E-157: A 1.4-m-long plasma wake field acceleration experiment using a 30 GeV electron beam from the Stanford Linear Accelerator Center Linac

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In the E-157 experiment now being conducted at the Stanford Linear Accelerator Center, a 30 GeV electron beam of $2 \times 10^{10}$ electrons in a 0.65-mm-long bunch is propagated through a 1.4-m-long lithium plasma of density up to $2 \times 10^{14}$ e$^-$/cm$^3$. The initial beam density is greater than the plasma density, and the head of the bunch expels the plasma electrons leaving behind a uniform ion channel with transverse focusing fields of up to several thousand tesla per meter. The initial transverse beam size with $\sigma = 50–100 \mu$m is larger than the matched size of 5 $\mu$m resulting in up to three beam envelope oscillations within the plasma. Time integrated optical transition radiation is used to study the transverse beam profile immediately before and after the plasma and to characterize the transverse beam dynamics as a function of plasma density. The head of the bunch deposits energy into plasma wakes, resulting in longitudinal accelerating fields which are witnessed by the tail of the same bunch. A time-resolved Cherenkov imaging system is located in an energy dispersive plane downstream of the plasma. It images the beam onto a streak camera allowing time-resolved measurements of the beam energy spectrum as a function of plasma density. Preliminary experimental data from the first three runs are compared to theory and computer simulations.

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I. INTRODUCTION

Plasma-based acceleration schemes utilizing relativistically propagating plasma waves have been under active investigation because of their potential to accelerate charged particles at gradients that are orders of magnitude greater than those currently employed in rf cavities. Specifically, such relativistic plasma waves are excited by using either laser pulses or short electron bunches. Both techniques have shown acceleration of electrons in proof-of-principle experiments. Laser-driven schemes such as the beat-wave accelerator, the laser-wake field accelerator, and the self-modulated wake field accelerator have accelerated electrons with impressive gradients, much in excess of 1 GeV/m, but over rather small ($<1$ cm) distances. Consequently, the energy gains have been $\leq 100$ MeV. An electron beam-driven plasma wake field accelerator (PWFA) has accelerated electrons over a longer length ($\sim 30$ cm) but at a much smaller gradient ($\leq 50$ MeV/m). This is mainly due to the limit on the total charge and length of the drive electron bunch. If plasma-based accelerators are to have any relevance to future high energy colliders it is essential to show high-gradient ($\gg 100$ MeV/m) acceleration over a long distance.

The purpose of the present experiment is to demonstrate acceleration of electrons by plasma wake field acceleration with gradients in excess of 100 MeV/m over a distance greater than 1 m. The experiment called E-157 and described in this paper, is ongoing at the Final Focus Test Beam facility (FFTB) at the Stanford Linear Accelerator Center (SLAC). It is carried out by a multi-institution collaboration involving Lawrence Berkeley National Laboratory (LBNL), SLAC, the University of California at Los Angeles (UCLA), and the University of Southern California (USC).

The experiment uses a high peak-current (>$100$ A), ultrarelativistic ($\gamma \gg 1$) electron bunch from the Stanford Linear Collider to access the so-called under-dense (or ‘‘blow-out’’) regime of PWFA. In this regime the drive electron-bunch density $n_b$ exceeds that of the plasma density $n_p$. The plasma electrons are expelled by the space charge of the electron bunch leaving behind an ion channel which, ideally,
provides a uniform (along the direction of propagation of the beam), and linear (in the transverse direction) focusing force on the beam. The plasma electrons then rush back into the ion channel to produce ‘an accelerating bucket’ or a longitudinal wake field that can accelerate electrons in the tail of the drive bunch.

For the beam and plasma parameters of our experiment there are several distinct experimental observable in addition to the acceleration of electrons in the tail of the drive bunch. First, for a given length of plasma, the electron beam can undergo several betatron oscillations once the ion channel is established. These produce plasma density dependent oscillations of the electron beam spot size downstream of the plasma. Second, during the rise time of the electron bunch, while the ion channel is being formed, different longitudinal parts of the beam will undergo different betatron phase advances. This manifests itself as time-dependent focusing of the beam. Third, if there is a head–tail offset or tilt to the beam, the tail of the beam could oscillate due to the hosing instability. Fourth, the bulk of the beam will experience an energy loss when creating the wake. All these effects produce characteristic experimental signatures and have to be quantified in order to clearly isolate the energy gain. This paper describes the current progress toward understanding these related effects.

