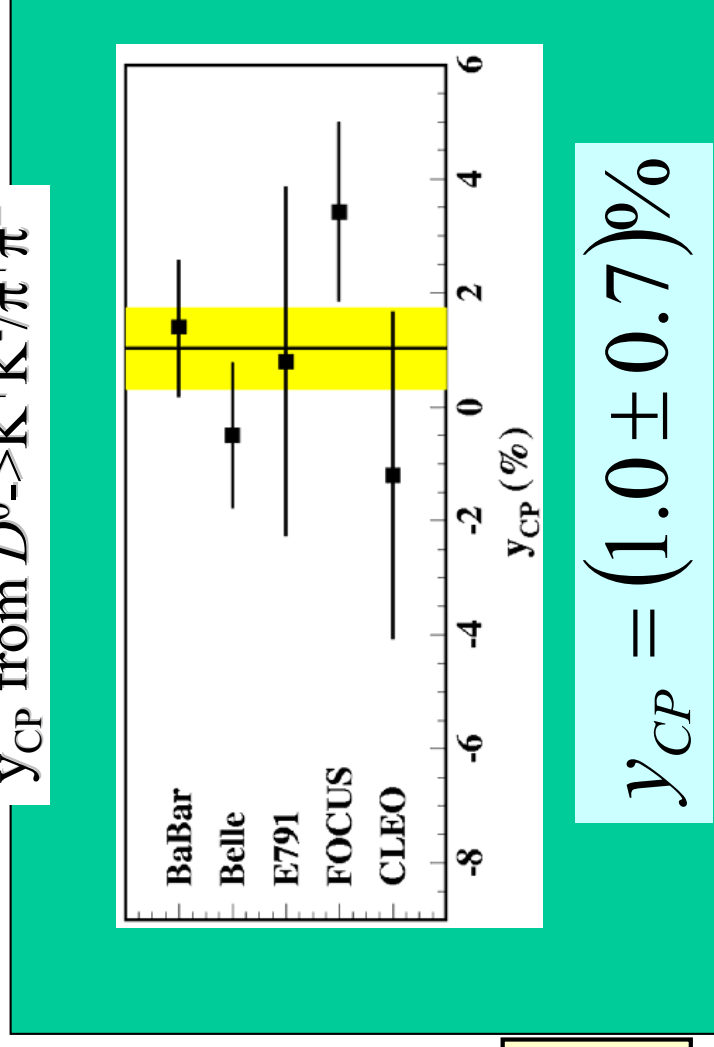
$$\text{F.T.} : \sigma_t \approx 40 \text{ fs}$$



Measurement of y_{CP} from

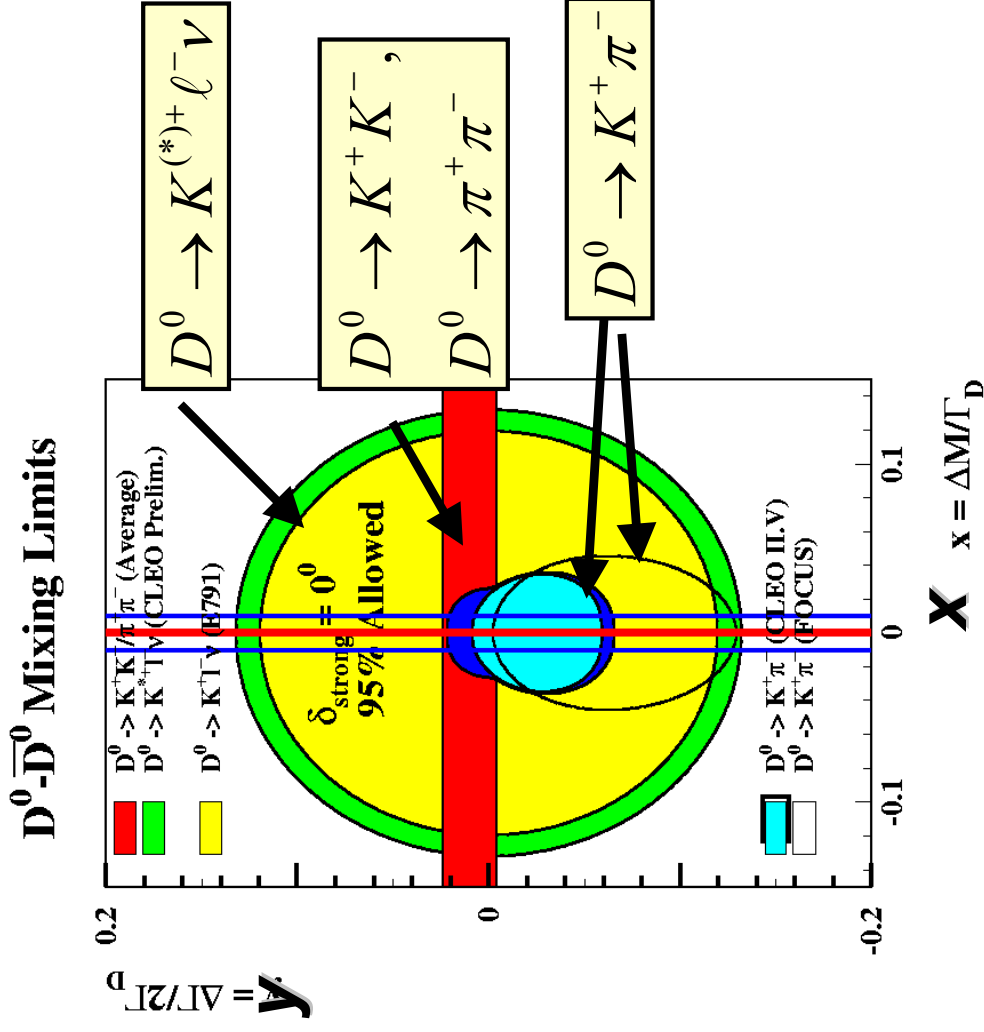


y_{CP} from $D^0 \rightarrow K^+ K^- / \pi^+ \pi^-$



Current Status of D^0 - \bar{D}^0 Mixing

γ_{CP} is appears high relative to the limits on x and y form hadronic
 The unknown strong phase difference could be the cause.



- Current measurements cutting into range of some non-SM predictions
 - Much room for improvement before we hit SM background
- **“Typical” upper SM predictions**
— **“Typical” non-SM predictions (many higher and lower, however)**



Current Status of D^0 - \bar{D}^0 Mixing

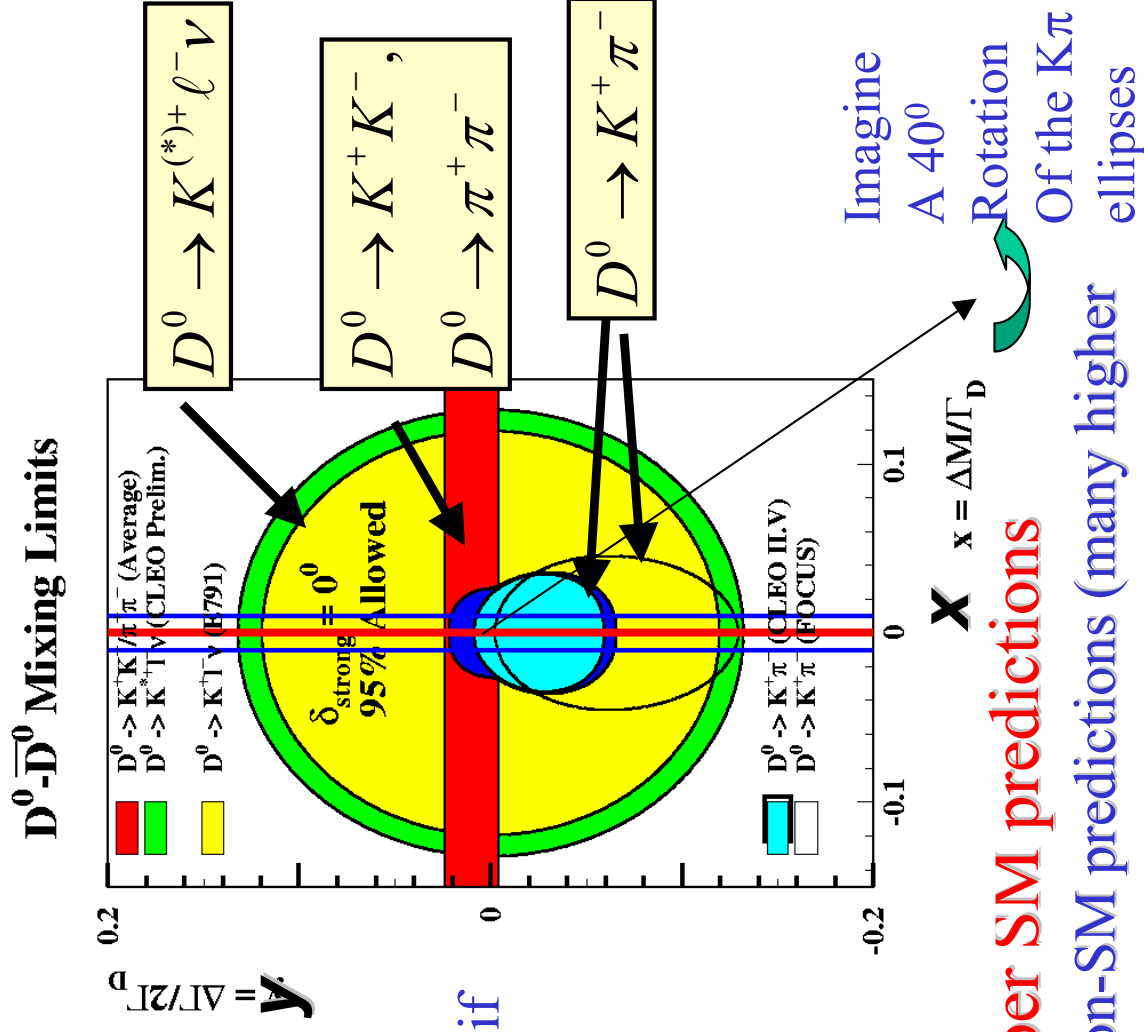
Y_{CP} is appears high relative to the limits on x and y form hadronic
 The unknown strong phase difference could be the cause.

What if $\delta=40^\circ$, the estimated maximum of Falk, Nir & Petrov (99)?
 Y_{CP} and $K \pi$ would be more consistent if $\delta=90^\circ$. Bergman, Grossman etal (00).

My opinion: the errors are too large to draw any strong

Conclusion at this time.

- Current measurements cutting into range of some non-SM predictions
 - Much room for improvement before we hit SM background
- “Typical” upper SM predictions**
 “Typical” non-SM predictions (many higher and lower, however)





The Future
of these techniques

Babar, Belle the heavyweights

by 2005 ~500 1/fb

B Factory $K\pi$ $x \propto L^{-1/4}$

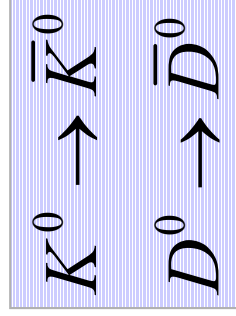
can do better with Dalitz analysis

Each experiment,

$$\left. \begin{array}{l} |x'| \lesssim 0.9\% \quad D^0 - \bar{D}^0 \\ |y'| \lesssim 0.6\% \quad K^0 - \bar{K}^0 \\ |y| \lesssim 1.0\% \end{array} \right\} \begin{array}{l} \text{surpasses} \\ \text{generic} \\ \text{FCNC constraints} \end{array}$$

Scaling from
CLEO

$$\Delta M \cdot \tau \propto f_M^2 M \cdot \tau$$



$$\equiv 1$$



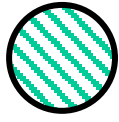
$$\approx 0.03$$

Because standard model
present and big background in
kaon decay



Unique opportunities at Threshold

$$\Psi'' \rightarrow D^0 \bar{D}^0, D^+ D^-$$



$$\Psi'' \rightarrow L=1$$

$$\overleftrightarrow{D^0} \quad \overrightarrow{\bar{D}^0}$$

$$+(-1)^L$$

$$\overleftrightarrow{\bar{D}^0} \quad \overrightarrow{D^0}$$

Angular momentum
(L=1 for $\psi(3770)$)

$$|D^0 \bar{D}^0\rangle_L = \frac{1}{\sqrt{2}} \left[|D^0(k_1) \bar{D}^0(k_2)\rangle + (-1)^L |D^0(k_2) \bar{D}^0(k_1)\rangle \right]$$



Special Opportunities to measure mixing by exploiting Quantum Coherence at the Ψ

#1

$$\Psi'' \rightarrow D^0 \bar{D}^0 \rightarrow (K^- \pi^+) (K^- \pi^+)$$

$\therefore L=1$

p-wave

is sensitive to mixing, and insensitive to Doubly Cabibbo suppressed decays



Indistinguishable from.....

$$\frac{\bar{D}^0}{\left(\bar{B} - \frac{1}{2}(ix+y)t_1 \cdot A\right)} \quad \text{X} \quad \frac{D^0}{\left(\bar{B} - \frac{1}{2}(ix+y)t_2 \cdot A\right)} \quad \text{X} \quad \frac{D^0}{A}$$

Add amplitudes, $(-1)^L = -1$ relative sign

$$|a_1 - a_2|^2 = \left| A\bar{B} - \bar{B}A + \frac{1}{2}(ix+y)A^2(t_1 - t_2) \right|^2 = \frac{1}{4}A^4(x^2 + y^2)(t_1 - t_2)^2$$

Interferes away!

