

## PROBING THE DESERT WITH FERMION MASSES\*

JONATHAN BAGGER

*Stanford Linear Accelerator Center  
Stanford University, Stanford, California, 94305*

SAVAS DIMOPOULOS<sup>†</sup>

*Department of Physics  
Stanford University, Stanford, California, 94305*

EDUARD MASSÓ<sup>‡</sup>

*Stanford Linear Accelerator Center  
Stanford University, Stanford, California, 94305*

We use the  $SU(3) \times SU(2) \times U(1)$  renormalization group equations to place *upper* limits on the scale where new physics beyond the standard model must emerge. Our bounds rely solely on the structure of the renormalization group equations and on the magnitudes of heavy quark masses. For example, if  $\sum m_q^2 \geq (450 \text{ GeV})^2$ , new physics must be found below  $10^5 \text{ GeV}$ .

Submitted to *Physical Review Letters*

---

\* This work was supported by the Department of Energy, contract DE-AC03-76SF00515, and by the National Science Foundation, contract NSF-PHY-83-10654.

† Alfred P. Sloan Foundation Fellow.

‡ Permanent address: Departament de Física Teòrica, Universitat Autònoma de Barcelona, Bellaterra, Spain.

The standard  $SU(3) \times SU(2) \times U(1)$  model provides a very successful description of the strong, weak and electromagnetic interactions. It is, however, in no sense a fundamental theory. The  $SU(3) \times SU(2) \times U(1)$  model contains at least 18 parameters, each of which must be adjusted by hand to account for low-energy phenomenology.

What lies beyond the standard model? A variety of extensions have been discussed in recent years. Most of these proposals predict new physics above a characteristic scale  $M_N$ . This threshold ranges from a few hundred GeV, in technicolor scenarios, to about  $10^{10}$  GeV, in axion models, all the way up to  $10^{15}$  GeV, in typical grand unified theories.

It is very important to constrain the scale  $M_N$ . Experiments place lower limits on  $M_N$  that are typically of order a few hundred GeV. The precise bounds depend on the model under consideration and on the values of various free parameters. In this letter we describe a new *upper* bound of the scale  $M_N$ . We shall show that heavy quarks provide a *model-independent* probe of the  $SU(3) \times SU(2) \times U(1)$  desert.

Our idea is based on the following two observations:

- (1) Heavy fermions have large Yukawa couplings at the weak scale  $M_W$ . The structure of the  $SU(3) \times SU(2) \times U(1)$  renormalization group equations implies that such couplings blow up at a relatively low energy  $M_B$ .
- (2) At the scale  $M_B$ , where the Yukawa couplings diverge, new physics should be found. For example, large Yukawas induce strong couplings in the Higgs sector. Such a strongly-coupled Higgs sector should give rise to a new spectrum of bound states and to new effective interactions. Of course, it is always possible that the gauge group might change at an energy  $M_N < M_B$ . In this case, new gauge bosons of mass  $M_N$  should then be found. No matter what happens, new physics must emerge before the Yukawa couplings diverge.

The idea that diverging couplings imply new physics is not new. In quantum electrodynamics, it is associated with the presence of “Landau ghosts.” In QED,

the electromagnetic coupling blows up at an astronomically high energy, about  $M_B \gtrsim e^{137}$  GeV. If QED were a fundamental theory, it would – at the very least – become strongly coupled at the scale  $M_B$ . New physics would arise, and quantum electrodynamics as we know it would break down. Of course, we can never see this new physics because QED is only an effective theory. At the scale  $M_N \simeq 80$  GeV, QED loses its separate identity, and becomes absorbed into the standard electroweak theory.<sup>[1]</sup>

In what follows we apply these ideas to the standard  $SU(3) \times SU(2) \times U(1)$  model. For ease of presentation, we first examine a three-family model with a heavy top quark (and a single Higgs doublet). We denote the top Yukawa coupling by  $g_t$ . Its evolution with energy is given by<sup>[2]</sup>

$$\frac{dg_t}{d\tau} = \frac{g_t}{2} (9g_t^2 - 2G), \quad (1)$$

where  $\tau = \log(E/M_W)/16\pi^2$ ,  $M_W = 80$  GeV, and  $G = 8g_3^2 + 2.25g_2^2$ . Here  $g_3$  and  $g_2$  are the strong and weak gauge couplings, and we neglect small hypercharge effects. As can be seen in equation (1), the evolution of  $g_t$  has two types of contributions. The first comes from Higgs boson exchanges and tends to increase the Yukawa at high energies. The second comes from the gauge bosons; it tends to decrease the Yukawa. For large values of  $g_t$ , the first term dominates, and the Yukawa coupling grows exponentially with energy.

