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Atomic Force Microscopy Study of High Electric Field Breakdown Through Thin Oxide Layers on Copper

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Characterizing HV Breakdown

- HV breakdown and topography are linked
- A little history:

The UHV HV breakdown apparatus

Results - Raising the work function doesn't help

Degassed and Mechanically polished is good

E-P is not better than M-P

Dielectric coating raises the threshold, but...

- **What broke down anyway?**

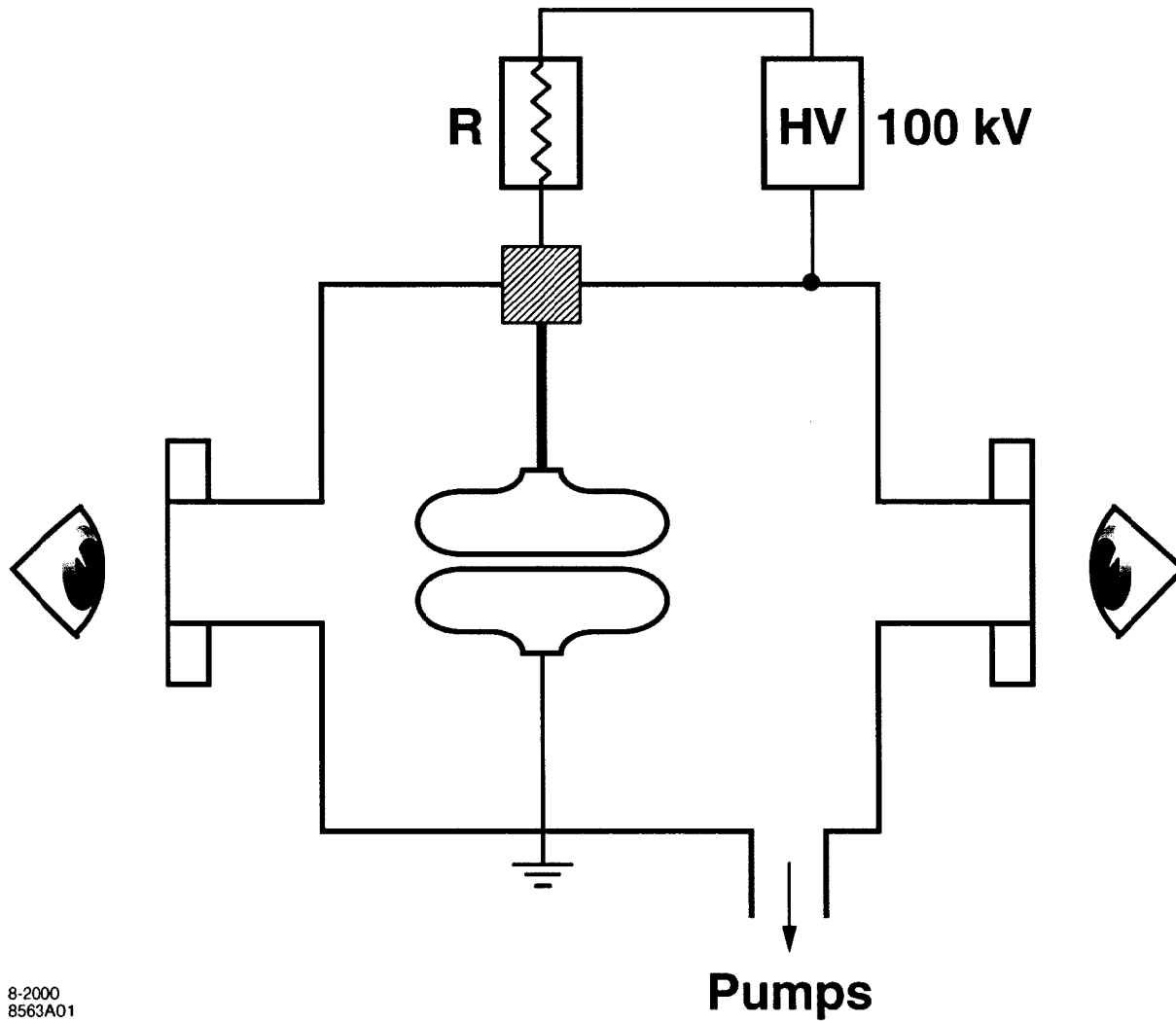
Multiple sites

Are some sites more important than others?

