# THE ROLES OF FREQUENCY AND APERTURE IN LINAC ACCELERATOR DESIGN* 

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#### Abstract

Expressions for accelerating structure parameters, including those that determine the peak and average power inputs required to attain a given gradient, are given as functions of aperture to wavelength ratio for a $2 \pi / 3$ mode disk-loaded guide. The value of the wavelength to aperture ratio varies over a large range, corresponding to group velocities that vary from nearly zero to nearly the speed of light. The parameters exhibit proper asymptotic behavior in both limits. These parameters are benchmark values to which parameters for other modes and for other structure shapes can be compared. For example, it will be shown that the increased peak surface field to accelerating field ratio due to increased aperture to wavelength ratio can be reduced by shaping the iris profile. Structure shapes are varied not only to show possible improvement of structure parameters, but also to improve ease of mechanical fabrication and temperature control.


## ACCELERATING STRUCTURE LOCAL PARAMETERS

In this section the local accelerating structure parmeters are defined and then expressed in terms of aperture to wavelength ratio, $a_{\lambda} \equiv a / \lambda$ : Assume a SLAC-type $2 \pi / 3$ mode diskloaded structure with an aperture $a$, cavity radius $b$ at operating wavelength $\lambda$. Define the structure parameters that depend only on $a_{\lambda}$ :

$$
\begin{equation*}
\beta_{g}=\frac{v_{g}}{c}=\frac{P_{t} / w}{c}, \quad b_{a}=\frac{b}{a}, \quad E_{r l}=\frac{E_{p}}{E} \tag{1}
\end{equation*}
$$

Here $v_{g}$ is the group velocity, $P_{t}$ the power flow, $w$ the energy stored per unit length, $E_{p}$ the local peak surface field, and $E$ is the local accelerating gradient.

Define structure parameters that also depend on wavelength:

$$
\begin{gather*}
s=\frac{E^{2}}{w}, \quad z_{s}=\frac{E^{2}}{P_{t}}=\frac{s}{v_{g}} \\
T_{0}=\frac{2 w}{p_{d}}=\frac{Q}{\pi f}, \quad r=\frac{E^{2}}{p_{d}}=\frac{s T_{0}}{2} \tag{2}
\end{gather*}
$$

Here $s$ is the elastance per unit length, $z_{s}$ is the serial impedance, $T_{0}$ the attenuation time, $p_{d}$ the power dissipated per unit length, and $r$ is the shunt resistance per unit length. Multiplying by the appropriate power of wavelength yields the wavelength independent shunt reactance, serial impedance, and attenuation time are:

$$
\begin{gather*}
x_{l} \equiv \frac{s \lambda}{\omega} \equiv \frac{r}{Q} \lambda \equiv x_{l} \lambda \equiv \frac{s \lambda^{2}}{2 \pi c} \\
z_{s l} \equiv z_{s} \lambda^{2}, \quad T_{\lambda} \equiv \frac{T_{0}}{\lambda^{3 / 2}} \tag{3}
\end{gather*}
$$

For a zero aperture $\mathrm{TM}_{01}$ cavity, $x_{l}=967 T^{2} \Omega$, where $T$ is the transit time factor. If the cavity is a half wavelength long it is $392 \Omega$. For the SLAC section middle cavity it is $440 \Omega$.

Values of these parameters as a function of $a_{\lambda}$, for a $2 \pi / 3$ mode disk-loaded structure with a disk thickness of $0.0556 \lambda$, were obtained using the computer code TWAP. ${ }^{1}$ These data are fit by expressions developed by the author and R. Palmer. ${ }^{2}$ Either $\beta_{g}$ or $a_{\lambda}$ can be specified.

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Fig. 1. Relative group velocity $\beta_{g}$ and fill time per meter $T_{f m}$ versus normalized aperture $a_{\lambda}$ for $2 \pi / 3$ disk-loaded structure.


Fig. 2. Maximum radius to aperture radius ratio $b_{a}$ versus normalized aperture $a_{\lambda}$ for $2 \pi / 3$ disk-loaded structure.