II. EXPERIMENTAL APPARATUS

The bulk of the experimental apparatus is located in the FFTB at the end of the SLAC linac. The principal elements are the lithium plasma source, integrated mirrors and optical transition radiation (OTR) radiators, and finally an aerogel Cherenkov radiator located in the energy dispersive region downstream of the plasma. The experiment is shown schematically in Fig. 1.

A. Electron beam

The SLAC linac delivers a single electron bunch to the plasma at a rate of either 1 or 10 Hz. The electron beam diagnostics include toroidal current transformers for measuring the beam charge, beam position monitors, for measuring beam centroids and charge, wire scanners for measuring the average transverse beam size and its emittance, and dispersive regions for measuring the beam energy spectrum. Typical electron beam and plasma parameters are given in Table I.

Transverse wake fields in the accelerator rf structures can produce head–tail tilts and tail offsets that could lead to false energy gain signatures or no energy gain at all. Thus the setup of the linac upstream of the plasma is critical. The SLAC linac is currently configured for operation of the positron–electron storage ring PEP-II. Its impact on E-157 is briefly explored. The electron beam is accelerated up to \( \sim 30 \text{ GeV} \) in the first two-thirds of the linac for positron production. The rf in the last third of the linac is mostly off. The rf has two principal functions: energy gain and manipulation of the correlated energy spread on the beam to control wake fields—so called BNS damping. No BNS damping in the last third of the linac leads to emittance growth and jitter amplification to unacceptable levels. If the energy spread of the beam is not removed prior to the end of the linac, BNS damping controls the wake fields and beam jitter, but the energy spread leads to chromatic effects in the FFTB. In practice the linac is setup to compromise between these two extremes.

Because of transverse wake fields the beam can have a head–tail offset on the order of tens of microns. Because the tail is offset with respect to the head of the beam, it is offset with respect to the center of the plasma focusing channel (which can be thought of as a quadrupole focusing in both planes) and receives a wake-field-like deflection. The focusing strength \( K_r \) (T/m) for a uniform ion column of density \( n_0 \) (cm\(^{-3}\)) is approximated by

\[
K_r = 960\pi \frac{n_0}{10^{14}}.
\]

For a plasma density of \( 2 \times 10^{14} \) (cm\(^{-3}\)) and focusing gradients on the order of 6000 T/m, a 10 μm offset per longitudinal beam size \( \sigma_z \) can lead to a 2 mm deflection in the dispersive plane downstream of the Cherenkov radiator (see Fig. 1). This effect can in principle be quantified and subtracted from the energy measurement.
Head–tail effects are dependent on the betatron phase advance through the plasma. When the plasma density-length product is adjusted to correspond to a betatron phase advance equal to an integer multiple of $\pi$, the plasma is effectively transparent to the initial transverse beam distribution. When the betatron phase advance is an even multiple of $\pi$ a particle will exit at its initial transverse position and angle, regardless of its incoming offset with respect to the center of the ion channel. When the betatron phase advance in the plasma is an odd multiple of $\pi$ the tail will exit at a transverse position and angle opposite its initial position and angle. By adjusting the plasma density to an odd or even multiple of $\pi$ the tail is either flipped in sign or not changed at all. When operating at this transparency condition, and given the dispersion of 0.085 m, a 10 $\mu$m tail offset would correspond to an apparent energy change of only $\sim$3 MeV. Complications to this simple picture are discussed in Sec. III.

