THE TOTAL HADRON CROSS SECTION, NEW PARTICLES, AND MUON ELECTRON EVENTS IN $\mathrm{e}^{+} \mathrm{e}^{-}$ANNIHILATION AT SPEAR*

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## I. INTRODUCTION

It is a little more than one year since the discovery of the first $\psi$ particle at SPEAR ${ }^{1}$ and at BNL. ${ }^{2}$ During this year, the $\mathrm{e}^{+} \mathrm{e}^{-}$colliding-beam experiments have found a huge amount of new information on this new layer of hadron structure: nine states with width ranging from 10 's of keV to many MeV , the principal decay modes and quantum numbers of some of the states, limits on charm particle production, $\mu$-e events, etc. There is too much to talk about in one hour, so I shall limit my discussion to the total hadron cross section, the new states, and the $\mu$-e events. Professor Harari in a subsequent talk at this conference will review the theoretical ideas on how these things modify our ideas of the fundamental structure of the hadrons. All the work that I will discuss has been done by the SLAC/LBL magnetic detector group at the SPEAR $\mathrm{c}^{+} \mathrm{e}^{-}$storage ring of the Stanford Linear Accelerator Center.

## II. APPARATUS

The apparatus consists of two parts: the storage ring and the detector. Much more than at conventional accelerators, the machine itself is coupled to the apparatus and the properties of the machine are intimately connected to the resolution of the detector. The SPEAR ring ${ }^{3}$ is a single ring in which one bunch of electrons and one bunch of positrons circulate in opposite directions in a common vacuum chamber, colliding only at the centers of the two experimental areas. The center-of-mass energy ( $\mathrm{F}^{*}$ ) of the $\mathrm{e}^{+} \mathrm{e}^{-}$system is at present variable from 2.6 GeV to 7.8 GeV . The lower limit comes from the control system and the upper limit from the rf drive power available to the final high-power amplifiers. Modifications to the rf have been made and the ring should soon be able to operate at its maximum design energy of $\mathrm{E}^{*}=8.6 \mathrm{GeV}$.

[^0]The electron and positron bunches in the ring are quite small, having a size (gaussian standard deviation in $x, y, z$ ) of about $0.1 \mathrm{~cm} \times 0.01 \mathrm{~cm} \times 5 \mathrm{~cm}$. The short bunch length gives about an 0.2 nsec collision time, providing a welldefined start signal for time-of-flight particle identification systems. The energy spread in the beams is proportional to the beam energy with $\sigma\left(\mathrm{E}^{*}\right)=0.8 \mathrm{MeV}$ at 3 GeV .

An exploded view of the SLAC/LBL magnetic detector is shown in Fig. 1. It is a large solenoid magnet with a coil about 3 meters in diameter and about 3 m long. The coil is coaxial with the beam direction, and the $\mathrm{e}^{+} \mathrm{e}^{-}$collision point is at the center of the magnet. The magnetic field is about 4 kilogauss.

Figure 2 is a view along the axis of the solenoid at the center of the coil. The beam direction is perpendicular to the plane of the figure. A particle produced in an $\mathrm{e}^{+} \mathrm{e}^{-}$interaction would encounter as it travelled out from the collision point the 0.15 mm stainless steel vacuum chamber; $2-8 \mathrm{~mm}$ thick cylindrical scintillation counters; two cylindrical proportional chambers (not shown in the figure); 4 cylindrical magnetostrictive spark chambers, each with 4 planes having wires at $\pm 2^{\circ}$ and $\pm 4^{\circ}$ with respect to the field direction; one of 482.5 cm thick scintillators used in the trigger system and in a time-of-flight system for particle identification; the one-radiation-length thick aluminum magnet coil; one of $24 \mathrm{~Pb}-$ scintillator shower counters used for electron and photon identification; the 20 cm thick steel return yoke of the magnet; and finally 2 layers of magnetostrictive spark chambers used for muon identification.

The momentum resolution for a charged particle is about $2 \% \times \mathrm{P}(\mathrm{GeV} / \mathrm{c})$ at $90^{\circ}$. The trigger solid angle is about $0.65 \times 4 \pi\left(50^{\circ}<\theta<130^{\circ}\right)$, while the solid angle over which particles can be tracked is somewhat larger $(0.75 \times 4 \pi)$. The trigger requires $\geq 2$ charged particles in the trigger solid angle.

The time-of-flight system is capable of separating $\pi$ from $K(3 \sigma)$ up to about $600 \mathrm{MeV} / \mathrm{c}$, and K from p up to about $1.1 \mathrm{GeV} / \mathrm{c}$. The shower counters have a fractional energy resolution for electrons or photons of about $25 \%(\sigma)$ at 1 GeV .

The apparatus is large and so is the group which built it and has done these experiments. The group is a collaboration of SLAC and LBL physicists who have all worked hard on different facets of the apparatus and the analysis. Their names are listed in Ref. 4.