How can β be reduced?



High Voltage Breakdown System



8-2000
8563A01

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Hard Carbon-Coated S/S



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Microscopy - the “Topography”

- Optical

Useful for locating large sites

$M < 1000x$, poor vertical resolution

- SEM

Great resolution, wide magnification range

Poor contrast on smooth surfaces

Misleading images on dielectrics

Poor vertical resolution, vacuum only

- AFM/STM

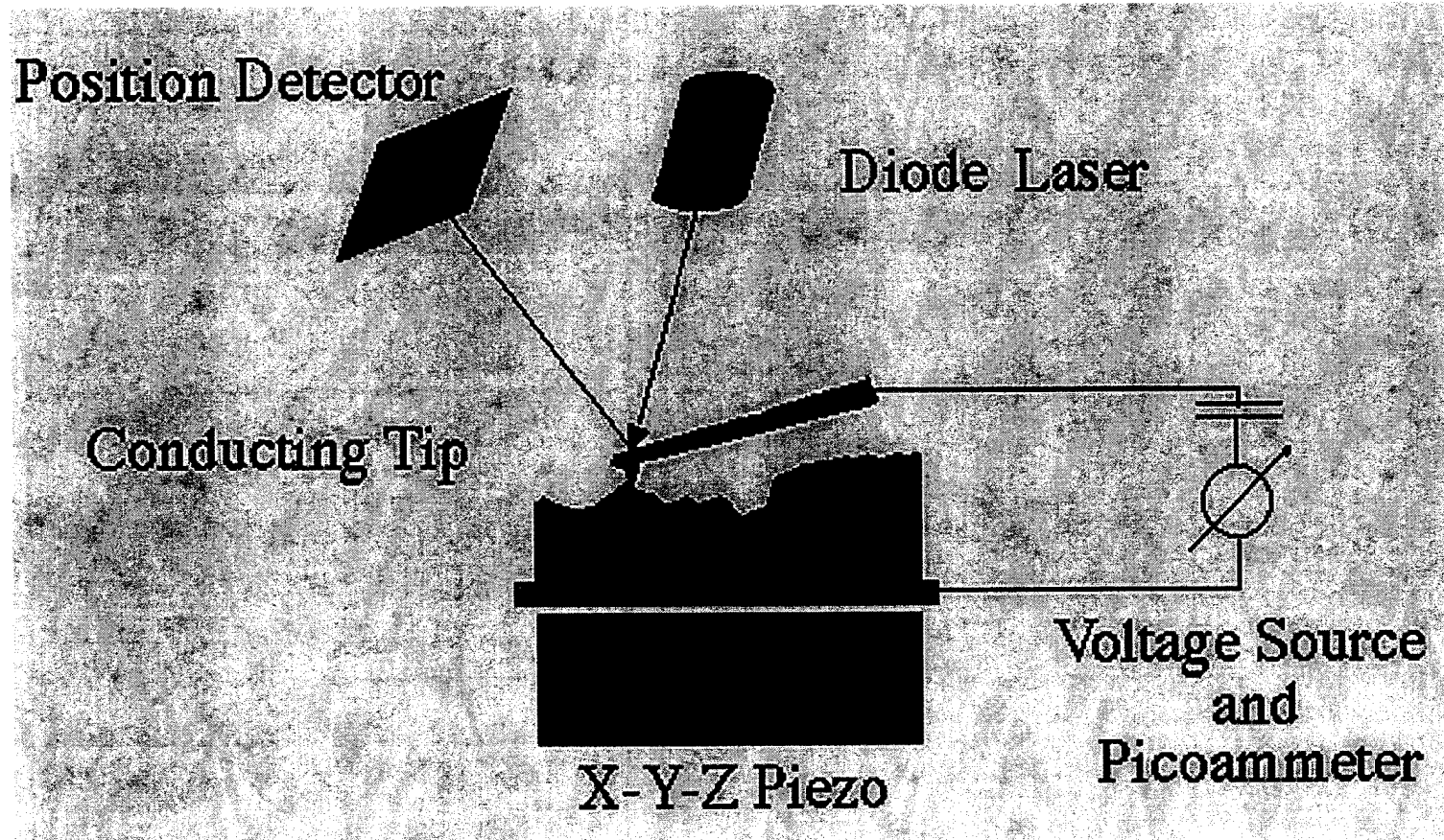
Excellent xyz topographic resolution, to 10 nm