B. Plasma source

Given the drive beam parameters of Sec. II A, the plasma wavelength

$$\lambda_p(cm) = \frac{2\pi c}{\omega_p} = 3.34 \times 10^6 n_p^{-1/2},$$

where $\omega_p$ is the electron plasma frequency and $n_p$ is the plasma density in cm$^{-3}$, can be optimized so as to maximize the energy gain of a sufficient number of particles to be detected by the imaging system. There is a tradeoff between maximizing the acceleration gradient and maximizing the number of particles that get accelerated. The electron bunch length has been measured to be 0.65 mm rms. Simulations (discussed in Sec. III) indicate that the plasma density is optimized when the plasma wavelength is equal to the total bunch ($4\sigma_x$), which gives $2.1 \times 10^{14}$ e$^{-}$/cm$^{-3}$. For the plasma column length in this experiment of 1.4 m, operating at the transparency condition requires a density of $1.5 \times 10^{14}$ e$^{-}$/cm$^{-3}$. Simulations performed for this lower plasma density indicate that reducing the plasma density has a minimal effect on the accelerating gradient and are discussed in Sec. III. The longitudinal density gradient in the plasma must be less than 15% to avoid a dephasing of more than $\pi/2$ with respect to the accelerating wake.

The neutral lithium vapor that is ionized to become the plasma must have two important characteristics. First, since the impact ionization cross section increases with atomic number $Z$, it must have a low atomic number to minimize the effect of impact ionization from the 30 GeV electrons. Second, it must have a low enough ionization potential to be ionized by an available laser system. Lithium (Li), with atomic number 3 and ionization potential of 5.392 eV makes a good choice.

A prototype for the photoionized Li plasma source has been described in detail elsewhere. An argon–fluorine (ArF) excimer laser provides 8 mJ of incident UV (193 nm, 6.45 eV photons) at the entrance to the Li vapor column. It has a rectangular cross section which is demagnified by a 3:1 telescope to an 8 mm$^2$ area at the entrance of the plasma, corresponding to a fluence of $\sim$100 mJ/cm$^2$. The Li has an ionization potential of 5.392 eV and an ionization cross section of $1.8 \times 10^{-18}$ cm$^{-2}$ for the 6.45 eV photons. The UV telescope is adjusted to taper the spot size along the vapor column. This counteracts UV absorption by the Li vapor and maintains a constant fluence over the length of the plasma column. Longitudinal and transverse gradients in plasma density, resulting from damage to the optical elements, are being investigated. An approximately 10% ionization fraction ensures that the estimated 0.1% impact ionization of the Li vapor is negligible.

By measuring the incident and transmitted UV energy ($E_i$ and $E_t$, respectively), and using the known ionization cross section of the neutral Li ($\sigma$), the line integrated number of neutral Li atoms along a column of length $L$ can be calculated from the exponential attenuation of the photons through single photon ionization:

$$n_0L = \frac{1}{\sigma} \ln \left( \frac{E_i}{E_t} \right).$$

The UV energy at the Li–He boundaries can presently not be measured in situ. Thus, an additional measurement of UV transmission must be made when the oven is cold and no Li vapor is present. In this case any absorbed UV is due to losses in the air or optical elements such as windows, lenses, and pellicle mirrors. Further, the reflectivity and transmissivity of the various UV windows, lenses, and mirrors changes over time given the hostile environment in which they are operating—high incident laser energy density, x rays, and the electron beam itself. The optical elements degrade, typically over a few hours, and hence the plasma density calculated from UV absorption becomes more uncertain with integrated run time after the cold oven offset is measured. Mitigating damage to optical elements is an area of vigorous activity in the ongoing experiment.

For the purpose of developing an independent diagnostic for the neutral and plasma density, systematic studies of Cherenkov radiation immediately downstream from the oven at wavelengths near the spectral line of Li neutrals (670 nm) have been performed. Measurement of the Cherenkov cone angle permits direct estimate of the neutral density seen by the electron beam, since the Cherenkov resonance condition depends directly on this quantity.
C. Optical transition radiation diagnostics

The pellicle mirrors that couple the ionization laser pulse into the plasma (see Fig. 1) are 150-μm-thick fused silica substrates with a multilayer dielectric high reflectivity (HR) coating for 193 nm. By depositing a thin film of aluminum onto the side opposite the HR coating they serve an additional role as sources of OTR at close proximity to the plasma entrance and exit. OTR provides a convenient, non-destructive way to measure the beam transverse profile on a single pulse basis. The electron beam undergoes betatron oscillations as it travels down the length of the plasma. In principle, OTR images downstream of the plasma allow for tuning the plasma density such that the beam envelope undergoes an integer number of oscillations and the plasma can be considered transparent to the transverse dynamics. In practice, fluctuations in laser energy give rise to fluctuations in the plasma density and the downstream OTR serves to monitor these deviations from the ideal.