## III. TOTAL CROSS SECTION

Electron-positron annihilation takes place in the state with the quantum numbers of one photon, $J^{P C}=1^{--}$, strangeness, baryon number and charge all equal
to zero. Any particle coupled to the photon with these quantum numbers can be observed as an enhancement in the total annihilation cross section when the energy in the $e^{+} e^{-}$system equals the mass of the state. The state is detected, of course, by observing its decay products.

Figure 3 shows the total observed hadron production cross section as a function of energy. The only features that stand out when the data are plotted on this scale are enormous peaks at the mass of the $\psi(3.1) \psi(3.1) \equiv \psi$ and $\psi(3.7)$ $\psi(3.7) \equiv \psi^{\prime}$ which were discovered last November. The peak cross section observed is not the true peak cross section for the $\psi$ and $\psi^{8}$ have widths much narrower than the energy spread of the $\mathrm{e}^{+} \mathrm{e}^{-}$system:

$$
\begin{equation*}
\sigma_{\mathrm{obs}} \approx\left(\Gamma_{\mathrm{R}} / \Delta \mathrm{E}_{\gamma}\right) \sigma_{\text {true }} \tag{1}
\end{equation*}
$$

Equation (1) gives the rough relation between the observed and true peak heights for a resonance with a width $\Gamma_{R}$ which is much narrower than the energy spread $\Delta E_{\gamma}$ in the beams. For the $\psi$, for example, $\Gamma_{R}$ is about 70 keV while $\Delta E_{\gamma}$ is about 2 MeV .

We have now completed a search for other narrow resonances over almost all of the mass region accessible to SPEAR. ${ }^{5}$ The technique used is to slowly sweep the energy of the ring and look for enhancements in the yield of hadrons. In practice, the ring energy is held constant for a minute or two while data are taken. The $\mathrm{e}^{+}$and $\mathrm{e}^{-}$beam energies are then increased by about 1 MeV each, and data are taken again for one-two minutes, etc. The data are analyzed by the SLAC $370 / 168$ computer system in real time and the cross section for one step is sent back to us while the machine is being changed to the next step. This technique is most sensitive to narrow resonances.

Figure 4 shows the result of the scan from the $\psi$ mass to 7.7 GeV . The only statistically significant structure seen is the $\psi^{\prime}$ at 3.7 GeV (this technique was used to find the $\psi^{\prime}$ ). The sensitivity of the scan depends on the width of a resonance and on its mass for the energy spread in the electron-positron system depends on the beam energy. The limit on the area of a resonance less than about 10 MeV wide ( $90 \%$ confidence) is given as a function of the resonance mass in Table I. (For comparison, the area under the $\psi$ is about $10^{4} \mathrm{nb}-\mathrm{MeV}$ ). These limits increase as the width increases above 10 MeV and other techniques are better used to search for states wider than about $20-30 \mathrm{MeV}$. As we shall see, states of width $30-60 \mathrm{MeV}$ exist with areas less than the limits of Table I, and this search will therefore have to be redone with increased sensitivity.

## Table I

Results of the search for narrow resonances. Upper limits ( $90 \%$ confidence level) for the radiatively corrected integrated cross section of a possible narrow resonance. The width of this resonance is assumed to be small compared to the mass resolution.

| Mass Range | Limit on $\int$$\sigma_{\mathrm{H}} \mathrm{dE}$ <br> $(\mathrm{neV})$ |
| :---: | :---: |
| $3.20 \rightarrow 3.50$ | 970 |
| $3.50 \rightarrow 3.69$ | 780 |
| $3.72 \rightarrow 4.00$ | 850 |
| $4.00 \rightarrow 4.40$ | 620 |
| $4.40 \rightarrow 4.90$ | 580 |
| $4.90 \rightarrow 5.40$ | 780 |
| $5.40 \rightarrow 5.90$ | 800 |
| $5.90 \rightarrow 7.60$ | 450 |

Figure 5 shows the total cross section for hadron production excluding the $\psi s$, and after radiative corrections. The errors shown are statistical with about a $10 \%$ systematic uncertainty added in quadrature. In addition, there is an overall normalization uncertainty of about $10 \%$ and there can be a further smooth variation in the relative cross sections from the lowest to the highest energy of about $15 \%$ (from slow model dependent changes in the detection efficiency). The cross section, except for the region around 4 GeV , shows a smooth decrease from about 30 nb at 3 GeV to about 7.5 nb at 7.8 GeV . The region around 4 GeV shows a complex structure.

The more familiar ratio ( R ) of the total hadron cross section to the $\mu$-pair production cross section is shown in Fig. 6. The ratio $R$ shows two plateau region: one where $\mathrm{R} \approx 2.5$ below 3.8 GeV , and the second above 5 GeV where $R \approx 5.2$. In the region around 4 GeV there is a complex structure with multiple peaks which is undoubtedly related somehow to the transition between the two plateau levels.

This transition region is shown in more detail in Fig. 7. The open circles are our previously published data, ${ }^{6}$ while the black points are more recent data (as of the Lepton-Photon Conference in August 1975). The new data give quite a different impression from the old. What was a single broad resonance at 4.15 GeV now seems to have a narrower companion at 4.4 GeV and shows signs of more structure at 3.95 GeV .

