

The stakes are very high !!!

- Can we show that **ANY** r-Process material comes from  **$\nu$ -heated** ejecta ??
- Are there  $\nu$ -signatures in r-Process abundance systematics ??



yes

$\Rightarrow$  fantastic probe of  $\nu$  physics

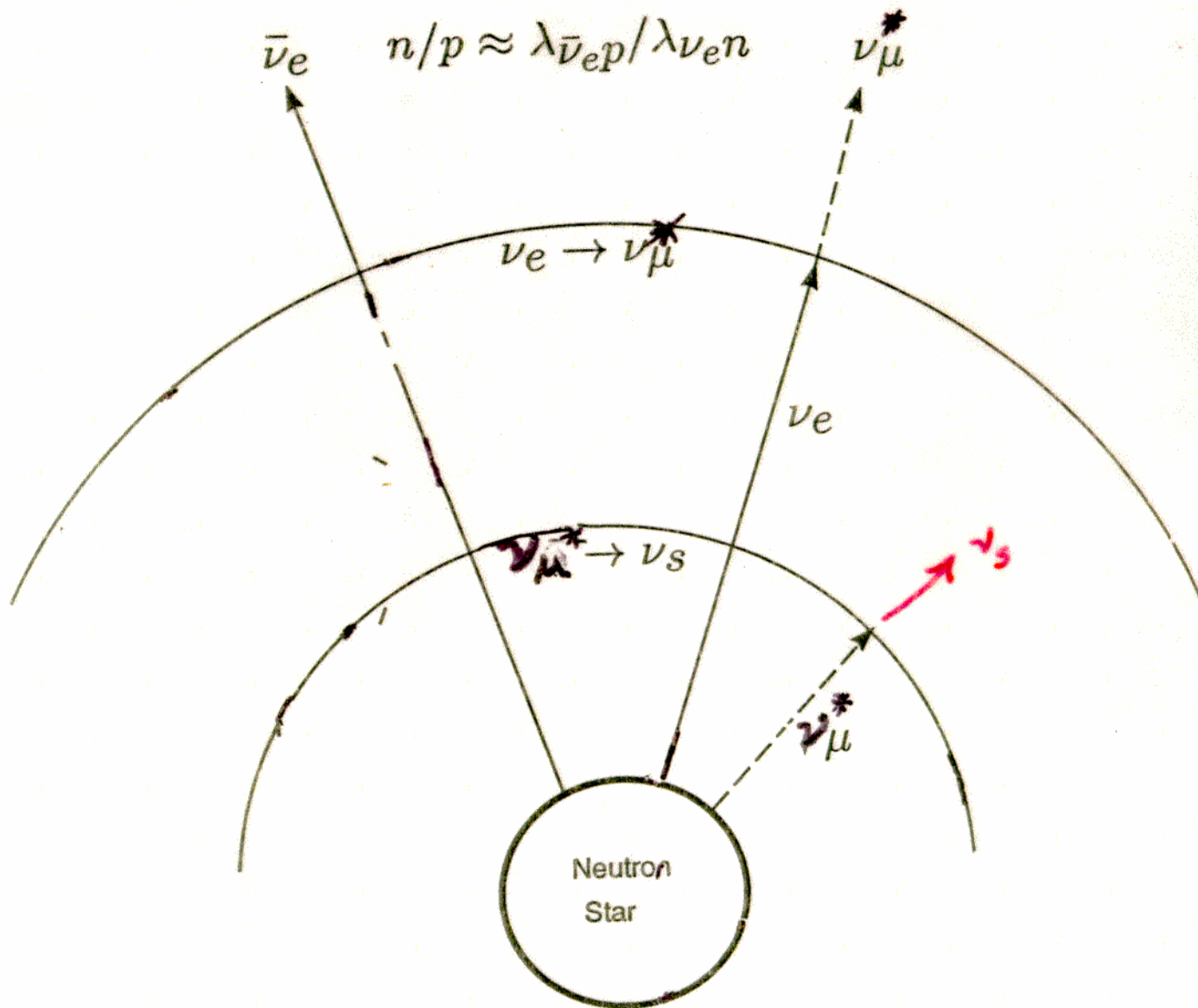
But

we are not there yet.

Caldwell, Fuller, Qian (2000)  
~~Caldwell (1994)~~

$t_{pb} \sim 10 \text{ sec}$

r-process  
nucleosynthesis region

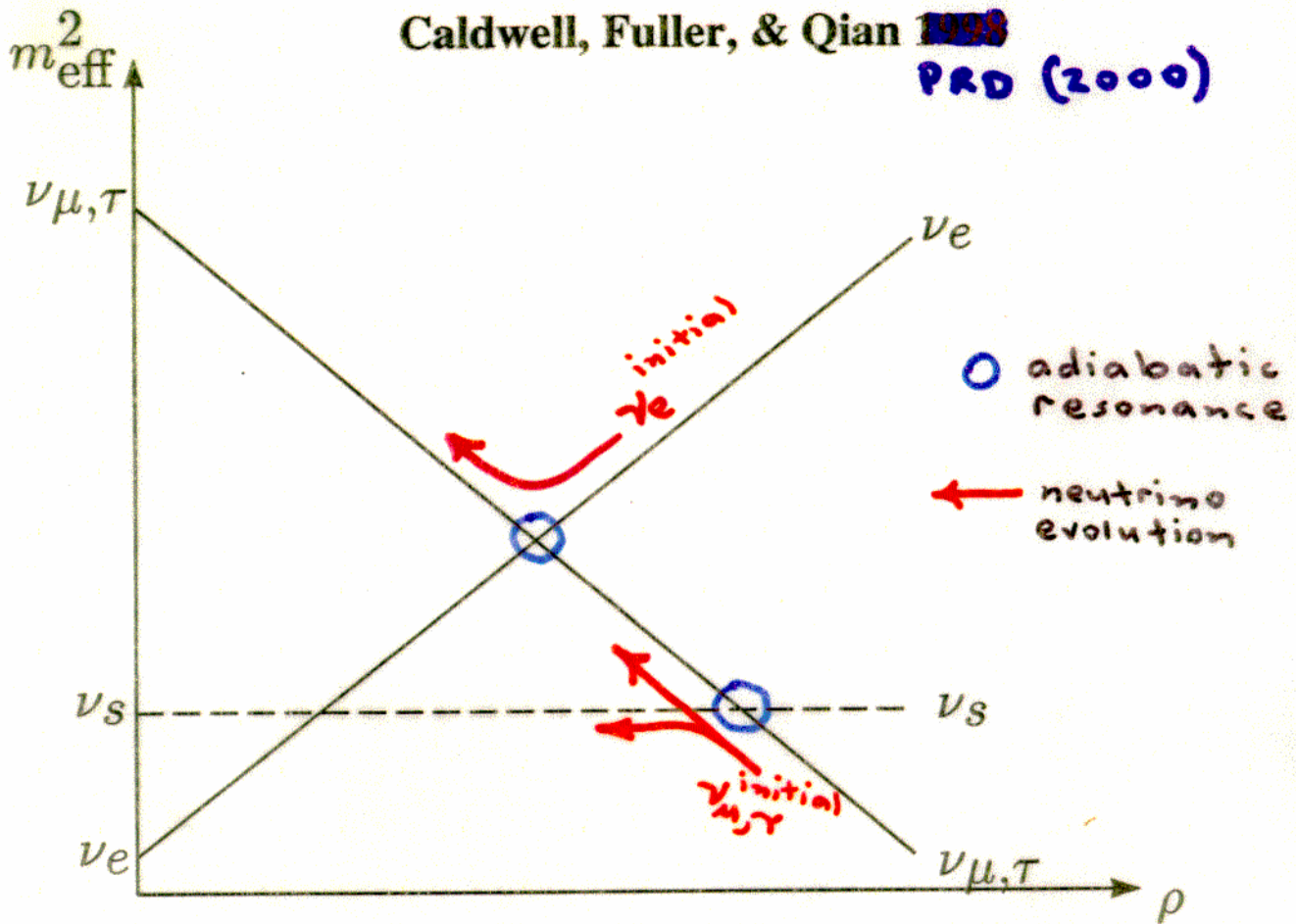


$t_{pb} < 1 \text{ sec}$   
use  $\nu_e \rightleftharpoons \nu_s$  twice

see also  
Peltoniemi  
Petcov

$\nu_e \rightleftharpoons \nu_s$  ...  $\nu_s \Rightarrow$  proton-rich

Caldwell, Fuller, & Qian 1998  
PRD (2000)



Fast expansion case:  $\nu_{\mu,\tau} \rightleftharpoons \nu_s$  ( $\nu_m^* \rightleftharpoons \nu_s$ )  
 followed by  $\nu_{\mu,\tau} \rightleftharpoons \nu_e$  ( $\nu_m^* \rightleftharpoons \nu_e$ )

In the fast expansion case,  $Y_e$  lags neutrino transformation. Therefore, the order of the level crossings does not change until all of the electron neutrinos are transformed.

Net Result:   
 at 1<sup>st</sup> resonance { Probability  $\nu_\mu \rightarrow \nu_\mu \Rightarrow 1/4$   
 Probability  $\nu_\mu \rightarrow \nu_\tau \Rightarrow 1/4$   
 Probability  $\nu_\mu \rightarrow \nu_s \Rightarrow 1/2$   
 after 2<sup>nd</sup> res. { Probability  $\nu_{\mu,\tau} \rightarrow \nu_e \Rightarrow 0$  (2nd resonance)

The key step is to define two linear combinations of  $\nu_\mu$  and  $\nu_\tau$  in this representation, and then transform into the new flavor basis defined by these states. The linear combinations are:

$$|\nu_\mu^*\rangle \equiv \frac{|\nu_\mu\rangle - |\nu_\tau\rangle}{\sqrt{2}}$$

$$|\nu_\tau^*\rangle \equiv \frac{|\nu_\mu\rangle + |\nu_\tau\rangle}{\sqrt{2}}$$

Armed with this new flavor basis, we can produce a new unitary transformation to the mass basis in vacuum:

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_s\rangle \\ |\nu_\mu^*\rangle \\ |\nu_\tau^*\rangle \end{pmatrix} = \begin{pmatrix} \cos\phi & \sin\phi\cos\omega & \sin\phi\sin\omega & 0 \\ -\sin\phi & \cos\phi\cos\omega & \cos\phi\sin\omega & 0 \\ 0 & -\sin\omega & \cos\omega & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \\ |\nu_4\rangle \end{pmatrix}$$



$$\nu_\mu^* \Rightarrow \nu_e, \nu_s$$

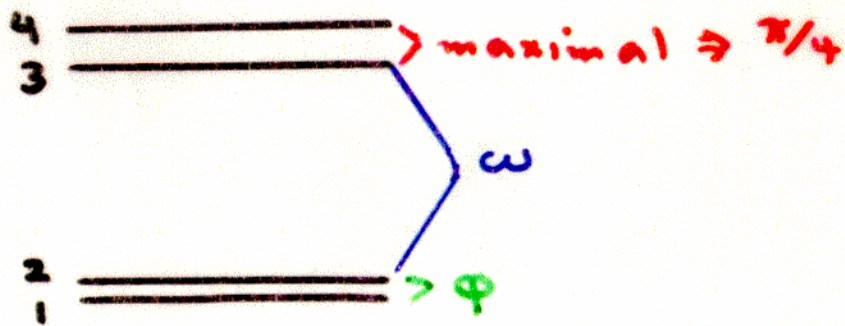
$$\nu_\tau^* \text{ "decoupled"}$$

### 4 x 4 Mixing in Vacuum

CFQ '00  
Balantekin & Fuller '99

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_s\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix} = \begin{pmatrix} \cos\phi & \sin\phi \cos\omega & \sin\phi \sin\omega & 0 \\ -\sin\phi & \cos\phi \cos\omega & \cos\phi \sin\omega & 0 \\ 0 & -\sin\omega/\sqrt{2} & \cos\omega/\sqrt{2} & 1/\sqrt{2} \\ 0 & \sin\omega/\sqrt{2} & -\cos\omega/\sqrt{2} & 1/\sqrt{2} \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \\ |\nu_4\rangle \end{pmatrix}$$

Note that the mixing between  $\nu_e$  and  $\nu_s$  is mostly determined by the angle  $\phi$ , while  $\omega$  controls the level of mixing between between the doublets,  $\nu_{\mu,\tau}$  and  $\nu_{e,s}$ .



$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ 0 & 0 & \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} \cos\phi & \sin\phi & 0 & 0 \\ -\sin\phi & \cos\phi & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\omega & \sin\omega & 0 \\ 0 & -\sin\omega & \cos\omega & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

3  $\rightleftharpoons$  4

1  $\rightleftharpoons$  2

2  $\rightleftharpoons$  3

Caldwell, Fuller, and Qian <sup>2000</sup>~~1999~~

$$\begin{array}{l} \text{-----} \\ \text{=====} \end{array} \begin{array}{l} \nu_{\tau} \\ \nu_{\mu} \end{array} \quad \delta m_{\mu\tau}^2 \sim 10^{-2} \text{ eV}^2$$

$$\delta m_{\mu e}^2 \sim 6 \text{ eV}^2 \quad (0.2 \text{ eV}^2 - 8 \text{ eV}^2)$$

$$\begin{array}{l} \text{-----} \\ \text{=====} \end{array} \begin{array}{l} \nu_s \\ \nu_e \end{array} \quad \delta m_{es}^2 \sim 10^{-5} \text{ eV}^2$$

(like old C. & Mohapatra  
scheme for masses)

BBN: OK (very restricted!!!)

SNN: Enables r-Process in neutrino-heated  
supernova ejecta; removes  $\nu_e$  flux.

