

# STERILE NEUTRINOS: THEORY

— x —

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WIN 2002

1. PHENOMENOLOGICAL STATUS
2.  $m_\nu$  AND NEW SYMMETRIES  
⇒ LEFT-RIGHT SYM.
3. ULTRA LIGHT  $\nu_s$  AND MORE  
NEW SYMMETRIES:  
⇒ MIRROR WORLD

1. WHY INTRODUCE A  
STERILE NEUTRINO?

$$\Delta m_{\odot}^2 + \Delta m_{\text{ATM.}}^2 + \Delta m_{\text{LSND}}^2$$

$\neq 0$

AS WOULD BE FOR 3  $\nu$ 'S.

$$\Rightarrow \nu_e, \nu_{\mu}, \nu_{\tau} \oplus \nu_s$$

ACTIVE

STERILE

$\Rightarrow$  ALL SUPER-LIGHT  
( $m \lesssim \text{eV}$ ).

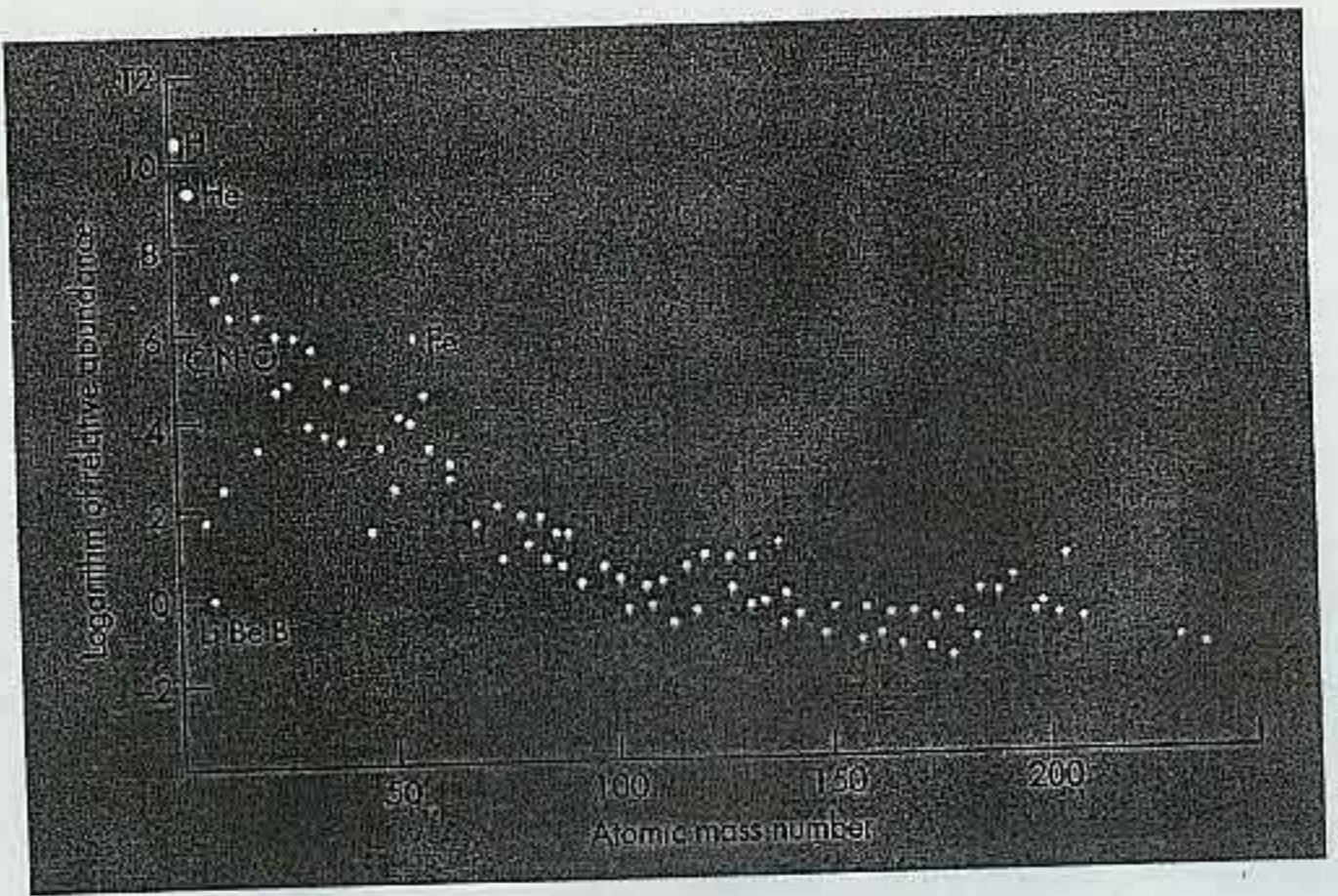
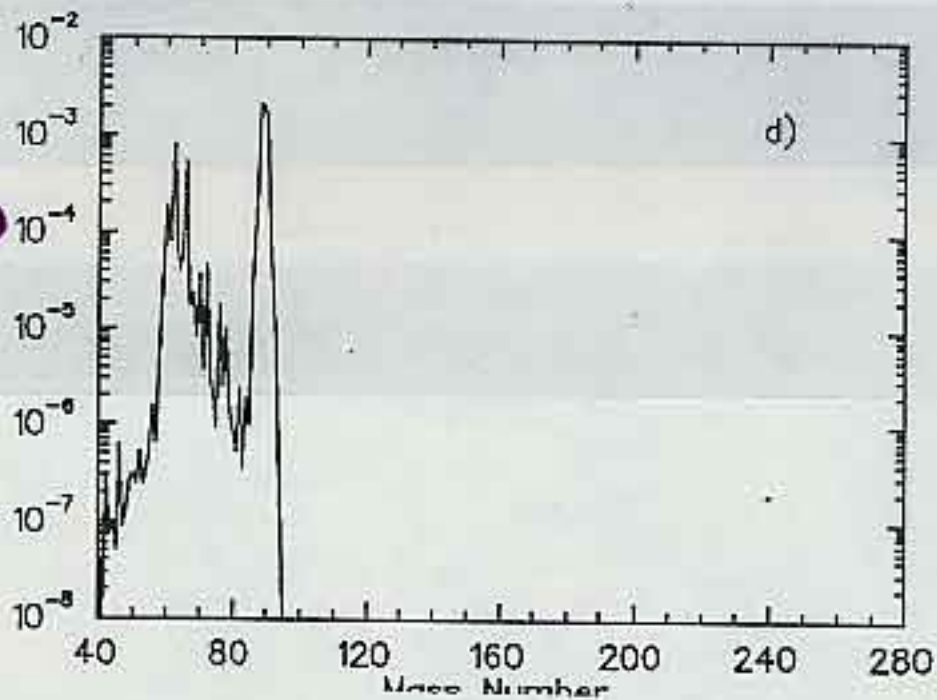