We have taken further data in this region recently, and the results are shown on a more expanded scale in Fig. 8. What might have been a statistical fluctuation at 3.95 GeV before is now clearly seen to be some kind of a state, and the 4.4 GeV resonance is confirmed. There may be still more structure in this region, and considerably more data will be required to settle the question. We will try to have the answer in the next three to four months.

The widths and areas of the states in this region are very difficult to obtain quantitatively. There are three reasons for the difficulty. First, all of this rapid cross section variation is taking place in a single angular momentum channel, the $J^{P C}=1^{--}$state. The resonances may interfere with each other. Second, the transition between the low energy and high energy plateau regions indicates that new channels are opening in this region. It is well known that threshold effects can badly distort the shape of a classical Breit-Wigner line. Third, the shape of "background" in the transition region is not well known. The separation of the cross section into "background" and "resonance" contributions is difficult, and line shapes can be further complicated by interference with the background.

The masses, widths, areas, and couplings to the $\mathrm{e}^{+} \mathrm{e}^{-}$systems are summarized in Table II.

## Table II

Summary of the masses, widths, areas, and couplings to the $\mathrm{e}^{+} \mathrm{e}^{-}$systems.

| Mass <br> $(\mathrm{GeV})$ | $\Gamma_{\text {tot }}$ <br> $(\mathrm{MeV})$ | $\int \sigma \mathrm{dE} *$ <br> $(\mathrm{nb}-\mathrm{GeV})$ | $\Gamma_{\mathrm{e}^{+} \mathrm{e}^{-}}$ <br> $(\mathrm{keV})$ |
| :--- | :---: | :---: | :---: |
| 3.1 | 0.069 | 10.4 | 4.8 |
| 3.7 | 0.225 | 3.7 | 2.2 |
| 3.95 | 60. | 0.35 | 0.2 |
| 4.1 | 200 | 2.5 | 1.8 |
| 4.4 | 40 | 0.25 | 0.2 |

The properties of $\psi$ and $\psi^{\prime}$ are well determined, but the widths and areas of the other thrce states are uncertain by $50 \%$. In particular, I have assumed that the 4. 1 GeV region is a single state, which is by no means sure.

In the next few months, we will go over this region with smaller energy steps and better statistics to determine if still more states exist. A study of Fig. 5 will convince you that there is no large energy span sufficiently well mapped to exclude either more $20-50 \mathrm{MeV}$ wide states like the 4.4 or even more small, very narrow ones.

## IV. OTHER NEW STATES

In addition to the $J^{P C}=1^{--}$states described above, at least four other states, all with $\mathrm{C}=+1$, have been found in decays via one-photon emission from the $\psi(3.7)$ or $\psi(3.1)$. Three of these states with masses between $\psi$ and $\psi^{\prime}$ have been seen at SPEAR by the SLAC/LBL group. ${ }^{7,8}$ One of these three states has also been seen by the DASP group, ${ }^{9}$ and in addition another state slightly below the mass of the $\psi$ has been found by the DASP group and the DESY-Heidelberg group. ${ }^{10}$ In this section I shall review the evidence of the SLAC/LBL group and summarize our knowledge of the number, widths, quantum numbers, and decay modes of these states.

The first published attempt to observe monochromatic $\gamma$-ray lines emitted in $\psi^{\mathbf{t}}$ decay to other possible narrow states was by the Hofstadter group ${ }^{11}$ working at SPEAR. Using large NaI crystals, they set an upper limit of about $5 \%$ on the branching fraction of the $\psi^{\prime}$ to a $\gamma-$ ray and a narrow state. The SLAC/LBL group and the DASP group looked not only for the $\gamma$-ray lines but also for the decay products of the intermediate state, which allows a more sensitive search. Data on the first state were presented by DASP, who named it $P_{C}$. The SLAC/LBL group has published the results of our work on this state, which has a higher statistical accuracy. Our experiment studies the decay

$$
\begin{equation*}
\mathrm{e}^{+}+\mathrm{e}^{-} \rightarrow \mu^{+}+\mu^{-}+\mathrm{X} \tag{2}
\end{equation*}
$$

where the energy of the $\mathrm{e}^{+} \mathrm{e}^{-}$system is equal to the $\psi^{\prime}$ mass. The $\mu$-meson momenta are measured in the magnetic detector and the invariant mass of the $\mu^{+} \mu^{-}$ system is determined. A large fraction of the dimuons have the mass of the $\psi$ and these events include such decay modes as

$$
\begin{align*}
\psi^{y} & \rightarrow \pi^{+} \pi^{-} \psi  \tag{3a}\\
& \rightarrow \pi^{0} \pi^{0} \psi  \tag{3b}\\
& \rightarrow \eta \psi  \tag{3c}\\
& \rightarrow \gamma \gamma \psi \tag{3d}
\end{align*}
$$

A typical $\mu^{+} \mu^{-}$mass distribution is shown in Fig. 9.
We look for the decay mode (3d) by three different methods. In the first, both $\gamma$-rays are detected using the shower counters in the magnetic detectors. This proves the existence of the decay mode but does not have very good mass resolution. Also, the efficiency calculations are sufficiently complicated that it is difficult to determine an accurate branching fraction. In the second method,
an $\mathrm{e}^{+} \mathrm{e}^{-}$pair is detected coming from the conversion of one of the two $\gamma$-rays in the beam pipe or the first trigger counters. This gives a very good energy resolution and hence a more accurate mass of the intermediate state. The statistical accuracy is low, however. In the third method, no $\gamma$-ray is detected. The missing mass distribution recoiling against the dimuon system is measured and corrected for processes such as those in Eq. (3b) and (3c). This method gives the best branching fraction.