(no time information)

- H. Yamamoto (thesis) I. Bigi
- A.I. Sanda
- Z.Z. Xing
- D. Atwood.
- A Petrov



Time integrated mixing at the $\psi(3770)$

#Right Signs

normalizing by $\Gamma(D^0 \bar{D}^0) \rightarrow (K^- \pi^+)(K^+ \pi^-)$

$$(K^- \pi^+)(K^+ \pi^-) \quad 10,000$$

implies for the time integrated rate

$$(K^- \ell^+ x)(K^+ \ell^- x) \quad \underline{\underline{40,000}}$$

(in the limit of CP conservation)

$$R \left[\frac{(K^- \pi^+)(K^+ \pi^-)}{(K^- \pi^+)(K^+ \pi^-)} \right] = 1/2(x^2 + y^2) \quad \underline{\underline{50,000}}$$

same for the semileptonic rate

$$R \left[\frac{(K^- \ell^+)(K^+ \ell^-)}{(K^- \ell^+)(K^+ \ell^-)} \right] = 1/2(x^2 + y^2) < \sqrt{\frac{2.3}{50,000}} < 1.0\%$$

Since, Ψ'' likely background free... The above is limited by statistics, measurements at 10 GeV or hadron machines have entirely different systematics errors

B-factory my estimate $X < 0.8\%$

note: at 3770 $x \propto L^{-1/2}$, B Factory $K\pi \times \propto L^{-1/4}$

With 500 fb so 3770 with 3fb close

BESIII X3 smaller error

Aside: if it were possible To obtain 500 fb⁻¹ at Ψ'' :

$$\sqrt{\frac{1}{2}(x^2 + y^2)} < \sqrt{\frac{2.3}{50,000 \times \frac{500}{3}}} < 0.08\% \quad \text{(Unique sensitivity)}$$

CP Eigenstates at $\psi(3770)$

At the $\psi''(3770)$

$$e^+e^- \rightarrow \psi'' \rightarrow D^0 \bar{D}^0$$

$$J^{PC} = 1^{--} \quad \text{i.e. CP+}$$

Suppose a D^0 is observed to decay to a CP eigenstate f_1 which is CP even:
 Then in the limit of CP conservation, the state recoiling against the tag
 has a definite CP as well and it must be of opposite sign :

$$CP(f_1 f_2) = CP(f_1) CP(f_2) (-1)^l = \text{CP+}$$



•Example Two - - (since $l=1$)

CP eigenstates of $(\pi^+ \pi^-)(K_s^0 \pi^0)(-1)^l$

Opposite sign + - - - - - - = CP+

•CP eigenstate tag X flavor mode

$$K^+ K^- \leftarrow D_{CP} \leftarrow \psi(3770) \rightarrow D_{CP} \rightarrow K^- \pi^+ (-1)^l$$

+ - - - - - - - - = CP+



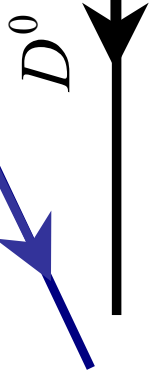
Measuring the DCSD/CF phase δ

at $\Psi(3770) \rightarrow D^0 \bar{D}^0 (f_{\pm})(K^- \pi^+)$

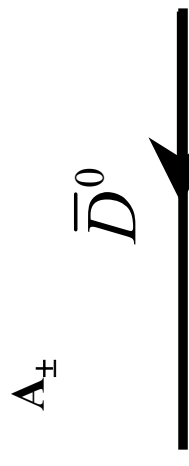
#2

• CP eigenstate tag X flavor mode

examples
+ $K^+ K^-$
- $K_S^0 \pi^0$



$a_1 = A_{\pm}$



$a_2 =$

$$\pm A_{\pm} (1 \mp \frac{1}{2}(ix+y)t_1) \times A \times (-1)^1$$

$$|a_1 + a_2|^2 \propto |1 \mp (\frac{\bar{B}}{A} + \frac{1}{2}(ix+y)(t_1 - t_2))|^2$$

$$\int dt \cong 1 \pm 2\sqrt{R_D} \cos \delta$$

$$-\sqrt{R_D} e^{-i\delta}$$

Measures strong phase diff. CF/DCSD $\Delta \cos \delta \sim 0.05$. Crucial input for B factories Needed for γ in $B \rightarrow DK$

J. Silva and A. Soffer Phys. Rev. D61: 112001, 2000, (hep-ph/9912242)

Gronau Grossmann J. Rosner (hep-ph/0103110).

$$\Psi \rightarrow D^0 \bar{D}^0 (f_{\pm}^-) (K^- \pi^+)$$

$$\int dt \cong | \pm 2\sqrt{R_D} \cos \delta |$$

$$A = \frac{(K^- \pi^+) f_+ - (K^- \pi^+) f_-}{(K^- \pi^+) f_+ + (K^- \pi^+) f_-} = 2\sqrt{R_D} \cos \delta$$

Expect 32,000

$K^- \pi^+ f_-$

A is expected to be small

$$\Delta \cos \delta \cong \frac{1}{2\sqrt{R_D} \sqrt{N}}$$

$$\Delta \cos \delta \cong \pm 0.05$$

BESIII X3 smaller

Error (0.006 500 fb⁻¹)

CP(+1) eigenstates			
Channel	B.F.(x10 ³)	#(3fb ⁻¹ $\psi(3770)$)	#(3fb ⁻¹ $\gamma D^0 D^0$)
K-K ⁺	4.1	110,000	9,400
$\pi^- \pi^+$	1.6	36,000	3,000
$\rho^0 \pi^0$	15.0	54,200	4,600
CP(-1) eigenstates			
$K_S \eta$	3.5	61,600	5,300
$K_S \rho^0$	6.0	98,400	8,400
$K_S \pi^0$	10.6	176,000	15,000
$K_S \eta'$	10.0	141,000	12,000
$K_S \phi$	4.3	39,100	3,300



Experimental constraints on y at Threshold

1. Time-dependent analyses are not possible, Are time dependent Analyses possible?

Semileptonic: quadratic in x, y : not so sensitive wanted: **linear in x or y**

2. Recall: (quantum-mechanically) entangled initial state

$$\left| D^0 \bar{D}^0 \right\rangle_L = \frac{1}{\sqrt{2}} \left[\left| D^0(k_1) \bar{D}^0(k_2) \right\rangle + (-1)^L \left| D^0(k_2) \bar{D}^0(k_1) \right\rangle \right]$$

angular momentum ($L=1$ for $\psi(3770)$)

... so one can measure decay rates for CP-tagged decays

The semi-leptonic width of a meson should be independent of the CP quantum number since it is flavor specific. So the semi-leptonic branching ratio in CP tagged events is inversely proportional to the total width of that meson. Since we know whether the D is CP even or CP odd we can extract y

• CP eigenstate tag X flavor mode

$$K^+ K^- \leftarrow D_+ \leftarrow \psi(3770) \rightarrow D_- \rightarrow X l \nu$$

$$K_s^0 \pi^0 \leftarrow D_- \leftarrow \psi(3770) \rightarrow D_+ \rightarrow X l \nu$$

D. Atwood, A.A. Petrov, hep-ph/0207165



Experimental constraints on y at Threshold

$$\left[\frac{Br(D_+ \rightarrow X\ell\nu)}{Br(D_- \rightarrow X\ell\nu)} - \frac{Br(D_- \rightarrow X\ell\nu)}{Br(D_+ \rightarrow X\ell\nu)} \right] = 4y$$

$in 3 fb^{-1}$ #tags $CP = +$ $CP = -$

$\sim 800K$ $\sim 200K$

#tag + ℓ 9.8K 39.2K

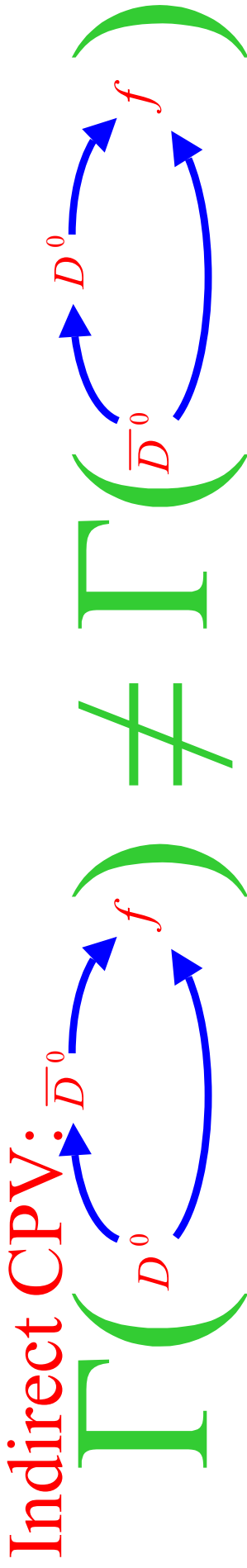
for $y = 0.75\%$, $\delta y = 0.4\%$

at BEPCII $\delta y = 0.13\%$

Expect background free systematics entirely different to lifetime measurements

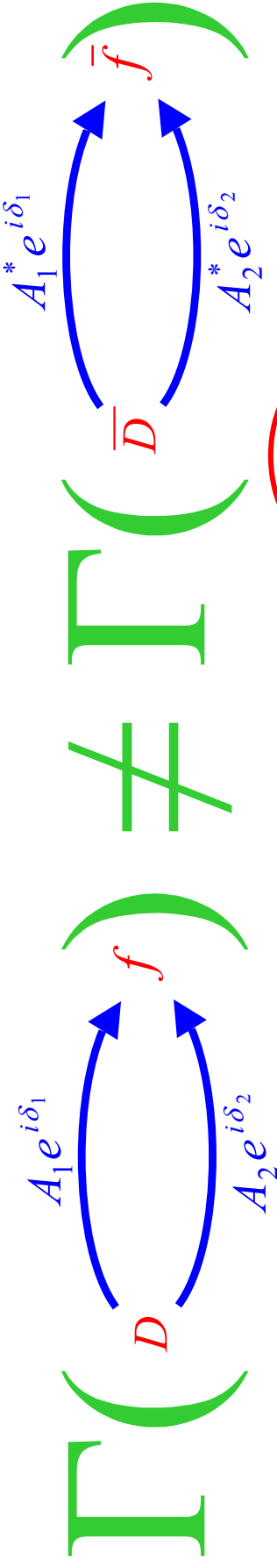
once y is obtained x can be obtained from $\sqrt{1/2(x^2 + y^2)}$

CPV in D Decays



Very small in charm since mixing is suppressed
 (i.e. good hunting ground for new physics)