Figure 16.5 Relative Abundances of Atomic Nuclei

The abundances of naturally occurring atomic nuclei are highest for hydrogen and helium. Lithium, beryllium, and boron evidently form an anomalous grouping. Like deuterium, these three elements are very fragile, being destroyed rather than created in stars, and lithium may have been produced in the big bang. Note the relative prominence of iron among the heavier elements.

PREDICTED  
IN STD.  
MODEL

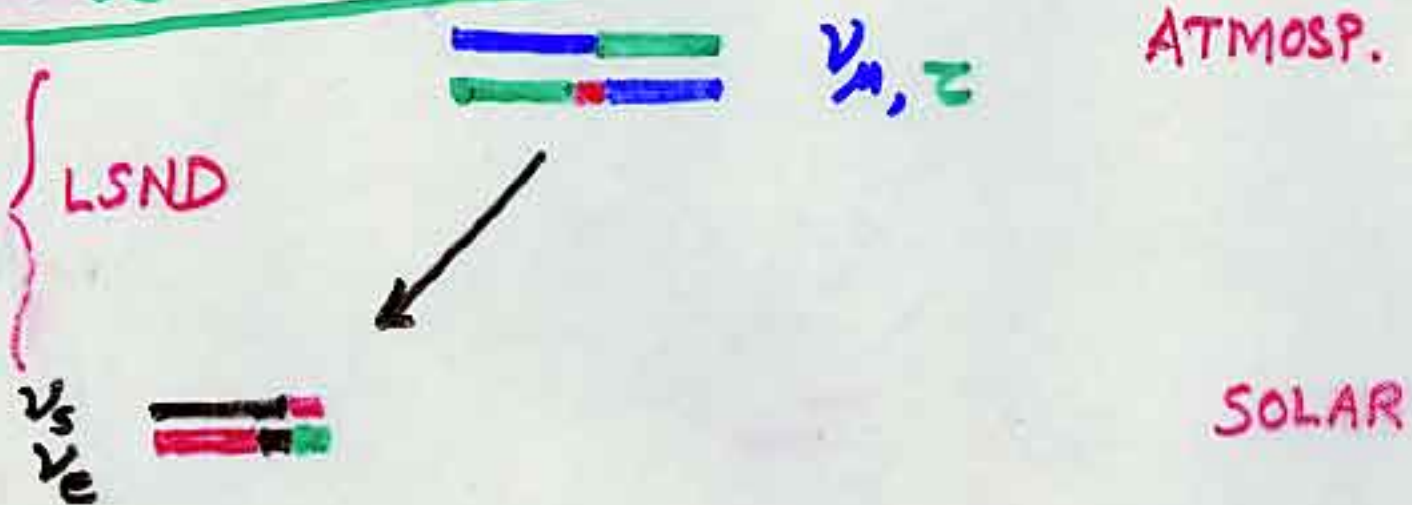


$\alpha$ -PROBLEM

## 2. PHENOMENOLOGY:

• MASS PATTERNS:

• 2+2 SCENARIO:

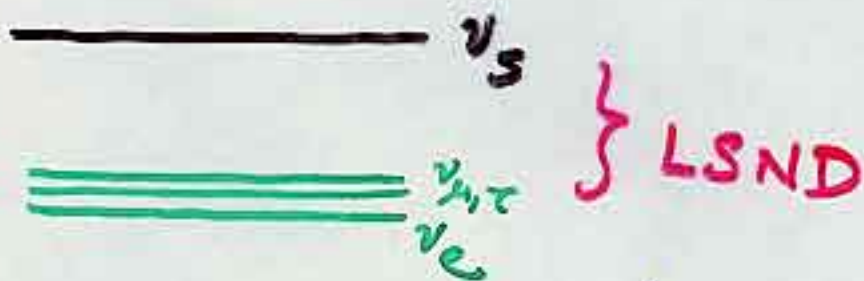


CALDWELL, R.N.M.;  
PELTONIEMI, VALLE  
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$$\Rightarrow m_{\nu_s} \sim 10^{-3} \text{ eV}$$

# 3 + 1 SCHEME :

MCGLAUGHLIN, BALATENKIN,  
FULLER, ...  
BILENKY, GRIMUS, GIUNTI,  
SCHWETZ  
KAYSER, BARGER, LEARNS  
WEIER, WHISNANT.



$$m_{\nu_s} \sim eV$$

→ FLAT ENERGY DISTRIBUTION  
SUGGESTED BY SNO AND SK  
SUPPORTS BIMAXIMAL MIXING.

$$U = \begin{pmatrix} c & s & 0 \\ \frac{s}{\sqrt{2}} & \frac{c}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{s}{\sqrt{2}} & \frac{c}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

# STATUS OF $\nu_s$ AFTER SNO

## SNO - SK GAP

⇒ PURE  $\nu_e - \nu_s$  EXPLANATION OF  $\nu_\odot$  PUZZLE RULED OUT.

• A HYBRID SOLUTION STILL VIABLE FOR 2+2 CASE

OR

• 3+1 OK FOR SPECIFIC  $\Delta m_{LSND}^2$

- SPECIAL APPEAL IS:

BIMAXIMAL FOR  $\nu_{e,\mu,\tau}$  FITS NICELY

GRIMUS, SCHWETZ;  
MALTONI, SCHWETZ,  
VALF;