In Figure 1 we show the evolution of  $g_t$  with energy for a variety of initial conditions  $g_t^0$  (at the weak scale  $M_W$ ). For  $g_t^0 > 2G/9$ , the coupling  $g_t$  increases with energy, and diverges at an energy  $M_B$ .<sup>[3]</sup> Because of equation (1), it diverges faster and faster for larger and larger initial conditions.

In Figure 2 we plot the scale  $M_B$  as a function of the top mass  $m_t$  (evaluated at the weak scale  $M_W$ ). With our conventions,  $m_t = g_t^0 \langle \phi \rangle$ , where  $\langle \phi \rangle$  is normalized to 175 GeV. For large initial conditions, the scale  $M_B$  is quite small. A top mass of 250 GeV implies new physics below  $M_B = 10^{11}$  GeV. For  $m_t = 300$  GeV, this tightens to just  $10^6$  GeV. If  $m_t$  is as large as 400 GeV, new physics should appear

below 10 TeV! The top mass provides a very sensitive probe of the  $SU(3) \times SU(2) \times U(1)$  desert.

The value of  $M_B$  depends sensitively on the value of  $g_3$  at the weak scale  $M_W$ . The larger the value of  $g_3$ , the larger the scale  $M_B$ . Since we are bounding  $M_N$  from above, we wish to choose the maximum value of  $g_3$  consistent with experiment. Therefore we take  $g_3$  to be given by  $\Lambda_{\overline{MS}} = 250$  MeV, in the four-flavor regime.

More general bounds on  $M_N$  can be found by examining the renormalization of an arbitrary number of heavy families. The appropriate generalization of the top Yukawa is the so-called quark “radius”  $T_Q^2 = \sum g_q^2$ . Here the  $g_q$  denote the (real) eigenvalues of the quark Yukawa matrices, and the sum runs over all up- and down-type quarks. The renormalization of  $T_Q$  has been investigated in Reference [4]. For large Yukawas, the quark radius renormalizes even more quickly than the individual couplings themselves.

As with the top Yukawa, the evolution of  $T_Q$  can be used to bound the scale of new physics. Instead of  $m_t$ , we now plot  $[\sum m_q^2]^{1/2}$ , where the index  $q$  runs over all up- and down-type quarks. The results are shown in Figure 3. When  $\sum m_q^2 > (355 \text{ GeV})^2$ ,  $M_N < 10^{15} \text{ GeV}$ . The bound tightens very quickly. For  $\sum m_q^2 = (450 \text{ GeV})^2$ , the scale  $M_N$  lowers to just  $10^5 \text{ GeV}$ . Because of the renormalization group, the heavy quark spectrum provides a model-independent probe of the  $SU(3) \times SU(2) \times U(1)$  desert. If the sum  $\sum m_q^2$  is large, the desert must bloom.

In Figure 3, we have evolved  $g_2$  and  $g_3$  with the beta functions appropriate for  $N_F = 8, 9, 10$  and 11 families. The limits for  $N_F < 8$  are not shown because they are even tighter. At any given energy, the bound on  $M_N$  is given by the curve with the greatest value of  $N_F$ . In Figure 3, the graphs for  $N_F > 8$  are terminated when the color coupling blows up.

Certain extensions of the standard model have two Higgs doublets at low energies. These models usually have one Higgs doublet coupled to up-type quarks,

and the other coupled to their down-type partners. This type of coupling naturally suppresses flavor-changing neutral currents. Our analysis is easily extended to include these two-Higgs models. The evolution of  $T_Q$  differs from the one-Higgs case, but it is not hard to see that the bounds on  $M_N$  remain the same.<sup>[5][6]</sup> Therefore the limits in Figure 3 are also valid in two-Higgs extensions of the standard  $SU(3) \times SU(2) \times U(1)$  model.