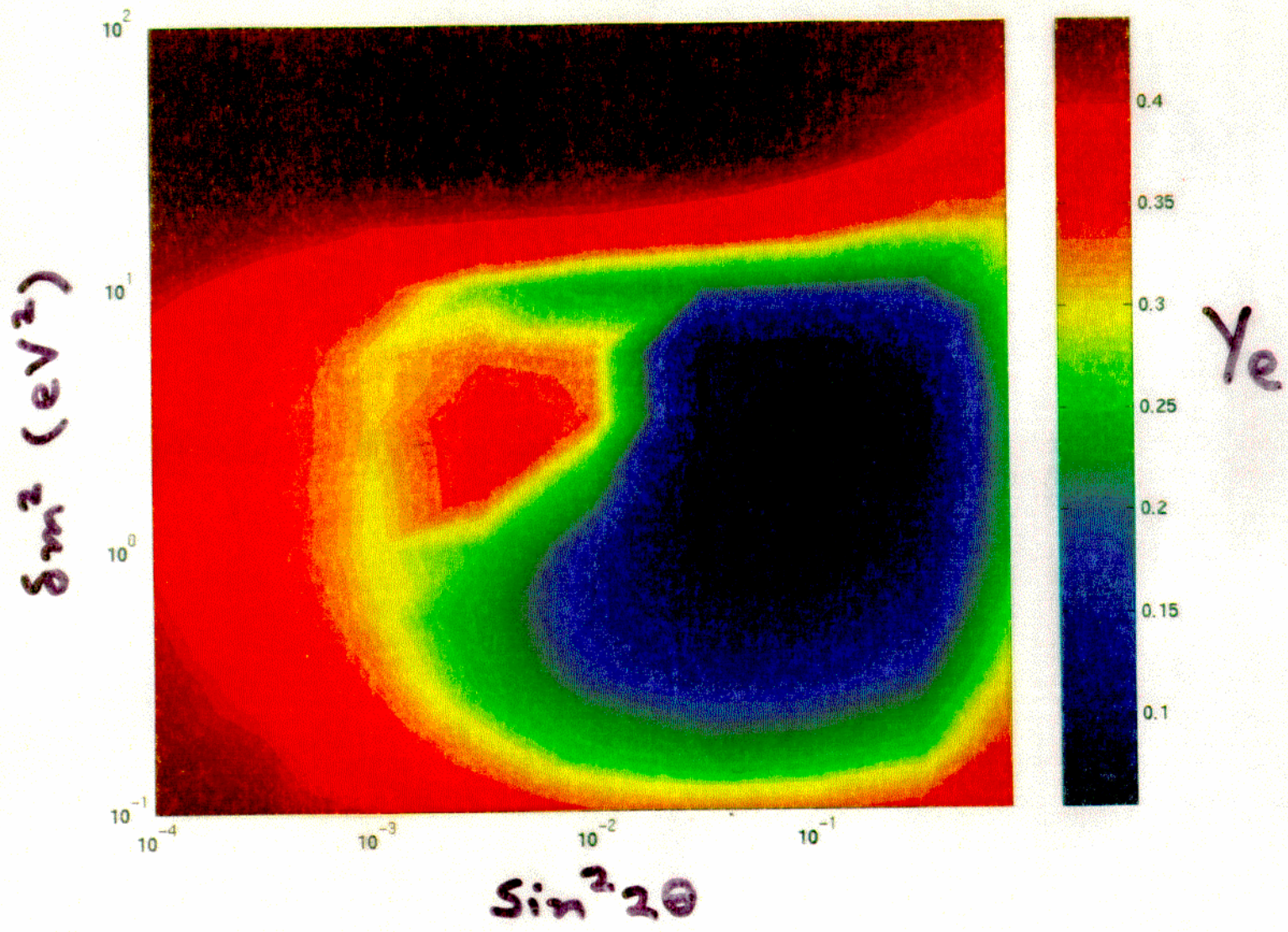
SuperK:  $\nu_{\mu} \rightleftharpoons \nu_{\tau}$  maximal vacuum mixing

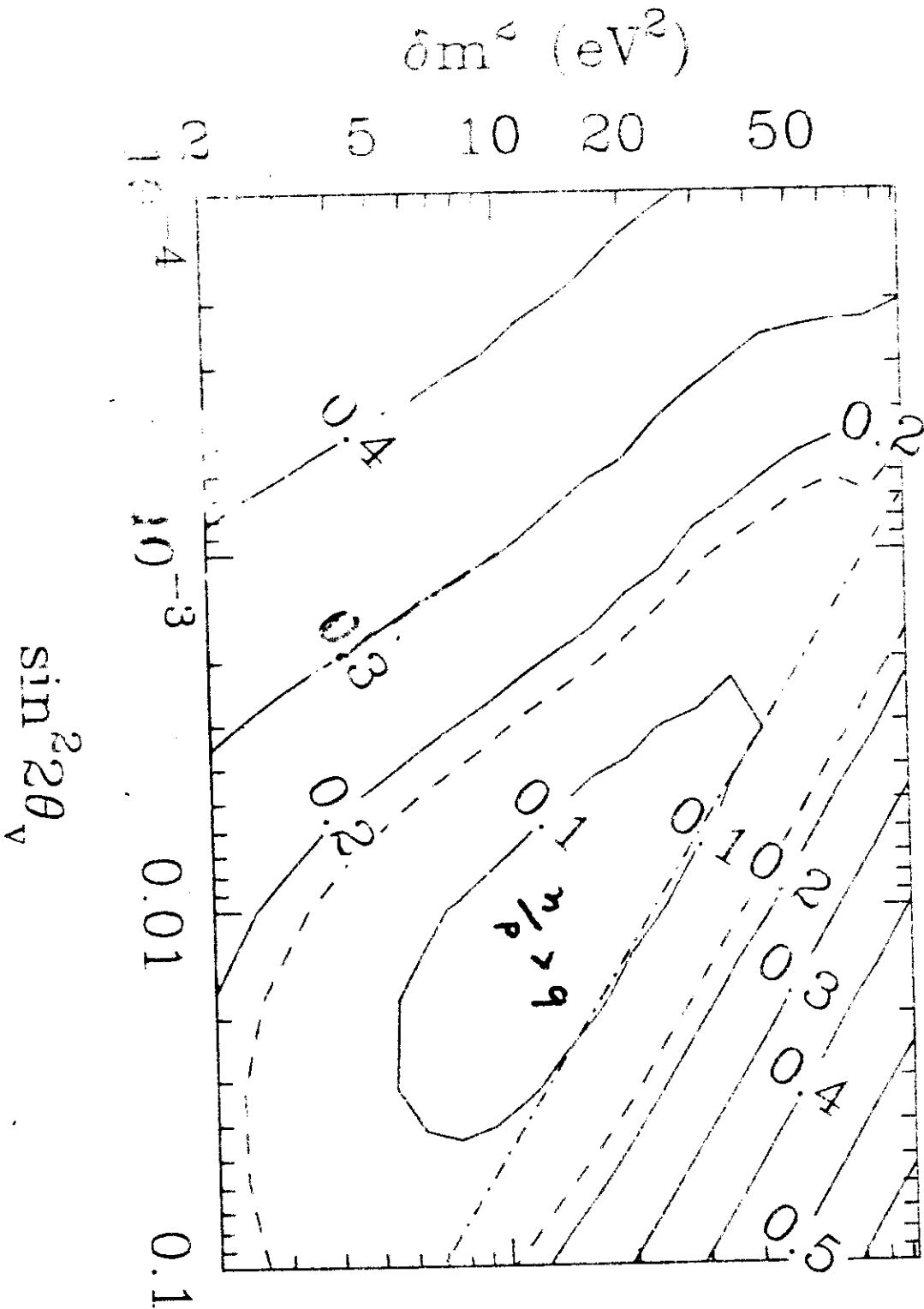
Solar Neutrinos:  $\nu_e \rightleftharpoons \nu_s$  matter-enhanced  
(or  $\nu_e \rightleftharpoons \nu_s$  "just so"  $\delta m^2 \sim 10^{-10} \text{ eV}^2$ )

LSND:  $\nu_{\mu} \rightleftharpoons \nu_e$  vacuum oscillations

MFBF '92 EFFECT  
Patel & Fuller

$$\nu_e \rightleftharpoons \nu_s$$
$$\bar{\nu}_e \rightleftharpoons \nu_s$$





MFBF 198

Final  $Y_e, \tau = 0.3 \text{ s}$

$\left. \begin{array}{l} \nu_e \approx \nu_3 \\ + \\ \nu_e \approx \nu_2 \end{array} \right\} \text{Fetter, McLaughlin}$   
 +  
 Balaoutakin,  
 G.M.F.



McLaughlin, Fetter, Balantekin, GMF (MFBF), ~~submitted PRD~~

PRC 39, 2873, (1999)

Balantekin, Fetter, Fuller, McLaughlin 1998

? -----  $\nu_s''$ ?

? -----  $\nu_s'$ ?

-----  $\nu_s$   $100 \text{ eV}^2 > \delta m_{es}^2 > 6 \text{ eV}^2$

For r-process "fix"

?  
could be  $0.2 \text{ eV}^2 - 200 \text{ eV}^2$  depending on  $\nu$ -spectra

=====	$\nu_\tau$	$\delta m_{\mu\tau}^2 \sim 10^{-2} \text{ eV}^2$
=====	$\nu_\mu$	
=====	$\nu_e$	

BBN: <sup>?</sup> OK, may give interesting CMBR signal

SNN: Enables r-Process in neutrino-heated ejecta  
"removes"  $\nu_e$  flux

SuperK:  $\nu_\mu \rightleftharpoons \nu_\tau$  maximal vacuum mixing

Solar Neutrinos:  $\nu_e \rightleftharpoons \nu_{\mu,\tau}$  matter-enhanced or vacuum oscillations

LSND:  $\nu_\mu \rightarrow \nu_s \rightarrow \nu_e$  Indirect vacuum oscillations  
(but note that oscillation channel proceeds through sterile state)

troubled:

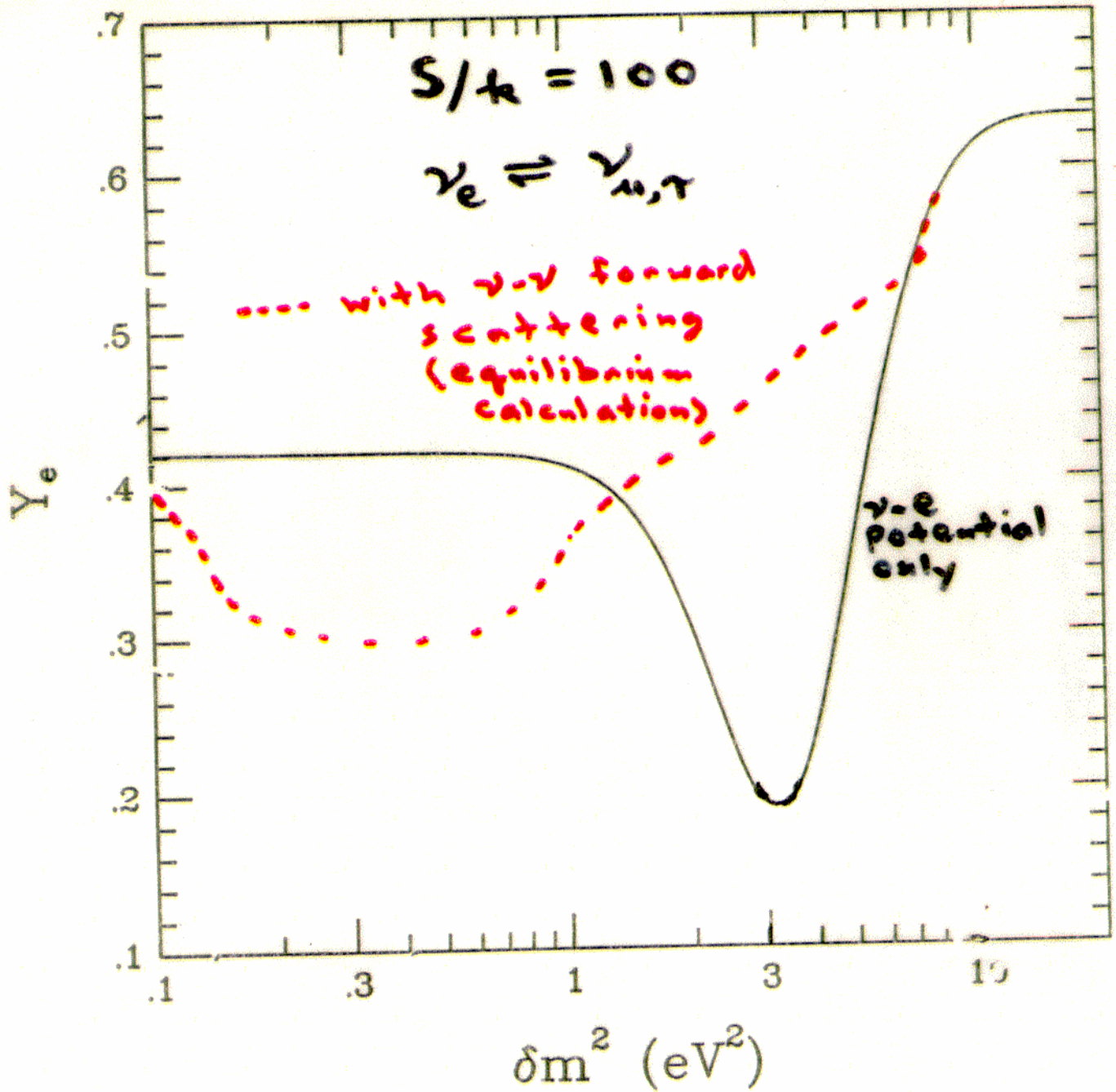
can effective two- $\nu$  mixing be big enough? Also BBN?

NO

not  
it  
we  
want

with  $\nu$ - $\nu$  forward scattering,  
get  $\sim 10\%$  reduction in  $Y_e$  for

$$0.1 \text{ eV}^2 \lesssim \delta m^2 \lesssim 1 \text{ eV}^2$$



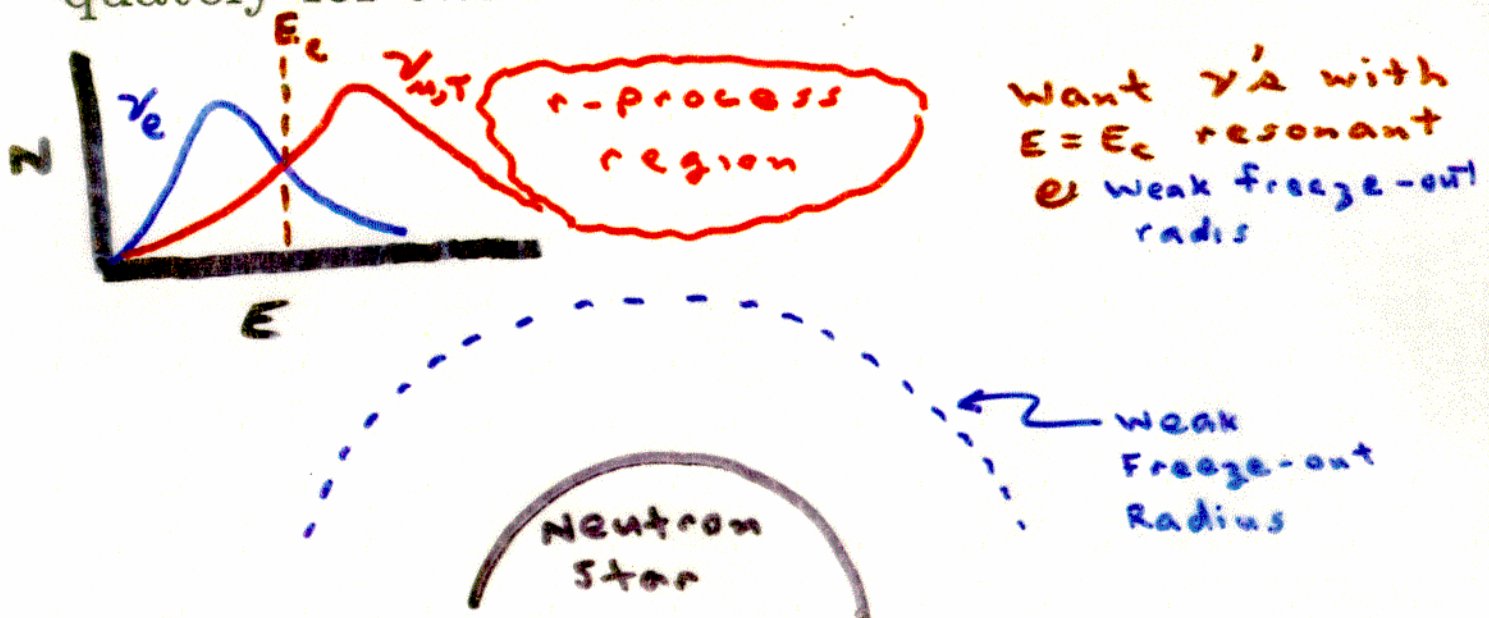
Matter-Enhanced  $\nu_{\mu(\tau)} \rightleftharpoons \nu_e$ 

(Qian &amp; Fuller 1997)

- Want only neutrinos with energies less than spectrum crossover energy  $E_c$  to transform inside the weak freeze-out radius.
- This will occur and decrease  $Y_e$  when

$$2 \text{ eV}^2 \lesssim \delta m^2 S_{100} \lesssim 3 \text{ eV}^2$$

e.g., when  $S/k = 400$ ,  $Y_e$  decreased adequately for  $\delta m^2 \approx 0.5 \text{ eV}^2$ .