Direct CPV:



$$A_{CP} = \frac{\Gamma(f) - \Gamma(\bar{f})}{\Gamma(f) + \Gamma(\bar{f})} = \frac{2\text{Im}(A_1 A_2^* \sin(\delta_1 - \delta_2))}{|A_1|^2 + |A_2|^2 + 2\text{Re}(A_1 A_2^* \cos(\delta_1 - \delta_2))} < 10^{-3}$$

2 different amplitudes

strong phase-shift

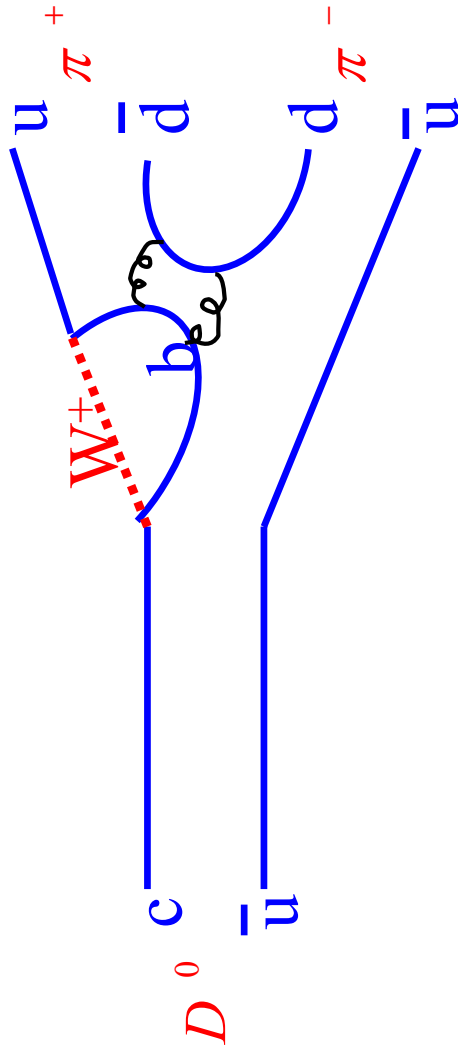
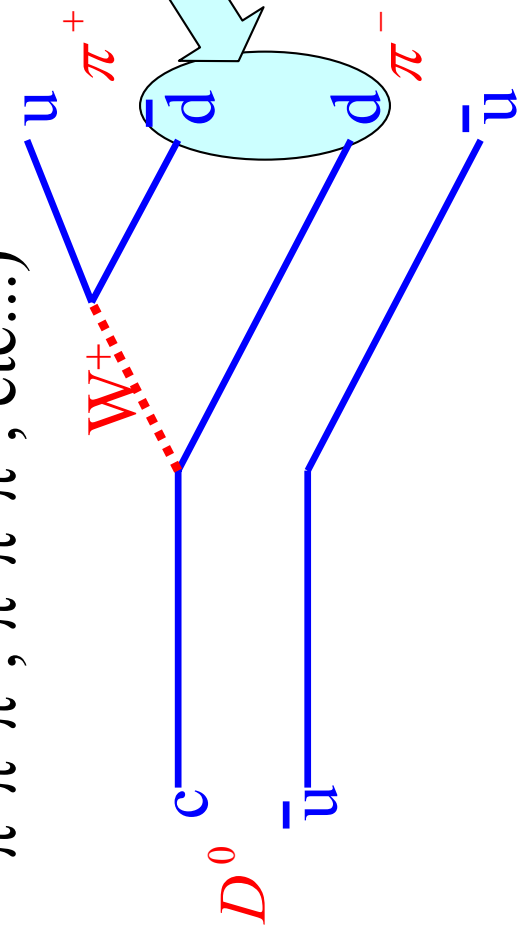


We have the ingredients:

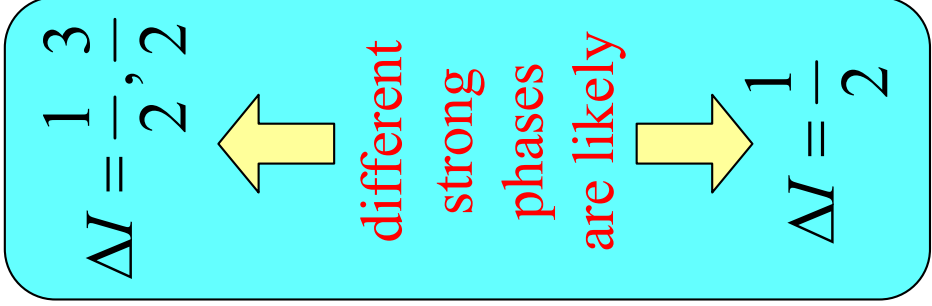
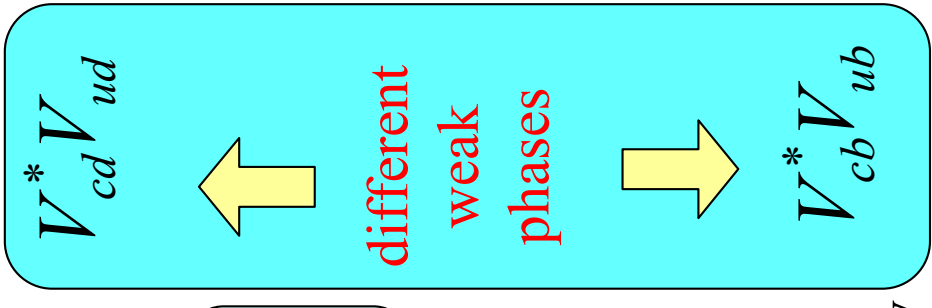
1) Consider $D^0 \rightarrow \pi^+\pi^-$

(same for K^+K^- , $K^+K^-\pi^+$, $K^+K^-\pi^0$, $\phi\pi^+$, $\pi^+\pi^-\pi^+$, $\pi^+\pi^-\pi^0$, etc...)

Since this decay is Singly Cabibbo Suppressed...



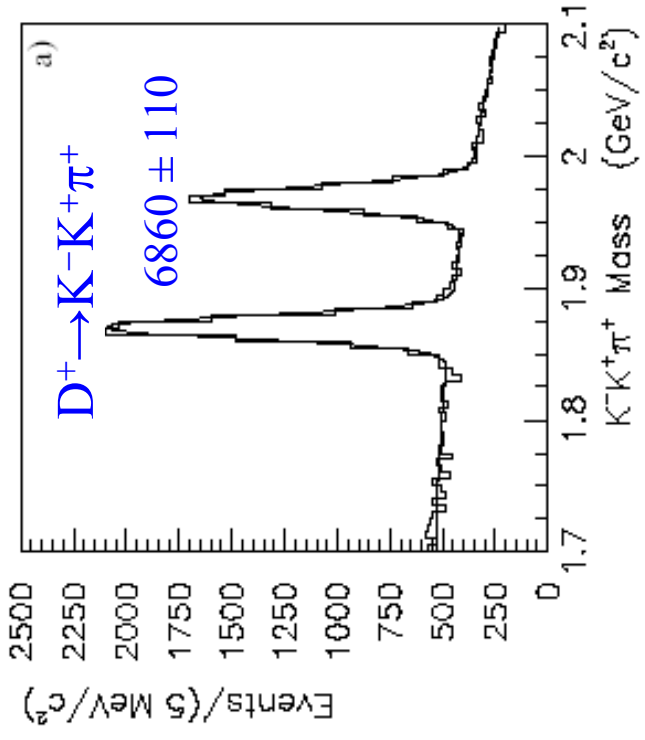
...we can modify it's topology in a simple way to get a penguin



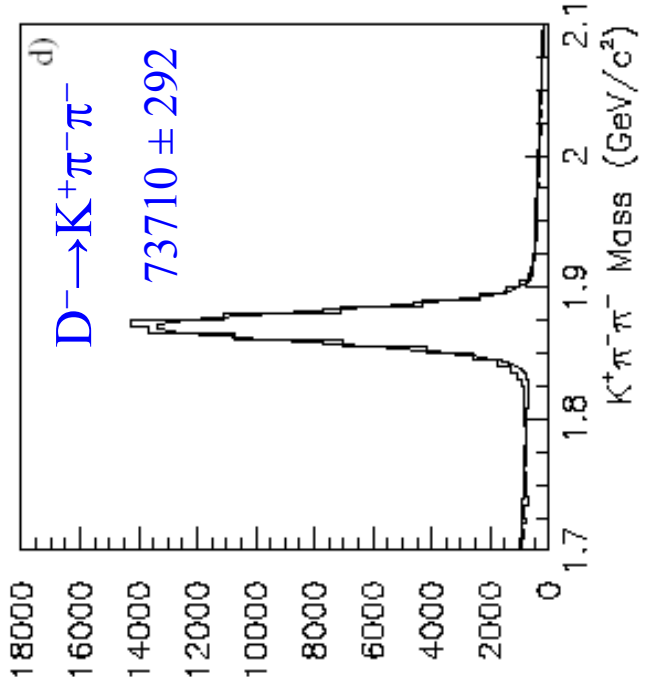
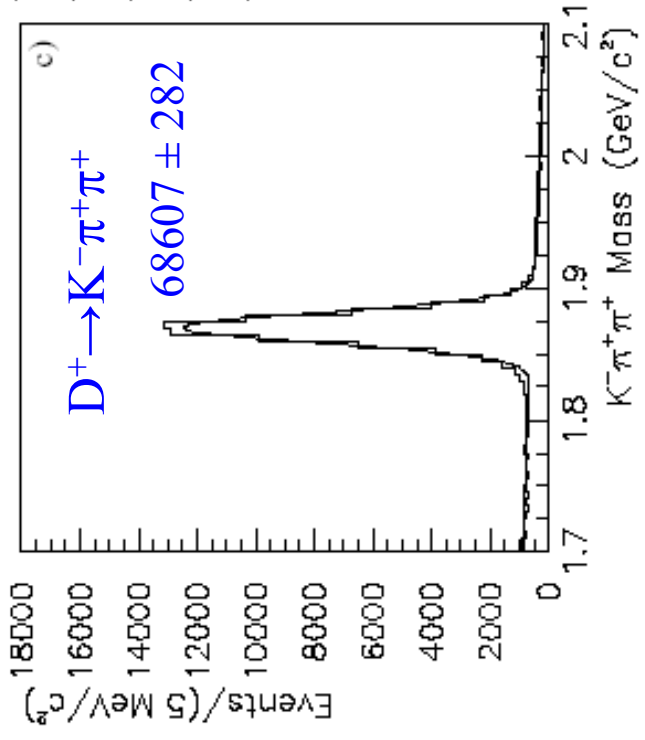
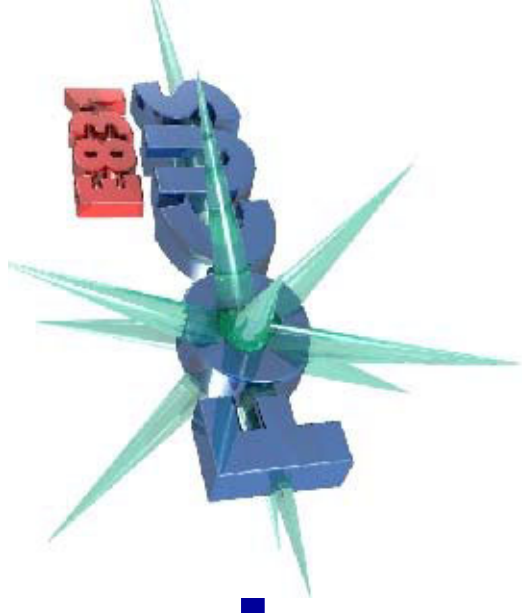
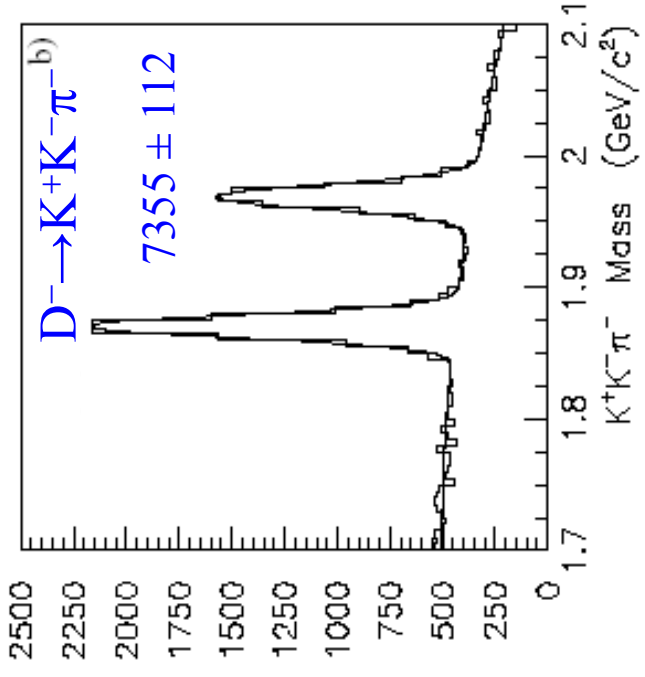
Expect $A_{CP} < 10^{-3}$ in most cases



matter



anti-matter



Cabbibo allowed
 ($A_{CP}=0$) mode
 used to take into
 account matter-
 anti-matter
 production
 asymmetry