The validity of OTR as a diagnostic for multi-GeV beams was recently confirmed as part of an E-157 test run at SLAC17 in which 50 μm spot sizes were resolved, in agreement with independent measurements. Although the radiation peaks at an angle 1/γ, at multi-GeV energies a large fraction of the radiation is in the wings of the distribution and has consequences for the resolution of an OTR-based imaging system. The full width half-maximum (FWHM) of an image of a point source, resolved using OTR, is obtained by integrating the single point function, but can be estimated for an optical system of angular acceptance (θa) and wavelength λ:18

$$\text{FWHM} \approx \frac{1.44 \lambda}{\theta_a}.$$

OTR from the aluminum coating exits the vacuum through a viewport and is imaged onto a charge-coupled device (CCD) camera by a high-resolution compound lens. The angular acceptance is sufficient for a total resolution of the order of 10 μm. The overall resolution, which includes effects from finite pixel size, etc., is typically larger, on the order of 20 μm. Images from the cooled CCD cameras, with dynamic ranges of 12 and 16 bits, are digitized by computers that tag the images and store them to disk.

D. Cherenkov radiation diagnostic

As discussed in Sec. III, the peak accelerating field occurs in the back of the electron bunch. As the accelerating gradient increases within the electron bunch, the number of electrons present to witness the accelerating field is progressively lower. Additionally, the number of accelerated electrons that can be resolved is directly proportional to the number of photons created and transported to the streak camera. Recent measurements at SLAC demonstrated that an aerogel-based Cherenkov system delivered a factor of 20 more photons than an OTR-based system for similar beam conditions.19 Measuring the combined effects of the transverse and longitudinal fields as depicted in Figs. 2–5, requires measuring the beam energy as a function of time with spatial resolutions of the order of 150 μm (corresponding to an energy resolution of 50 MeV) and a temporal resolution of 1 ps, respectively. Since housing the streak camera in the radiation enclosure of the FFTB beamline is undesirable due to the limited access afforded, the aerogel radiator is imaged onto the slit of the streak camera located outside the radiation shielding some 15 m away. All reflective optics are used to prevent temporal resolution degradation due to dispersion in transmissive optical elements. The mirrors are coated with an enhanced aluminum coating that is 90%–95% reflective.
over the bulk of the visible spectrum (400–700 nm), resulting in an overall transmission efficiency of roughly 25%. Field mirrors allow for a field of view (FOV) of ~7 mm. For an electron beam energy of 30 GeV and a dispersion (η) of 0.085 m, this corresponds to a total imaged energy spread of up to

\[ \Delta E = E \frac{\text{FOV}}{\eta} = 2.5 \text{ GeV}. \]

Spatial resolution is of the order of 100 μm and temporal resolution (including the contribution from the streak camera entrance slit width) is 1 ps. A beam splitter samples a fraction of the Cherenkov light and provides a time-integrated image of the beam in the transverse plane. Monitoring the transverse size provides an additional diagnostic as well as ensuring that alignment of the electron beam image onto the slit is maintained for both the plasma on and plasma off conditions.

### III. SIMULATIONS AND PREDICTIONS

Fully self-consistent particle-in-cell simulations have been performed in two and three dimensions using the object-oriented parallel code OSIRIS. The code employs a moving window to follow the beam and was run on up to 64 nodes on the Cray T3E at the National Energy Research Scientific Computing Center (NERSC). Simulation results for the energy gain, beam profiles, and betatron behavior are shown in Figs. 2–5.