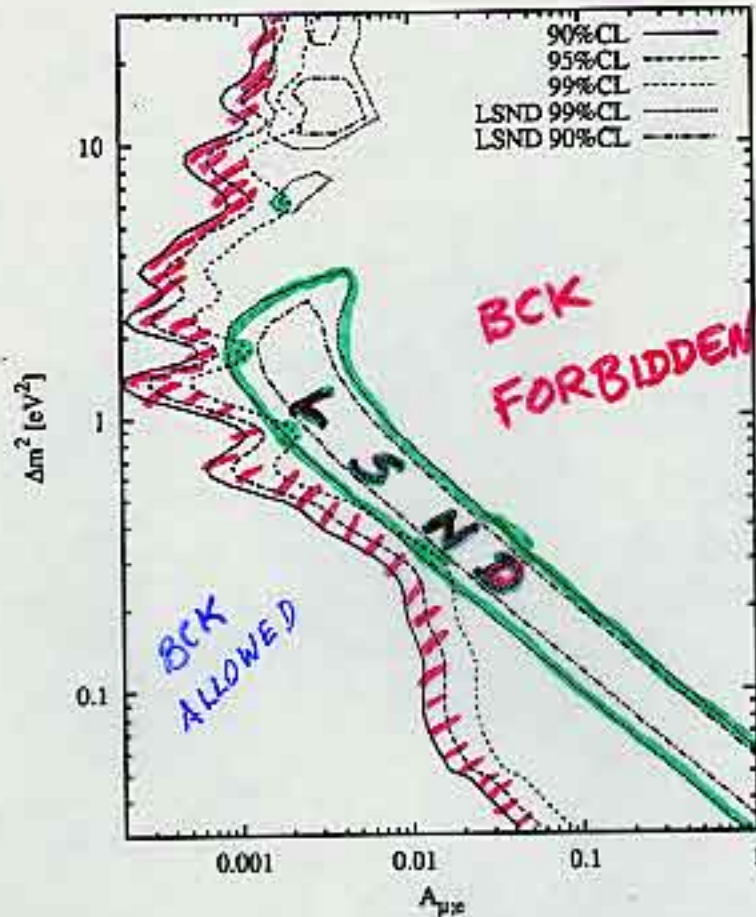


Figure 1: Upper bounds on the transition amplitude  $A_{\mu;e}$  in the case of (3+1)-mass spectra for 90%, 95% and 99% CL. These bounds have been calculated with the maximum likelihood approach for the inclusion of the atmospheric up-down inequality (12) and the CHOOZ inequality (23) as described in Section 3.2. Also shown are the regions allowed by the latest LSND results [6] at 90% and 99% CL.

BUGEY, CDHS, KARMEN COMBINED.

(BCK)

(ALLOWED FOR SOME VALUES  
OF  $\Delta m^2_{\text{LSND}}$ ).

# HYBRID 2+2 SCENARIO

$$U = \begin{matrix} & \begin{matrix} e & s & \mu & \tau \end{matrix} \\ \begin{matrix} e \\ s \\ \mu \\ \tau \end{matrix} & \begin{pmatrix} U_{ee} & U_{es} & 0 & 0 \\ U_{se} & U_{ss} & U_{s\mu} & U_{s\tau} \\ U_{\mu e} & U_{\mu s} & U_{\mu\mu} & U_{\mu\tau} \\ U_{\tau e} & U_{\tau s} & U_{\tau\mu} & U_{\tau\tau} \end{pmatrix} \end{matrix}$$

$\equiv \nu_{\mu, \tau}$   
 $\equiv \nu_s$   
 $\nu_e$

SUM RULE PROBLEM ?

IF  $U_{\mu 1} = U_{\mu 2} = 0$  ;  $\eta_s = \frac{\nu_s}{\nu_s + \nu_a}$  at  $e = 0$   
 $\mu$  AT.

$$\Rightarrow \eta_s^{\odot} + \eta_s^{\text{ATM}} = 1 \quad (?)$$

PEREZ, SMIRNOV.

SK:  $\eta_s^{\text{ATM}} < 25\%$

SNO:  $\eta_s^{\odot} < 75\%$

SLIGHT  $U_{\mu 1}, U_{\mu 2}$  EFFECTS SUMRULE  
 A LOT !! SO 2+2 IS OK.



WITH  $U_{\mu_1}, U_{\mu_2} \neq 0$  AND  $U_{s_3}, U_{s_4} \neq 0$ ,  
2+2 PERFECTLY CONSISTENT  
WITH DATA:

GONZALEZ-GARCIA, MALTONI,  
PENAGARAY

SOLAR:  $\nu_e \rightarrow c_{23}c_{24} \nu_s + \sqrt{1 - c_{23}^2 c_{24}^2} \nu_{\mu, \tau}$  (01).

$$U_{s_3}^2 + U_{s_4}^2 = \sqrt{1 - c_{23}^2 c_{24}^2}$$

$$c_{23}c_{24} \downarrow$$

SOLAR FITS  
BETTER !!