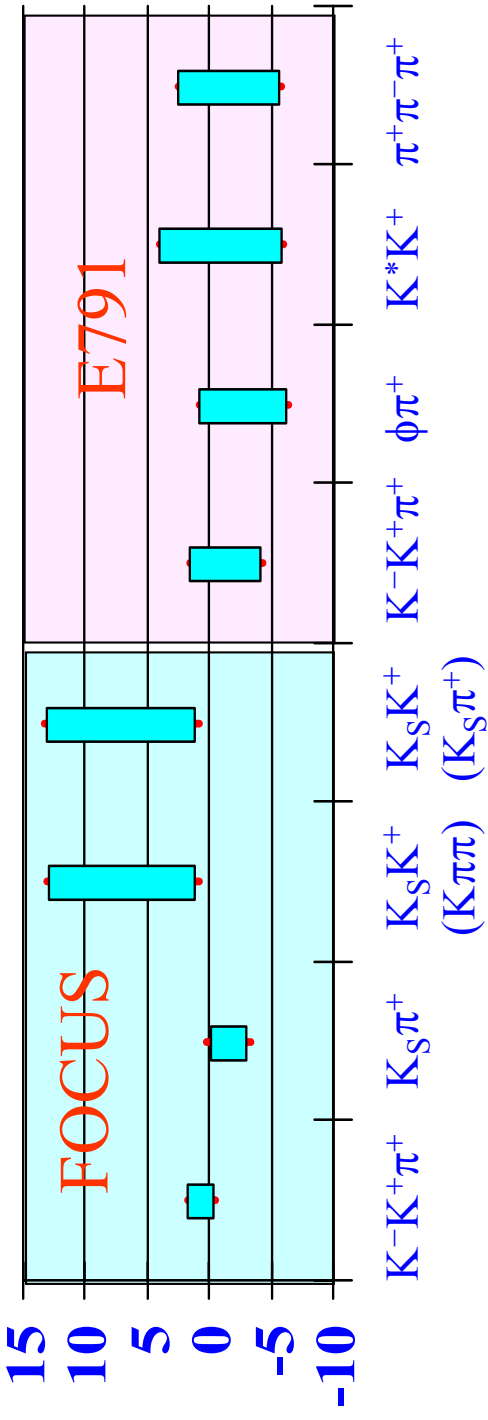
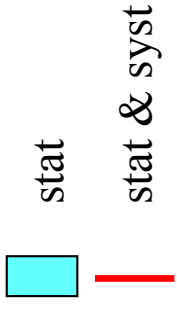
$D^{\pm} A_{CP}$ Summary

Self tagging no D^* tag needed

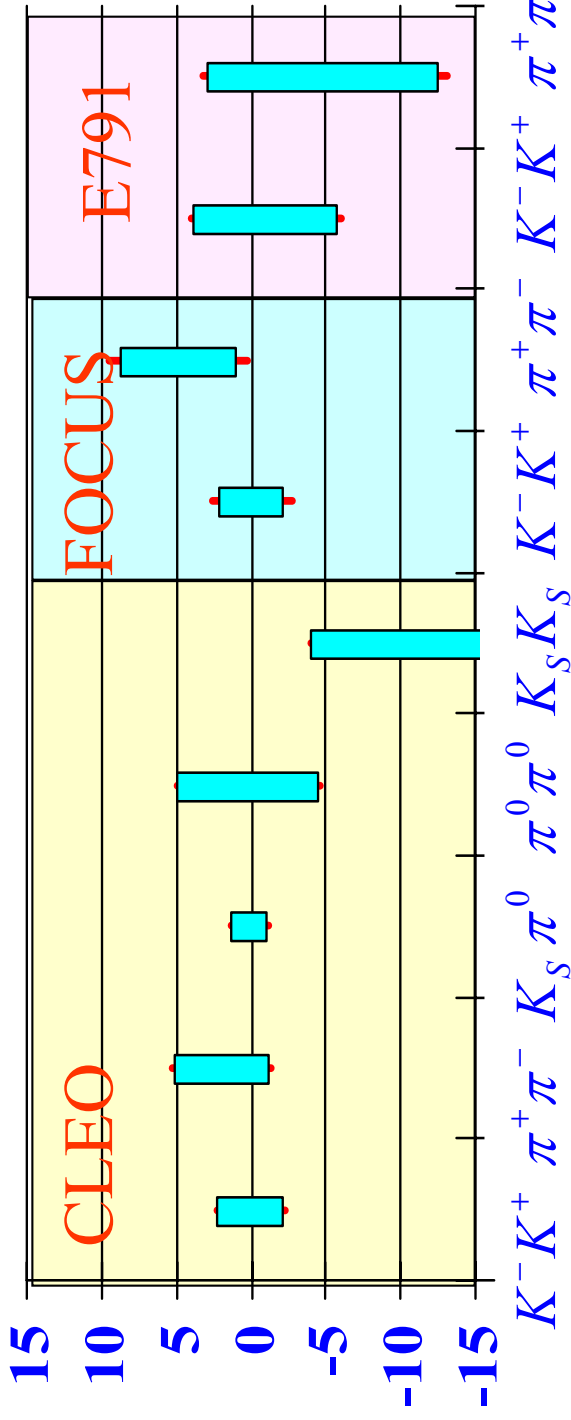
$D^0 A_{CP}$

Summary

Use D^* decays to tag D^0 flavor

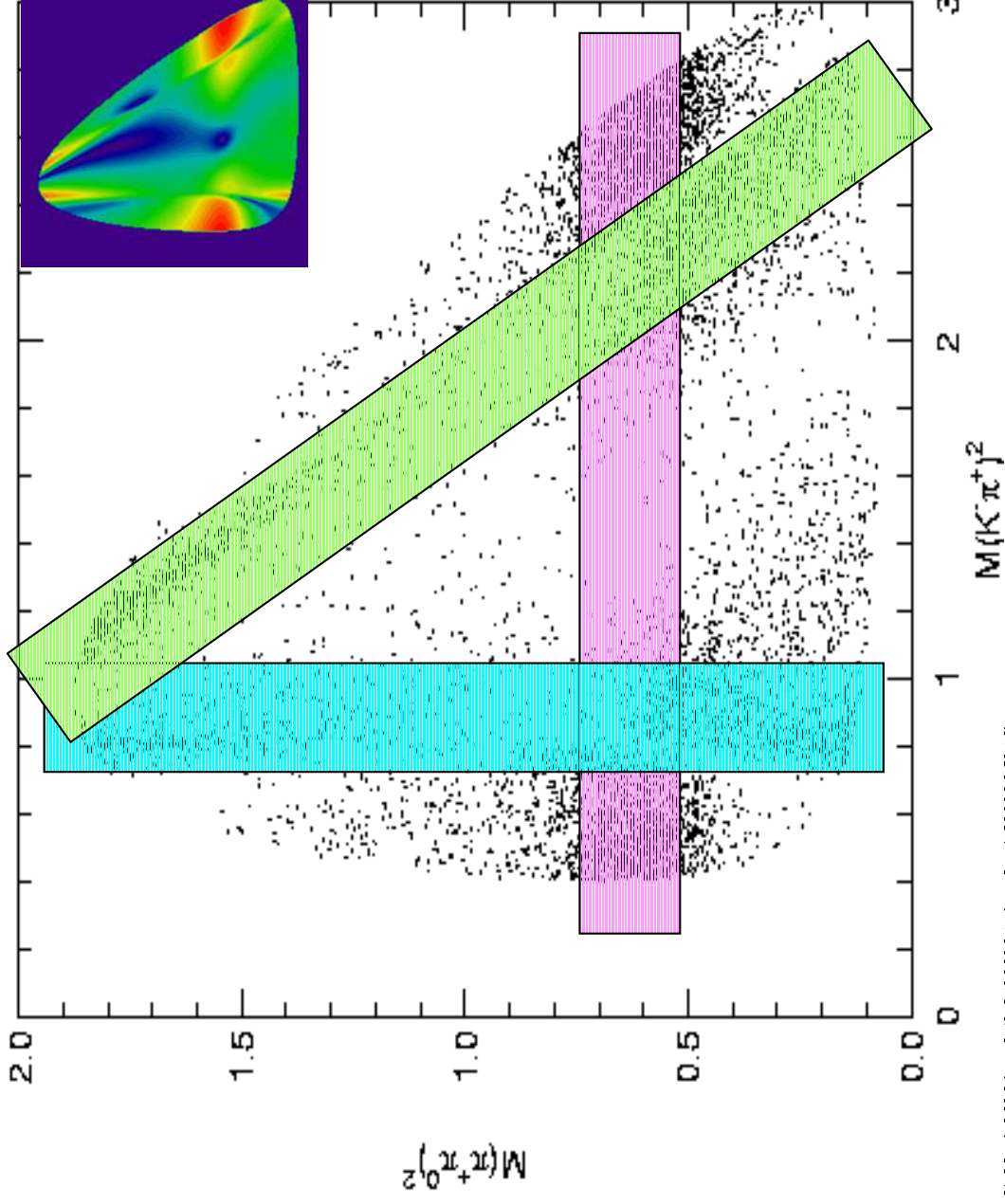
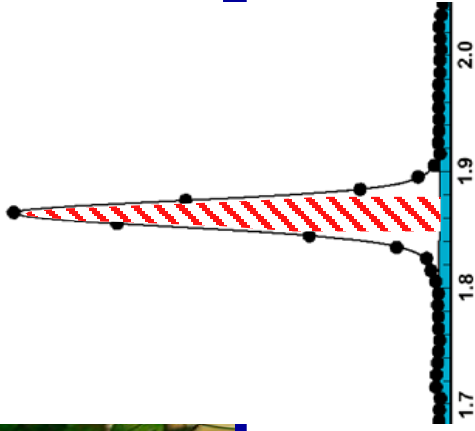
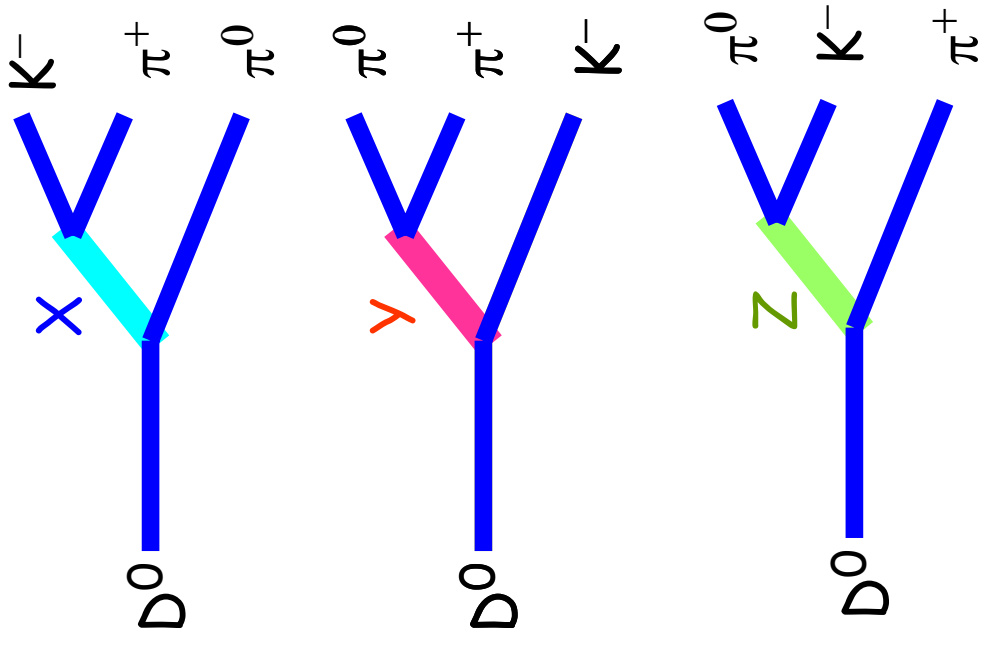


* All analyses statistics limited.
* None are background free.