Y.-Z. Qian & G.M. Fuller  
Phys. Rev. D51, 1479 (1995)

Qian & Fuller 1994

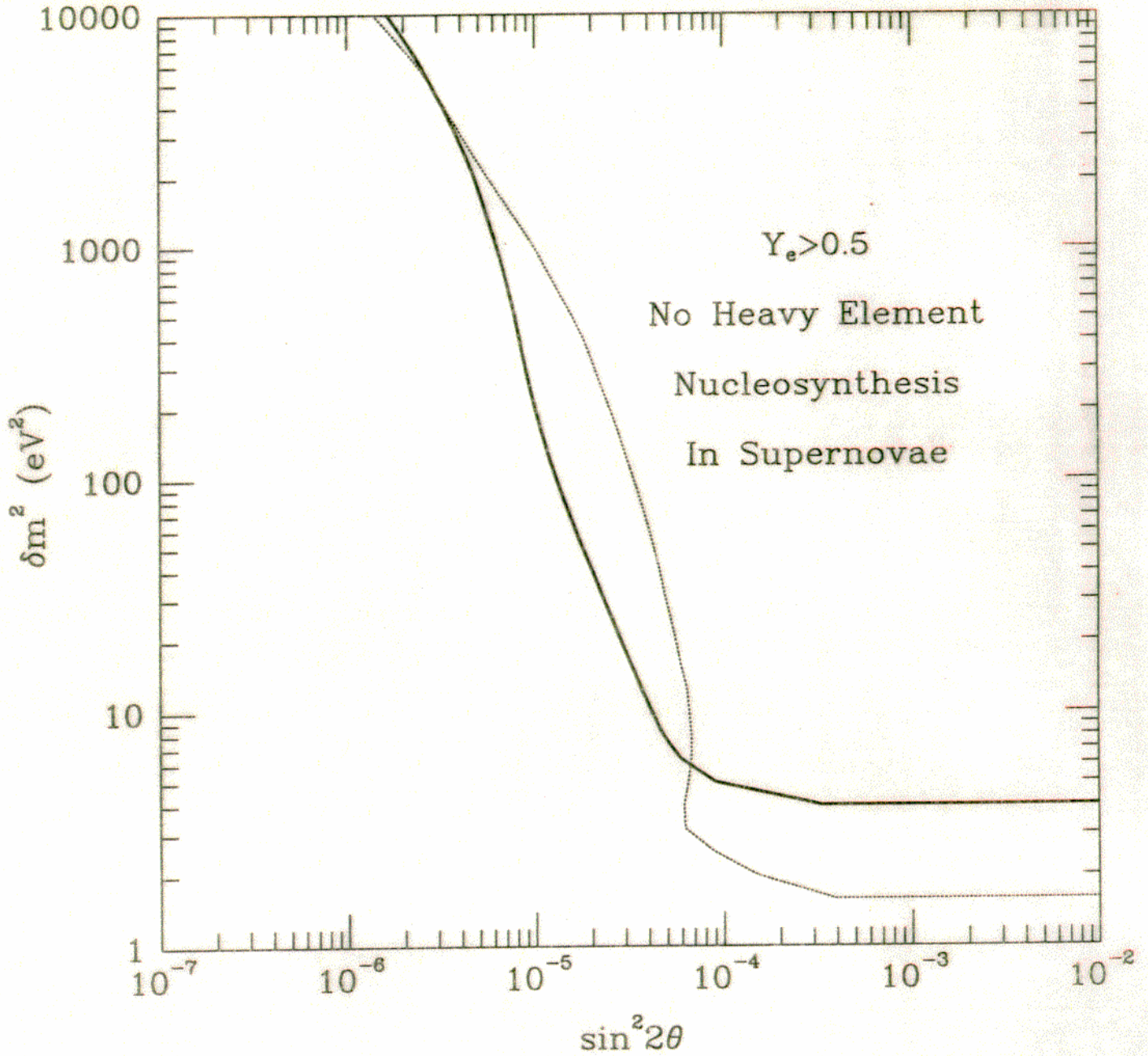


Fig. 9

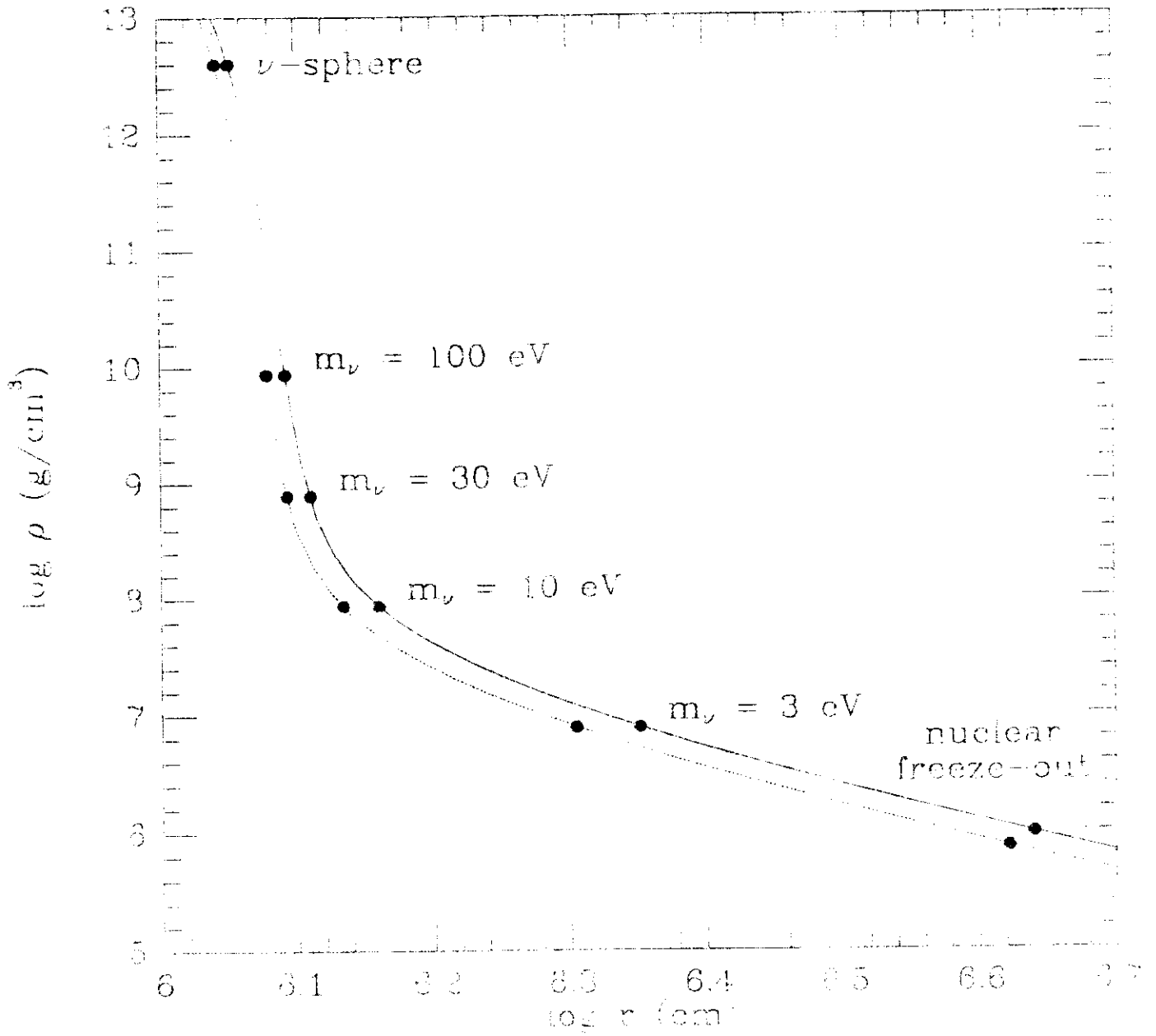


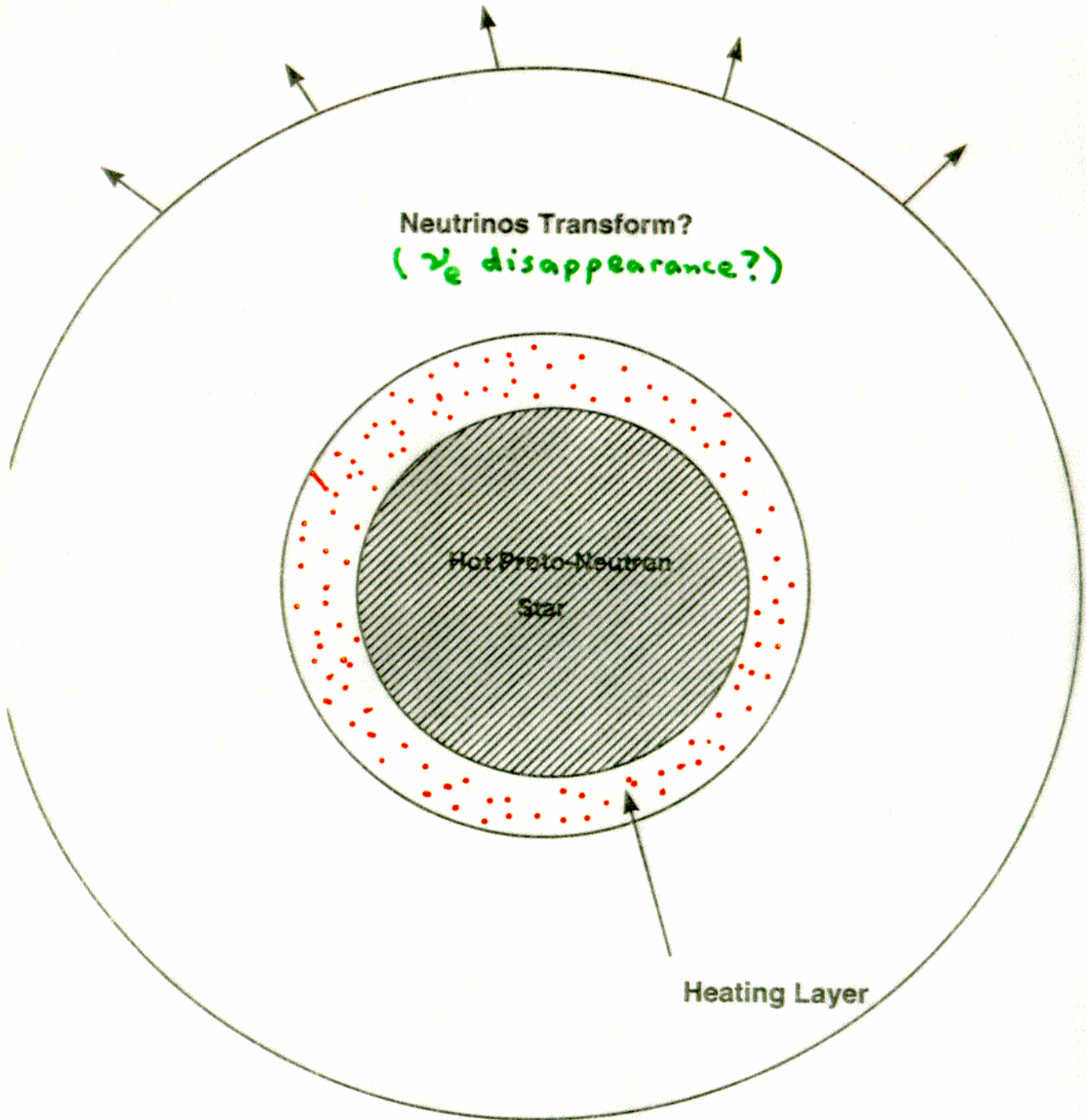
Figure 1

Uranium  
 $\alpha + \alpha + n \rightarrow {}^9\text{Be}$   
 $2p + 2n \rightarrow \alpha$   
r-Process Region

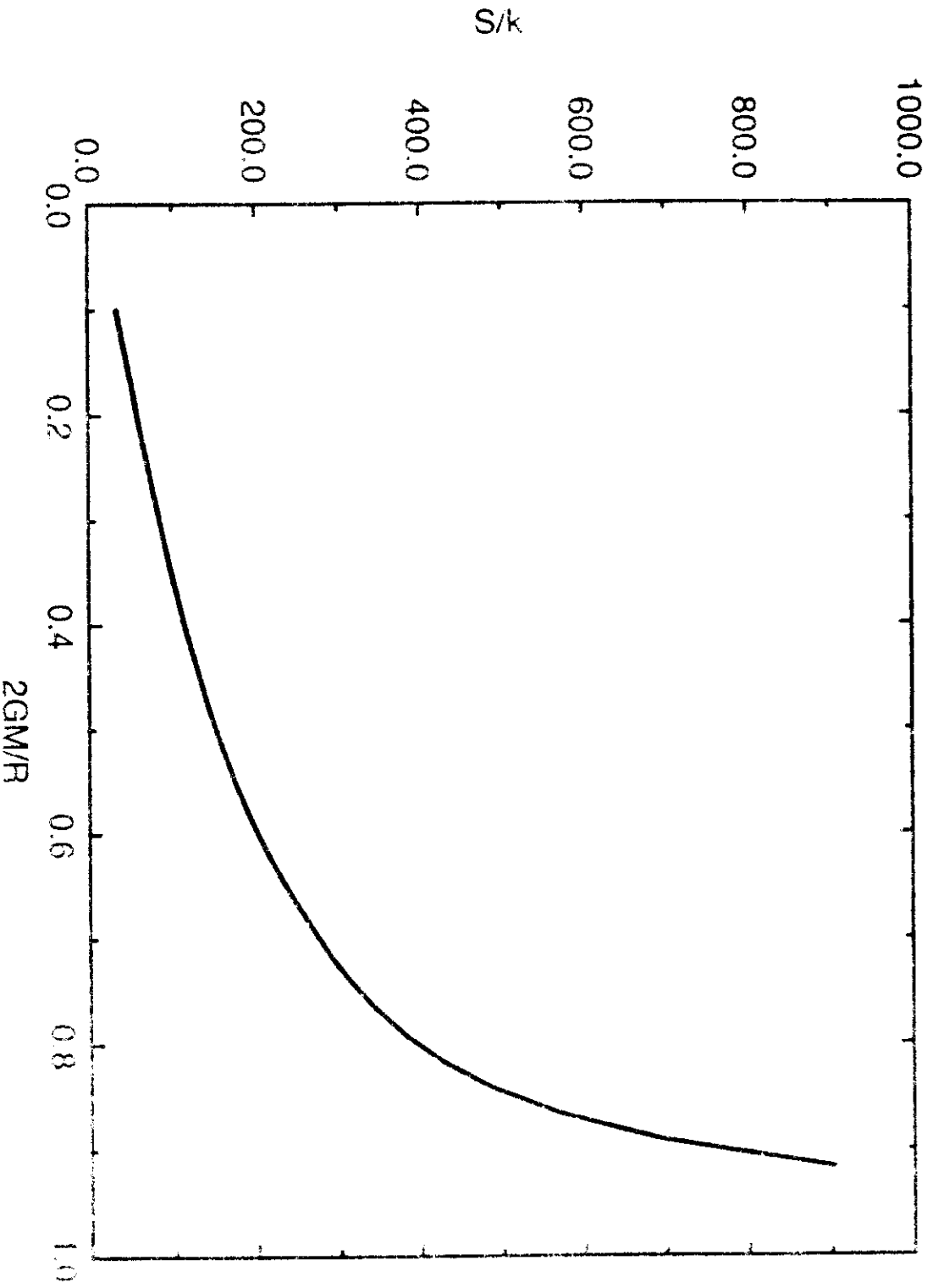
Neutrinos Transform?  
( $\nu_e$  disappearance?)