Figure 2 shows the energy gain of the beam in a plasma of density \(1.5 \times 10^{14} \text{ cm}^{-3}\) in a 2-D simulation with axysymmetric cylindrical geometry. Also shown are the number of particles and the maximum particle energy in 0.122 ps bins along the \(z\) axis. For this case, the bunch contained \(2 \times 10^{10}\) electrons in a bi-Gaussian round bunch of length \(\sigma_z = 0.63\) mm, transverse spot size \(\sigma_r = 70\) μm, and emittance of 15 mm-mrad. The maximum energy bin had a bin-averaged energy gain of 250 MeV and the highest energy particle gained 400 MeV. It should be noted that the accelerating gradient scales with the electron bunch charge and inversely with the electron bunch length squared. Future efforts will study the effects of increasing the electron bunch charge by up to a factor of 2 and/or decreasing the electron bunch length by 30%.

Figures 3 and 4 show images from three-dimensional (3-D) simulations of the beam (\(y\) vs \(z\)) at the exit of the plasma and again after 12 m of free space propagation to the Cherenkov detector (not including energy dispersion from the magnet). In order to simulate head–tail offsets of the type that can occur in the experiment, the beam was initialized with a linear cant in the \(y\) direction of 10 μm per \(\sigma_z\) (0.63
mm). In Fig. 3 the beam is exiting the plasma at $z = 1.4$ m after three envelope oscillations (see Fig. 5), while in Fig. 4 it exits after 2.5 envelope oscillations. In the experiment, the spot size measured at the Cherenkov detector is a combination of both the transverse size and the dispersed energy spectrum of the electron beam, adding together in quadrature. The blowup of the beam in Fig. 4(b) illustrates how in the experiment a beam diverging at the plasma exit can dominate the measured beam spot size when compared to the contribution from the energy spectrum. Operating at plasma conditions corresponding to an integer number of envelope oscillations minimizes the beam divergence and the subsequent contribution to the time-resolved energy measurement.

Figure 5 shows the $y$ centroid and $y$-spot size of an axial slice 440 $\mu$m behind the center of the beam as a function of distance propagated into the plasma (corresponding to the 3-D simulation of Fig. 3). We see the regular oscillations of the spot size. The centroid of this slice is initially at $y = -7$ $\mu$m and oscillates approximately about an axis defined by the head of the beam at $y = +7$ $\mu$m. The period of the tail flipping apparent in Fig. 5(b) is roughly twice the period of the envelope oscillations. Therefore, if the electron beam enters the plasma with a head-to-tail offset or tilt, the tail of the beam will oscillate due to the perpendicular wake fields and could even grow due to the hosing instability. The 3-D simulations shown in Fig. 5(b) indicate that although the plasma density may be such that the bulk of the beam exits the plasma with a phase advance of $3\pi$, the tail is slightly out of phase with the bulk of the beam. Consequently, the tail of the beam can exit the plasma with a significant divergence ($160$–$320$ $\mu$rad), which when propagated 12 m downstream to the Cherenkov detector, can lead to tail offsets of several millimeters [see Fig. 3(b)]. Such an offset is of the same order, and convoluted with, offsets due to changes in electron energy (see Sec. III D).

**IV. EXPERIMENTAL DATA**

As mentioned in Sec. I, there are several distinct experimental observables in addition to the acceleration of electrons in the tail of the drive bunch. First, for a given length of plasma, the electron beam undergoes betatron oscillations once the ion channel is established. These produce plasma-density-dependent oscillations of the spot size of the electron beam downstream of the plasma. The transverse focusing forces of the ion column should result in betatron oscillations within the plasma of betatron wavelength ($\lambda_{p}$)

$$\lambda_{p} = \sqrt{2}\gamma\lambda_{p},$$

where $\gamma$ is the relativistic factor. Figure 6 shows the beam undergoing the first envelope oscillation on the time-integrated Cherenkov detector. The plasma density is varied by changing the relative delay between the ionization laser pulse and the arrival of the electron beam, allowing the plasma to decay due to recombination and diffusion. Ideally, the experiment is conducted at a plasma density corresponding to an integer number of beam envelope oscillations to minimize the contribution of transverse dynamics to the time-resolved energy measurement. In practice, fluctuations in both the ionization laser energy (plasma density) and the incoming electron beam distribution necessitate reducing the data based on information such as the laser energy and beam size downstream of the plasma.