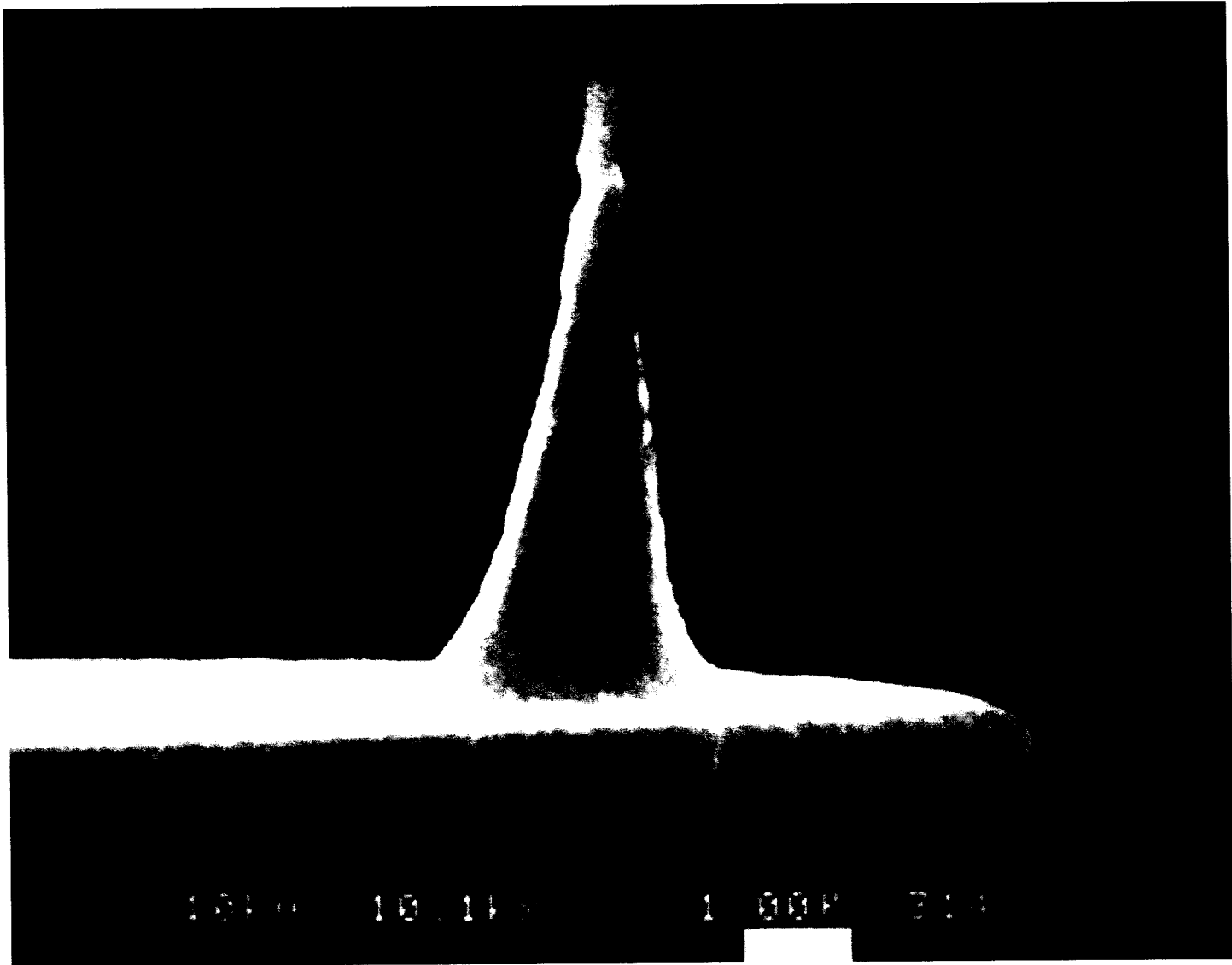
Ambient atmosphere

Small samples, but tip within 1-2 nm of the surface



High-Field Breakdown AFM





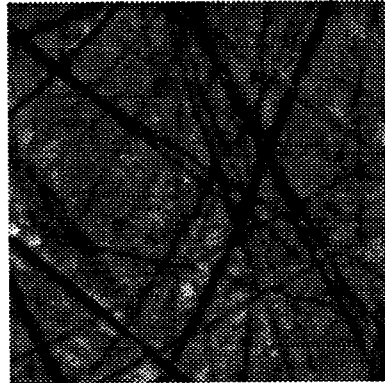
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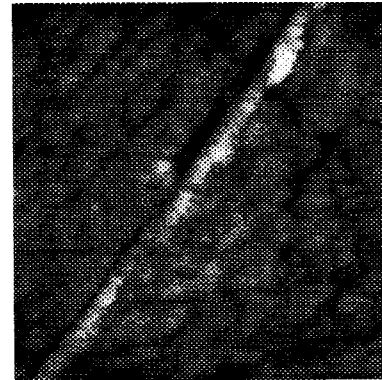
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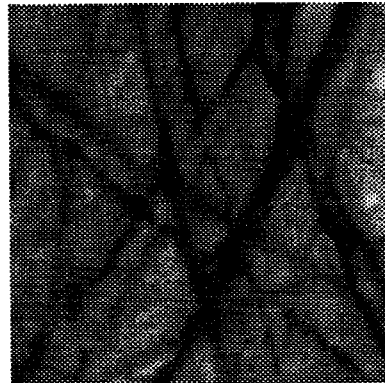
Atomic Force Microscope Imaging - Cu



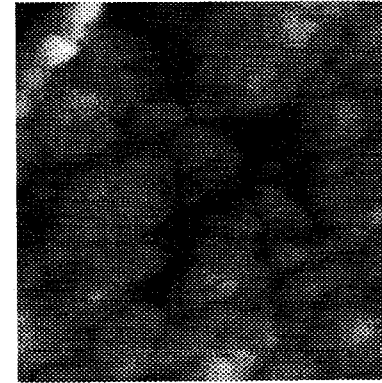
3.5 x 3.5 (μm)²



3.5 x 3.5 (μm)²



1.4 x 1.4 (μm)²



1.4 x 1.4 (μm)²

Before UPW Rinse

After UPW Rinse



Now Where?

-Can we do coatings, where many iterations (e.g., thickness) are involved, more quickly?