Fig. 3. Local peak to accelerating gradient ratio $E_{r l}$ versus normalized aperture $a_{\lambda}$ for $2 \pi / 3$ disk-loaded structure.

$$
\begin{gather*}
\beta_{g}=\exp \left\{3.1-\frac{2.4}{\sqrt{a_{\lambda}}}-0.9 a_{\lambda}\right\} \\
a_{\lambda}=\frac{0.31 \beta_{g}^{1 / 4}}{\left(1-\beta_{g}\right)^{1 / 2}}+0.3 \beta_{g}  \tag{4}\\
b_{a}=1.05 \beta_{g}^{-0.28}, \quad E_{r l}=2+6 \beta_{g} \tag{5}
\end{gather*}
$$



Fig. 4. Wavelength invariant elastance $x_{l}$ and aperture invariant elastances $s_{a}, s_{a t}$ versus normalized aperture $a_{\lambda}$ for $2 \pi / 3$ disk-loaded structure.


Fig. 5. Wavelength invariant serial impedance $z_{b l}$ versus normalized aperture size $a_{\lambda}$ for $2 \pi / 3$ disk-loaded structure.

$$
\begin{gather*}
x_{l}=\frac{30.24 \beta_{g}^{0.4}\left(1-\beta_{g}\right),}{a_{\lambda}^{2}}, \quad z_{s l}=0.0413 a_{\lambda}^{-3.836} \\
T_{\lambda}=45.5\left(1+1.25 \beta_{g}^{3 / 2}\right)-3 \beta_{g} \tag{6}
\end{gather*}
$$

These expressions show proper asymptotic behavior as $\beta_{g}$ approaches either zero or one.

The expressions for $\beta_{g}, b_{a}, E_{r l}, x_{l}$ and $s_{s l}$ versus $a_{\lambda}$ are plotted in Figures 1 to 5. Also plotted in these figures are the points obtained directly from TWAP. These expressions have been plotted as function of relative group velocity. ${ }^{3}$ The advantage of the plots here is that they can be compared with a large aperture SW structure and with $\pi$ and zero mode cavities.

## ACCELERATING SECTION PARAMETERS

The section parameters, the fill time, attenuation, efficiency and filling factor are:

$$
\begin{gather*}
T_{f}=\frac{L}{v_{g}}, \quad \tau=\frac{T_{f}}{T_{o}} \\
\eta_{\delta}=\frac{\left(1-e^{-\tau}\right)^{2}}{\tau^{2}}, \quad F_{f} \equiv 1-\beta_{g} . \tag{7}
\end{gather*}
$$

Here, $L$ is the section length. We can specify $\tau$ and $L$ and obtain the wavelength and aperture from

$$
\begin{equation*}
\lambda=\left[\frac{T_{f}}{r T_{\lambda}}\right]^{2 / 3}, \quad a=a_{\lambda} \lambda \tag{8}
\end{equation*}
$$

Define the section input serial impedance and section input elastance:

$$
\begin{equation*}
z_{s s} \equiv \eta_{s} z_{s}, \quad s_{s} \equiv \eta_{s} s \tag{9}
\end{equation*}
$$

From Eq. (3) we obtain the local parameters at any frequency and aperture

$$
\begin{equation*}
s=\frac{x_{l} \omega}{\lambda}=\frac{x_{l} 2 \pi c}{\lambda^{2}}, \quad z_{s}=\frac{z_{s l}}{\lambda^{2}}, \quad T_{0}=T_{\lambda} \lambda^{3 / 2} \tag{10}
\end{equation*}
$$

The peak and average power inputs required to produce an average gradient $E_{a}$ in a section ${ }^{4}$

$$
\begin{equation*}
P_{p}=\frac{E_{a}^{2}}{z_{s g}}, \quad P_{a}=\frac{f_{r} E_{a}^{2} L F_{f}}{s_{s}} \tag{11}
\end{equation*}
$$

