SLAC – PUB – 3903 March, 1986 T/E

TIME IN QUANTUM MEASUREMENTS*

M. HOSSEIN PARTOVI

Department of Physics, California State University Sacramento, California, 95819

and

RICHARD BLANKENBECLER

Stanford Linear Accelerator Center Stanford University, Stanford, California, 94305

ABSTRACT

We analyze <u>canonical</u> measurements involving momentum and position and show that they require a finite duration. A formalism that we have developed for a realistic description of quantum measurements is generalized to the multitime case. This enables us to derive rigorous and unambiguous time-energy uncertainty relations. For a free particle, we find that $T\delta H \geq \frac{1}{2}$, where δH is the variance in the measured values of energy and T is the duration of the measurement as given by an external clock of arbitrarily high accuracy. On the other hand, we find that any system, when used as a clock, obeys $(\delta t)(\delta H) \geq \frac{1}{2}$, where δt is the variance in the values of time as measured by the system and δH is defined as before.

Submitted to Physical Review Letters

^{*} Work supported by the Department of Energy, contract DE - AC03 - 76SF00515 and by a grant from the California State University, Sacramento.

Recently we presented a formalism for a non-idealized description of quantum measurement.¹ Recognizing that information obtained in a quantum measurement is in general insufficient to determine the state of a system, we developed the statistical mechanics of quantum measurements on the basis of a <u>maximum uncertainty</u> principle² (Paper II). This principle was in turn inspired by an entropic formulation of uncertainty³ (Paper I) that adopts the informationtheoretic entropy as a measure of uncertainty, and as such it is the expression of the principle of maximum entropy in the context of quantum mechanics. It was shown in II that the maximum uncertainty principle implies the standard von Neumann expression for ensemble entropy, and thereby provides a unified basis for all of statistical mechanics.

The above developments made no reference to time, as all measurements were assumed to refer to a common instant of time. For example, in the case of position and momentum measurements which we shall refer to as "canonical", we assumed the existence of a device capable of measuring momentum with a given resolution without further analyzing the nature and possible limitations of such a device. In this Letter we extend the formalism so as to explicitly describe both observables of the canonical measurement. This will in turn lead us to consider the occurrence of measurements at more than one time. We then arrive at a multi-time generalization of our formalism which enables us to analyze a number of long-standing issues regarding the role of time in quantum mechanics in a rigorous way.⁴ The main results obtained by means of this analysis are uncertainty relations between momentum and time (Statement A below), and between energy and time (Statements B and C). A related analysis of the quantum limitations on the accuracy of the second of a pair of position measurements of a

21.4 * 1919

2

free particle, recently discussed in the literature in connection with gravitational wave detection using laser interferometry, is presented in the following Letter.

We start our analysis by examining the basic, operational meaning of time. Generally speaking, time in a dynamical theory is a <u>parameter</u> that marks change; to every (closed) system a Hamiltonian operator \hat{H} may be assigned which determines changes in measured values of the observables of the system by means of the fundamental dynamical equation $d\hat{A} = i \left[\hat{H}, \hat{A}\right] dt$. Obviously, this parametric description may be rendered coordinate-free by comparing dynamical rates directly, thereby removing all reference to the parameter time. Similarly, time is itself defined and measured self-consistently on the basis of the fundamental dynamical equation. Clearly, time as such has no independent status in dynamics, and any statement regarding time must ultimately be predicated on observed changes in the measured values of the properties of the system. Since information on changes can only come from comparing data at different times, the necessity of multiple time measurements becomes evident.⁵

We are thus led to characterize a general quantum mechanical measurement as entailing observables $\hat{A}^{\nu}(t_{r}^{\nu})$, where ν labels different observables, and r labels the times at which a given measurement is performed (see Paper II for notation). We recall from Papers I and II that the measurement of an observable \hat{A} is in general accomplished by means of a measuring device D^{A} which entails a partitioning of the spectrum of \hat{A} into a number of subsets α_{i}^{A} , called bins, with a corresponding decomposition of the Hilbert space onto orthogonal subspaces \mathcal{M}_{i}^{A} with associated projection operators $\hat{\pi}_{i}^{A}$. The measured data are then summarized in a set of probabilities, \mathcal{P}_{i}^{A} , for finding the outcome of the measurement of \hat{A} to be within the bin α_{i}^{A} . We also recall from Paper II that in general the

measured data are not sufficient to determine the state of the system (which is specified by a density matrix $\hat{\rho}$); using the maximum uncertainty principle, we proposed that $\hat{\rho}$ be determined by maximizing the ensemble entropy $-\text{tr }\hat{\rho} \ln \hat{\rho}$, subject to the constraints imposed by the measured data, $P_i^{\nu} = \text{tr } \hat{\pi}_i^{\nu} \hat{\rho}$.