Hot Proto-Neutron  
Star

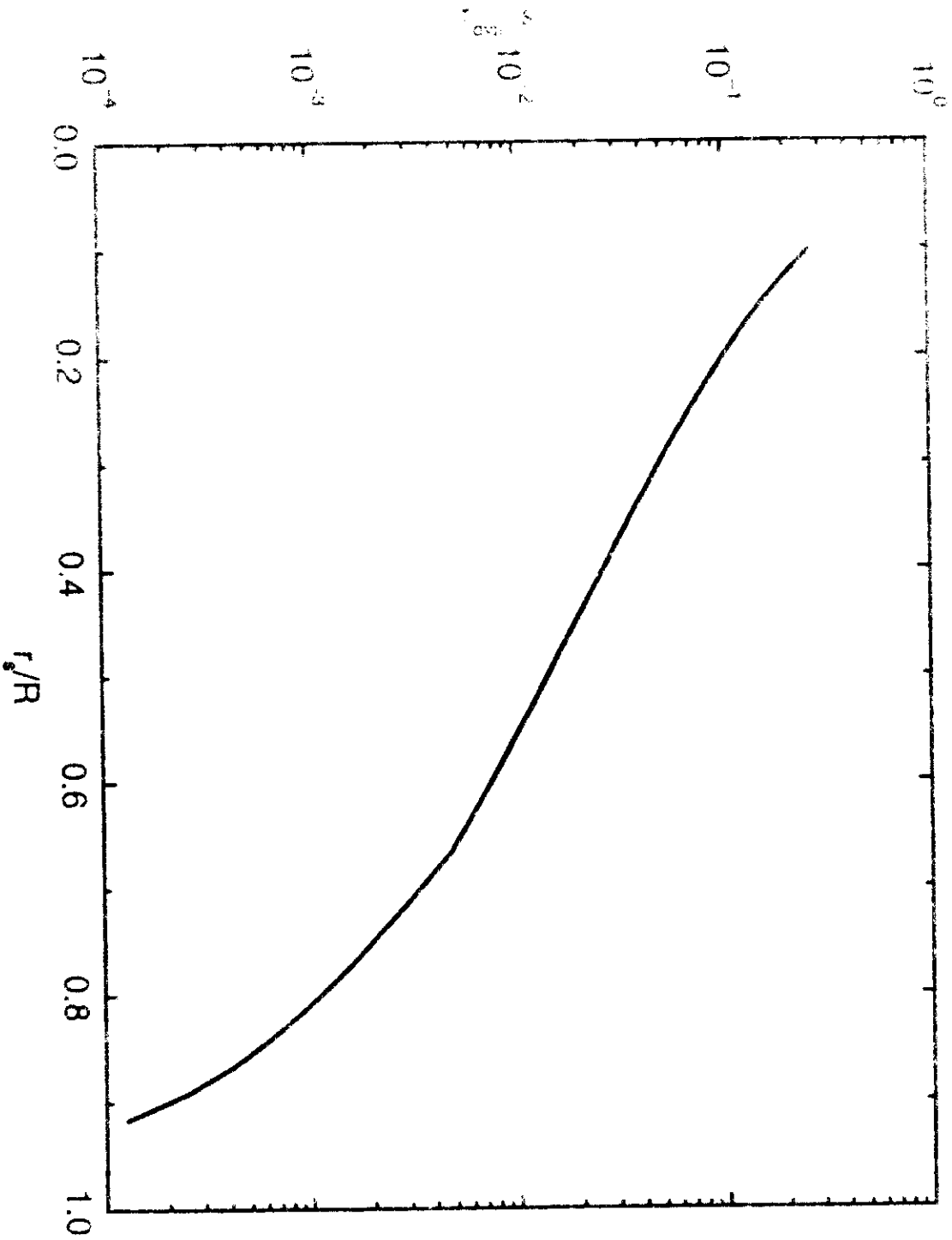
Heating Layer



# Entropy per Baryon



# Dynamical Time Scale





## General Relativistic Effects

Cardall & Fuller 1996; Fuller & Qian 1996;  
Qian & Woosley 1996

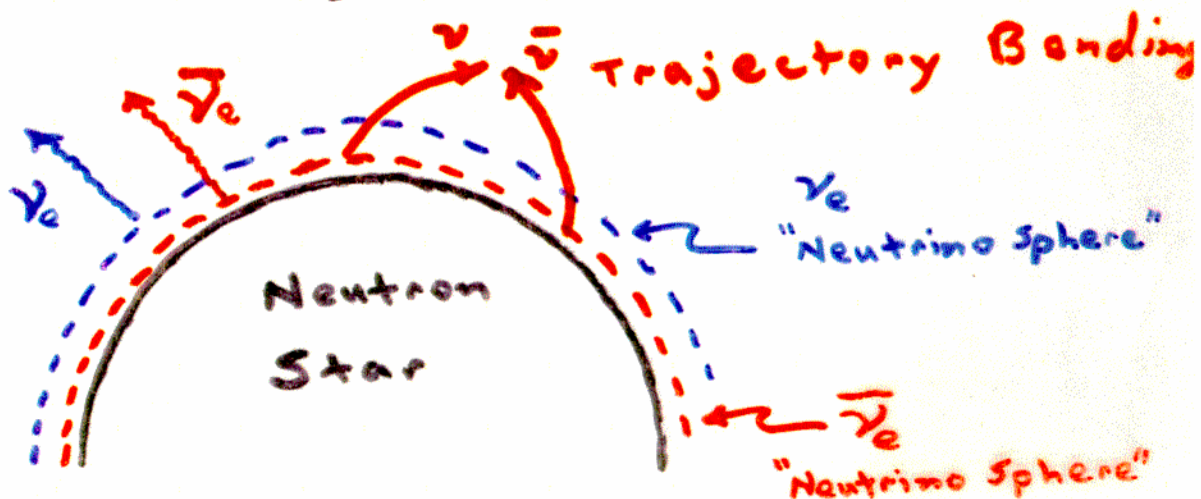
Salmonson & Wilson 1999; T. Kajino et al '99

- Entropy of Outflow in Wind Increases:

$$Ts \approx GMm_b/r.$$

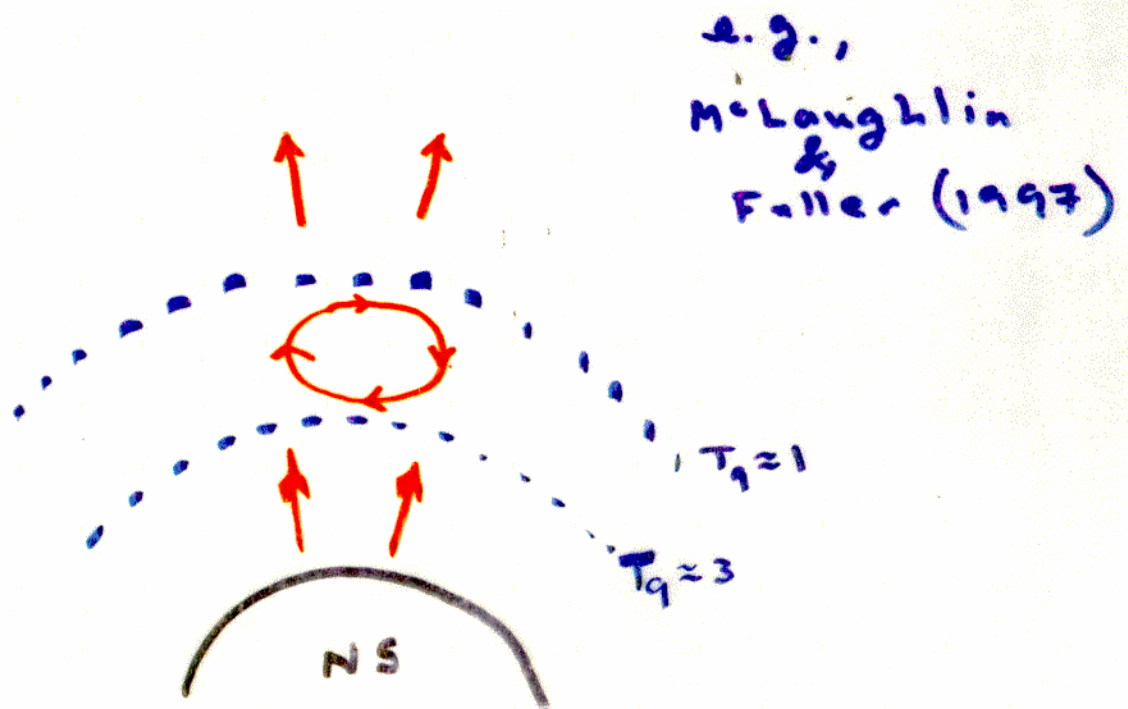
- Expansion Timescale Decreases
- Differential redshift of  $\bar{\nu}_e$  and  $\nu_e$  sets limit on how relativistic star can get and yet still have  $Y_e < 0.5$ .

⇒  $\bar{\nu}_e$  redshifted more than  $\nu_e$   
since  $\bar{\nu}_e$  decouple deeper  
in gravitational potential well.



## “Fast-Slow-Fast” Outflow

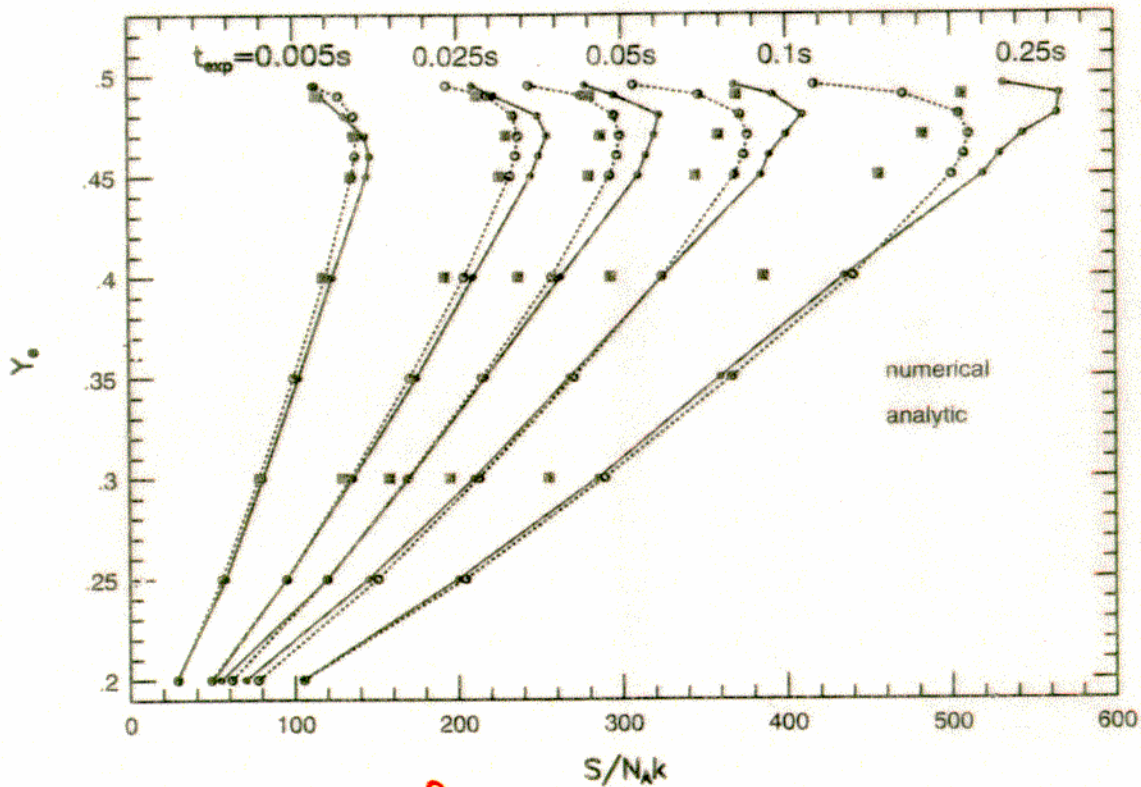
- Fast initial early outflow from Neutron Star  $\Rightarrow$  increase neutron-to-seed ratio
- Slow expansion during rapid neutron capture phase, so that weak steady flow equilibrium is established.
- After cessation of neutron capture, *may* need outflow speed to increase again so as to avoid too much neutrino post-processing.



Required Entropy &  $Y_e$  &  $\tau_{\text{dyn}}$ 

Meyer et al. 1996

Hoffman et al. 1996



Hoffman, Woosley, &amp; Qian 1996

Figure 1. The combination of electron fraction, entropy, and expansion time scale required for production of the  $A \sim 195$   $r$ -process peak nuclei. Points connected by lines are for fixed expansion time scales. The results from a simple analytic approximation (open circles) and two numerical surveys (filled circles and squares) are shown. All results assumed a constant entropy in deriving the density. For the circles, the approximation  $S \propto T^3/\rho$  is used to solve for the density, whereas for the squares, a fully consistent equation of state is used.