• Look for CPV in Dalitz Plots:





CPV at the $\psi(3770) \rightarrow D^0 D^0 \rightarrow f_+ f_+$ or $f_- f_-$
($f_+^- = K^+ K^-, \pi^+ \pi, K_s \eta \dots$)

• Recall if two decays are observed with the same CP in a single 3fb^{-1} background free event \rightarrow CP violation in the D system is established

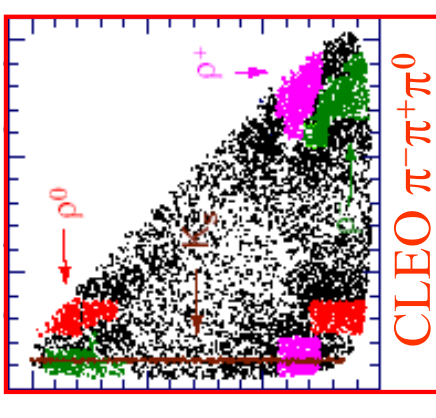
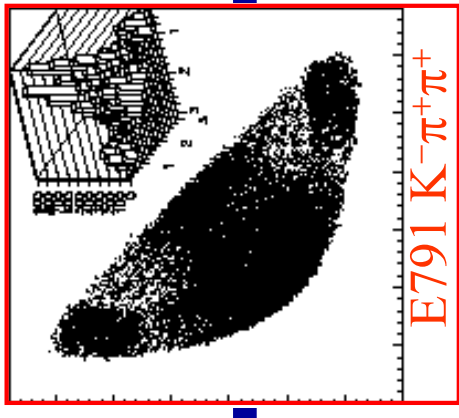
CP eigenstate 1	CP eigenstate 2	# for 100% CPV
$K^+ K^-$	$K^+ K^-$	174
$K^+ K^-$	$K_s \pi^0$	171
$K^+ K^-$	$\rho^0 \pi^0$	183
$K_s \pi^0$	$K_s \pi^0$	136 CLEO-c estimates

statistics can be increased x3-4 using a MM technique. This technique is very different to the method at 10 GeV and at hadron machines. sensitivity is at the $\sim 1\%$ level (X3 better at BEPCII)



CPV Outlook

- E791 and FOCUS
 - Working on A_{CP} analyses (for example Dalitz plots). ➔
- CLEO-c..
 - ~30 million DD events (and new A_{CP} search modes). ➔
- B Factories
 - ~by 2005 100 times the integrated luminosity that CLEO has at the Y(4S) Improve on present CLEO limits by at least a factor of 10.
- Hadron Machines
 - CDF & D0 are getting into the game.
 - BTeV could have 10^9 reconstructed charm events.
- BESIII



Rare Decays

•Rare and decays, Standard Model estimates:

$$Br(D^0 \rightarrow XI^+l^-) \sim 1 \times 10^{-9} (S.D.: 10^{-8})$$

$$Br(D^0 \rightarrow X\nu\bar{\nu}) \sim 2 \times 10^{-15} (S.D.: 10^{-15})$$

$$Br(D^+ \rightarrow \pi\nu\bar{\nu}) \sim 8 \times 10^{-16} (S.D.: 10^{-16})$$

...background-free tests of New Physics!

Some very difficult to do anywhere except at the (3770) in tagged events. Sensitivity at the 10^{-6} level
A wide range of modes were looked at by CLEO-c
Conclusion was that B Factories with 500 fb and CLEO-c will be complementary. Hadron machines have statistical advantages in certain final states.



Probing QCD

- Verify tools for strongly coupled theories
- Quantify accuracy for application to flavor physics

- ψ and Y Spectroscopy
 - Masses, spin fine structure
- Leptonic widths for S-states.
 - EM transition matrix elements
 - Y resonances winter '01-summer'02 $\sim 4 \text{ fb}^{-1}$ total

Confinement,
Relativistic corrections

Rich calibration
and testing ground
for theoretical
techniques →
apply to flavor
physics

Wave function
Tech: $f_{B,K} \sqrt{B_K} f_{D(S)}$

Form factors

J/ψ running 2005 $10^9 J/\psi \times 20 \text{ BES II}$

- Uncover new forms of matter – gauge particles as constituents

– Glueballs $G=|gg\rangle$ Hybrids $H=|gqq\rangle$ } Study fundamental states of the theory

The current lack of strong evidence for these states is a fundamental issue in QCD. Requires detailed understanding of ordinary hadron spectrum in 1.5-2.5 GeV mass range.



Gluonic Matter

• Gluons carry color charge: *should bind!*

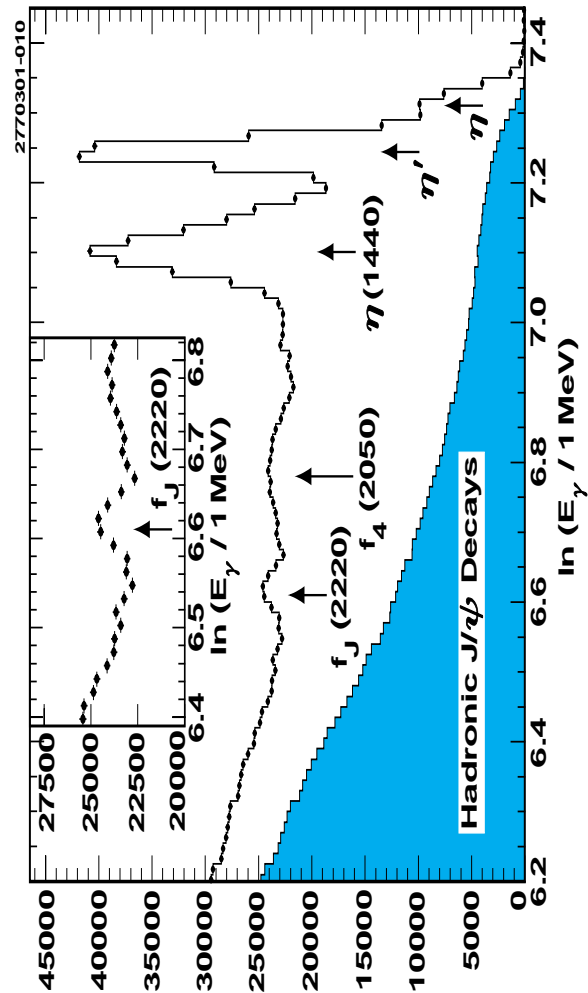


• But, like Jim Morrison, glueballs have been sighted too many times without confirmation....

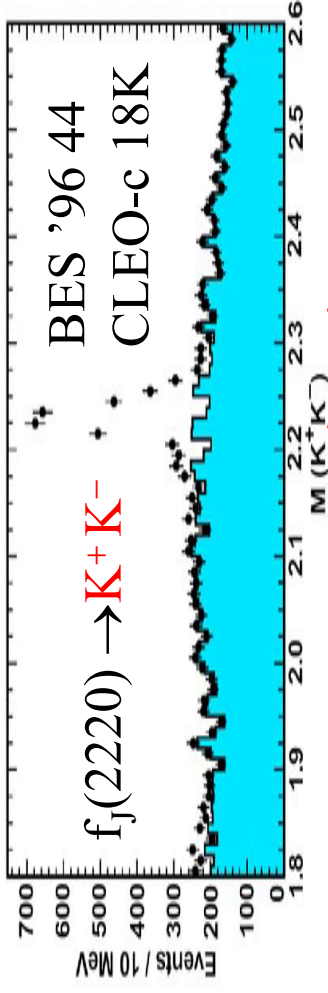
• CLEO-c 1st high statistics experiment with modern 4 π detector covering 1.5-2.5 GeV mass range.

• Radiative ψ decays: ideal glue factory: $\frac{\zeta}{c}$
 • (60 M J/ $\Psi \rightarrow \gamma X$)

Example: $f_J(2220)$ Inclusive γ



Exclusive:



corroborating checks:

Anti-search in γ : /Search in $\Upsilon(1S)$

Note: with more data BESII no

longer see evidence of $f_J(2220)$

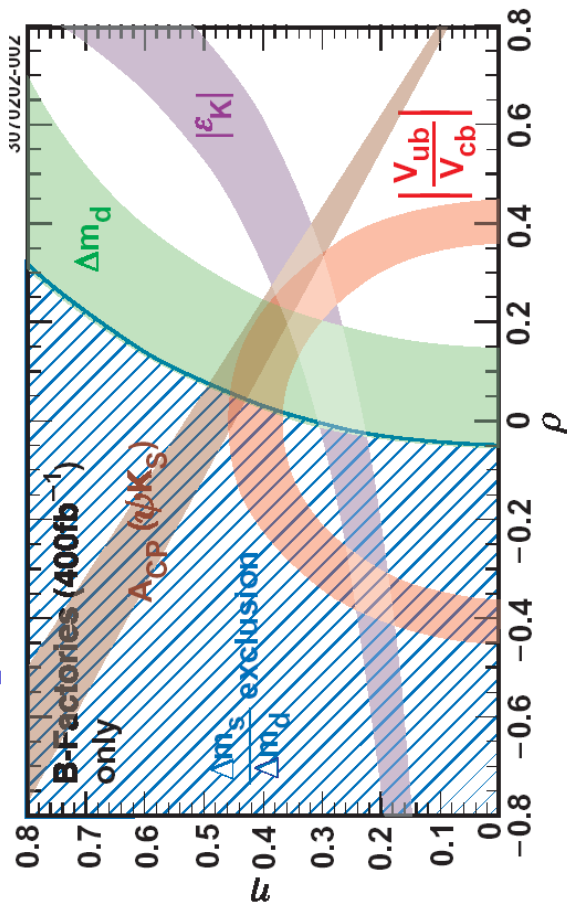


CLEO-c: Helping the B Factories & Tevatron Experiments

- Crucial Validation of Lattice QCD: Lattice QCD will be able to calculate with accuracies of 1-2%. The CLEO-c decay constant and semileptonic data will provide a “golden,” & timely test. QCD & charmonium data provide additional benchmarks. (E2 Snowmass WG)

B Factories
only ~2005

Imagine a world
Where we have
theoretical
mastery of non-
perturbative QCD
at the 2% level