The novel feature here is the occurrence of non-simultaneous constraints. However, these may be simply expressed as $\mathcal{P}_{ir}^{\nu} \equiv \mathcal{P}_{i}^{\nu}(t_{r}^{\nu}) = \operatorname{tr} \hat{\pi}_{i}^{\nu}(t_{r}^{\nu})\hat{\rho}$, where $\hat{\pi}_{i}^{\nu}(t_{r}^{\nu}) = \widehat{U}^{\dagger}(t_{r}^{\nu})\hat{\pi}_{i}^{\nu} \widehat{U}(t_{r}^{\nu})$. The evolution operator \widehat{U} is defined as usual by $(i\partial/\partial t)\widehat{U}(t) = \widehat{H}\widehat{U}(t)$, with $\widehat{U}(0) = 1$. (In the absence of a time label, the reference time t = 0 is to be understood.) The density matrix $\hat{\rho}$ is now given by

$$\hat{\rho} = Z^{-1} \exp\left[-\sum_{\nu ir} \lambda_{ir}^{\nu} \hat{\pi}_{i}^{\nu} (t_{r}^{\nu})\right] , \qquad (1)$$

following Eq. (3) of Paper II. The partition function Z and the Lagrange multipliers λ are given by tr $\hat{\rho} = 1$ and $\mathcal{P}_{ir}^{\nu} = (-\partial/\partial \lambda_{ir}^{\nu}) \ln Z$. The multipliers are constrained to be real by the hermiticity of $\hat{\rho}$. Note also that since $\hat{\rho}(t) = \hat{U}(t)\hat{\rho}\hat{U}^{\dagger}(t)$, $\hat{\rho}(t)$ may be obtained from Eq. (1) by everywhere replacing t_r^{ν} by $t_r^{\nu} - t$, as expected from time translation invariance. It is also worth noting here that t enters the above expressions through the evolution operator $\hat{U}(t)$, and that the set of $\hat{U}(t)$ form an Abelian group which is parametrized by t (cf. earlier remarks concerning the meaning of time).

**** ****

> Questions regarding time may now be answered on the basis of Eq. (1). In the following application, we shall apply Eq. (1) to the simple case of a free particle of mass m and Hamiltonian $\hat{H} = \hat{p}^2/2m$ whose state is measured¹ by means of two position measurements at times $t_1 = -T/2$ and $t_2 = T/2$. We shall see below that this measurement is in fact equivalent to the canonical (position

and momentum) measurement considered in I and II. We assume that the best resolution available for position measurements is Δ (see Ref. 7 in II), corresponding to the bin arrangement $\alpha_i^x = \left[\left(i - \frac{1}{2}\right)\Delta, \left(i + \frac{1}{2}\right)\Delta\right], i = 0, \pm 1, \ldots$ The density matrix that results from this measurement is, following (1),

$$\hat{\rho} = Z^{-1} \exp \left\{ -\sum_{i} \left[\lambda_{i}^{-} \hat{\pi}_{i}^{x} \left(-T/2 \right) + \lambda_{i}^{+} \hat{\pi}_{i}^{x} \left(+T/2 \right) \right] \right\} , \qquad (2)$$

where, as before, $\mathcal{P}_i^{\mp} = (-\partial/\partial \lambda_i^{\mp}) \ln Z$ are the probabilities obtained from measurements at times $\mp T/2$ respectively. Every physically realizable set of \mathcal{P}_i^{\mp} (equivalently, every set of real λ_i^{\mp}) will determine a state specified by $\hat{\rho}$. Our task below consists in showing that certain uncertainty products involving T, which is the <u>duration</u> of the measurement, cannot be reduced below a certain minimum value.

To arrive at uncertainty relations involving T, we first note that the case of T = 0 actually corresponds to a single position measurement, a case known to fail as a measurement of state (since tr $\hat{\rho}$ diverges; see II). Therefore, for a measurement to yield a physically acceptable $\hat{\rho}$, we must have T > 0. With T > 0 fixed, our first task will be to determine the minimum value of $\delta p = [\operatorname{tr} \hat{\rho} \hat{p}^2 - (\operatorname{tr} \hat{\rho} p)^2]^{1/2}$ as λ_i^{\mp} are varied over all possible (real) values. We shall refer to the states $\hat{\rho}$ resulting from these variations as the set of preparable states (preparable, that is, by the device described above).