## How to Fix the $r$ -Process

(i.e., raise the neutron-to-seed nucleus ratio)

- **Decrease  $Y_e$**

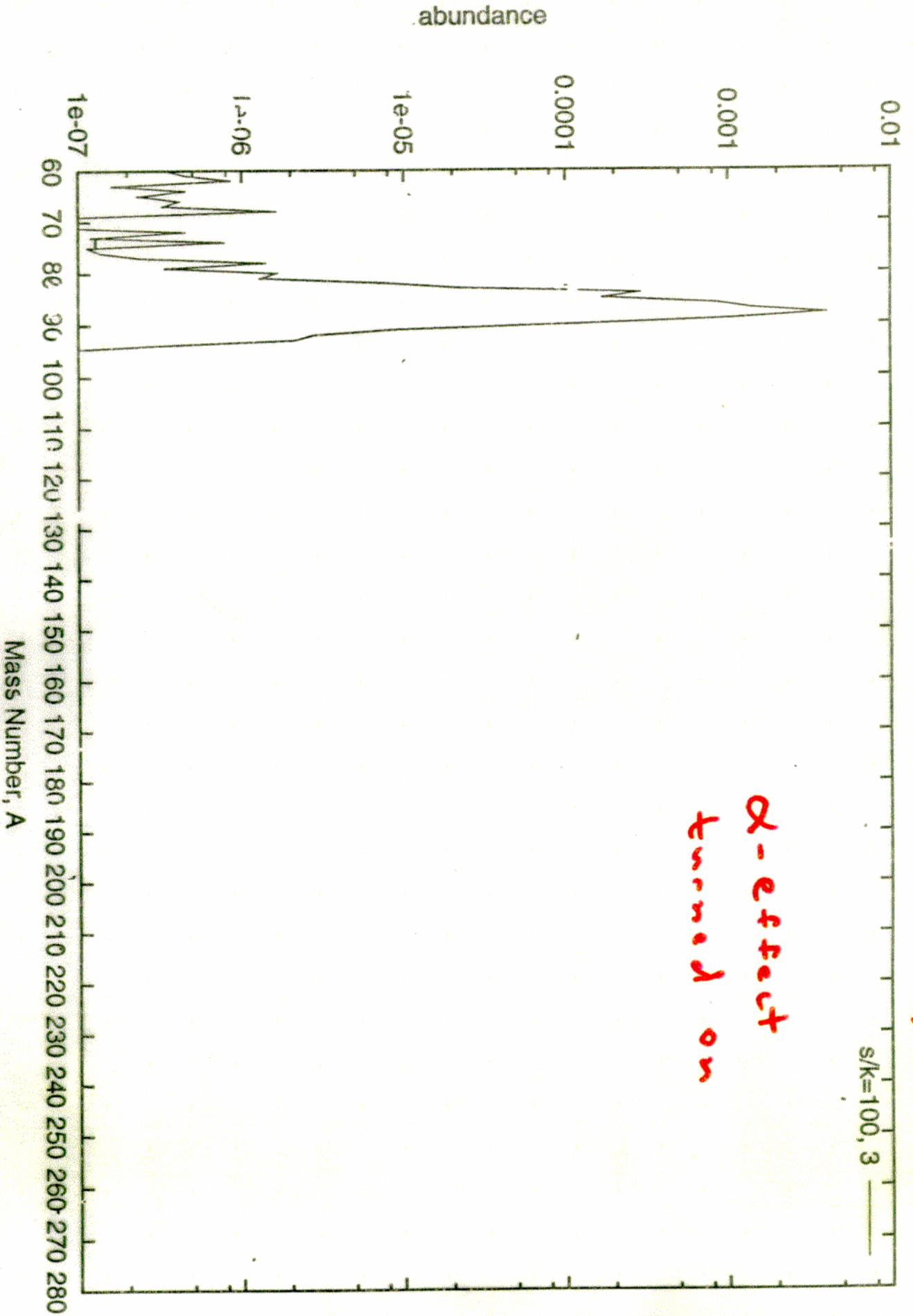
(Increases  $n/p$  ratio.)

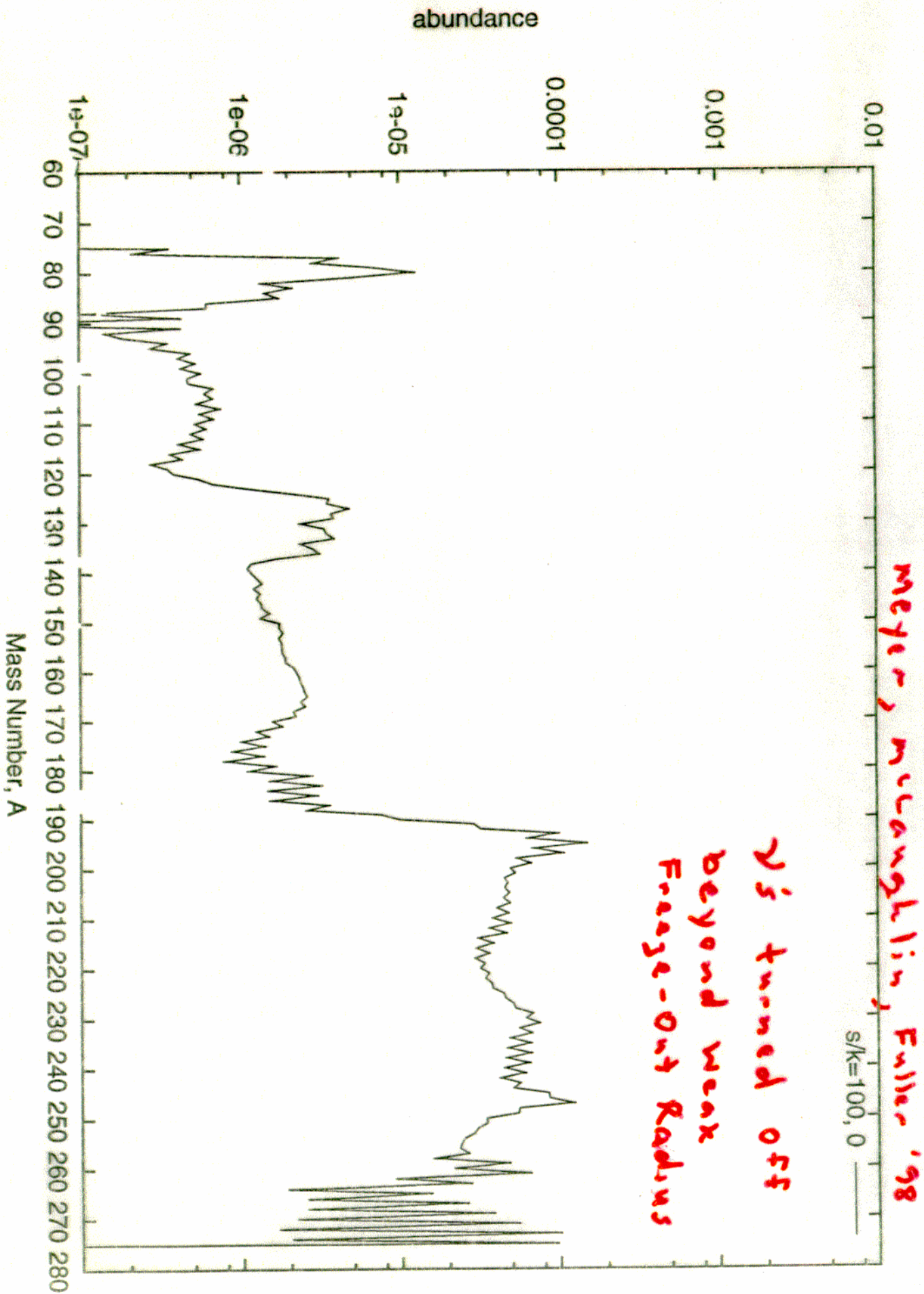
- **Increase Entropy**

(More photo-dissociation hinders formation of seed nuclei.)

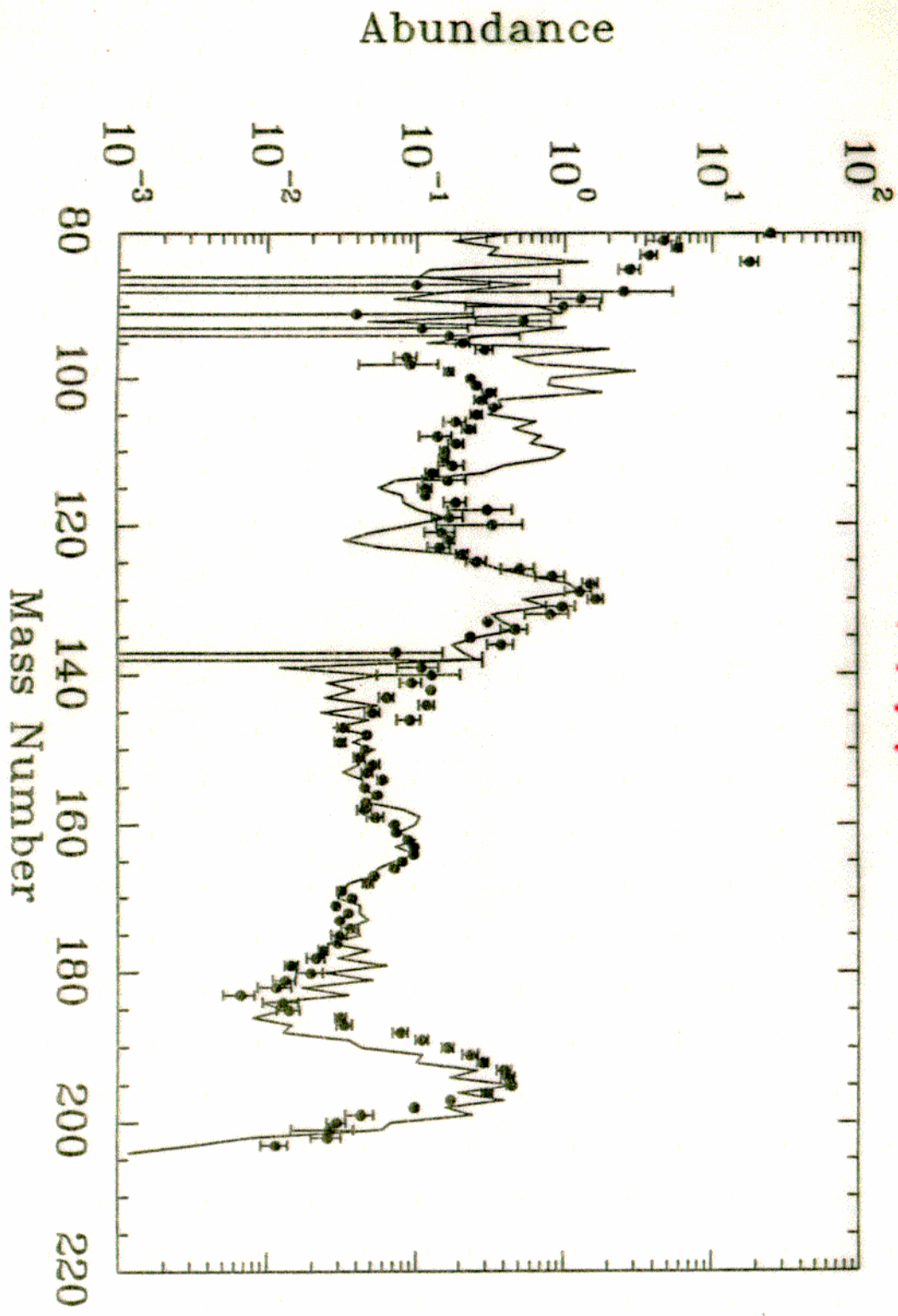
- **Decrease Expansion Timescale**

(Fewer seed nuclei because there is less time for  $\alpha + \alpha + n \rightarrow {}^9\text{Be}$ .)





woosley, wilson, mathews, hoffman, meyer  
1994

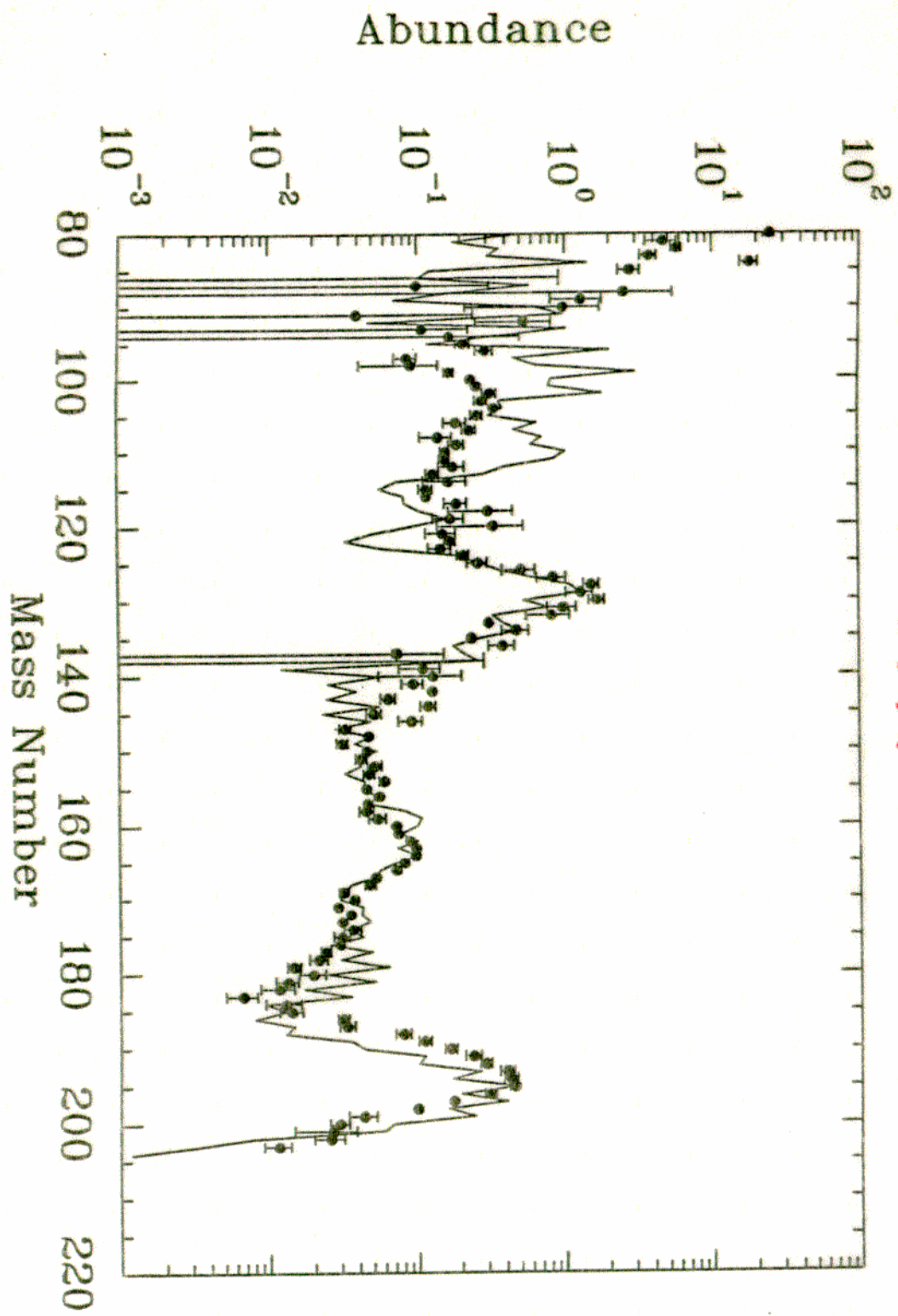


But  $\frac{n}{\text{seed}}$  ratio artificially high here

$\Rightarrow$  see Meyer 1995 ( $\nu + \alpha \rightarrow {}^3\text{H} + p + \nu$ )  
 $\rightarrow \nu + {}^3\text{He} + n$

Fig. 16

Woosley, Wilson, Mathews, Hoffman, Meyer  
1994



But  $\frac{n}{\text{seed}}$  ratio artificially high here

$\Rightarrow$  see Meyer 1995 ( $v + \alpha \rightarrow 3H + p + n$ )

Fig. 16



## Average Neutrino Energy Hierarchy

- In the Absence of Neutrino Flavor Transformations, the Average Neutrino Energies Always Satisfy:

$$\langle E_{\nu_{\mu(\tau)}} \rangle > \langle E_{\bar{\nu}_e} \rangle > \langle E_{\nu_e} \rangle$$