-But...we need topography identification for efficient determination of sites.

-And...could we be more surgical in choosing sites to measure before we attempt to F-N them (again efficiency).

-And...does UHV, or even vacuum, matter that much, to first order?



Putting It Together, AFM + F-N

- Generating the breakdown:

Electrical circuit

Tunneling

Breakdowns

- F-N plots at the sites:

Identify the sites at which breakdowns occur

Acquire an F-N plot

Make an AFM topographic image

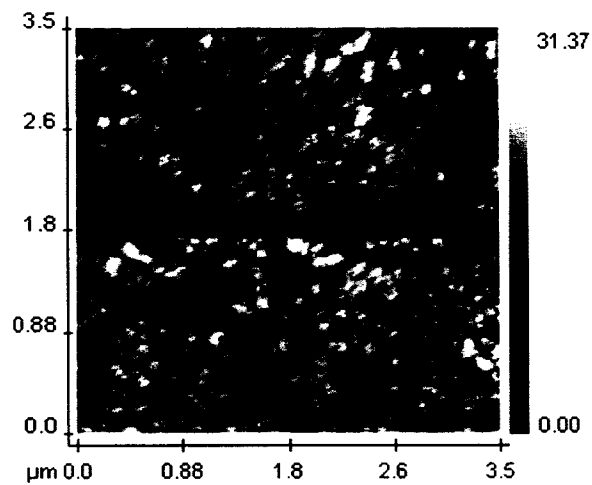
- Investigations:

Surface quality, processing, hardness

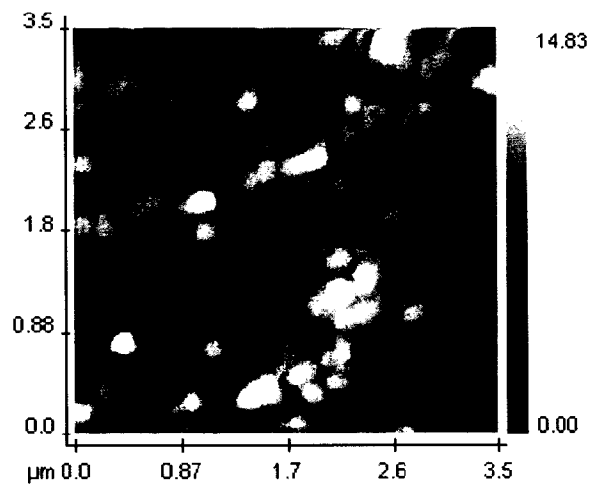
**Material quality - inclusions, dislocations,
boundaries, dielectric coatings**