Suppose the minimum we are seeking is achieved on $\hat{\rho}_0$. Then because δp even under $\hat{\tau}$, it will also be achieved on $\hat{\rho}_0^{\hat{\tau}} = \hat{\tau} \hat{\rho}_0 \hat{\tau}^{\dagger}$, where $\hat{\tau}$ is the (antiunitary) time reversal operator defined by $\hat{\tau} \hat{x} \hat{\tau}^{\dagger} = \hat{x}$ and $\hat{\tau} \hat{p} \hat{\tau}^{\dagger} = -\hat{p}$. On the other hand, $\hat{\tau} \hat{\pi}_i^x (-T/2) \hat{\tau}^{\dagger} = \hat{\pi}_i^x (+T/2)$. The latter, together with

(2), shows that $\hat{\rho}^{\tau}$ can be obtained from $\hat{\rho}$ by merely interchanging λ_i^- and λ_i^+ , as one should expect on the basis of time reversal invariance. Hence $\hat{\rho}^{\tau}$ is a preparable state if $\hat{\rho}$ is. Moreover, the state $\hat{\rho}_{\theta} = (\cos^2 \theta)\hat{\rho} + (\sin^2 \theta)\hat{\rho}^{\tau}$ will also be preparable since $\hat{\rho}_{\theta}$ is experimentally realizable as the given mixture of two preparable states $\hat{\rho}$ and $\hat{\rho}^{\tau}$. It then follows that if $\hat{\rho}_0$ minimizes $T\delta p$, so will $\frac{1}{2}$ ($\hat{\rho}_0 + \hat{\rho}_0^{\tau}$). The latter is clearly a preparable and manifestly time reversal invariant state. We may therefore assume $\hat{\rho}_0$ to be time reversal invariant without any loss in generality.

An entirely analogous_argument shows that $\hat{\rho}_0$ may be assumed to be parity invariant as well. But then Eq. (2) indicates that for $\hat{\rho}_0$, $\lambda_i^+ = \lambda_i^-$ (time reversal invariance) and $\lambda_i^{\mp} = \lambda_{-i}^{\mp}$ (parity invariance). These two invariances then guarantee that $\hat{\rho}_0$ will also be "Fourier invariant", where the Fourier transformation $\hat{\mathcal{F}}(T)$ is here defined by $\hat{\mathcal{F}}(T)\hat{x}\hat{\mathcal{F}}^{\dagger}(T) = (T/2m)\hat{p}$ and $\hat{\mathcal{F}}(T)\hat{p}\hat{\mathcal{F}}^{\dagger}(T) = -(2m/T)\hat{x}$. Note that $\hat{\mathcal{F}}(T)$ is a unitary operator realized by the kernel $\mathcal{F}(T|x,x') = (m/\pi T)^{1/2}$ $\exp[(2mi/T)xx']$ in the \hat{x} representation.

Using the parity and Fourier invariance deduced above, we see that $tr[\hat{\rho}_{o}\hat{p}^{2}] = tr[\hat{\rho}_{o}(2m\hat{x}/T)^{2}]$, so that $(\delta p)_{min}^{2} = \frac{1}{2} \operatorname{tr} \hat{\rho}_{o} [\hat{p}^{2} + (2m/T)^{2}\hat{x}^{2}]$. Since the latter is simply the expectation value of a harmonic oscillator Hamiltonian in the state $\hat{\rho}_{o}$, we can conclude that $(\delta p)_{min}^{2} = m/T$. For the free particle under discussion, $\langle \hat{H} \rangle_{min} = \langle \hat{p}^{2}/2m \rangle_{min} = \frac{1}{2T}$. Thus we have

<u>Statement A</u>: A free particle of mass m, whose state is measured during a time period T, will have a variance in its momentum no less than $(m/T)^{1/2}$ and a mean energy no less than $\frac{1}{2T}$; that is

$$\delta p \ge (m/T)^{1/2} , \qquad (A1)$$

$$T \langle \widehat{H} \rangle \geq \frac{1}{2}$$
 (A2)

Note that the lower limits in (A1) and (A2) correspond to a pure state, namely a Gaussian with wavefunction $\exp(-m\hat{x}^2/T)$. Strictly speaking, such a pure state is inaccessible to actual measurements.