Finally, we consider the supersymmetric extension of the standard model. We shall see that if supersymmetry is found below the weak scale  $M_W$ , the bounds on  $M_N$  can be tightened still further. This follows from the fact that the superpartners of the quarks, leptons and gauge bosons all contribute to the  $SU(3) \times SU(2) \times U(1)$  beta functions.<sup>[7]</sup> The final results are shown in Figure 4, with the assumption that the superpartners are all lighter than 80 GeV. As before, we take  $\Lambda_{\overline{MS}} = 250$  GeV. Because of the effects of the superpartners, the color beta function blows up very quickly, so Figure 4 includes only the four cases  $N_F = 4, 5, 6$  and  $7$ .

In this letter we have demonstrated that heavy quarks provide a model-independent probe of the desert. They extend the range of TeV accelerators to well beyond their center-of-mass energies. The discovery of new heavy quarks would indicate that new physics waits to be found in the  $SU(3) \times SU(2) \times U(1)$  desert.

This work was supported by the Department of Energy, contract DE-AC03-76SF00515, and by the National Science Foundation, contract NSF-PHY-83-10654.

## REFERENCES

1. The hypercharge factor in the  $SU(3) \times SU(2) \times U(1)$  model also suffers from Landau ghosts. In grand unified theories, this is cured by embedding  $SU(3) \times SU(2) \times U(1)$  in an asymptotically-free semi-simple gauge group  $G$ .
2. T. Cheng, E. Eichten and L. Li, Phys. Rev. D9, 2255 (1974).
3. We run the gauge couplings with their two-loop renormalization group equations.  $M_B$  is defined to be the scale where  $\alpha_t = g_t^2/4\pi = 10$ .
4. B. Pendleton and G. Ross, Phys. Lett. 98B, 291 (1981); C. Hill, Phys. Rev. D24, 691 (1981); E. Paschos, Z. Phys. C26, 235 (1984); J. Bagger, S. Dimopoulos and E. Massó, Nucl. Phys. B253, 397 (1985).
5. J. Bagger, S. Dimopoulos and E. Massó, Phys. Lett. 156B, 357 (1985).
6. The second doublet gives a small contribution to the renormalization of  $g_2$ . We neglect this effect; it leaves our bounds essentially unchanged.
7. The evolution of  $T_Q$  in the supersymmetric case is discussed in J. Bagger, S. Dimopoulos and E. Massó, SLAC-PUB-3693 (1985), to be published in Phys. Rev. Lett.

## FIGURE CAPTIONS

1. The evolution of the top Yukawa coupling  $g_t$  as a function of the energy  $E$ . We have evolved the color gauge coupling using  $\Lambda_{\overline{MS}} = 250$  MeV.
2. The upper limit on the scale of new physics, as a function of the top mass  $m_t$  in a three-family model. As in Figure 1, we take  $\Lambda_{\overline{MS}} = 250$  MeV. Smaller values of  $\Lambda_{\overline{MS}}$  lead to tighter bounds.
3. The upper limit on the scale of new physics, as a function of the quark radius  $[\sum m_q^2]^{1/2}$ . The bound is given by the graph with the greatest number of families in a given energy region. We have included results for  $N_F = 8, 9, 10$  and  $11$ . The graphs terminate when the color coupling diverges. As before, we take  $\Lambda_{\overline{MS}} = 250$  MeV.
4. The same as in Figure 3, for the supersymmetric version of the standard model. We include the limits for  $N_F = 4, 5, 6$  and  $7$ .