⇓

⇓

⇓

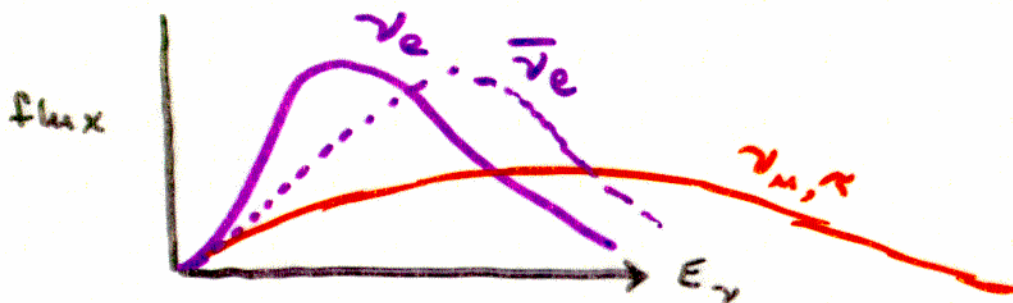
⇓

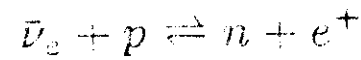
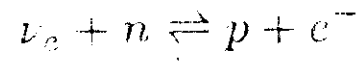
⇒ This Would Imply That Material Is Neutron-Rich, Since

$$Y_e \approx (1 + \langle E_{\bar{\nu}_e} \rangle / \langle E_{\nu_e} \rangle)^{-1} < 0.5$$

OR

$$n/p \approx \langle E_{\bar{\nu}_e} \rangle / \langle E_{\nu_e} \rangle > 1$$



Neutrinos Set  $Y_e$ 

- Cross Sections:  $\sigma \sim G_F^2 E_\nu^2$
- Rates:  $\lambda = (\text{Flux}) \cdot (\text{Cross Section})$

$$\lambda \sim (L_\nu / \langle E_\nu \rangle) \cdot \langle E_\nu \rangle^2 = L_\nu \langle E_\nu \rangle$$

$$\Downarrow$$

$$\Downarrow$$

$$\Downarrow$$

$$\Downarrow$$

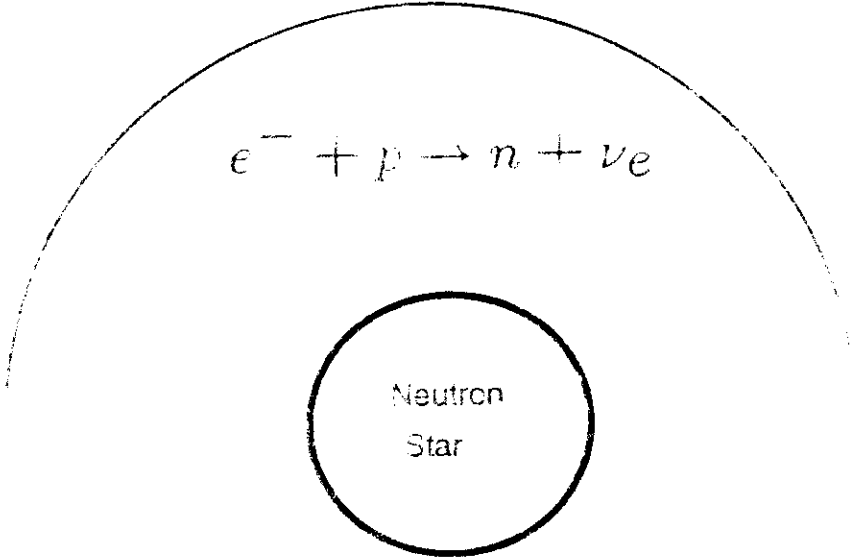
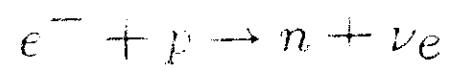
Integrate the Rate Equations  $\Rightarrow$

$$Y_e \approx \lambda_{\nu_e n} / (\lambda_{\nu_e n} + \lambda_{\bar{\nu}_e p}) \sim (1 + \langle E_{\bar{\nu}_e} \rangle / \langle E_{\nu_e} \rangle)^{-1}$$

Where the Last Step Follows on Noting that at Late Times,

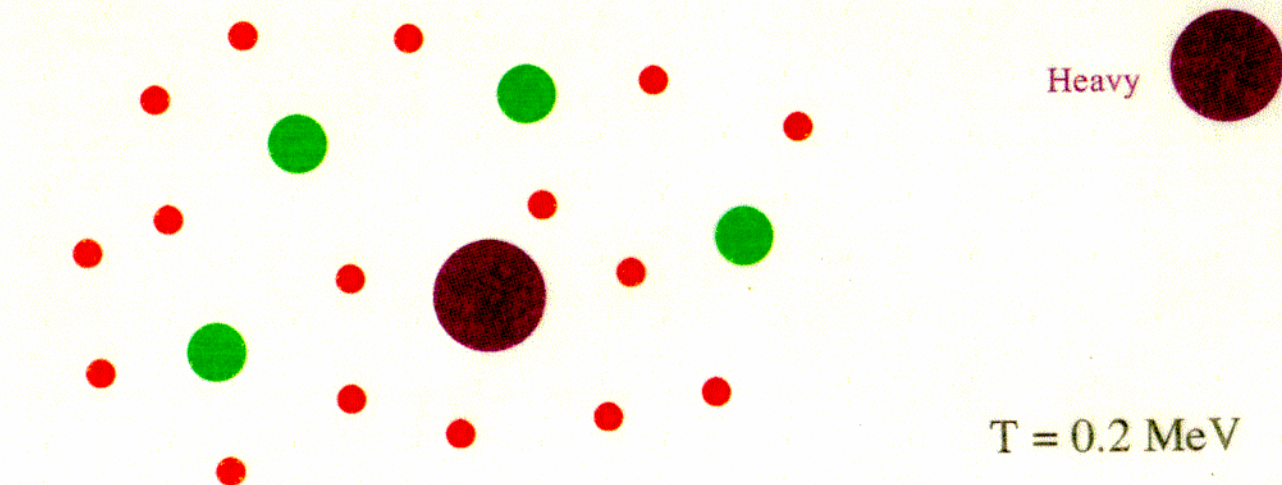
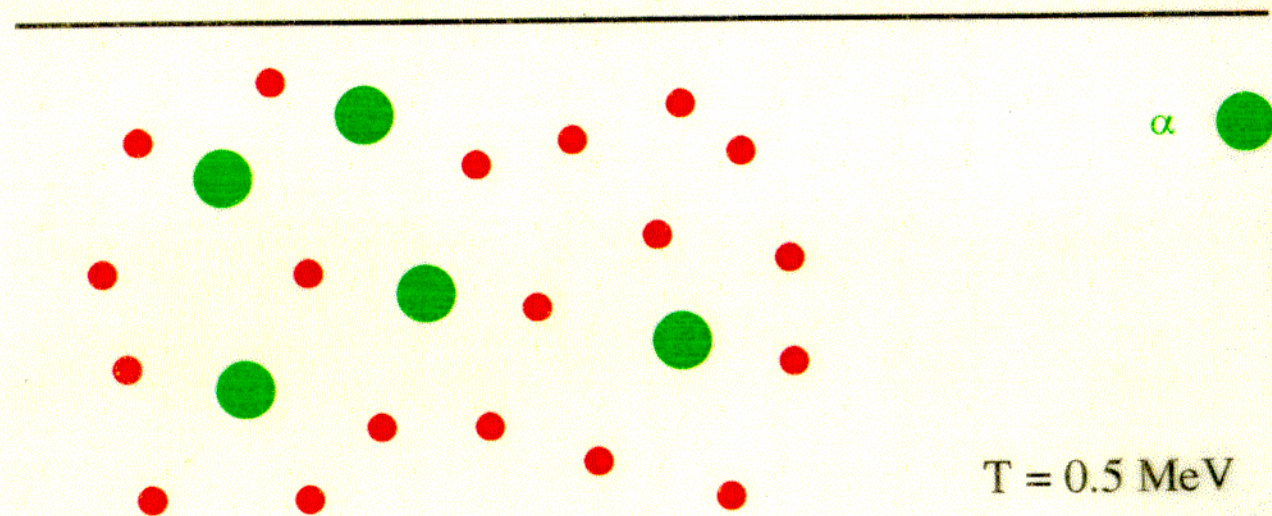
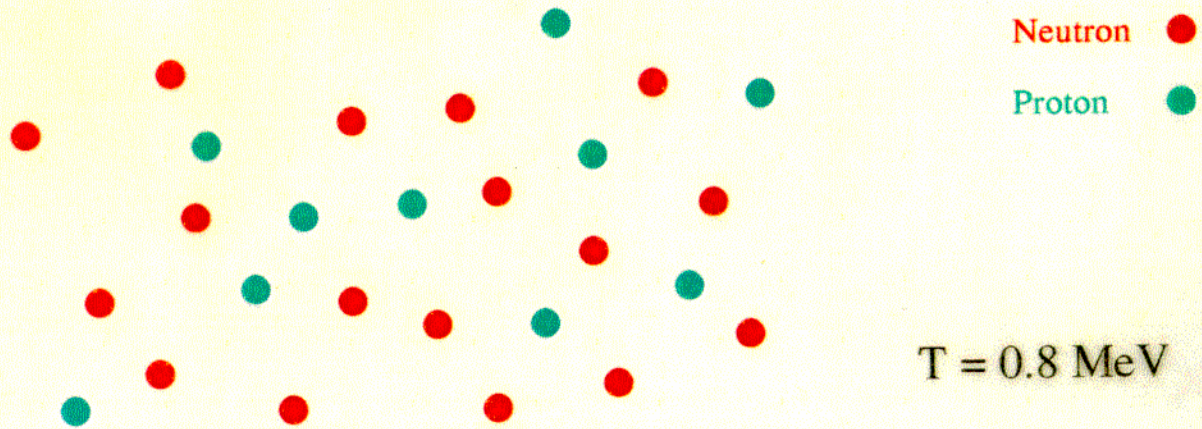
$$L_{\nu_e} \approx L_{\bar{\nu}_e} \approx L_{\nu_e \mu(-)}$$

# WEAK FREEZE-OUT RADIUS -----

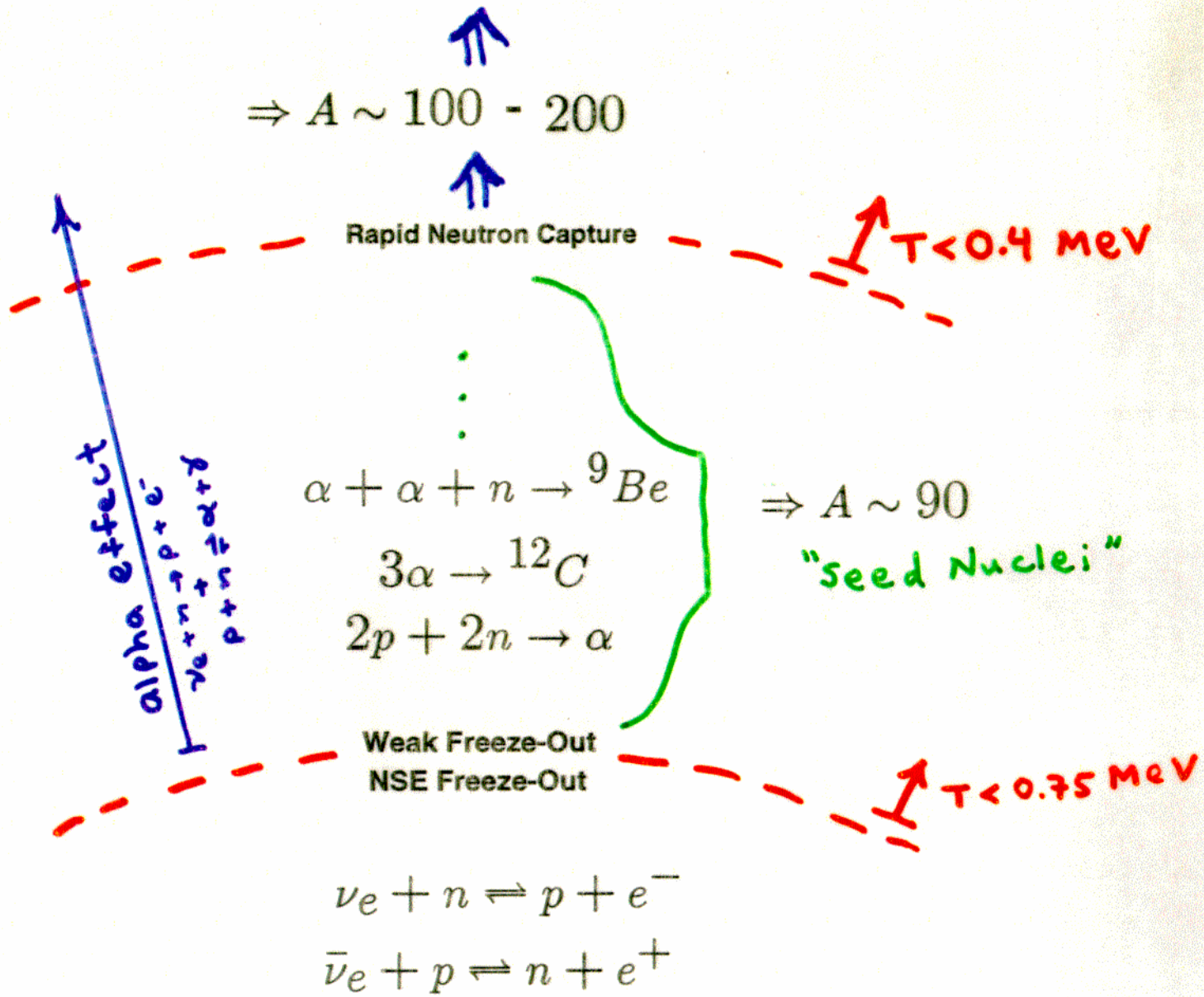


Neutron  
Star





### r-Process from Neutrino-Heated SN Ejecta



- **$\nu$ -Heated Supernova Ejecta**

B. S. Meyer et al. 1992; K. Takahashi, Wittl, & T. Janka 1994; S. E. Woosley et al. 1994.

**Status:** Troubled; neutrino heating leads to "alpha effect," which can only be circumvented via new neutrino physics, finely tuned GR, or non-neutrino ejection with high speeds.

- **Neutron Star Mergers**

e.g., C. Freiburghaus, S. Rosswog, & F. Thielemann '99

**Status:** Troubled; merger rate only  $10^{-3}$  to  $10^{-4}$  of SN rate, implying that we need  $> 0.1 M_{\odot}$  of r-process material per event. Numerical calculations (e.g., Ruffert & Janka 1996; Wilson & Mathews 1996) suggests that much less is ejected and *that is* via neutrino heating (see above).

*sometimes*

- **Jets/Globs of Low  $Y_e$  Material**

e.g., LeBlanc/Wilson jets; A. Burrows low  $Y_e$  lumps

**Status:** Troubled; only one SN in  $\sim 10^3$  might do this.

- **Shock Induced r-Process in He or C/O Shells**

Truran & Cowan '00; Truran, Cowan, Cameron '78; Thielemann, Arnould, Hillebrandt 79; Blake, et al. '81

**Status:** Troubled; will make some lighter r-process, but heavy r-process species yield is sensitive to  $^{13}\text{C}$  content, shock parameters.

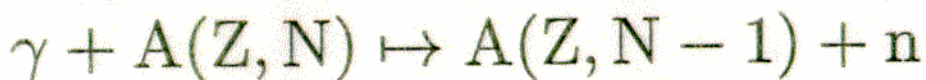
## The Riddle of Neutron-Rich Nuclei

⇒ Require synthesis environment with abundant free neutrons.

- But Neutrons Are Unstable!!  $\tau \approx 887.0 \text{ s}$

⇒ Can Obtain Free Neutrons By:

- “Spalling” Neutrons from Nuclei where they are Stabilized by the Strong Force:



But typical neutron binding  $\sim 8 \text{ MeV!}$

- “Spalling” Neutrons from Neutron Stars where they are Stabilized by “Gravity.”