Statement A is a momentum-time uncertainty condition. To find the analogous result for energy-time, a lower bound for $\delta H = \left[\langle \hat{H}^2 \rangle - \langle \hat{H} \rangle^2 \right]^{1/2}$, the variance in energy, must be determined. Exploiting the Fourier invariance established above, we can write $\langle \hat{p}^4 \rangle = \frac{1}{2} \langle \hat{p}^4 + (2m/T)^4 \hat{x}^4 \rangle$, similarly for $\langle \hat{p}^2 \rangle$, and from these conclude that $(\delta p^2)^2 = \frac{1}{4} (\delta h_+)^2 + \frac{1}{4} (\delta h_-)^2$, where $\hat{h}_{\pm} = \hat{p}^2 \pm (2m/T)^2 \hat{x}^2$. Now, it can be shown mathematically⁶ that $\langle \hat{h}_-^2 \rangle \geq (2m/T)^2$. Since Fourier invariance implies that $\langle \hat{h}_- \rangle = 0$, we see that $(\delta h_-) \geq 2m/T$, and consequently $(\delta p^2) \geq m/T$. This yields

<u>Statement B</u>: For the measurement described in Statement A, the variance in energy will be no less than $\frac{1}{2T}$; or

$$T \ \delta H \ \geq \ 1/2 \ . \tag{B}$$

Statements A and B above express fundamental limitations in the accuracy of energy (and momentum) measurements arising from the finiteness of the duration of the measurement. A tacit assumption in the above is the existence of (external) clocks of arbitrary accuracy (to measure T). But as pointed out at the outset, time is itself measured by means of changes in non-stationary systems. Therefore any system can in principle serve as a clock, and a moment's thought reveals that there is a reciprocity between the accuracy with which a system can measure time

and the variance in the measured values of its energy. We now turn to a derivation of this relationship.

Suppose an observable \hat{A} of a system in a state $\hat{\rho}$ is used to measure time (e.g., spin of the cesium atoms in a cesium clock). The system is then a clock and \hat{A} is the <u>chronometric</u> property being utilized. Consider a reading of this clock to measure the time of some event. In essence, this corresponds to a measurement of \hat{A} simultaneously with the event, and mapping⁷ that value onto a corresponding value of time according to the equation of motion $A(t) = \text{tr } \hat{\rho}(t)\hat{A}$. Now the measurement of \hat{A} will yield a distribution described by the probability function P(A), where $P(A)dA = \text{tr } \hat{\rho}(t)\hat{\pi}^A(dA)$, with $\hat{\pi}^A(dA)$ denoting the projection operator onto the spectral interval dA. The operator $\hat{\pi}^A(dA)$ is well-defined when \hat{A} is self-adjoint. Clearly, the distribution in the values of A induces a corresponding one in the values of t in the usual way, namely, P(t) = [dA(t)/dt] P[A(t)]. With P(t) in hand, we can define $(\delta t)^2 = \int dt P(t)(t-\bar{t})^2$, where $\bar{t} = \int dt P(t)t$. Alternatively, we find $(\delta t)^2 = \int dA P(A) [t(A) - \bar{t}^2]$, where t(A) is the function inverse to A(t).⁷ Relating P(A) back to $\hat{\rho}$, we arrive at a remarkably simple, and intuitively plausible, result:

<u></u>----

$$(\delta t)^2 = \operatorname{tr} \hat{\rho}(t) \left[t(\hat{A}) - \bar{t} \right]^2 .$$
(3)

It should be noted that the variance (δt) is a joint property of the state of the clock, $\hat{\rho}$, and the chronometric observable \hat{A} (together with the device used to measure \hat{A}).

With Eq. (3) at hand, we can use the generalized Heisenberg inequality to conclude that $(\delta t)(\delta H) \geq \frac{1}{2} |\operatorname{tr} \hat{\rho}(t) \left[\widehat{H}, t(\widehat{A}) \right] |$; this lower limit will be denoted by $\frac{1}{2} X$. Note that X is simply the rate of change of the operator $t(\widehat{A})$ in