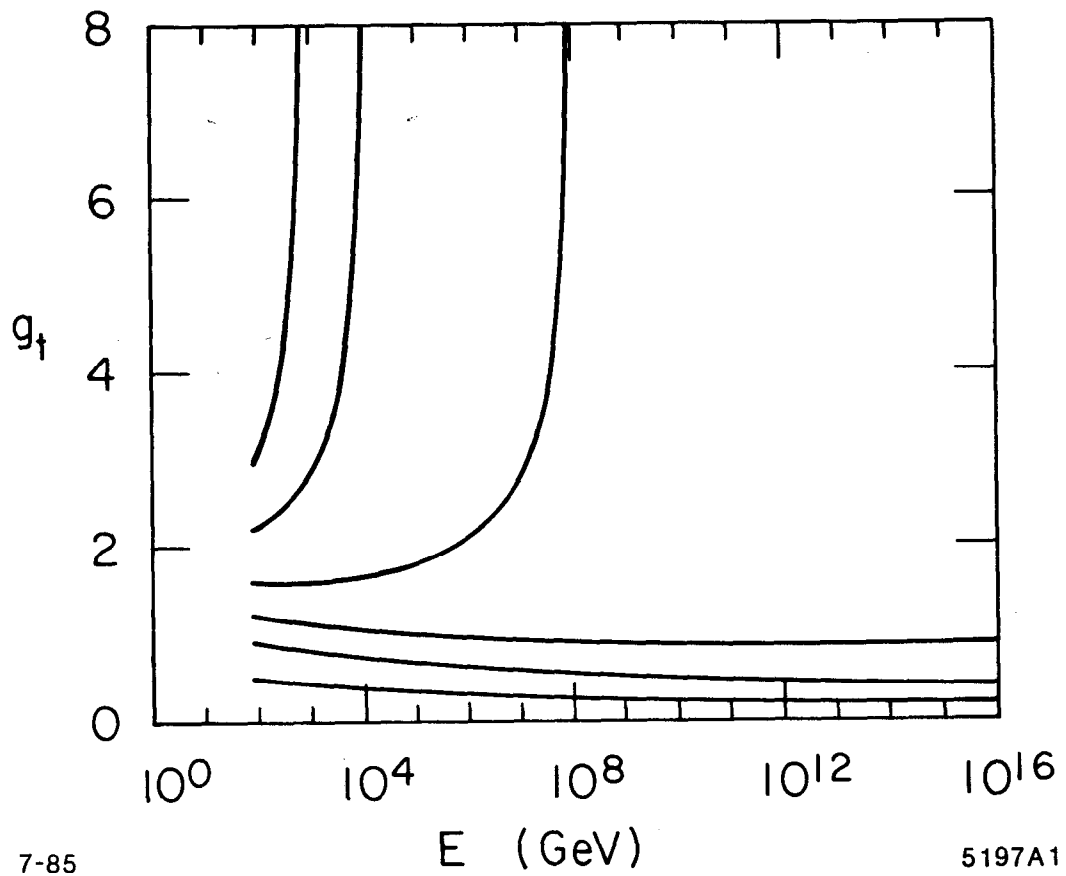


Fig. 1