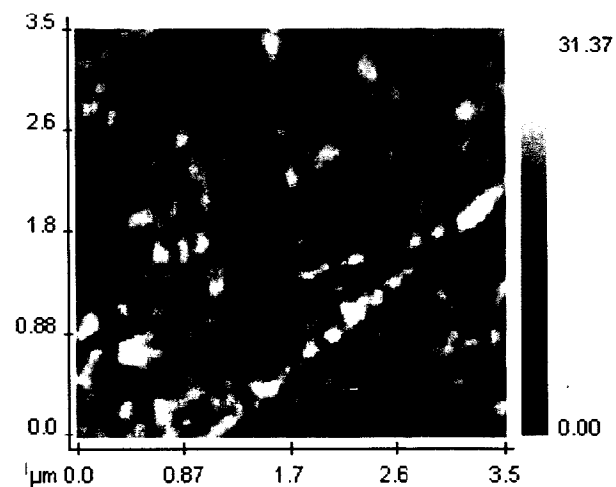
AFM Images - Copper



Clean OFE Cu



2.5nm Al₂O₃/Cu

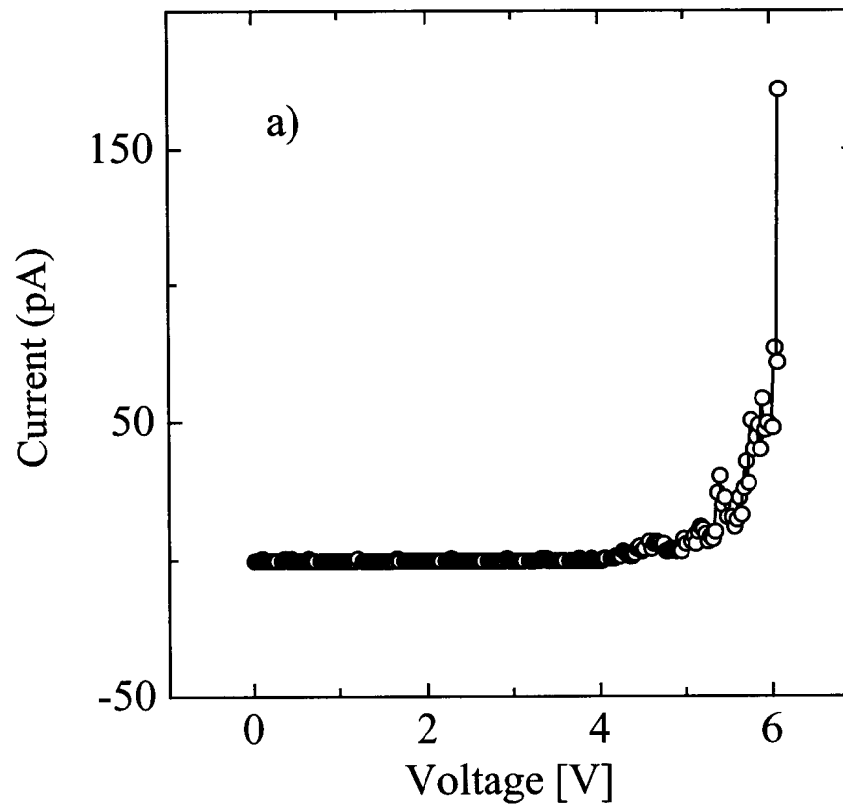


2.5nm Al₂O₃ /10nm Pt/Cu