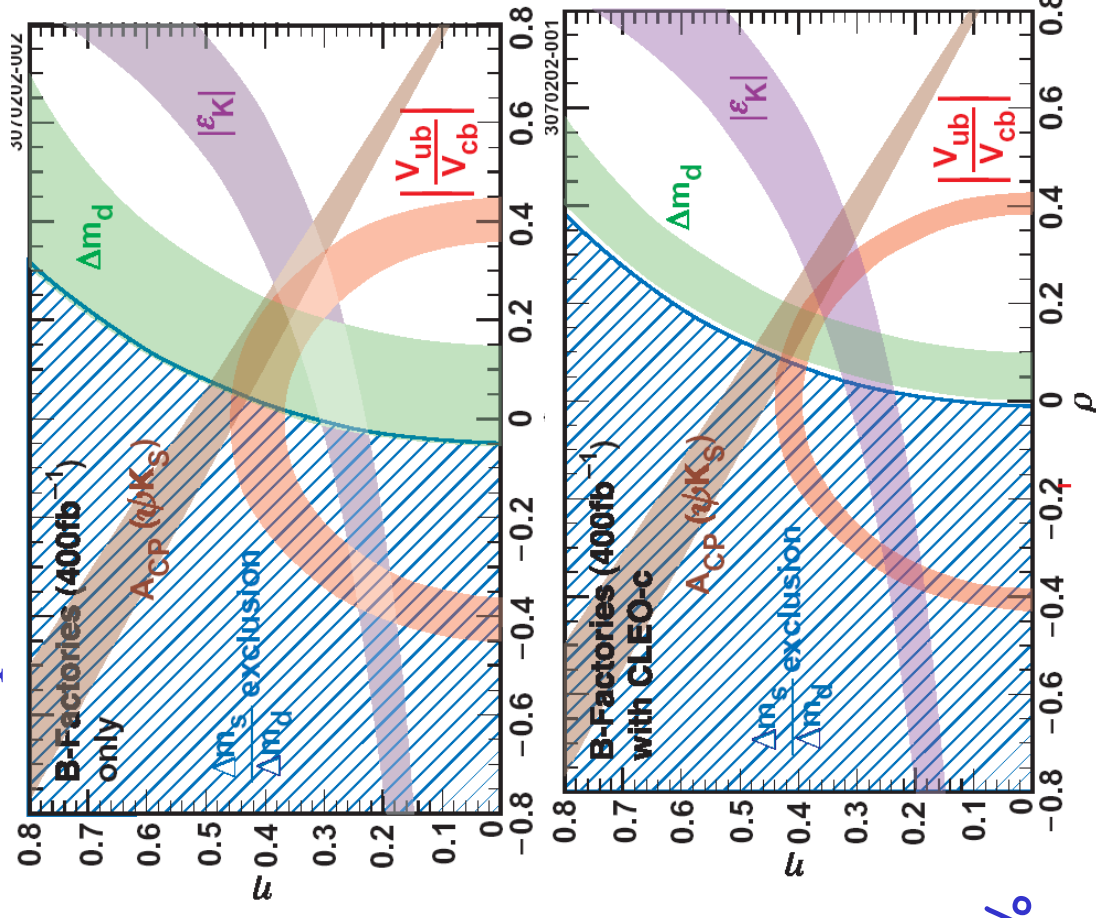
CLEO-c: Helping the B Factories & Tevatron Experiments

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B Factories
only ~2005

Imagine a world
Where we have
theoretical
mastery of non-
perturbative QCD
at the 2% level

Theory
errors = 2%





CLEO-c: Helping the B Factories & Tevatron Experiments CLEO-c

- Knowledge of absolute charm branching fractions is now contributing significant errors to measurements involving b's. CLEO-c can also resolve this problem in a timely fashion
- Improved Knowledge of CKM elements, which is now not very good.

PDG

CLEO-c
data and
LQCD

V_{cd}	V_{cs}	V_{cb}	V_{ub}	V_{td}	V_{ts}
7%	11%	5%	25%	36%	39%
1.7%	1.6%	3%	5%	5%	5%

PDG

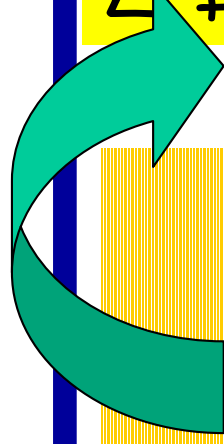
B
Factory/Tevatron
Data & CLEO-c
Lattice
Validation

Additional topics At Threshold

- Ψ' spectroscopy (10^8 decays) $\eta_c h_c \dots$
- $\tau^+\tau^-$ at threshold (0.25 fb^{-1})
 - measure m_τ to $\pm 0.1 \text{ MeV}$
 - heavy lepton, exotics searches
- $\Lambda_c \Lambda_c$ at threshold (1 fb^{-1})
 - calibrate absolute $\text{BR}(\Lambda_c \rightarrow pK\pi)$
- $R = \sigma(e^+e^- \rightarrow \text{hadrons}) / \sigma(e^+e^- \rightarrow \mu^+\mu^-)$
 - spot checks



The Charm Program: Summary



- **Powerful physics case**

- 1 Precision flavor physics - *finally*
- 2 Nonperturbative QCD - *finally*
- 3 Probe for New Physics

Direct: V_{cs} V_{cd} & tests QCD techniques aids BABAR/Belle/CDF/D0/BTeV/LHC-b with V_{ub} , V_{cb} , V_{td} , V_{ts}

- 1 and 2 can only be done at threshold CLEO-c is a logical choice now

- **Unique:** not duplicated elsewhere
- Highest performance detector to run @ charm threshold
- Flexible, high-luminosity accelerator
- Experienced collaboration

- **Optimal timing**

- LQCD maturing
- allows Flavor physics to reach
- its full potential this decade
- Beyond the SM in next decade

The most comprehensive & in depth study of non-perturbative QCD yet proposed in particle physics



Probes of charm for new physics

Threshold machines and those operating at $Y(4S)$ are complimentary

CLEO-c is statistics limited

$Y(4S)$ and hadron machines have very important role to play and will extend the new physics reach considerably

If BESIII is a detector with the same capabilities as BaBar/Belle/CLEO and a luminosity of a few 10^{33} is reached it is a natural next step at the charm threshold

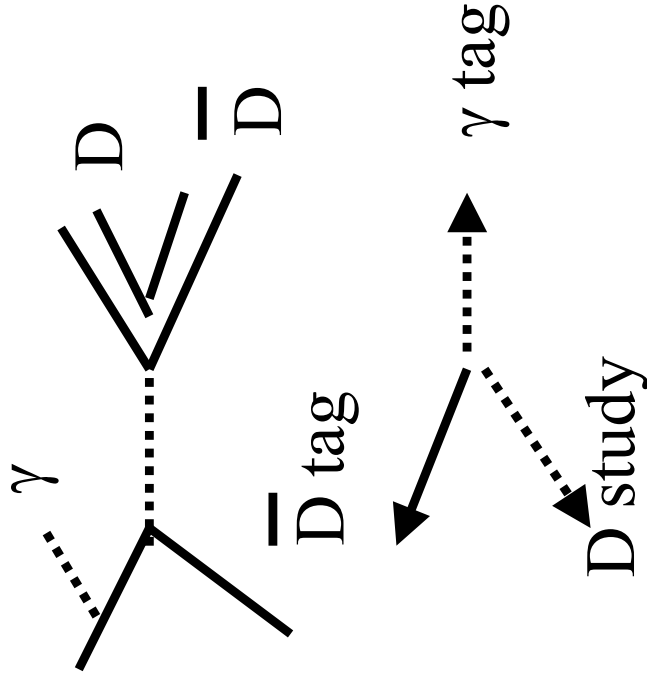


Additional Material



ISR Charm Events at B Factories

Initial State Radiation photon reduces σ



Measurement

#events

	BaBar/Belle	CLEOC
$D_s^+ \rightarrow \mu\nu$	500 fb ⁻¹	3fb ⁻¹
$D^+ \rightarrow \mu\nu$	330	1,221
$D^+ \rightarrow K^- \pi^+ \pi^+$	50	672
$D_s^+ \rightarrow \phi\pi$	6,750	60,000
	221	6,000

ISR projections made by BaBar show ISR technique is not statistically competitive with CLEO-c.

Systematic errors are also much larger.



*A first Look for glue rich states at Y(1S)
CM-energy*

□ $Y(1S) \rightarrow \gamma X$ as a preview of $J/\Psi \rightarrow \gamma X$

– The $Y(1S)$ is also glue rich but...

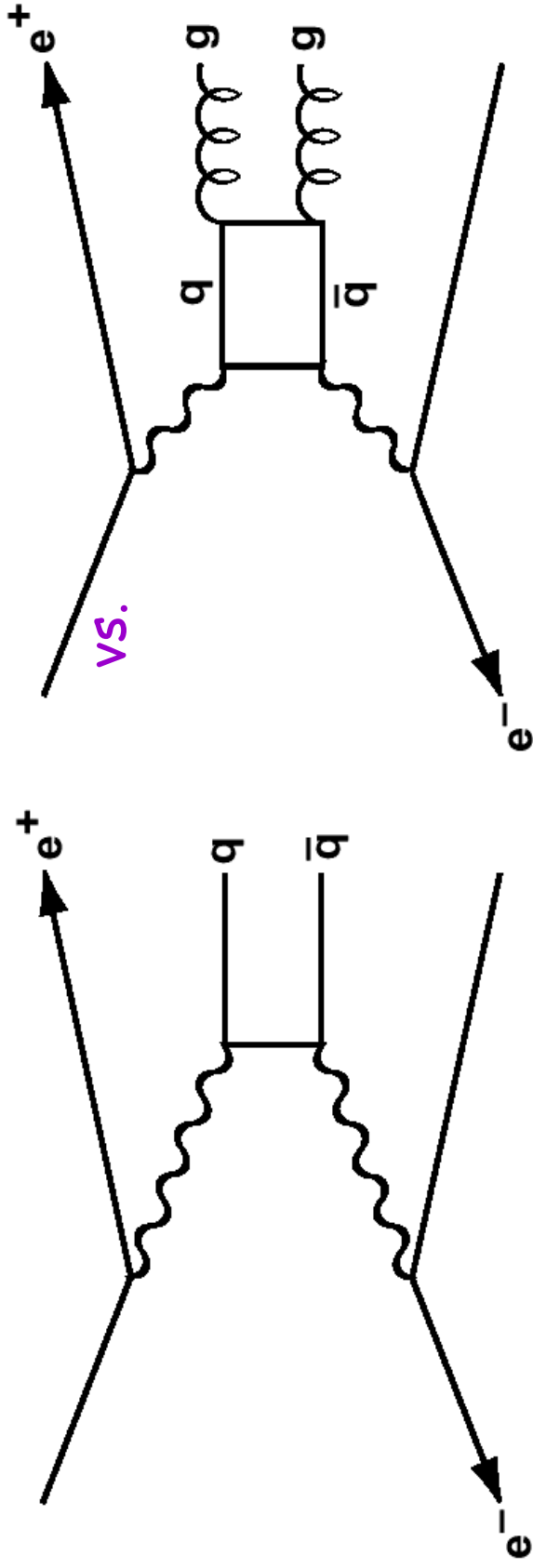
$$\frac{\Gamma(\Psi \rightarrow \gamma X)_{1-2\text{GeV}}}{\Gamma(Y \rightarrow \gamma X)_{1-2\text{GeV}}} \sim \frac{\sigma_\Psi}{\sigma_Y} \left(\frac{q_c}{q_b} \right)^2 \frac{\Psi \rightarrow \gamma X_{1-2\text{GeV}}}{Y \rightarrow \gamma X_{1-2\text{GeV}}} \frac{\Psi \rightarrow \gamma X}{Y \rightarrow \gamma X}$$

– No PWA at $Y(1S)$ but we can show
existence of states
 $\sim 10^2 \bullet 4 \bullet 10 \sim 4000$

A useful check: look at current 2γ data

– Anti-search in glue-poor environment

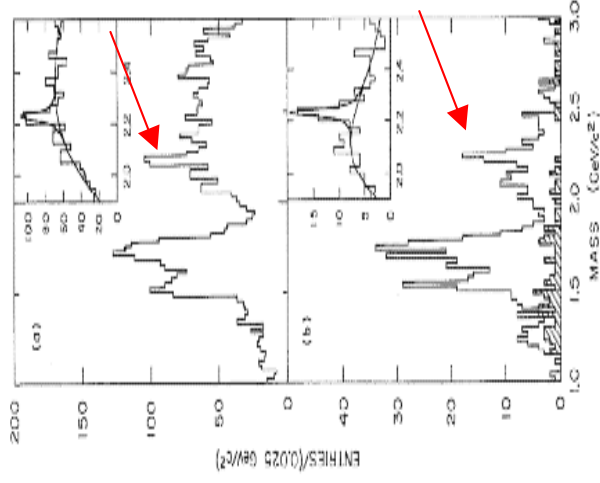
- Eg. $e^+e^- \rightarrow e^+e^-\gamma\gamma \rightarrow e^+e^-X$