$$c_{23}c_{24} \uparrow$$

ATMOS. FITS  
BETTER

MIN.  $\chi^2$  FOR  $c_{23}^2 c_{24}^2 \approx 0.2$   
-0.4

# SOLAR

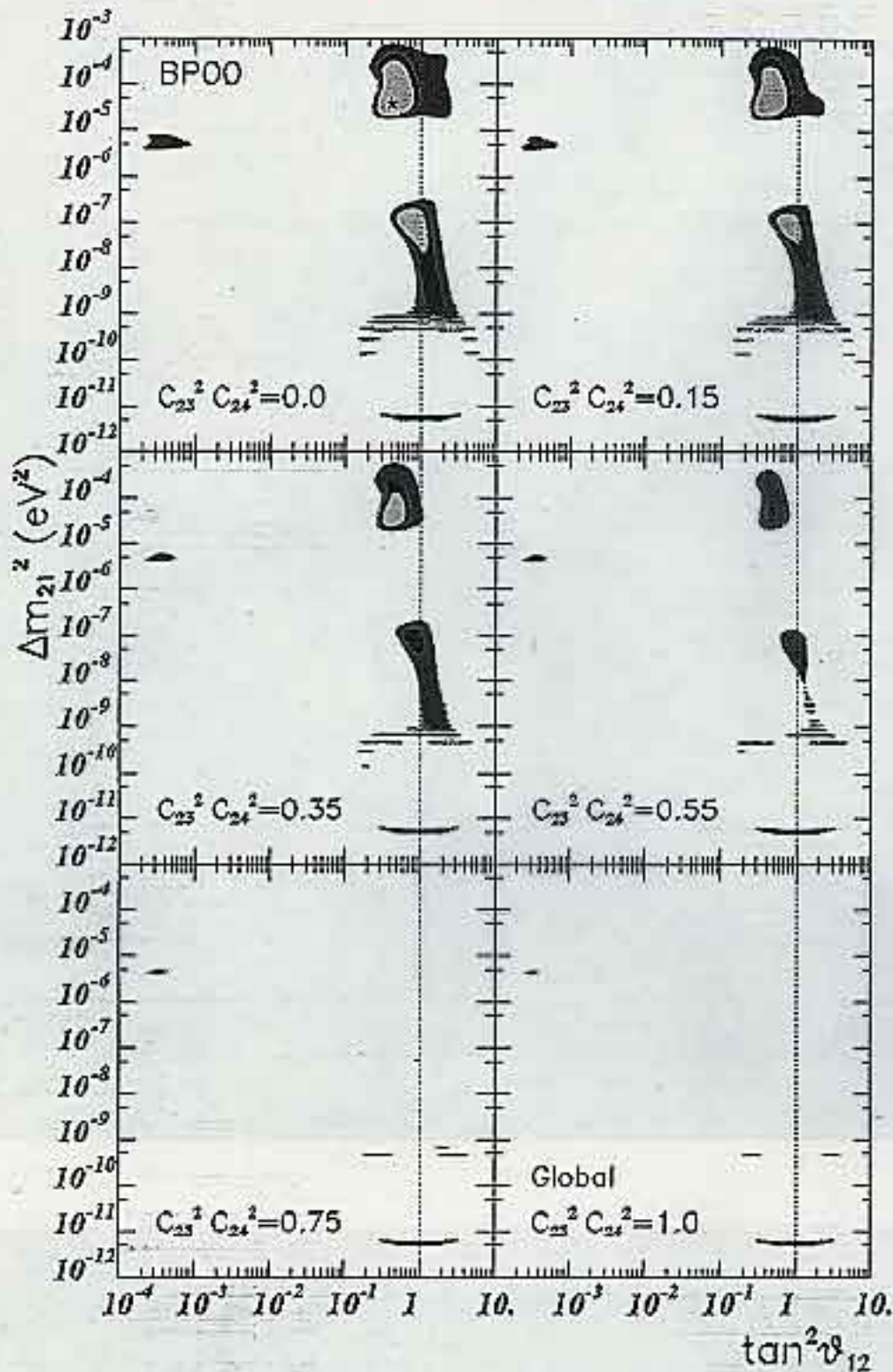


FIG. 2. Results of the global analysis of solar neutrino data for the allowed regions in  $\Delta m_{21}^2$  and  $\tan^2 \theta_{12}$  for the four-neutrino oscillations. The different panels represent sections at a given value of the active-sterile admixture  $|U_{s1}|^2 + |U_{s2}|^2 = c_{23}^2 c_{24}^2$  of the three-dimensional allowed regions at 90%, 95% and 99% CL. The best-fit point in the three-parameter space is plotted as a star.