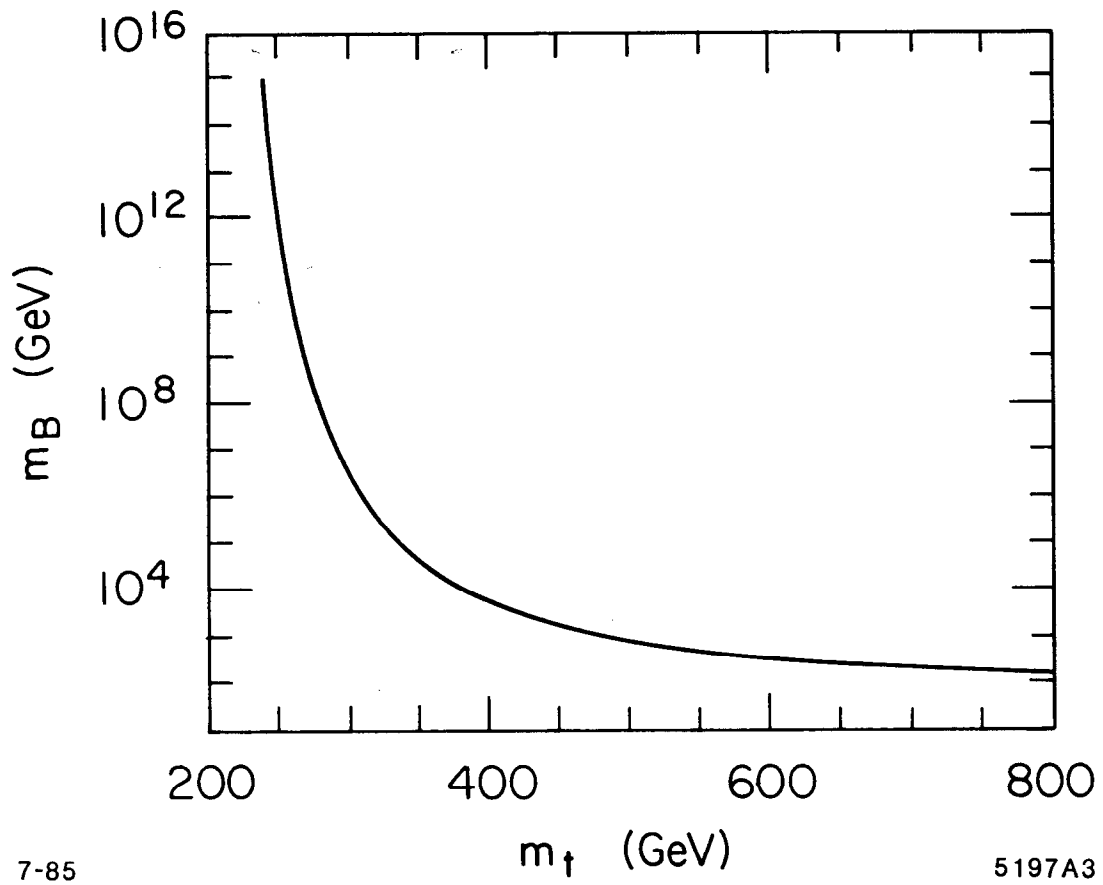


Fig. 2

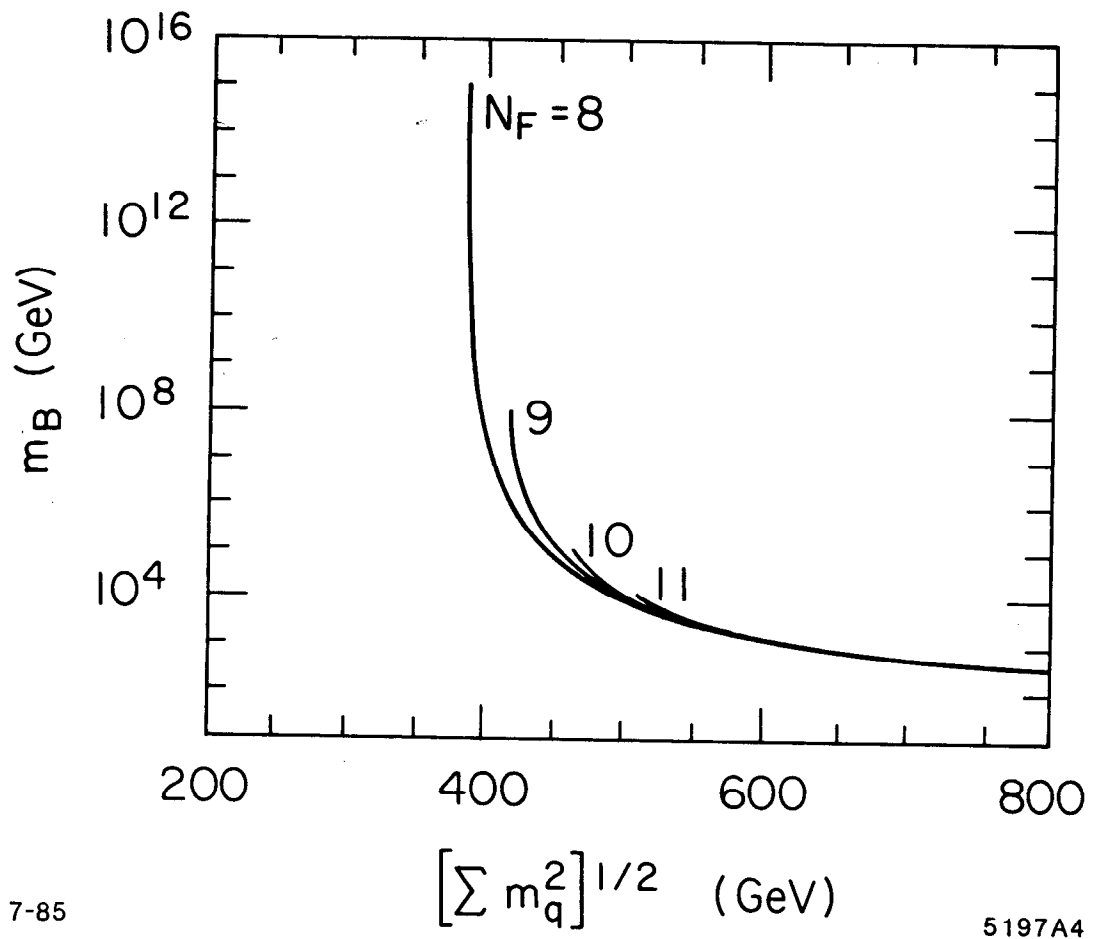