the state $\hat{\rho}(t)$. Our final task, then, is to minimize X by finding the optimal chronometric observable \hat{A}_0 . However if \hat{A}_0 is to give rise to an extremum of X, the first-order change in X caused by a change in \hat{A} must vanish. This standard condition requires that $\left[\hat{H}, D(\hat{A})\right] = 0$, where D(A) = d(A)/dA. The vanishing of the commutator in turn forces \hat{A} to be a function of \hat{H} , unless D is the trivial function $D(A) = D_0$, where D_0 is a constant. However, if \hat{A} is a function of $\hat{H}, dA(t)/dt$ will vanish, and \hat{A} will not serve to measure time, let alone minimize X (instead, it will maximize it). This leaves $D = D_0$ as the only choice, which in turn implies that A(t) is a linear function of t; with no loss in generality, one can set A(t) = t. Thus we have the result that the optimal chronometric observable \hat{A}_0 (if it exists) is characterized by the condition $t = \text{tr } \hat{\rho}(t)\hat{A}_0$. The corresponding value of X is easily seen to be unity, which therefore implies <u>Statement C</u>: The variance δt in the values of time measured by means of a system used as a clock can not be reduced below $(2 \ \delta H)^{-1}$, where δH is the variance in the energy of the system; in other words

$$(\delta t)(\delta H) \ge \frac{1}{2}$$
 (C)

It is worth emphasizing that the above proof does not require the existence of the optimal chronometric observable \hat{A}_0 . Indeed, the fact that \hat{H} does not in general admit a (well-defined) canonical conjugate⁴ shows that $t(\hat{A})$ does not exist in general. Nevertheless, the energy of a quantum system does have an uncertainty conjugate which is time as measured by the system itself.

We conclude with a few remarks: (a) The uncertainty relations A, B, and C are consequences of the canonical commutation relations and do not have an independent status. (b) While the lower limits in A1, A2, and C are greatest lower bounds, the proof we have outlined in Ref. 6 does not establish the same for B. In any event, actual experiments impose further, often more severe, restrictions arising from finite resolutions, etc., with non-trivial consequences. Papers I, II, and the following Letter⁹ illustrate examples of these. (c) Bohr's statement of time-energy uncertainty relation essentially corresponds to Statement B (cf. discussions relating to Einstein's photon box experiment⁴). (d) A time-energy uncertainty condition first presented by Mandelstam and Tamm⁴ and subsequently questioned and discussed in the literature is usually considered to be the only existing one derivable from quantum mechanics.⁸ Notwithstanding a bewildering variety of interpretations for it in the literature, the Mandelstam-Tamm result resembles our Statement C more closely than it does A or B. (e) Statements A and B, derived for free particles, obviously hold also for a bound particle if T is sufficiently small in comparison with the time scale relevant to the bound state in question.

This work was supported in part by a grant from the California State University, Sacramento, and by the Department of Energy under contract No. DE-AC03-76SF00515.

10

REFERENCES

- 1. In this Letter, <u>measurement</u> refers to a process that <u>prepares</u> a state, and it entails the production of a sufficient number of copies of the system, a fraction of which is subjected to interaction with measuring devices, thereby serving to reproducibly prepare/measure the remaining copies.
- 2. R. Blankenbecler and M. H. Partovi, Phys. Rev. Lett. <u>54</u>, 373 (1985).
- 3. M. H. Partovi, Phys. Rev. Lett. <u>50</u>, 1883 (1983).
- The very extensive literature on the subject can be traced from M. Jammer,
 "The Philosophy of Quantum Mechanics" (Wiley, New York, 1977), which also contains a fairly complete discussion of the major works in this area.
- 5. Note the restriction to closed systems which excludes, e.g., the case of a particle bound by an external potential.
- 6. To simplify the writing, we shall disregard scales and consider h
 _± = p² ± x²
 and [x̂, p̂] = i. Let Q̂ = (x̂p̂ + p̂x)/4 and B̂ = exp (-λQ̂)ĥ₊exp (λQ̂).
 We find that B̂ = ĥ₊ cos λ iĥ₋ sin λ. Now for |λ| < π/2, B̂ has a point
 spectrum only which is identical to that of ĥ₊, i.e., equal to 2n + 1, with
 n = 0, 1, 2, ... Hence ⟨ψ|B̂B[†]| ψ⟩ ≥ ⟨ψ|ψ⟩ for any ψ. By considering a
 sequence {λ_ν} that converges to π/2 from below, one can easily show that
 ⟨ψ|ĥ₋²|ψ⟩ ≥ ⟨ψ|ψ⟩ for any ψ for which ⟨ψ|Q̂|ψ⟩ exists. Restoring scales to
 ĥ₋, one recovers the result used in the text.
- 7. Of course this correspondence is subject to conditions that ensure the existence of a well-defined, invertible mapping.
- 8. Y. Aharanov and D. Bohm, Phys. Rev. <u>122</u>, 1649 (1961).
- 9. M. H. Partovi and R. Blankenbecler, SLAC-PUB-3917, April (1986).