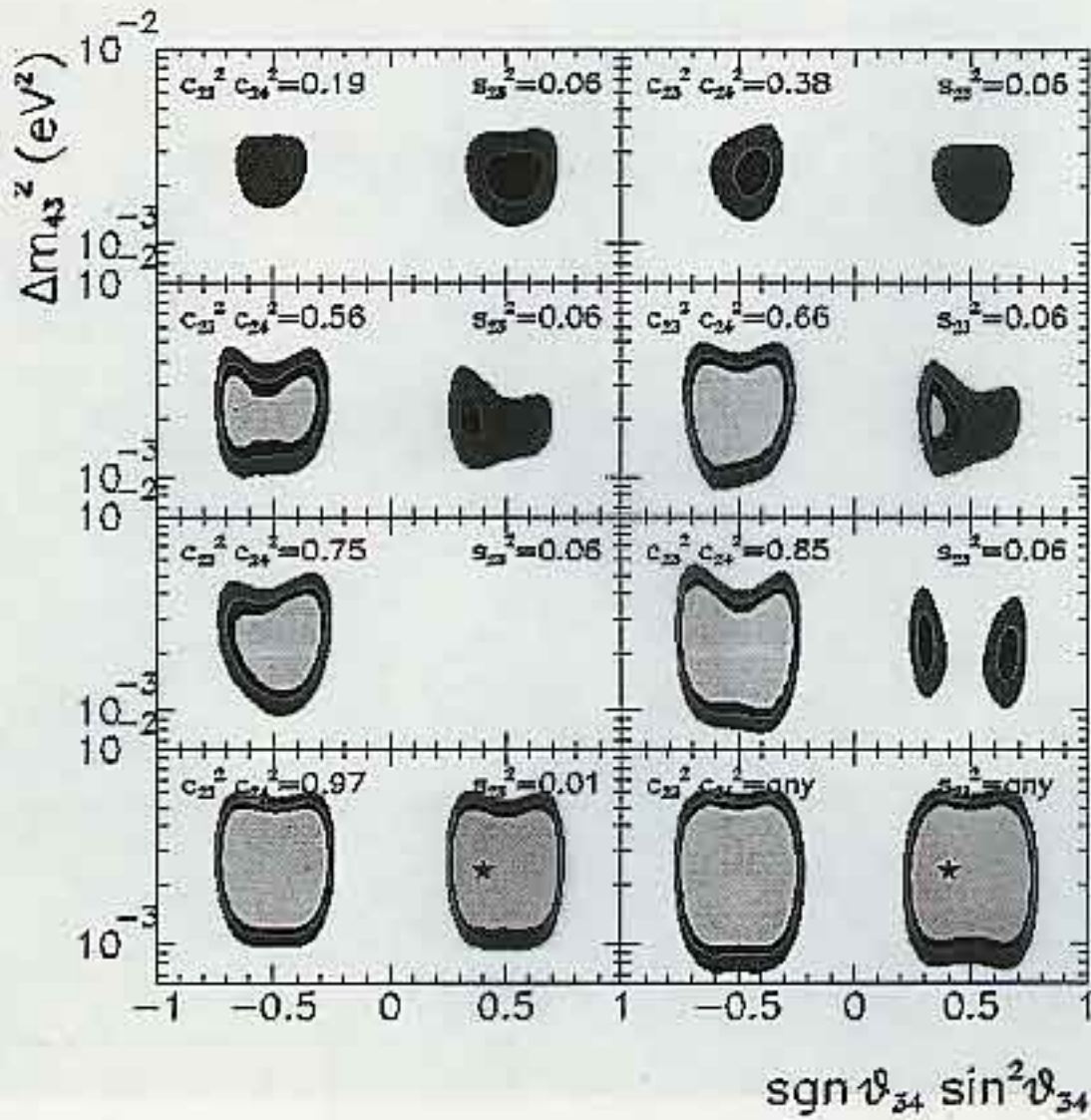


FIG. 4. Results of the analysis of atmospheric neutrino data for the allowed regions in  $\Delta m_{43}^2$  and  $\theta_{34}$  for the four-neutrino oscillations. The different panels represent sections at given values of the  $\nu_\mu$  projection  $|U_{\mu 1}|^2 + |U_{\mu 2}|^2 = s_{23}^2$  and the active-sterile admixture  $|U_{s1}|^2 + |U_{s2}|^2 = c_{23}^2 c_{24}^2$  of the four-dimensional allowed regions at 90%, 95% and 99% CL. The best-fit point in the four-parameter space is plotted as a star. The last panel corresponds to the case in which  $\chi^2$  has also been minimized with respect to  $s_{23}^2$  and  $c_{23}^2 c_{24}^2$ .

# THEORY:

$m_\nu \neq 0$  AND SMALL IS A  
NEW WINDOW TO NEW SYMMETRY  
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$$m_{\nu_{e,\mu,\tau}} \ll m_l$$

$\Rightarrow$  ADD  $V_{e,\mu,\tau} R$

(i) SMALL  $m_{\nu_{e,\mu,\tau}}$

$\Rightarrow$  NATURE LEFT-RIGHT SYM  
AT HIGH ENERGIES.