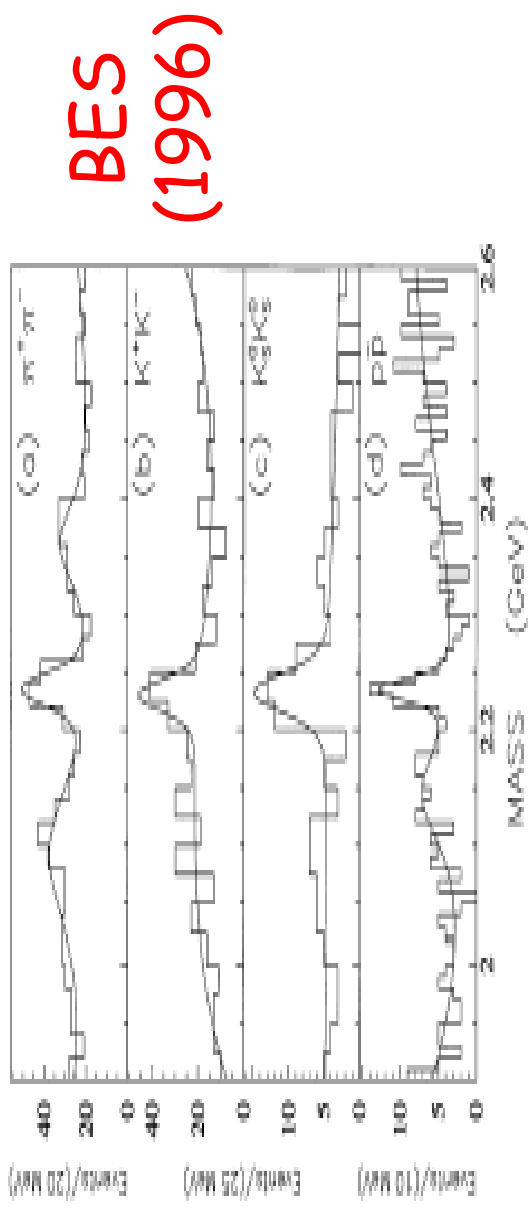


The $f_J(2220)$: A case study

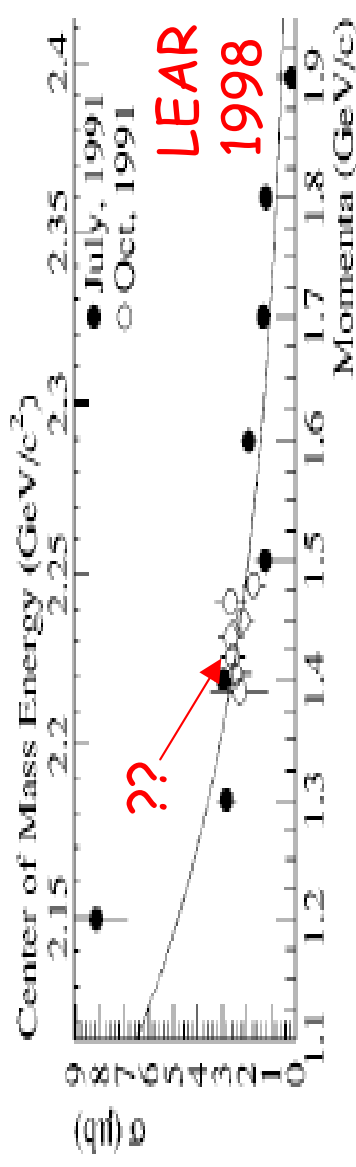
Glueballs are hard to pin down, often small data sets & large bkgds



**MARKIII
(1986)**



**BES
(1996)**



**LEAR
1998**

New BES data does not

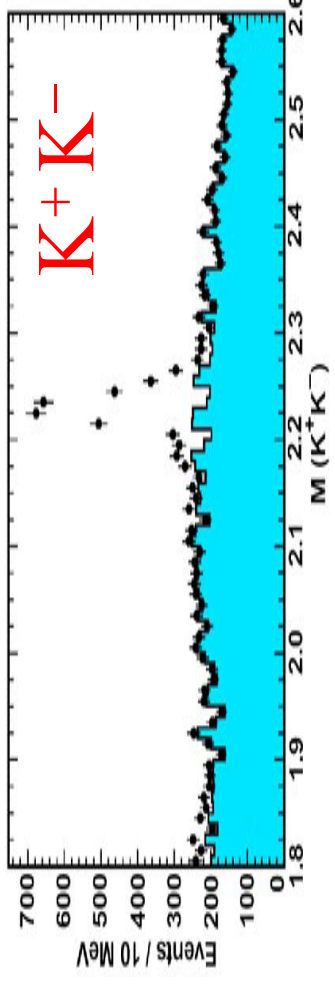
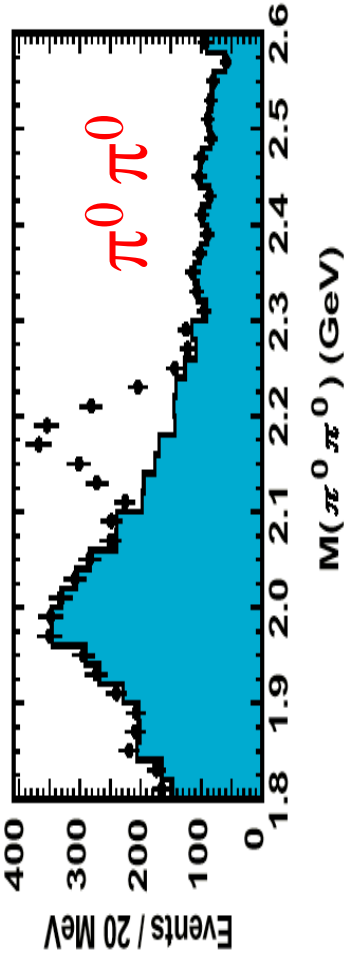
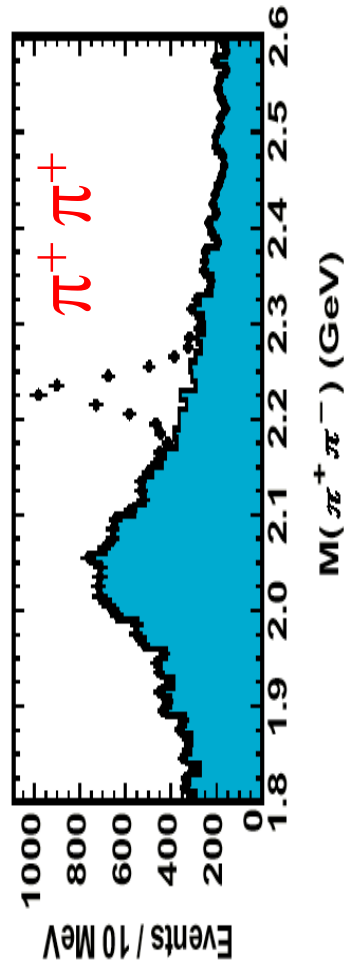
find the $f_J(2220)$!

(but not published)

Crystal barrel: $pp \rightarrow K^0_s K^0_s$



$f_J(2220)$ in CLEO-c?



	BES	CLEO-C
$\pi^+\pi^-$	74	32000
$\pi^0\pi^0$	18	13000
K^+K^-	46	18600
$K_S K_S$	23	5300
pp	32	8500
$\eta\eta$	—	5000

CLEO-c has *corroborating checks:*

2

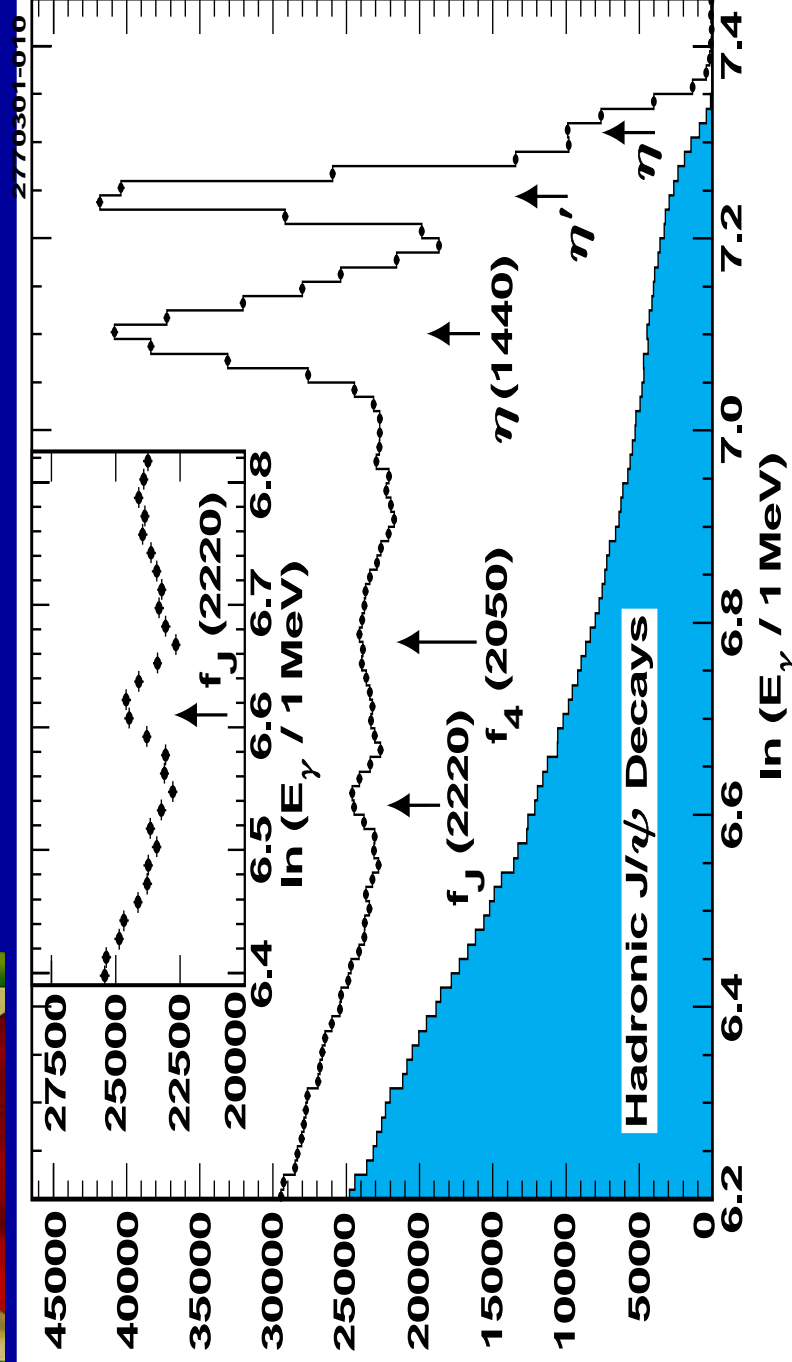
Anti-search in Two Photon Data: $\gamma\gamma \rightarrow f_J(2220)$:

3

- CLEO II: $\Gamma_{\gamma\gamma} B(f_J(2220) \rightarrow \pi\pi / K_S K_S) < 2.5(1.3) \text{ eV}$
- CLEO III: sub-eV sensitivity (new UL to appear ~1 week)
- Upsilononium Data: $\Upsilon(1S)$: Tens of events



Inclusive Spectrum $J/\psi \rightarrow \gamma X$



Inclusive photon spectrum a good place to search: monochromatic photons for each state produced

Unique advantages of CLEO-c

- + Huge data set
- + Modern 4π detector (Suppress hadronic bkg: $J/\psi \rightarrow \pi^0 X$)
- + Extra data sets for corroboration $\gamma\gamma$, $\chi(1S)$: Lead to Unambiguous determination of J^{PC} & gluonic content



Comparison with Other Expts

China:

BES II is running now.

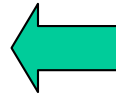
BES II --> BES III upgrade

BEPC I --> BEPC II upgrade, $\sim 10^{32}$

2 ring design at 10^{33} under consideration (workshop 10/01)

Physics after 2006? if approval & construction goes ahead.

being proposed



BES III
complementary
to CLEO-c if
new detector is
Comparable

Quantity	BES II	CLEO-C
J/psi yield	50M	> 1000M
dE/dx res.	9%	4.9%
K/pi separation up to momentum res. (500Mev)	600 MeV	1500 MeV
Photon resolution (100 Mev)	1.3%	0.5%
Photon resolution (1000 Mev)	70 MeV	4 MeV
Minimum Photon Energy	220 MeV	21 MeV
Solid angle for Tracking	80%	30 MeV
		94%

HALL-D at TJNAL (USA)

γp to produce states with exotic Quantum Numbers

Focus on light states with $J^{PC} = 0^{+-}, 1^{+-}, \dots$

Complementary to CLEO-C focus on heavy states with $J^{PC} = 0^{++}, 2^{++}, \dots$

Physics in 2009?