Second, during the rise time of the electron bunch, while the ion channel is being formed, different parts of the beam will undergo a different number of envelope oscillations. This will manifest itself as time-dependent defocusing and focusing along the electron bunch. For the simulations shown in Figs. 3(a) and 3(b), the three envelope oscillations are visible in the head of the bunch. The 1 ps resolution of the streak camera diagnostic only resolves the envelope of these oscillations at the head of the bunch.

Due to variations in electron beam tails and ionization laser pulse energy, the diagnostics show a wide variety of images. Figure 7(b) shows a time-resolved image of the electron beam at the Cherenkov detector, selected because it illustrates many of the effects predicted by the simulations. Figure 7(a) is a case with plasma off and Fig. 7(b) is with the plasma turned on. In Fig. 8, the images from Fig. 7 have been processed to illustrate the time-dependent features. The images in Fig. 7 have been divided into 1.3 ps slices. Each slice is then projected onto the vertical axis and fit to a Gaussian. The central contours show the centroid and rms widths of the individual slices. When the projections are non-Gaussian, however, the fits do not take into account particles out in the wings. The additional contours in Fig. 8 show the maximum extent of particles registering intensities of 50% and 30% of the maximum, respectively.

In the plasma off case the beam is roughly cylindrical with no longitudinal correlation in beam energy. Once the plasma is turned on, however, the time-resolved image in Fig. 7(b), as well as the contours in Fig. 8(b) show many of the qualitative features predicted by the simulations. The head of the beam defocuses to ~1.5 times the initial transverse size as the electrons are being blown-out, then pinches back down to nearly its initial size after the ion channel is formed. Third, in the back of the beam where the accelerating gradient is large, there is a shift of particles in the direction of increasing energy.

To differentiate the effect of the transverse dynamics
(deflections of tails) from the longitudinal dynamics (acceleration of tails), two techniques are typically employed. First, “bumps” are placed into the electron beam orbit upstream of the plasma to manipulate the transverse wake fields and deliberately introduce tails into the beam such that their deflection by the plasma can be studied. Second, the plasma density is changed from the nominal operating density, which corresponds to three envelope oscillations, to a lower density corresponding to two envelope oscillations. After two oscillations in the plasma, an electron emerges with the same radial position and angle as it enters. After three oscillations, an electron exits the plasma with a radial position and momentum opposite to how it entered. This results in “tail flipping.” These two techniques are used to understand and minimize the contributions to the energy measurement resulting from transverse kicks. When analyzing candidate images for acceleration, these effects have to be considered and quantified—improving our understanding of tail effects is ongoing.

The head of the bunch deposits energy into plasma wakes, resulting in a longitudinal accelerating field which is then witnessed by the tail of the same bunch. For a beam of $2 \times 10^{10}$ electrons and a plasma density of $1.5 \times 10^{14}$, Fig. 2 predicts that the core of the beam should deaccelerate by up to 100 MeV as it creates the plasma wake. An energy loss of 100 MeV corresponds to a change in the centroid location by $-285 \mu m$ at the Cherenkov detector. In the tail of the bunch, where particles witness the longitudinal wake, electrons gain energies up to 400 MeV, corresponding to an upward shift of 1.2 mm. Quantifying the exact values for energy gain and loss via improved statistics and understanding the contributions from transverse effects is the focus of this ongoing experiment.

V. CONCLUSIONS

In the ongoing plasma wake field acceleration experiment E-157, several characteristics of the transverse and longitudinal dynamics predicted by simulations have been observed. The beam transverse size is not matched to the focusing channel produced by the blow-out of the plasma electrons. This results in a modulation of the transverse size of the electron beam downstream of the plasma as a function of the plasma density. Within the rise time of the electron beam, while the ion channel is being formed, different parts of the beam undergo a different number of envelope oscillations, and are manifested as time-dependent defocusing and focusing of the front of the beam. Quantifying the energy loss of the core and energy gain of the tail, through an improved understanding of the contributions from the deflection of wake field tails, is the focus of ongoing efforts.

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Color versions of figures available upon request.\textsuperscript{21}


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