Fig. 3

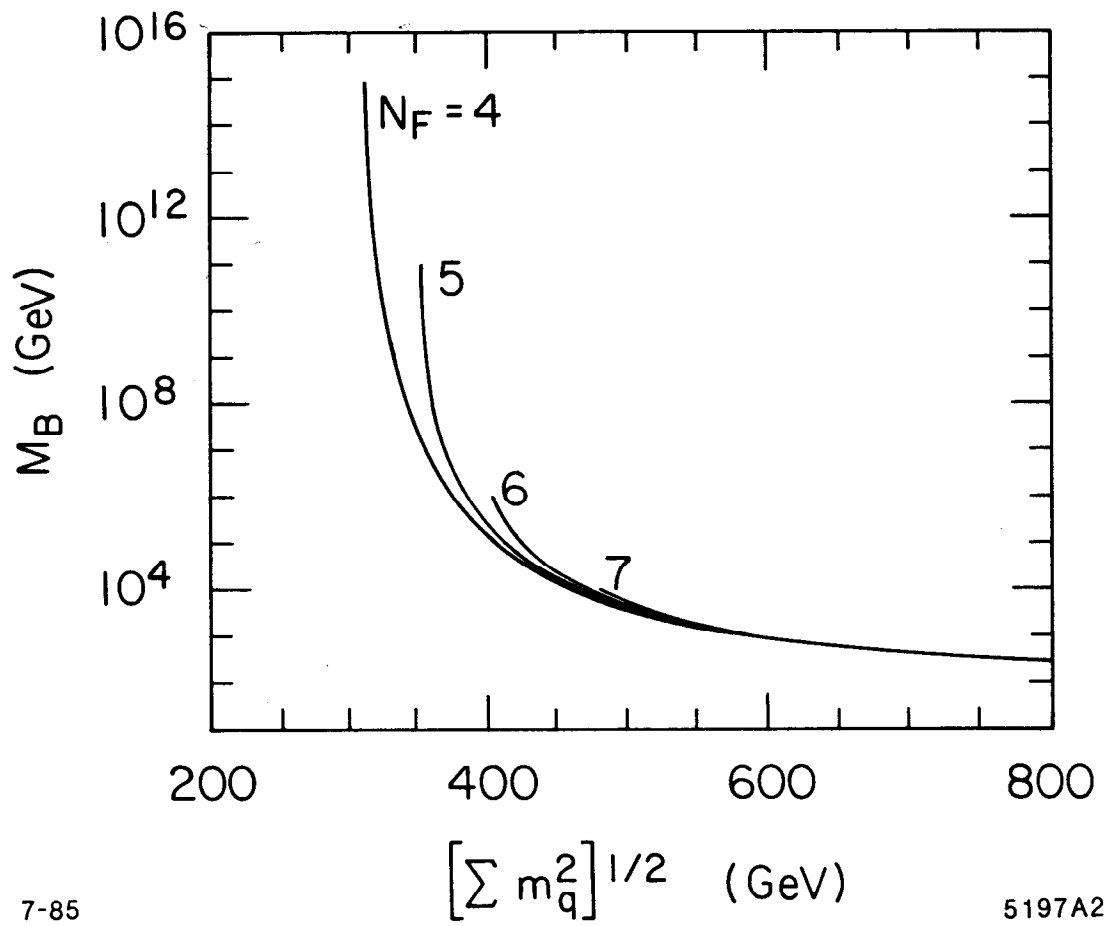


Fig. 4