## PEAK AND AVERAGE POWERS

Our choice of wavelength and aperture can be guided by plots of frequency, aperture, peak power and average power as a function of $a_{\lambda}$. Frequency and aperture vs $a_{\lambda}$ for several combinations of $r$ and $L$ are plotted in Figure 6. Peak and average powers per meter, for the same combinations of $\tau$ and L as in Figure 6, are plotted in Figure 7. (Because we are interested how much peak and average power is needed at a given gradient, it is more meaningful to plot the quantities $P_{p} / L=E_{a}^{2} / L z_{s s}$ and $P_{a} / L=f_{f} E_{a}^{2} F_{f} / s_{s}$ rather than $z_{s s}$ and $s_{s}$.) These plots show how to trade increased frequency and decreased aperture for reduced peak and average powers. The fill time can be obtained from Figure 1.

$$
\begin{array}{llll}
\cdots=0.530 \mathrm{~Np} & \eta_{\mathrm{s}}=0.60 \mathrm{~L}=3.3 \mathrm{~m} \\
\cdots & \tau=0.530 \mathrm{~Np} & \eta_{\mathrm{s}}=0.60 \mathrm{~L}=1 \mathrm{~m} \\
-\tau=0.530 \mathrm{~Np} & \eta_{\mathrm{s}}=0.69 \mathrm{~L}=1 \mathrm{~m}
\end{array}
$$



Fig. 6. Frequency and aperture size versus normalized aperture $a_{\lambda}$.

$$
\begin{array}{llll}
\cdots=0.530 \mathrm{~Np} & \eta_{\mathrm{s}}=0.60 \quad \mathrm{~L}=3.3 \mathrm{~m} \\
\cdots & \tau=0.530 \mathrm{~Np} & \eta_{\mathrm{s}}=0.60 \quad \mathrm{~L}=1 \mathrm{~m} \\
-\tau=0.382 \mathrm{~Np} & \eta_{\mathrm{s}}=0.69 \quad \mathrm{~L}=1 \mathrm{~m}
\end{array}
$$

$$
0
$$



Fig. 7. Average and peak powers per meter versus normalized aperture $a_{\lambda}$ with $E_{a}=200 \mathrm{MV} / \mathrm{m}$ and $f_{r}=120 \mathrm{~Hz}$.

Table 1. LINAC DESIGNS

| Frequency (MHz) | 2856 | 11424 | 17320 | 30000 |
| :--- | :---: | :---: | :---: | :---: |
| $a(\mathrm{~cm})$ | 1.16 | 0.42 | .484 | 0.28 |
| $b(\mathrm{~cm})$ | 4.06 | 1.03 | .816 | 0.417 |
| $a / \lambda$ | 0.11 | 0.16 | 0.28 | 0.28 |
| $\beta_{g}$ | 0.0134 | 0.048 | 0.184 | 0.184 |
| $E_{r \ell}$ | 2.10 | 2.3 | 3.1 | 3.1 |
| $T_{0}(\mu \mathrm{~s})$ | 1.55 | 0.196 | 0.114 | 0.05 |
| $s(\Omega / \mathrm{ps}-\mathrm{m})$ | 75 | 911 | 1009 | 3017 |
| $z_{s}\left(\mathrm{M} \Omega / \mathrm{m}^{2}\right)$ | 17.8 | 67.2 | 18.2 | 54.3 |
| $r(\mathrm{M} \Omega)$ | 60 | 89 | 56 | 75 |
| $x, r / Q(\Omega / \mathrm{m})$ | 4180 | 12692 | 9272 | 16000 |
| $L(\mathrm{~m})$ | 3.3 | 1 | 3.3 | 1 |
| $T_{f}(\mathrm{~ns})$ | 760 | 70 | 60 | 18 |
| $\tau$ | 0.53 | .357 | 0.524 | 0.36 |
| $\eta_{s}-$ | 0.632 | .707 | 0.60 | 0.705 |
| $E_{a}=200 \mathrm{MV} / \mathrm{m}$, | $f_{r}=120 \mathrm{~Hz}$ |  |  |  |
| $P_{p}(\mathrm{MW})$ | 3594 | 888 | 3620 | 1045 |
| $P_{a}(\mathrm{KW})$ | 323 | 7.08 | 21.1 | 1.84 |
| $p_{p}(\mathrm{MW} / \mathrm{m})$ | 1089 | 888 | 1097 | 1045 |
| $p_{a}(\mathrm{KW} / \mathrm{m})$ | 98 | 7.08 | 6.4 | 1.84 |

Using Figures 6 and 7 as a guide, we choose the four designs of Table 1. The first is the 2.856 MHz benchmark design, the present SLC structure, except that here a constant impedance structure is assumed and the gradient is about 10 times the SLC gradient. Design 2 increases the frequency to 11.4 GHz and decreases the aperture to 0.42 cm . Consequently, the average power is reduced from 98 to 7 kW , the peak power remains essentially at 1 GW .