(*e.g.*, Binary Neutron Star Coalescence)

But typical neutron binding  $\gtrsim 100 \text{ MeV!!}$

- Weak Interaction  $\bar{\nu}_e + p \mapsto n + e^+$

But  $G_F$  is small!

Evidence for  $\nu$  modification

W. Haxton, Y.-Z. Qian, K. Langanke, P. Vogel  
'96

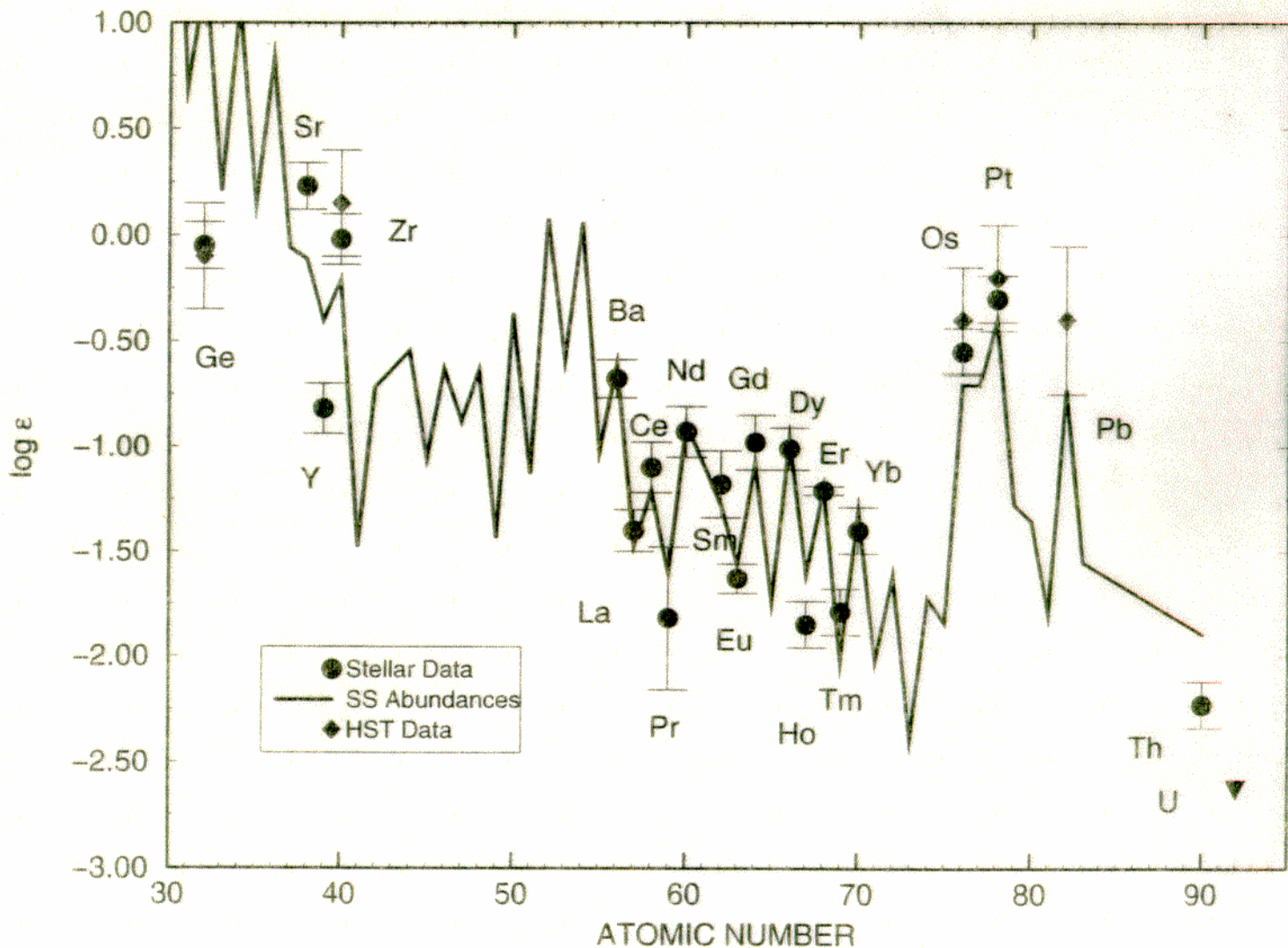
synthesis timescale “evidence”

Qian, Vogel, Wasserburg '98

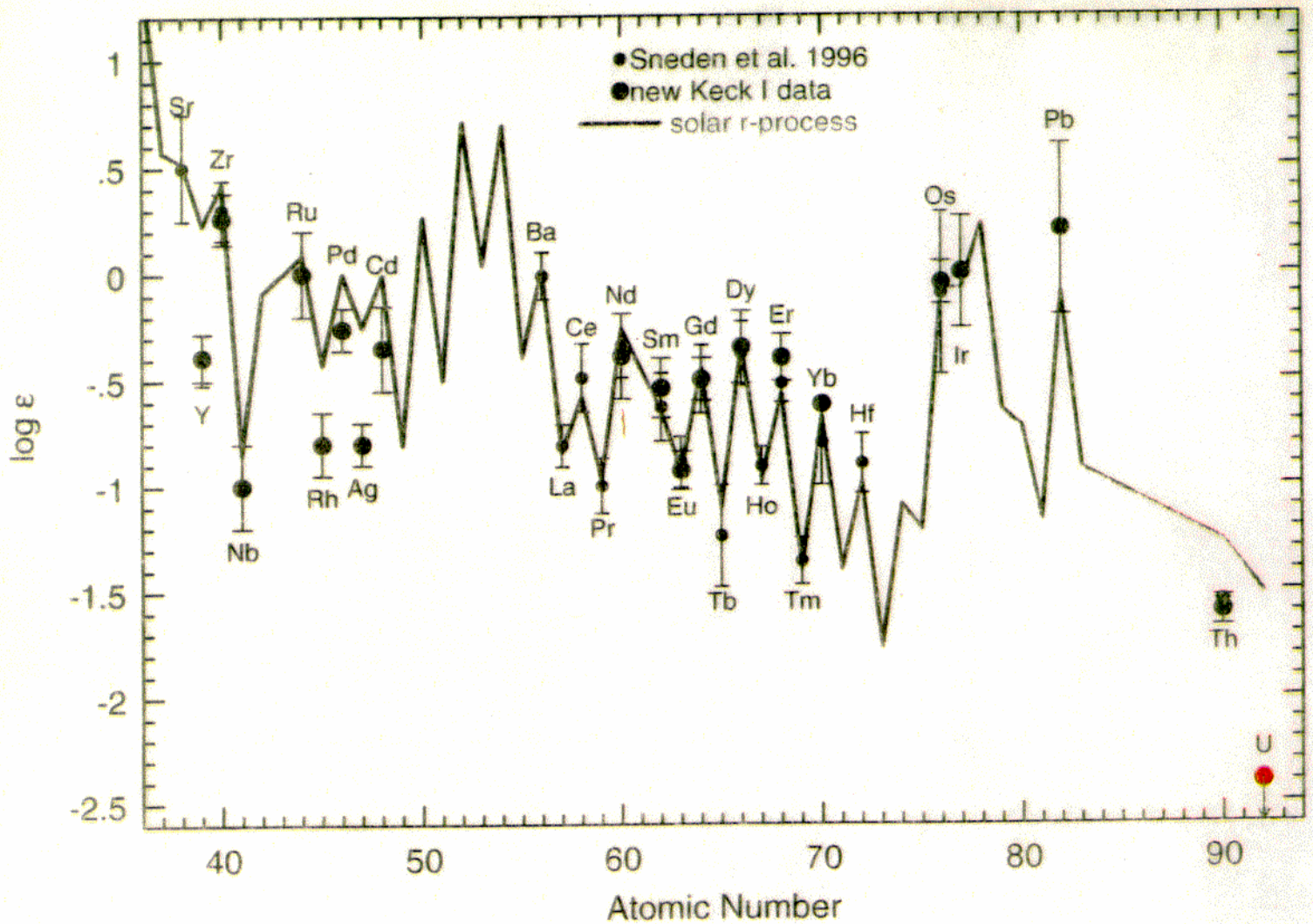


# NEW KECK Data

HD 115444: good match to scaled  
s.s. r-process for  $Z \geq 56$



### Neutron-Capture Element Abundances in CS 22892-052



Scaled solar-system r-process is not a good match with data for  $Z < 56$

unlikely to ever get elements in  $Z \approx 50$  area

The stakes are very high !!!

- Can we show that **ANY** r-Process material comes from  **$\nu$ -heated** ejecta ??
- Are there  **$\nu$ -signatures** in r-Process abundance systematics ??



yes

$\Rightarrow$  **fantastic probe of  $\nu$  physics**

But

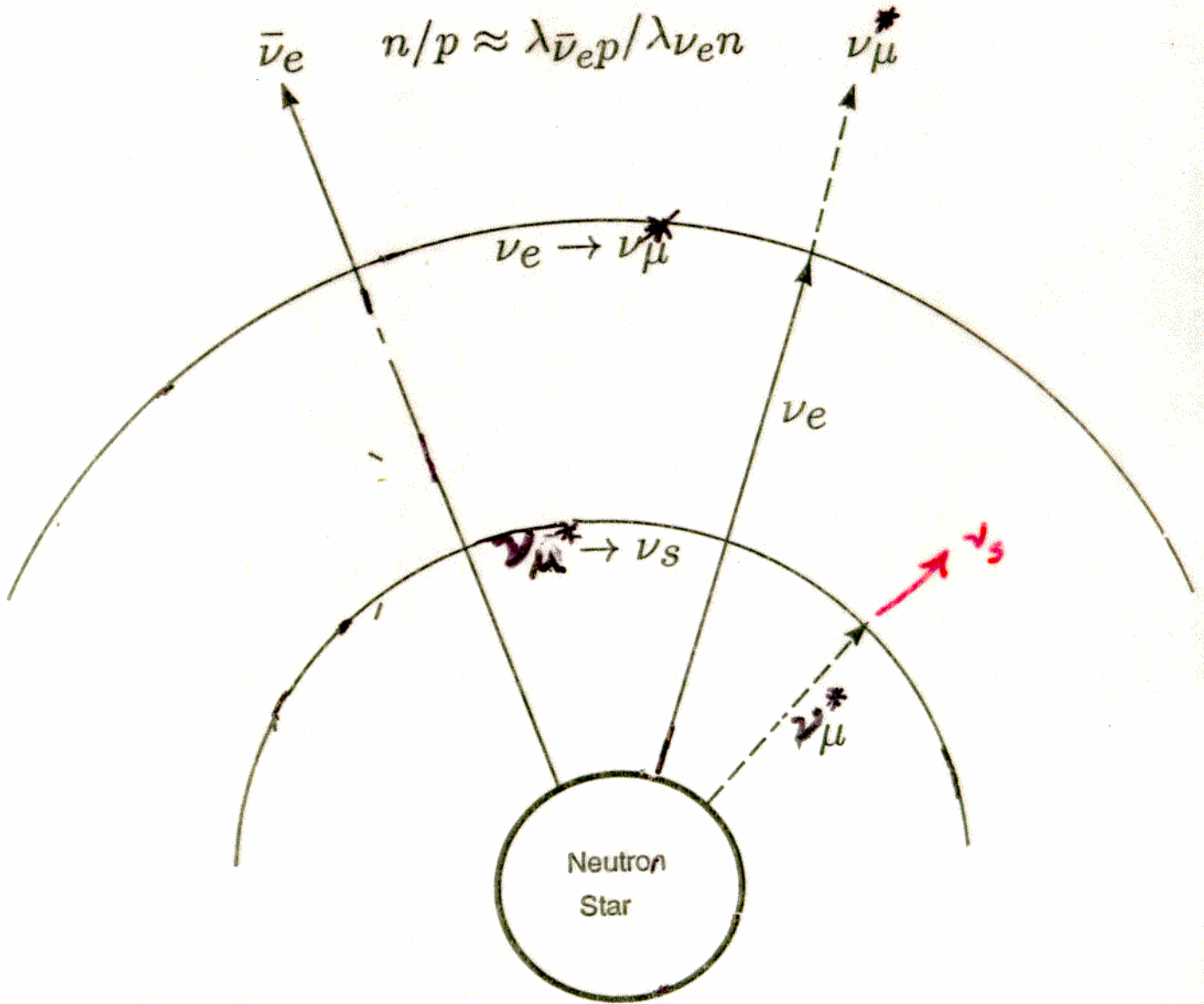
we are not there yet.

Caldwell, Fuller, Qian (1997)  
~~Caldwell (1994)~~

2000

$t_{pb} \sim 10 \text{ sec}$

r-process  
nucleosynthesis region

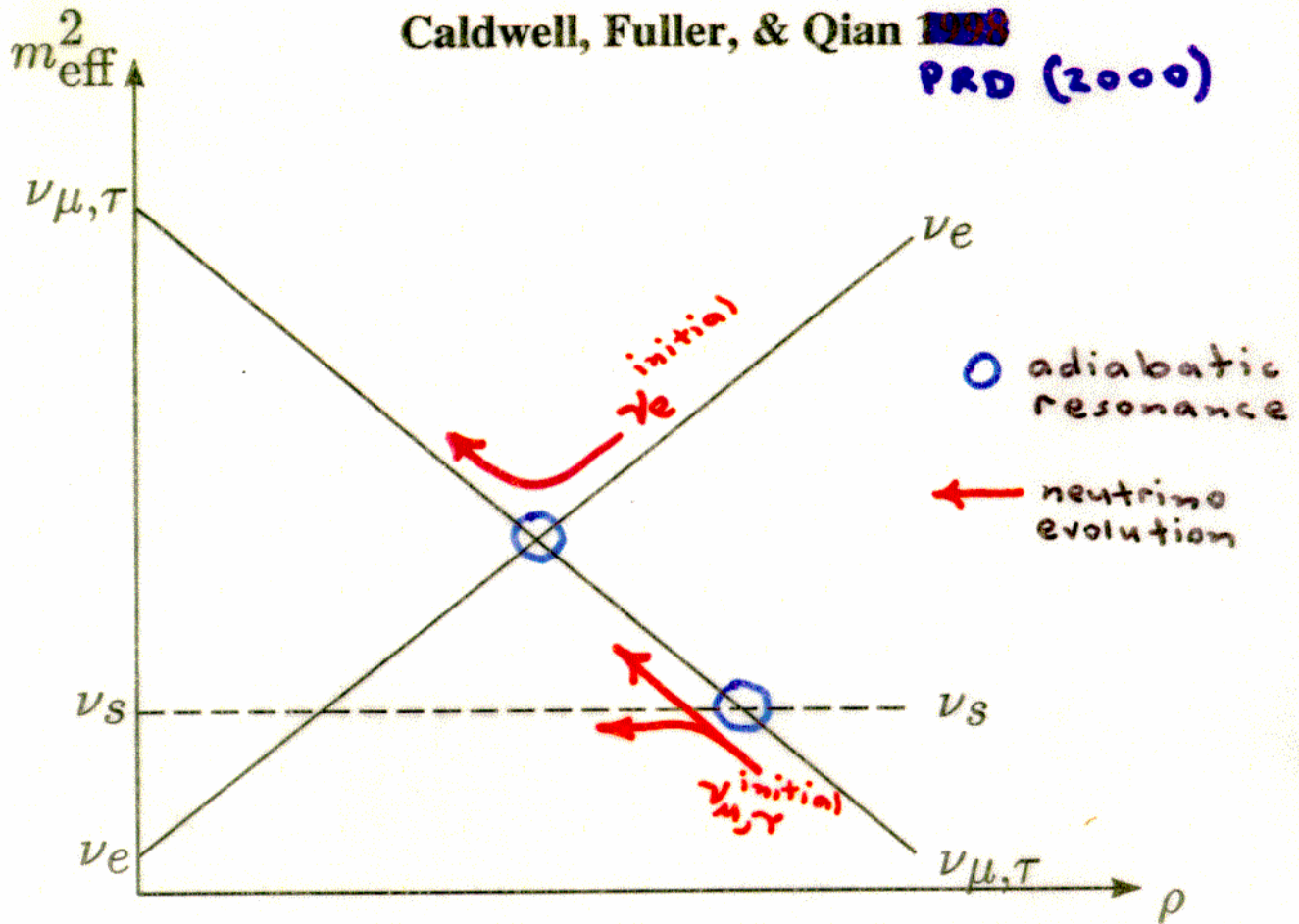


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see also  
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Caldwell, Fuller, & Qian 1998  
 PRD (2000)