Native Oxide/OFE Copper

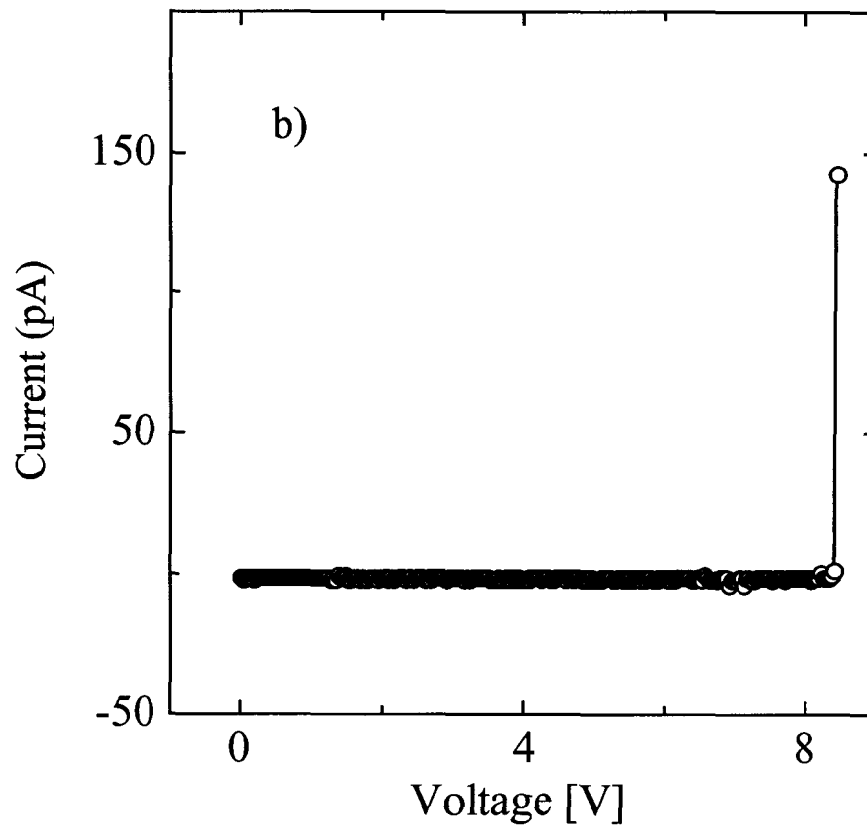
“Tunneling”





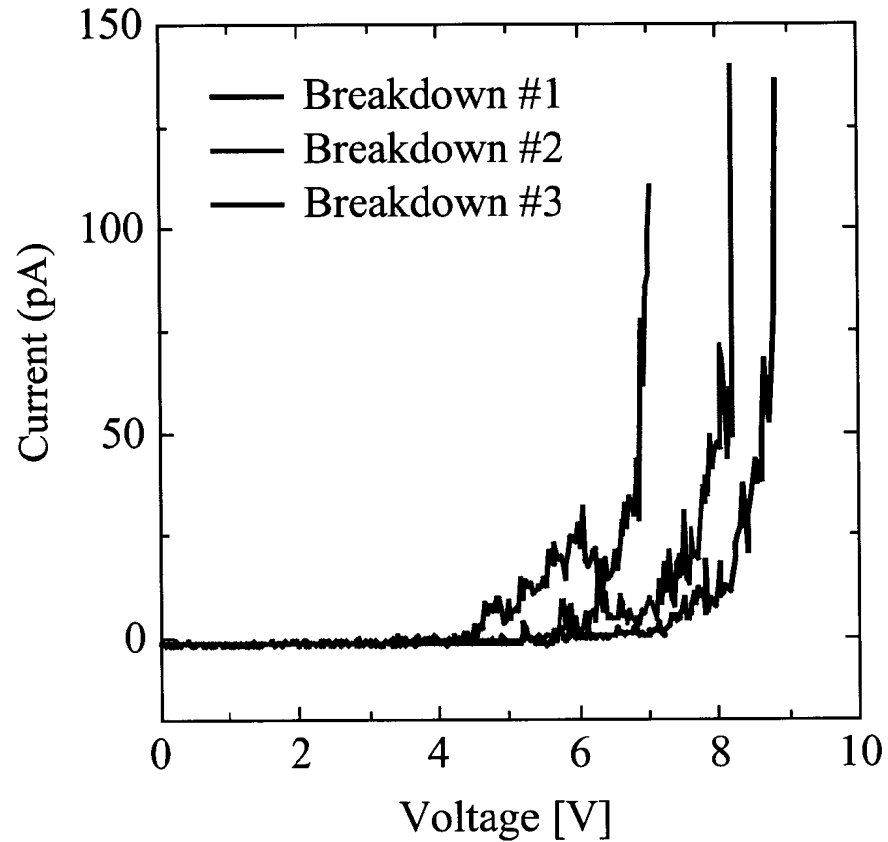
2.5nm Al₂O₃/20nm Mg/OFE Copper

“Breakdown”