In the first method, the $\gamma$-rays are detected by the shower counters that lie in a circle of $\sim 1.8-$ meter radius just outside the aluminum coil of the magnet. The $\gamma$-ray position in the counter is determined to an accuracy of $20 \mathrm{~cm}(\sigma)$ from the relative pulse heights measured at each end of the counter and the known optical attenuation length along the counter. From the measurement of the $\mu$ momentum and the $\gamma$-ray directions, a 2 C fit can be made. Figure 10 shows the resulting mass distributions of the $\psi \gamma$ system. Two solutions exist for each event, for one does not know a priori which $\gamma$-ray comes from the decay of the intermediate state. The two peaks in the graph come from this ambiguity and do not indicate the existence of two states. The solid curve shows the distribution expected from a single narrow state with a mass of roughly 3.5 or 3.27 GeV . The dotted curve shows the expected contribution from the $2 \pi^{\circ}$ decay mode of the $\psi^{\prime}$ to the $\psi$ where only two of the four $\gamma$-rays from $\pi^{0}$ decay are detected. The dashed curve shows the expected mass distribution if two photons are emitted by the $\psi^{\boldsymbol{\gamma}}$ distributed according to invariant phase space with no narrow state present.

Figure 10 demonstrates the existence of at least one state with a mass of 3.5 or 3.27 GeV . The width is consistent with the resolution, which for this method is about 80 MeV full-width half-maximum (FWHM). If a state exists at 3.4 GeV , it does not show up strongly in this decay mode.

Figure 11 shows the $\gamma \psi$ mass when one of the two $\gamma$-rays converts in the beam pipe or first counter. The resolution is much improved ( 30 MeV FWHM). The events cluster around a mass of 3.5 or 3.28 GeV .

Figure 12 shows the missing mass spectrum recoiling against the $\psi$ (unshaded). The shaded region shows the distribution after subtracting half of the acceptancecorrected mass distribution from the reaction (3a). This removes the $\pi^{0}$ contribution to the spectrum from (3b) for the $\psi^{\prime}$ has I spin $=0$. This can be done accurately for the dimuon acceptance of the reactions (3a) and (3b) are identical. The dashed line in the figure shows the contribution of the direct radiative $\mu$-pair
production

$$
\begin{equation*}
\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mu^{+} \mu^{-} \gamma . \tag{4}
\end{equation*}
$$

The peak at $\sim 0.3 \mathrm{GeV}^{2}$ is from the decay

$$
\begin{equation*}
\psi^{\prime} \rightarrow \eta_{\psi} . \tag{3c}
\end{equation*}
$$

After subtracting $2 \pi^{\circ}$ contribution, the radiative contribution, and cutting out the region of the $\eta$, we find

$$
\begin{equation*}
\frac{\psi^{\prime} \rightarrow \gamma \mathrm{P}_{\mathrm{C}}}{\psi \rightarrow \text { all }} \cdot \frac{\mathrm{P}_{\mathrm{C}} \rightarrow \gamma \psi}{\mathrm{P}_{\mathrm{C}} \rightarrow \mathrm{all}}=3.6 \pm 0.7 \% \tag{5}
\end{equation*}
$$

Since the Hofstadter group limit on single $\gamma$-rays in this energy region is $5 \%$, this state must decay principally to $\gamma \psi$.

We have also observed states with masses between those of the $\psi^{\prime}$ and the $\psi$ by observing the hadronic decay modes of these intermediate states as follows:

$$
L \begin{align*}
& \psi^{\prime} \rightarrow \gamma \chi \\
& \rightarrow \chi \rightarrow\left\{\begin{array}{l}
\pi^{+} \pi^{-} \pi^{+} \pi^{-} \\
\pi^{+} \pi^{-} \pi^{+} \pi^{-} \pi^{+} \pi^{-} \\
\pi^{+} \pi^{-} \mathrm{K}^{+} \mathrm{K}^{-} \\
\pi^{+} \pi^{-} \text {and/or } \mathrm{K}^{+} \mathrm{K}^{-}
\end{array}\right. \tag{6}
\end{align*}
$$

The one-constraint fitted mass distributions of the hadronic systems of reaction (6) are shown in Fig. 13. The shaded regions show those events consistent with the direct decay of the $\psi^{\prime}$ into charged particles with no $\gamma$-ray (4-constraint fits). The 4-pion mass distribution of Fig. 13a shows two distinct peaks, one at 3.41 GeV with the FWHM of $\sim 20 \mathrm{MeV}$, consistent with our resolution, and the other at 3.53 GeV , with the FWHM of $\sim 60 \mathrm{MeV}$, which is considerably broader than our resolution. The peaks in the 6 -pion and $\pi \pi \mathrm{KK}$ mass distributions tend to confirm the 4 -pion results. There are insufficient data in these channels to prove independently the existence of two states.