+ HESR at GSI Darmstadt $\bar{p} p$ complementary, being proposed, physics in 2007?



Current Status of exotic QCD bound states

- Experimental
 - Far from clear! Looks messy now due to insufficient statistics
 - List of “glue ball” suspects
 - $\eta(1400)$ region
 - $f_0(1500)$
 - $f_J(1710)$
 - $\xi(2220)$ or $f_J(2220)$
 - Experimental results are contradictory
 - Sorting it out will be challenging!



Run Plan

Resonance	On	Off	Scan	Total	Physics
Y(3S)	1.0	0.1	0.1	1.2	$\Gamma_{ee} B_{\mu\mu}$ Triplet $D \eta_b h_b \rightarrow \eta_b \gamma \chi_b$
Y(2S)	1.0	0.1	0.1	1.2	$\Gamma_{ee} B_{\mu\mu}$
Y(1S)	0.5	0.1	0.1	0.7	$\Gamma_{ee} B_{\mu\mu} \Gamma_{ee} B_{\mu\mu} \chi_b$
Total	2.5	0.3	0.3	3.1	

The core run plan is intended to provide as much physics as possible with ~70% of the expected luminosity

Comments on Acp at FOCUS

- Since D^+ and D^- (and similarly \bar{D}^0 and D^0) are not produced in equal numbers in FOCUS and E791, these experiments normalize all asymmetries to some known Cabibbo favored mode.

For example: $A_{CP}(KK\pi) = \frac{\eta(D^+) - \eta(D^-)}{\eta(D^+) + \eta(D^-)}$

where $\eta(D^\pm) = \frac{N(D^\pm \rightarrow K^\mp K^\pm \pi^\pm)}{N(D^\pm \rightarrow K^\mp \pi^\pm \pi^\pm)}$

- e^+e^- experiments need to worry about, A_{+-} , A_{FB}



Experimental constraints on y at Threshold

1. Time-dependent analyses are not possible, Are time dependent Analyses possible?
 Semileptonic: quadratic in x, y : not so sensitive wanted: **linear in x or y**
2. Recall: (quantum-mechanically) **entangled** initial state

$$\left| D^0 \bar{D}^0 \right\rangle_L = \frac{1}{\sqrt{2}} \left[\left| D^0(k_1) \bar{D}^0(k_2) \right\rangle + (-1)^L \left| D^0(k_2) \bar{D}^0(k_1) \right\rangle \right]$$

angular momentum ($L=1$ for $\psi(3770)$)

... so one can measure decay rates for CP-tagged decays

$$R_\sigma^L = \frac{\Gamma[\psi_L \rightarrow (D \rightarrow [CP]_\sigma)(D \rightarrow XI \nu)]}{Br(D^0 \rightarrow XI \nu) \Gamma[\psi_L \rightarrow (D \rightarrow [CP]_\sigma)(D \rightarrow X)]}$$

angular momentum

CP quantum number

... which implies for y

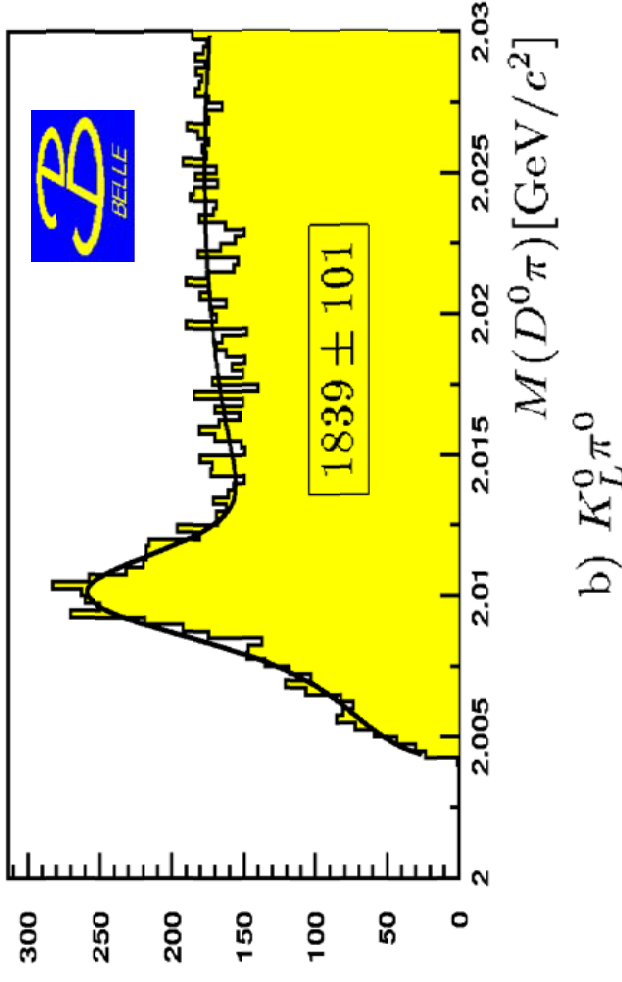
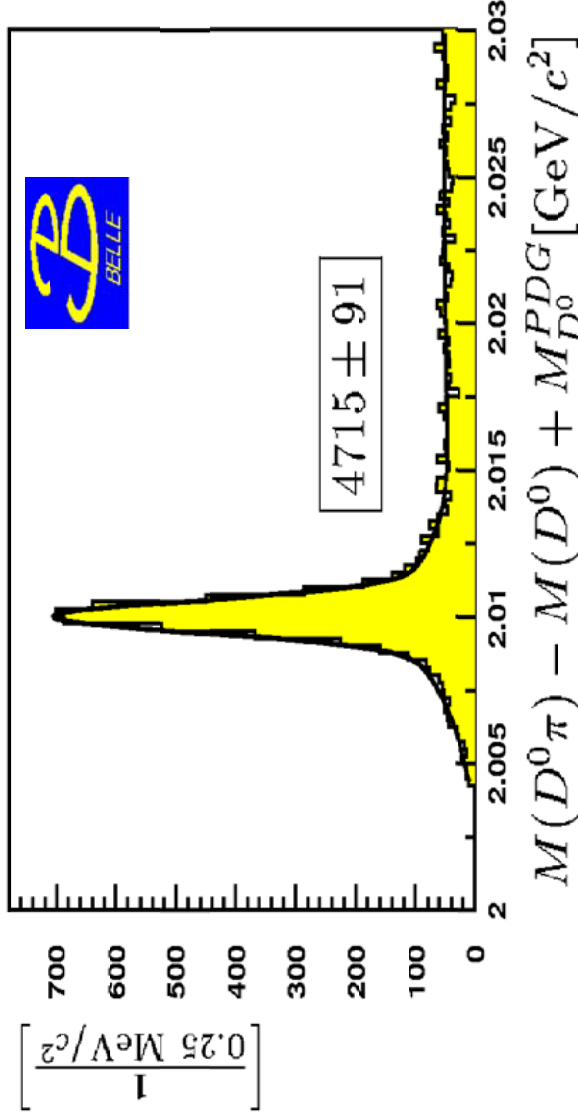
$$y \cos \phi = (-1)^L \sigma \frac{R_\sigma^L - 1}{R_\sigma^L}$$

Separately sensitive to y ; $\phi=0$ in the Standard Model

D. Atwood, A.A. Petrov, hep-ph/0207165

$\delta_{K\pi}$ From $D^0 \rightarrow K^0 \pi^0$

First measurement!



- Measurement of ratio of D^0 rates into $K_L^0 \pi^0$ and $K_S^0 \pi^0$ can be used to disentangle the CF and DCS amplitudes:

- K_L^0 content of K^0 and K^0 is equal

- K_L^0 content of K^0 and K^0 is opposite in sign to K_S^0

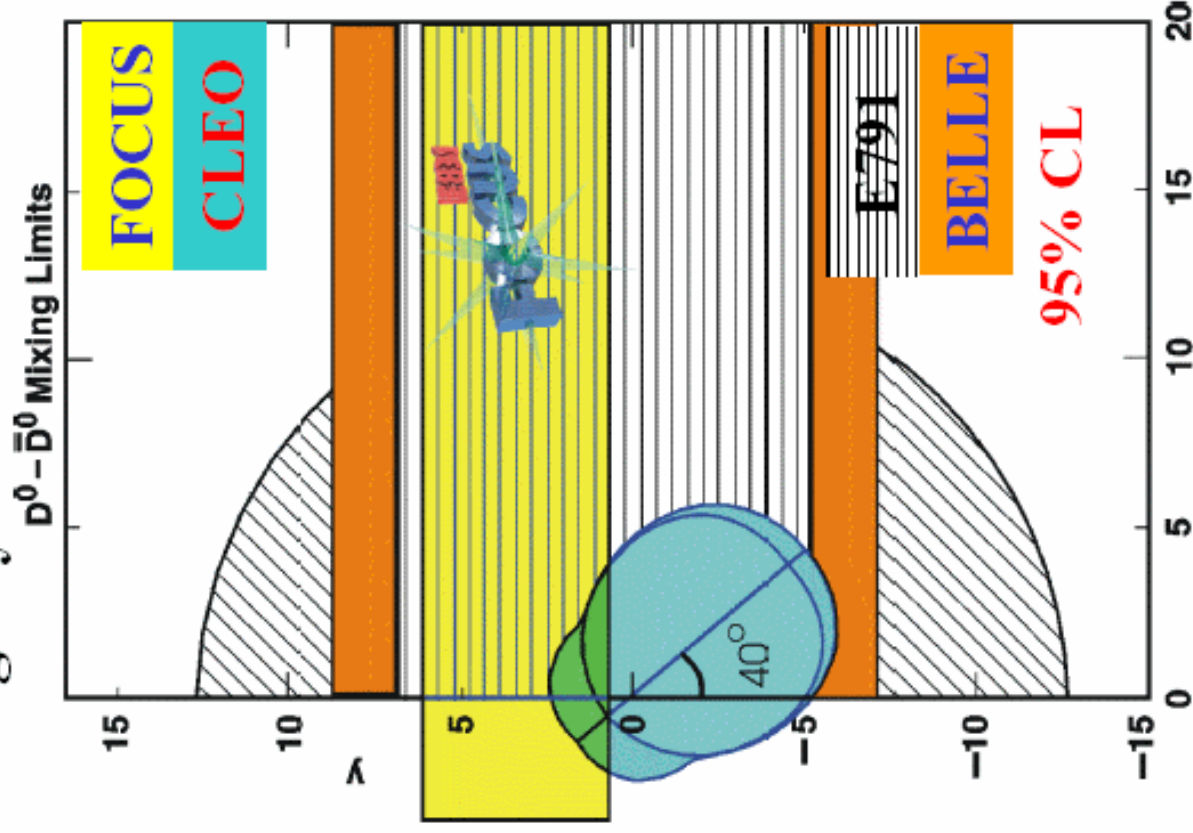
- Get DCS rate from interference between the two

$$A = \frac{\Gamma(D^0 \rightarrow K_S^0 \pi^0) - \Gamma(D^0 \rightarrow K_L^0 \pi^0)}{\Gamma(D^0 \rightarrow K_S^0 \pi^0) + \Gamma(D^0 \rightarrow K_L^0 \pi^0)} = 0.06 \pm 0.05 \pm 0.05$$

Very important measurement!

Uncertainty still too large to limit d_{K^0} , but very interesting with 500 fb⁻¹

Phase ambiguity



What if $\delta = 40^\circ$, the estimated maximum of the model of *Falk, Nir & Petrov (99)*? We see **some overlap...**

CLEO and FOCUS would be more consistent if $\delta > 90^\circ$...

Bergmann, Grossman et al(00).

My opinion: the errors are too large to draw any strong Conclusion at this time.