(ii) BIMAXIMAL  $\Rightarrow$

$$L_e - L_\mu - L_\tau$$

(iii) LIGHT STERILE

$\Rightarrow$  MIRROR WORLD

# STD MODEL (NO $\nu_R$ )

$SU(2)_L \times U(1)_Y$  LOCAL SYM.

$$\begin{pmatrix} u_L \\ d_L \end{pmatrix}; u_R; d_R; \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}; e_R;$$

$$m_\nu = 0.$$

## ADD $\nu_R$

$$\begin{pmatrix} u_L \\ d_L \end{pmatrix} \xleftrightarrow{P} \begin{pmatrix} u_R \\ d_R \end{pmatrix}; \begin{pmatrix} \nu_L \\ e_L \end{pmatrix} \leftrightarrow \begin{pmatrix} \nu_R \\ e_R \end{pmatrix}$$

• NEW LOCAL SYM:

$$SU(2)_L \times SU(2)_R \times U(1)_{B-L}$$

• ALL INT. CONSERVE PARITY  
FOR  $E \Rightarrow M_{\mathbb{Z}}$

$$W_L^\pm, Z; W_R^\pm, Z'; \gamma$$

• WHY LOW ENERGY V-A ?

• WHY  $m_\nu \ll m_{l,q}$

$$\mathcal{L}_{WR} = \frac{g}{2\sqrt{2}} \left( \vec{J}_{\mu L} \cdot \vec{W}_L^\mu + \vec{J}_{\mu R} \cdot \vec{W}_R^\mu \right)$$

$$M_{W_R, Z_R} \gg M_{W_L, Z_L} \Rightarrow V-A$$

## SYM. BREAKING

$$SU(2)_L \times SU(2)_R \times U(1)_{B-L}$$

$$\begin{pmatrix} \nu_L & \nu_R \\ 0 & 0 \\ 0 & M_R \end{pmatrix}$$

$$\downarrow M_{WR}$$

$$SU(2)_L \times U(1)_Y \quad Y \equiv \frac{I_{3R}}{2} + \frac{B-L}{2}$$

$$\begin{pmatrix} \nu_L & \nu_R \\ - & m_0 \\ m_2 & M_R \end{pmatrix}$$

$$\downarrow U(1)_{em}$$

$$m_\nu \approx \frac{f k^2}{M_R} - \frac{m_0^2}{M_R} \ll m_l; \text{SEESAW}$$

Gell-Mann, Ramond, Slansky, Yanagida, R.N.M., Senjanovic '79

- MORE DETAILS CAN REVEAL MORE NEW SYMMETRIES:

## EX. BIMAXIMAL MIXING

$$m_\nu \equiv \begin{pmatrix} 0 & m_1 & m_2 \\ m_1 & \delta & 0 \\ m_2 & 0 & 0 \end{pmatrix}$$

$$\delta \ll m_{1,2}$$

$$\delta = 0$$

⇒ NEW SYM. OF LEPTONS

$$L_e - L_\mu - L_\tau$$

# PREDICTIONS:

## 1. INVERTED SPECTRUM

SOLAR  $\equiv$   
 $\Delta m_{23}^2 > 0$

2.  $U_{e3} \approx \frac{\Delta m_{\theta}^2}{\Delta m_A^2}$

$U_{e3}$  PROBES HOW  $L_e - L_{\mu} - L_{\tau}$   
IS BROKEN !!

3.  $\sin^2 2\theta_{\theta} \approx 1 - \frac{1}{2} \frac{\Delta m_{\theta}^2}{\Delta m_{ATM}^2}$



# $\nu_s$ AND MORE NEW

## SYMMETRIES:

### MIRROR UNIVERSE MODEL

OUR WORLD

$W^\pm, Z, \gamma, \dots$

$u, d, e, \nu \dots$

MIRROR WORLD

$W^\pm', Z', \gamma', \dots$

$u', d', e', \nu', \dots$



“STERILE UNIVERSE”