The $\pi \pi$ or KK distribution of Fig. 13d shows only one peak at a mass of 3.41 GeV corresponding to the lower of the two peaks in the 4 -pion distribution. Because of this decay mode, this state must have natural spin-parity as well as even charge conjugation, i.e., $\mathrm{J}^{\mathrm{PC}}=0^{++}, 2^{++}, \ldots$ Table III gives our measurements of the production branching fractions of the intermediate states for decay by a particular mode. Each of these branching fractions is the product of the

Table III
Branching fractions in \% for various decay modes of the intermediate states $\chi$ and $P_{C}$.

| Decay mode | $\chi(3410)$ | $\chi(3530)$ | $P_{\mathrm{C}}$ |
| :---: | :---: | :---: | :---: |
| $4 \pi^{ \pm}$ | $0.14 \pm 0.07$ | $0.2 \pm 0.1$ | $?$ |
| $6 \pi^{ \pm}$ | $\sim 0.1$ | $\sim 0.2$ | $?$ |
| $\pi^{+} \pi^{-} \mathrm{K}^{+} \mathrm{K}^{-}$ | $\sim 0.07$ | $\sim 0.05$ | $?$ |
| $\pi^{+} \pi^{-}$or $\mathrm{K}^{+} \mathrm{K}^{-}$ | $0.13 \pm 0.05$ | $<0.027$ | $<0.027$ |
| $\gamma \psi$ | $<0.5$ | $?$ | $3.6 \pm 0.7$ |

branching fraction of $\psi^{\prime}$ decay to that state times the branching fraction of the state to a particular mode. The only case in which we know something about the branching fraction for the intermediate state itself is for the $\mathrm{P}_{\mathrm{C}}$ decay into $\gamma \psi$. The Hofstadter experiment has set an upper limit on the $\psi^{\prime}$ branching fraction to an intermediate state plus a $\gamma$-ray of $\sim 5 \%$. The observed $3.6 \%$ production branching fraction for $\mathrm{P}_{\mathrm{C}}$ going to $\gamma \psi$ indicates that this particle decay mode must be the dominant decay mode of the $\mathrm{P}_{\mathrm{C}}$, and the $\psi^{\prime}$ branching fraction to $\gamma+\mathrm{P}_{\mathrm{C}}$ itself can only be between $3.6 \%$ and $5 \%$.

We can conclude from the experimental evidence that at least three states exist with a mass between that of the $\psi^{\prime}$ and the $\psi$. Two of these states are the narrow states $\chi(3410)$ and $\mathrm{P}_{\mathrm{C}}(3500)$ or (3270) with observed widths consistent with our resolution. A third state is the $\chi(3530)$, with an observed width larger than the experimental resolution. It is tempting to suppose that threc narrow states exist and that the broad $\chi(3530)$ seen in the charged particle decay modes is actually two unresolved narrow states at $\sim 3500 \mathrm{MeV}$ and 3550 MeV . The lower of these states could then be identified with the $P_{C}$ whose mass would be fixed at 3500. The production branching fraction of both (3500) and (3550) to $4 \pi^{\prime} \mathrm{s}$ would then be about the same, while the $\gamma \psi$ branching fraction of (3500) would be much greater than that of the (3550). This question cannot be settled until more data taken with high resolution are available.

Figure 14 is a schematic summary of all the new states. Superficially, this diagram looks very must like the prediction of the Charm-Quark model. There is only one state missing, and there is no room for more states other than this missing one in the model. All of the quantum numbers that have been determined
of these states are consistent with those expected for the bound states of two heavy fermions. There may be some problems for the Charm-Quark model with the high-mass $1^{--}$states as far as their widths are concerned, and there will be serious problems if the 4.1 GeV state is split into further substates. The most serious problem with the Charm-Quark model is the lack of success so far of the experiments that are specifically hunting for charmed mesons ${ }^{12}$ or baryons. Given the amount of effort now going into this search, such particles should be found within a year, if they exist at all.

## V. $\mu-\mathrm{e}$ EVFNTS

As you have all heard by now, the SLAC/LBL group has found what we call "anomalous $\mu$-e events" in the data we have taken at SPEAR. Our first results have been published, ${ }^{13}$ and a more detailed review of these data by M. Perl will be published shortly in the proceedings of the 1975 SLAC Summer Institute on Particle Physics. There are many possibilities for the origin of these events. All of the hypotheses have in common the production of a pair of particles, each with an additive conserved quantum number which is zero for the $\mathrm{e}^{+} \mathrm{e}^{-}$system. Examples are the production and decay of a pair of new heavy leptons or perhaps of a boson pair with a quantum number such as Charm. Before speculating further on the origin of these events, I shall review the event selection criteria and the methods of data analysis.