Design 3 increases the frequency to 17.3 GHz . It requires the same number of feeds as Design 1 ( $1 / 3$ of Design 2). The peak and average powers per meter are about the same as for Design 2. The peak power per feed is the same as for SLC which at $20 \mathrm{MV} / \mathrm{m}$ gradient is 36 MW . The average power is reduced from 32 KW to 2 KW . Thus replacing the accelerator sections and the high power amplifiers, with that of Design 3, but keeping the same modulators with the same peak and average powers, would result in 16 -fold increase in luminosity. The aperture is smaller, but $a_{\lambda}$ is larger. Also, we may take advantage of the reduced $b$, from 4.06 cm to 0.82 cm , to place focusing coils along the accelerator sections.

Design 4 increases the frequency to 30 GHz . The peak power remains about the same, but the average power is reduced 50 fold. The peak powers are about the same for all four designs. The average power, however decreases sharply with decreased wavelength.

- The dash curves in Figures 6 and 7 illustrate that increasing the section attenuation decreases both the peak and average powers at the expense of decreased wavelength and aperture.


## OPERATION AT HIGH WAVELENGTH TO APERTURE RATIO

- Increasing $a_{\lambda}$ above 0.3 decreases the average power but it increases the peak power, even though the wavelength and aperture are both decreasing. This is desirable if high peak power is available, say by means of pulse compression. This can also be inferred from Figure 4, which shows that the aperture invariant elastance $s_{a}=s a^{2}$ has a maximum about $a_{\lambda}=0.38$. This is the cutoff conditon for the $\mathrm{TM}_{01}$ mode in a circular waveguide with a radius to wavelength ratio $a_{\lambda}$. Thus, if at any
aperture in the neighborhood of $a_{\lambda}=0.38$, the elastance decreases as the frequency increases. As the $T M_{11}$ beam breakup mode is at a higher frequency we expect that its elastance relative to the fundamental elastance is less than when we operate at lower $a_{\lambda}$. If $a_{\lambda}=0.48$, the $\mathrm{TM}_{01}$ mode is attenuated at $26 \%$ below cutoff and the $\mathrm{TM}_{11}$ mode propagates at $26 \%$ above cutoff.


## OTHER STRUCTURES

To determine whether we can do better than the benchmark $2 \pi / 3$ disk-loaded guide, parameters for several additional structure shapes are plotted in Figures 1 to 5, superimposed on plots for the $2 \pi / 3$ structure. The structure shapes and the symbol used for plotting the parameters of each shape are shown in Figure 8. We note that their behavior with $a_{\lambda}$ is similar to that of the disk-loaded guide and therefore they can be compared at any aperture, frequency, and section length. For the circular tip $2 \pi / 3$ mode at $a_{\lambda}=0.11$, bench-mark design $E_{r l}=2.1$. As $a_{\lambda}$ increases to $0.19, E_{r l}$ increases to 2.5. If the tip changes from circular to elliptical, $E_{r l}$ remains about the same. For the circular tip $\pi / 2$ mode at $a_{\lambda}=0.19, E_{r l}=2.7$. Changing the tip from circular to elliptical reduces $E_{r l}$ to 1.97 . $E_{r l}$ is less than the bench-mark value for the structure shapes shown in Figure 8, except for circular tip and the square-wall structures.


Fig. 8. Structure shapes.
As $a_{\lambda}$ changes from 0.11 to 0.19 , the resulting increase in $b$ is a small fraction of the nearly two to one increase in $a$. The elastance is halved and the serial impedance decreases about nine-fold. This is, possibly, compensated by lowered wakefields, especially long-range wakefields. The elastance and attenuation time decrease for some shapes, and their use is indicated only if they result in ease of mechanical fabrication and lower wake fields.

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