Successive Voltage Scans 2.5nm Al₂O₃ on OFE Copper





Fowler-Nordheim Equation

$$\frac{I_{FN}}{\alpha} = \frac{q^2 m_0}{8\pi h m^*} \frac{1}{t(E)} \frac{\beta^2 V^2}{\phi s^2} \exp \left\{ -\frac{8\pi}{3} \frac{\sqrt{2m^* q}}{h} v(E) \frac{s}{\beta V} \phi^{3/2} \right\}$$

α - Effective emission area

s - Oxide thickness

ϕ - Barrier height at emitter

m^* - Electron effective mass



Reducing Fowler-Nordheim

The problem: Too many parameters

The partial solutions: Eliminate some by choosing surfaces with known known properties

- **Effective emission area: Look in the SEM at the tip**
- **Oxide thickness: Deposit known thicknesses**
- **Barrier height: Deposit surfaces with known work functions**
- **Geometry: Use parallel plate geometry (Oxide thickness is small compared to tip radius, so**

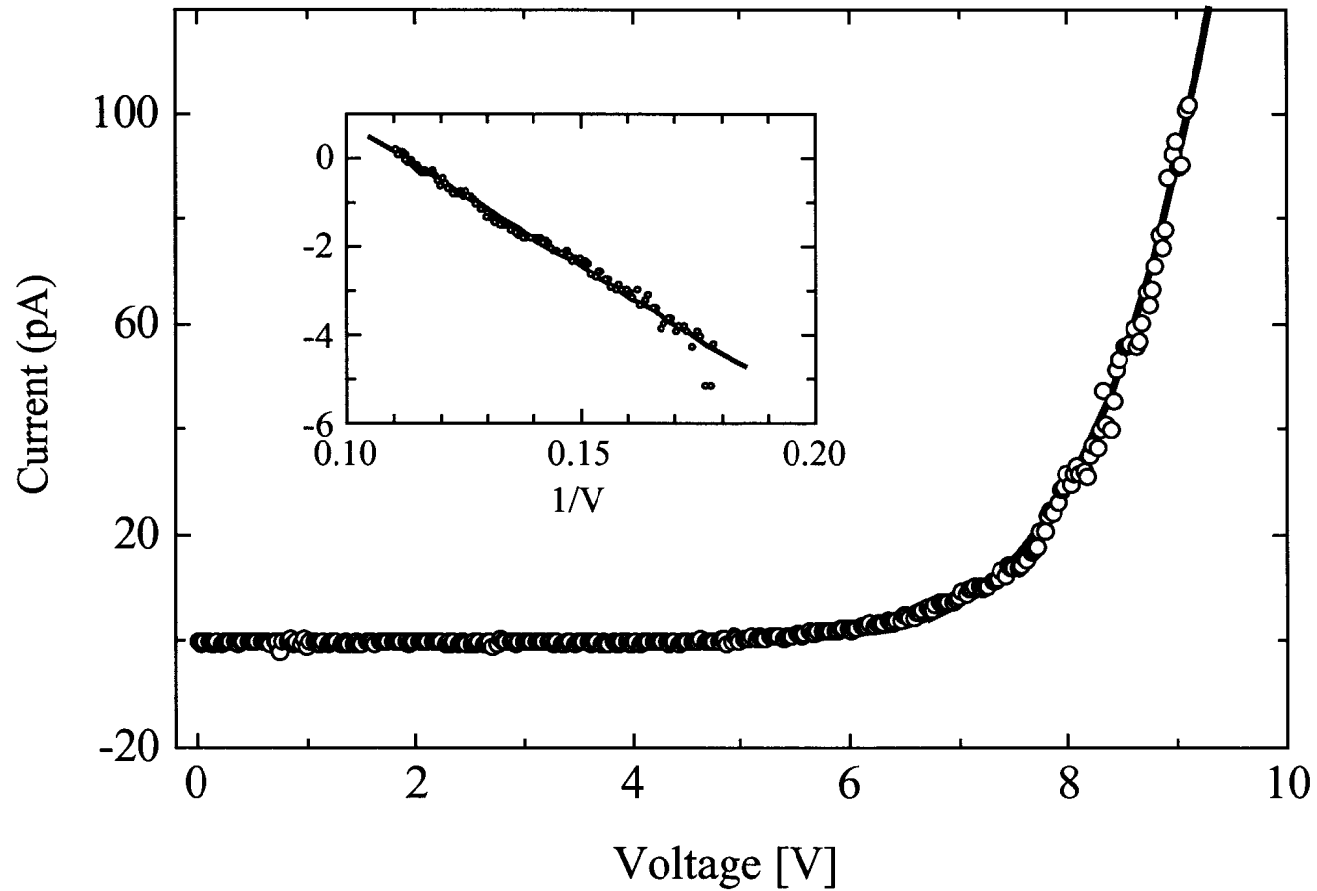
$$E = \beta V/S$$

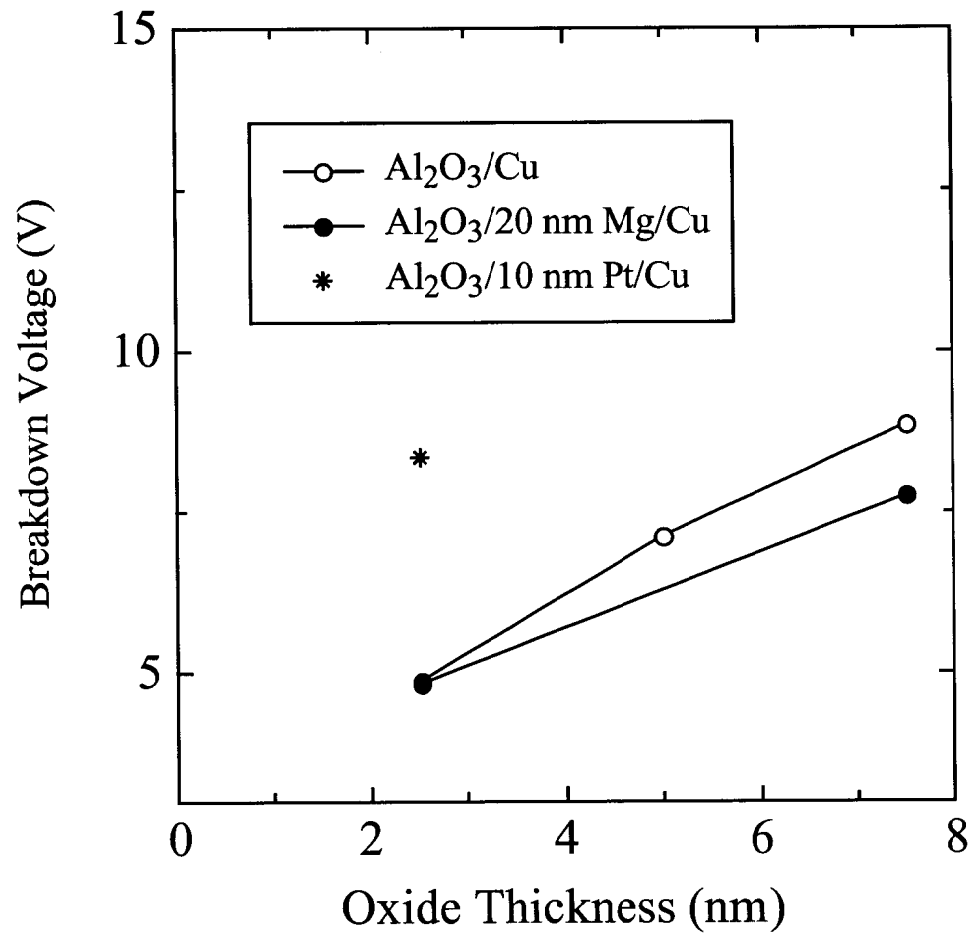
where β is the “field enhancement factor
 S is the oxide thickness



2.5nm Al₂O₃/20nm Pt/OFE Copper

Fit: $\phi = 4.5$ eV, $\beta = 1$







Some Early Results

- **Copper with oxide inclusions breakdowns easily**
- **On good copper, grain bodies and boundaries behave similarly and well**

- **Break downers: Poor Cu, Al oxide/Cu, Al oxide/Mg/Cu**
- **Non-break downers: Native oxide/Cu, Al Oxide/Pt/Cu**

- **Successive breakdowns at the same place occur at successively lower fields**
- **Non-breakdown I-V plots give single-digit betas**