We have 86 such events (as of September, 1975), of which about $25 \%$ are background. All these events are selected from the sample of data which has only two charged particles visible in the detector with any number of neutrals visible in the detector shower counters, and with any number of charged particles or neutrals escaping out of the ends of the detector. The largest single data sample is that at $\mathrm{E}^{*}=4.8 \mathrm{GcV}$, and I shall use this sample to illustrate the analysis procedure.

The 4.8 GeV data set contains 9500 events with $\geq 3$ visible prongs and some 25,0002 -prong events. Of these 2 -prong events, about 20,000 are Bhabha scatterings and around 1500 are quantum-electrodynamic $\mu$-pair production. In most Bhabha scattering and $\mu$-pair production, the planes defined by each final state particle and the beam direction are coincident, i.e., the events are coplanar. Radiative corrections can make these events a-coplanar, but the cross section decreases rapidly with increasing coplanarity angle. To eliminate most Bhabha and $\mu$-pair events, we require 2 -prong events to have a coplanarity angle $\geq 20^{\circ}$. This cut leaves about 2500 of the original 25,000 events.

The sample is further reduced by momentum cuts and certain geometric restrictions. A $\mu$-meson must have a minimum momentum of $590 \mathrm{MeV} / \mathrm{c}$ to reach the muon chambers. Since we do not want to be at the edge of the range cutoff, we require all $\mu$-candidate prongs to have $\mathrm{p} \geq 650 \mathrm{MeV} / \mathrm{c}$. In addition, at low momentum the shower counters are not very effective in discriminating between electron and hadrons. For simplicity we require the e-candidates also to have $\mathrm{p} \geq 650 \mathrm{MeV} / \mathrm{c}$. We also make certain geometric cuts requiring candidate events to have both tracks within the central $90 \%$ of the shower counters and to be aimed toward the efficient area of the muon chambers. All these cuts reduce the sample of 2 -prong events to 513 .

These events are listed in Table IV. The events are classified there according to total charge, the number of associated photons (shower counters hit other

Table IV
2-prong events at $\mathrm{E}_{\mathrm{cm}}=4.8 . \mathrm{p}_{1}$ and $\mathrm{p}_{2} \geq .65 \mathrm{GeV}, \theta_{\text {coplanar }} \geq 20^{\circ}$.

|  | Total Charge <br> Extra Shower Counter |  |  |  | Total Charge 2 <br> Extra Shower Counter |  |  |
| :---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
|  | 0 | 1 | $\geq 2$ |  | 0 | 1 | $\geq 2$ |
| ee | 40 | 111 | 55 | 0 | 1 | 0 |  |
| $\mathrm{e} \mu$ | 24 | 8 | 8 | 0 | 0 | 3 |  |
| $\mu \mu$ | 16 | 15 | 6 | 0 | 0 | 0 |  |
| eh | 20 | 21 | 32 | 2 | 3 | 3 |  |
| $\mu \mathrm{~h}$ | 17 | 14 | 31 | 4 | 0 | 5 |  |
| hh | 14 | 10 | 30 | 10 | 4 | 6 |  |

than the two hit by charged particles), and the computer particle classification: e, $\mu$, or hadron (h). The e $\mu$ events in Column 1 stand out as having a pattern different from the other types of events. These e $\mu$ events can not come from 2photon processes:

$$
\begin{equation*}
\mathrm{e}^{+} \mathrm{e}^{-} \rightarrow \mathrm{e}^{+} \mathrm{e}^{-} \mu^{+} \mu^{-} \tag{7}
\end{equation*}
$$

for this process should yield the same numbers of $e \mu$ events with charge-0 and with charge-2.

We now need to evaluate the background expected in the $e \mu$ column from various kinds of particle misidentification. We have determined the hadron misidentification probabilities from a study of the multiprong events, and lepton
misidentification probabilities from the study of a large number of coplanar Bhabha and $\mu$-pair events. In determining the hadron misidentification probabilities, we assume that all tracks in the $\geq 3$ prong events are in fact hadrons. If there are real $\mu^{\prime}$ s and e's in this sample (not from ordinary hadron decay) we are overestimating the misidentification probability and compute a larger background than is in fact present. In determining these probabilities we weight the momentum distribution of the

Table V
Particle misidentification probabilities.

| $\mathrm{p}(\mathrm{h} \rightarrow \mathrm{e})$ | $0.18 \pm 0.01$ |
| :--- | :---: |
| $\mathrm{p}(\mathrm{h} \rightarrow \mu)$ | $0.20 \pm 0.01$ |
| $\mathrm{p}(\mathrm{e} \rightarrow \mathrm{h})$ | $0.056 \pm 0.010$ |
| $\mathrm{p}(\mathrm{e} \rightarrow \mu)$ | $0.011 \pm 0.002$ |
| $\mathrm{p}(\mu \rightarrow \mathrm{h})$ | $0.08 \pm 0.01$ |
| $\mathrm{p}(\mu \rightarrow \mathrm{e})$ | $<0.01$ |

hadrons to correspond to the momentum distribution observed in the $\mu$ e events. The misidentification probabilities are given in Table V.