## TWO VERSIONS:

FOOT & VOLKAS '95

SYMMETRIC

$$M_{W,Z} = M_{W',Z'}$$

BEREZHIANI, R.N.M. '95

ASYMMETRIC

$$M_{W',Z'} \approx (10-20) M_{W,Z}$$
$$M_{q',l'} \approx (10-20) M_{q,l}$$

**BOTH VERSIONS PREDICT ULTRALIGHT STERILE  $\nu$ 's:**

$\nu_e, \nu_\mu, \nu_\tau$

LIGHT DUE TO B-L



$\nu_e', \nu_\mu', \nu_\tau'$

LIGHT DUE TO B'-L'

# BIG PICTURE:

STD  
MODEL

$\oplus$

(STD  
MODEL)



$\mu \gg M_{WL}$

LEFT-RIGHT

FOR SEESAW

$\oplus$

(LEFT-RIGHT)

$(L_e - L_\mu - L_\tau)$

$\times (L'_e - L'_\mu - L'_\tau)$

$\Rightarrow$

$3 + 1$

OR

$(L_e + L_\mu - L_\tau)$

$\times (L'_e + L'_\mu - L'_\tau)$

$\Rightarrow$

$2 + 2$

(BABU, RNM  
'01, '02)

# A CONCRETE MODEL FOR

## HYBRID 2+2 SCENARIO:

K.S. BABU & R.N.M.  
'01.

### MIRROR MODEL

$$+ (L_e + L_\mu - L_\tau) \otimes (L'_e + L'_\mu - L'_\tau)$$

$$(L_e + L_\mu - L_\tau - L'_e)$$

$$\Rightarrow M_\nu = m_0 \begin{matrix} & \nu_e & \nu_\mu & \nu_\tau & \nu'_e \\ \begin{pmatrix} 0 & 0 & \epsilon_2 & \epsilon_1 \\ 0 & 0 & 1 & a \\ \epsilon_2 & 1 & 0 & 0 \\ \epsilon_1 & a & 0 & \delta \end{pmatrix} \end{matrix}$$

### 5-PARAMETER FOR ALL DATA:

- $a > 1 \gg \epsilon_{1,2}, \delta$

$$\Delta m_{\text{LSND}}^2 \approx m_0^2 (1 + a^2); \quad \theta_{\text{LSND}} \approx \epsilon$$

$$\Delta m_{\text{ATM}}^2 \Rightarrow \delta; \quad \Delta m_{\odot}^2 \Rightarrow \epsilon_2$$

$$\bullet U_{S1}^2 + U_{S2}^2 = C_{23}^2 C_{24}^2 \approx \frac{1}{1+a^2}$$

$$a \approx 1-2$$

(HYBRID SOLN.)

$$\eta_{\theta}^s \approx 0.2 - 0.5$$

## PREDICTIONS:

$$a) |U_{e3}^{\text{eff}}| \approx \theta_{\text{LSND}} \approx 0.02 - 0.03$$

b) A SUM RULE:

$$\Delta m_{\theta}^2 \cos 2\theta_{\theta} \approx \frac{\Delta m_{\text{AT.}}^2}{2\Delta m_{\text{LSND}}^2} \cdot \frac{1}{x(1-x)^2}$$

$$x = U_{S1}^2 + U_{S2}^2$$

$$c) \frac{NC}{RC} \approx 2.2 - 1.8 \quad (\text{STD LMA} \approx 3.5)$$

# A MIXING MATRIX

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = \begin{pmatrix} .91 & .4 & .016 & .016 \\ .016 & -.018 & .71 & .70 \\ -.29 & -.65 & .5 & .5 \\ .29 & .65 & .49 & .51 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

$$\Delta m_{\text{LSND}}^2 \approx .4 \text{ eV}^2$$

$$\Delta m_{\text{ATM}}^2 \approx 6 \times 10^{-3} \text{ eV}^2$$

$$\Delta m_{\text{O}}^2 \approx 2 \times 10^{-4} \text{ eV}^2$$



# CONCLUSION

1. PRESENT SOLAR  $\nu$

DATA DOES NOT RULE  
OUT THE STERILE  $\nu_s$ .

BOTH  $2+2$  &  $3+1$  OK,  
AND TESTABLE (i.e.  $\frac{N_e}{e_e}$ )

2. NEW SYMMETRIES ARE  
REVEALED BY MAXIMAL MIXING!

•  $3\nu$  BIMAXIMAL  $L_e - L_\mu - L_\tau$

•  $3+1$   $L_e - L_\mu - L_\tau \oplus$  MIRROR  
WORLD

•  $2+2$   $L_e + L_\mu - L_\tau \oplus$  MIRROR  
WORLD

3. MIRROR  $H'$  CAN BE A  
VIABLE DARK MATTER CANDIDATE.