From these misidentification probabilities, we evaluate the number of background $\mu \mathrm{e}$ events expected, and find

1) 0 extra photons, $4.7 \pm 1.2$ background ( 24 events seen),
2) 1 extra photon, $5.6 \pm 1.5$ background ( 8 events seen),
$3) \geq 2$ extra photons, $8.6 \pm 2.0$ background ( 8 events seen).
The calculated background agrees with the number of $\mu$ e events seen with $\geq 1$ photon, but is very much smaller than the number of events seen with zero photons. There is clearly an anomalous $\mu \mathrm{e}$ signal.

The background can also be evaluated with less statistical accuracy using only the events of Column 1 of Table IV. This method gives $7.9 \pm 3$ background events, still leaving the anomalous $\mathrm{e} \mu$ signal.

There is one further background check, which is more qualitative than quantitative. In the $\psi^{\prime}$ decay, we have about 75,000 events involving hadronic decays other than to the $\psi$. Because of the large cross section of the $\psi^{\prime}$, these events were obtained in a relatively small integrated luminosity. The number of $e \mu$ events found in this sample is consistent with the background calculated as described above. If there were some subtle background effect in multihadron events, one might expect it to show up in this very large sample of hadronic decays.

Data at all energies are treated as described above for 4.8 GeV . The resulting cross section is shown in Fig. 15. The observed cross section is plotted with no corrections for geometric acceptance or for the kinematic cuts made. These corrections cannot be determined without a knowledge of the origin of the events.

We can examine various angular and momentum correlations and distributions to seek further clues to the origin of these events. The simplest such correlation is the invariant mass of the e $\mu$ system vs the missing mass recoiling against the system. This is shown for the 4.8 GeV data in Fig. 16. We can see a broad spread in the e $\mu$ mass and in the missing mass which tells us only that the $\mathrm{e} \mu$ combination does not come from the decay of what would be a very peculiar boson and that at least two particles escape detection.

Figure 17 shows the distribution of collinearity angles between the e's and $\mu$ 's for three center-of-mass energy regions. The curves represent the expected distributions including the effects of our cuts, for the reaction

$$
\begin{align*}
\mathrm{e}^{+}+\mathrm{e}^{-} & \rightarrow \mathrm{U}^{+}+\mathrm{U}^{-},  \tag{8}\\
\mathrm{U} & \rightarrow \mathrm{e}+\mathrm{X} ; \text { or } \mu+\mathrm{X}
\end{align*}
$$

where $X$ represents one or more neutral particles. For example, if $U$ were a heavy lepton, X might be two neutrinos; while if U were a boson, X might be one neutrino or a neutrino plus $\mathrm{K}_{\mathrm{L}}^{\mathrm{O}}$. The solid curve in Fig. 17 represents the expected distribution for the 3-body decay of a 1.8 GeV mass heavy lepton via a V-A interaction. The spins of the lepton pair are assumed to be uncorrelated. The dotted curve represents the 2-body decay of a 1.9 GeV mass boson. No sharp conclusion can be drawn from this figure: at best there is a mild preference for the 3-body decay.

Figure 18 shows the momenta of all of the e's and $\mu^{\prime} \mathrm{s}$ vs the center-of-mass energy at which the events were found. The curves on the graph indicate the maximum possible momentum for a lepton coming from a $U$ particle decay, assuming that the neutral masses are zero. The data between 3.8 and 4 GeV might seem to rule out U masses above 1.8 GeV , but this is not the case. A glance at the cross section graph (Fig. 15) shows that the data in this energy interval are only one standard deviation above the feedthrough background, and these events could easily be a background fluctuation. Since all of the background events are included in Fig. 18, we can say with reasonable certainty only that the U mass should be less than $\sim 2.2 \mathrm{GeV}$.

We can look in more detail at the distributions in momentum. To do this we need a way to combine runs taken at different center-of-mass energies and therefore we define a parameter

$$
\begin{equation*}
\rho=\frac{\mathrm{p}-0.65}{\mathrm{p}_{\max }^{-0.65}} \tag{9}
\end{equation*}
$$

where $p$ is the momentum of the lepton in GeV and $p_{\max }$ is the maximum possible momentum a lepton can have from a U-particle decay. Figure 19 shows the distribution of the leptons vs $\rho$ for three energy bands. The parameter $p_{\max }$ is that for a 1.8 GeV mass particle. The solid and dotted curves are calculated for a 3 -body V-A decay and for a 2 -body decay, respectively. The data mildly favors a 3-body decay, but even this conclusion is somewhat sensitive to the choice of U mass: using 2.2 GeV rather than 1.8 GeV makes the choice of the 3 -body decay over the 2 -body decay less compelling.

The energy dependence of the observed cross section also does not help to distinguish between different types of $U$ particles. The data can be fit equally well with the $1 / \mathrm{S}$ dependence expected for a heavy lepton, the $1 / S^{3}$ dependence that might be expected for a pseudoscalar boson, or the $1 / \mathrm{S}^{2}$ dependence that might be expected for a vector boson.

I can summarize the situation as follows:
(1) The anomalous e $\mu$ events exist and only about $25 \%$ can be explained as backgrounds of one type or another.
(2) The events are consistent with the hypothesis of the production of a pair of new particles.
(3) The data mildly favor a 3-body decay of the new particle, but a 2-body decay is not excluded.
(4) If the U particle is a heavy lepton, the production cross section is known and hence a branching fraction can be calculated. The branching fraction of the $\mathrm{U} \rightarrow \mathrm{e}$ or $\mu+$ neutrinos is about $17 \%$ each.
(5) If $U$ is a boson, the production cross section is not known, and hence no branching fraction can be calculated.

What is really needed is more data taken with different kinds of apparatus with different types of biases. We expect roughly to double the data we have now with the SLAC/LBL magnetic detector in the next six months. In addition, two new experiments will be run at SPEAR in the coming year. One of these will look at the $\mu$-inclusive spectrum (not requiring two leptons), while the other will look at the e-inclusive spectrum. Further, the DASP and PLUTO spectrometers at DESY will begin to study these anomalous lepton events in the beginning of 1976. Hopefully, we can understand the origin of these phenomena during the coming year.

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## Figure Captions

1. Exploded view of the magnetic detector.
2. Schematic end-view of the magnetic detector.
3. Total cross section for $\mathrm{e}^{+} \mathrm{e}^{-}$to hadrons.
4. Fine scan of total cross section from c.m. energy of 3.2 to 7.7 GeV .
5. Total hadronic cross section vs c.m. energy, including results to September 1975. The radiative tails of the $\psi$ resonances have been subtracted.
6. R vs c.m. energy, with the data of Fig. 5.
7. $R$ on an expanded scale covering the $3-5 \mathrm{GeV}$ region. The open circles are from Ref. 6, and the solid circles include data up to the time of the Photon Conference.
8. A further expansion of the energy scale for $R$, including the most recent data of October and November 1975.
9. Dimuon mass distribution for c.m. energy equal to the $\psi^{\prime}$ mass. The upper peak is the direct $\psi^{\prime}$ decay to two muons plus the QED $\mu$-pair production, while the lower peak is from the cascade decay $\psi^{\prime} \rightarrow \psi$ with the $\psi$ decaying into two muons.
10. The $\gamma \psi$ mass distribution as observed in the decay $\psi^{\gamma} \rightarrow \psi \gamma \gamma$. The curves are explained in the text.
11. Scatter plot of the two solutions for the mass of intermediate states in $\psi^{\prime} \rightarrow \psi \gamma \mathrm{e}^{+} \mathrm{e}^{-}$.
12. (Missing mass) ${ }^{2}$ recoiling against the $\psi$ before and after subtraction of $\psi^{\prime} \rightarrow \psi \pi \pi$.
13. Invariant hadron mass distributions for the constrained fit of $\psi^{\prime} \rightarrow \gamma+$ hadrons. The hadron systems are (a) $4 \pi$, (b) $6 \pi$, (c) $\pi \pi K K$, (d) the sum of $\pi^{+} \pi^{-}$and $\mathrm{K}^{+} \mathrm{K}^{-}$.
14. Energy level diagram of the new states.
15. The observed cross section for the $\mu$ e events.
16. Distribution of the $\mu \mathrm{e}$ (invariant mass) $^{2}$ vs (missing mass) ${ }^{2}$ for the data at $\mathrm{E}^{*}=4.8 \mathrm{GeV}$.
17. Distribution of $\cos \theta_{\text {col }}$ for three different c.m. energy intervals. The curves are explained in the text.
18. Distribution of $p_{e}$ and $p_{\mu}$ for all $e \mu$ events. The curves are upper limits on $p_{e}$ or $p_{\mu}$ for the indicated $U$ masses in $\mathrm{GeV} / \mathrm{c}^{2}$. These limits are the same for 2-body and 3-body decay provided all neutral masses are zero.
19. The distribution in $\rho$ for three intervals of c.m. energy. The solid and dotted curves are explained in the text.


Fig. 1


Fig. 2


Fig. 3


Fig. 4


Fig. 5


Fig. 6


Fig. 7


Fig. 8


Fig. 9


Fig. 10


Fig. 11


Fig. 12


Fig. 13


$$
C=+
$$

State
$1^{--}$
States

$$
\begin{aligned}
& C=+ \\
& \text { States }
\end{aligned}
$$

Fig. 14


Fig. 15


Fig. 16


Fig. 17


Fig. 18


[^0]:    *Work supported in part by the U. S. Energy Research and Development Administration.
    $\dagger$ Currently on sabbatical at CERN, Geneva, Switzerland.
    (Presented at the INS Symposium on Electron and Photon Interactions in Resonance Region and on related topics, Tokyo, Japan, November 25-27, 1975)