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 followed by  $\nu_{\mu,\tau} \rightleftharpoons \nu_e$  ( $\gamma_m^* \gg \nu_e$ )

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The key step is to define two linear combinations of  $\nu_\mu$  and  $\nu_\tau$  in this representation, and then transform into the new flavor basis defined by these states. The linear combinations are:

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$$|\nu_\tau^*\rangle \equiv \frac{|\nu_\mu\rangle + |\nu_\tau\rangle}{\sqrt{2}}$$

Armed with this new flavor basis, we can produce a new unitary transformation to the mass basis in vacuum:

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_s\rangle \\ |\nu_\mu^*\rangle \\ |\nu_\tau^*\rangle \end{pmatrix} = \begin{pmatrix} \cos \phi & \sin \phi \cos \omega & \sin \phi \sin \omega & 0 \\ -\sin \phi & \cos \phi \cos \omega & \cos \phi \sin \omega & 0 \\ 0 & -\sin \omega & \cos \omega & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \\ |\nu_4\rangle \end{pmatrix}$$



$$\nu_\mu^* \Rightarrow \nu_e, \nu_s$$

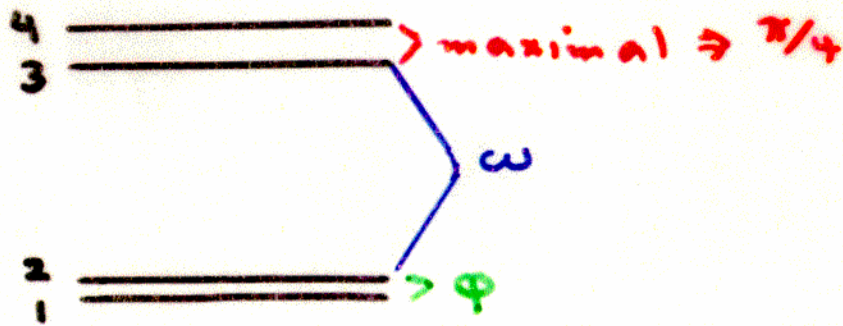
$$\nu_\tau^* \text{ "decoupled"}$$

### 4 x 4 Mixing in Vacuum

CFQ '00  
Balantekin & Fuller '99

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_s\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix} = \begin{pmatrix} \cos\phi & \sin\phi \cos\omega & \sin\phi \sin\omega & 0 \\ -\sin\phi & \cos\phi \cos\omega & \cos\phi \sin\omega & 0 \\ 0 & -\sin\omega/\sqrt{2} & \cos\omega/\sqrt{2} & 1/\sqrt{2} \\ 0 & \sin\omega/\sqrt{2} & -\cos\omega/\sqrt{2} & 1/\sqrt{2} \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \\ |\nu_4\rangle \end{pmatrix}$$

Note that the mixing between  $\nu_e$  and  $\nu_s$  is mostly determined by the angle  $\phi$ , while  $\omega$  controls the level of mixing between between the doublets,  $\nu_{\mu,\tau}$  and  $\nu_{e,s}$ .



$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ 0 & 0 & \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} \cos\phi & \sin\phi & 0 & 0 \\ -\sin\phi & \cos\phi & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\omega & \sin\omega & 0 \\ 0 & -\sin\omega & \cos\omega & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

3  $\rightleftarrows$  4

1  $\rightleftarrows$  2

2  $\rightleftarrows$  3

Caldwell, Fuller, and Qian <sup>2000</sup>~~1998~~

$$\begin{array}{l} \text{-----} \\ \text{=====} \end{array} \begin{array}{l} \nu_\tau \\ \nu_\mu \end{array} \quad \delta m_{\mu\tau}^2 \sim 10^{-2} \text{ eV}^2$$

$$\delta m_{\mu e}^2 \sim 6 \text{ eV}^2 \quad (0.2 \text{ eV}^2 - 8 \text{ eV}^2)$$

$$\begin{array}{l} \text{-----} \\ \text{=====} \end{array} \begin{array}{l} \nu_s \\ \nu_e \end{array} \quad \delta m_{es}^2 \sim 10^{-5} \text{ eV}^2$$

(like old C. & Mohapatra  
scheme for masses)

BBN: OK (very restricted!!!)

SNN: Enables r-Process in neutrino-heated  
supernova ejecta; removes  $\nu_e$  flux.

SuperK:  $\nu_\mu \rightleftharpoons \nu_\tau$  maximal vacuum mixing

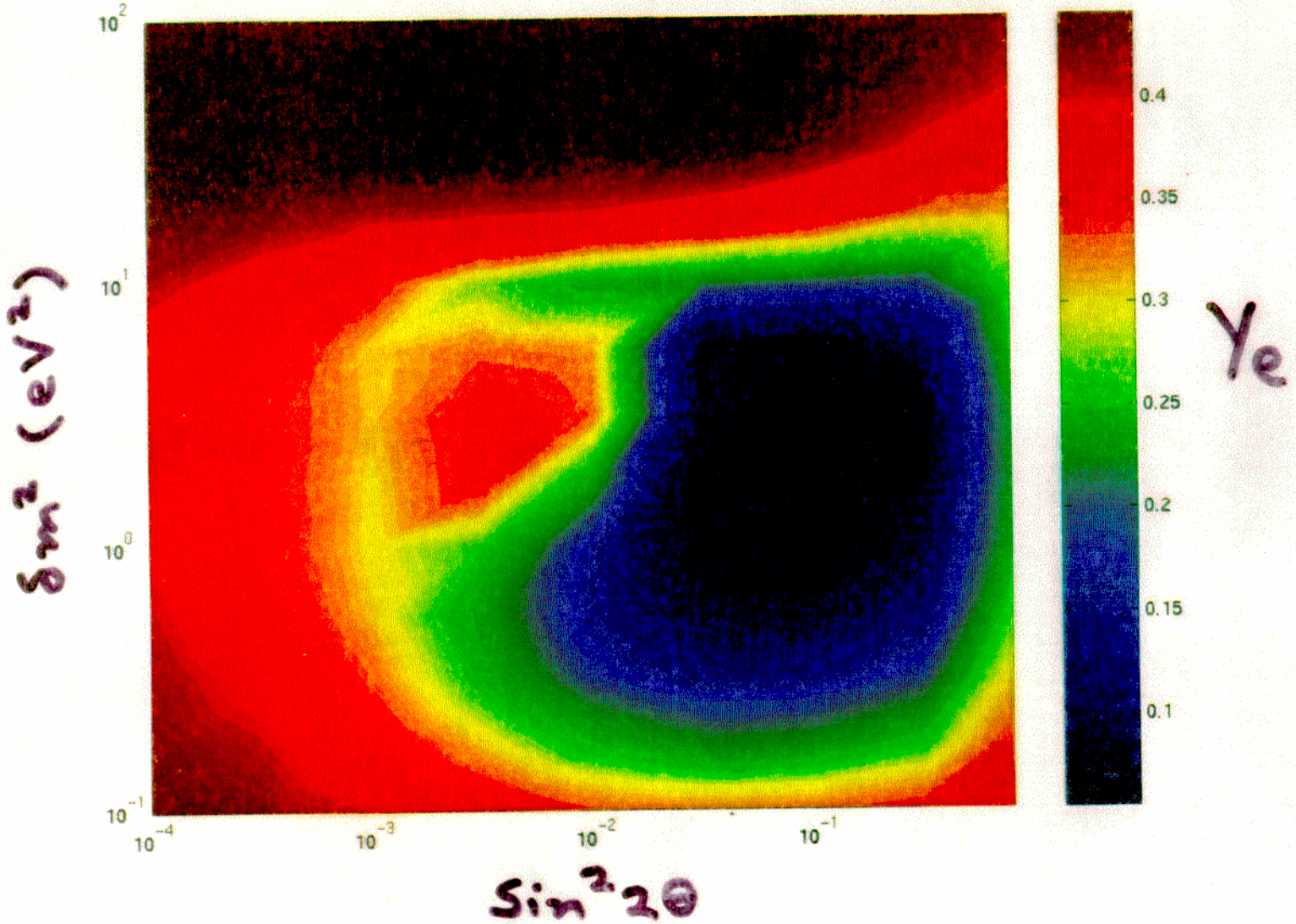
Solar Neutrinos:  $\nu_e \rightleftharpoons \nu_s$  matter-enhanced  
(or  $\nu_e \rightleftharpoons \nu_s$  "just so"  $\delta m^2 \sim 10^{-10} \text{ eV}^2$ )

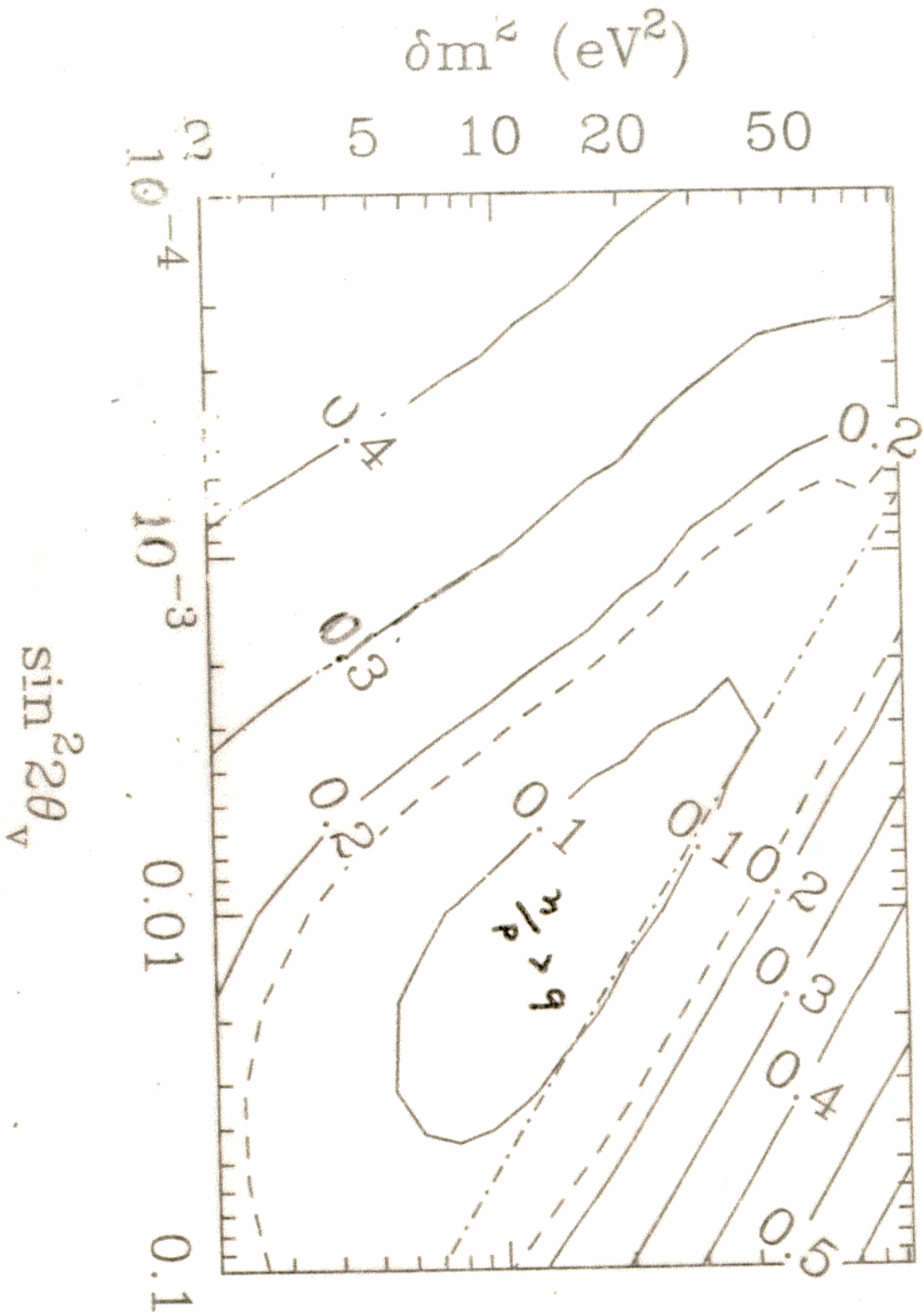
LSND:  $\nu_\mu \rightleftharpoons \nu_e$  vacuum oscillations



MFBF '93 EFFECT  
Patel & Fuller

$$\nu_e \rightleftharpoons \nu_s$$
$$\bar{\nu}_e \rightleftharpoons \nu_s$$





M.F.B.F. '98

Final  $Y_e$ ,  $\tau = 0.3$  s

$Y_e \approx Y_\nu$   
 $Y_e \approx Y_\nu$   
 $Y_e \approx Y_\nu$

Fetter, McLoughlin  
 Balantekin,  
 G.M.F.

McLaughlin, Fetter, Balantekin, GNF  
(MFBF), ~~submitted PRD~~

Balantekin, Fetter, Fuller,  
McLaughlin 1998

PRC 39, 2873,  
(1999)

? -----  $\nu_s''$ ?

? -----  $\nu_s'$ ?

-----  $\nu_s$   $100 \text{ eV}^2 > \delta m_{es}^2 > 6 \text{ eV}^2$

For r-process "fix"

?  
could be  $0.2 \text{ eV}^2 - 200 \text{ eV}^2$   
depending on  $\nu$ -spectra

=====	$\nu_\tau$	$\delta m_{\mu\tau}^2 \sim 10^{-2} \text{ eV}^2$
=====	$\nu_\mu$	
=====	$\nu_e$	
		$\delta m_{e\mu}^2 < 10^{-4} \text{ eV}^2$

BBN: OK, may give interesting CMBR signal

SNN: Enables r-Process in neutrino-heated ejecta  
"removes"  $\nu_e$  flux

SuperK:  $\nu_\mu \rightleftharpoons \nu_\tau$  maximal vacuum mixing

Solar Neutrinos:  $\nu_e \rightleftharpoons \nu_{\mu,\tau}$  matter-enhanced or vacuum oscillations

LSND:  $\nu_\mu \rightarrow \nu_s \rightarrow \nu_e$  Indirect vacuum oscillations  
(but note that oscillation channel proceeds through sterile state)

troubled:

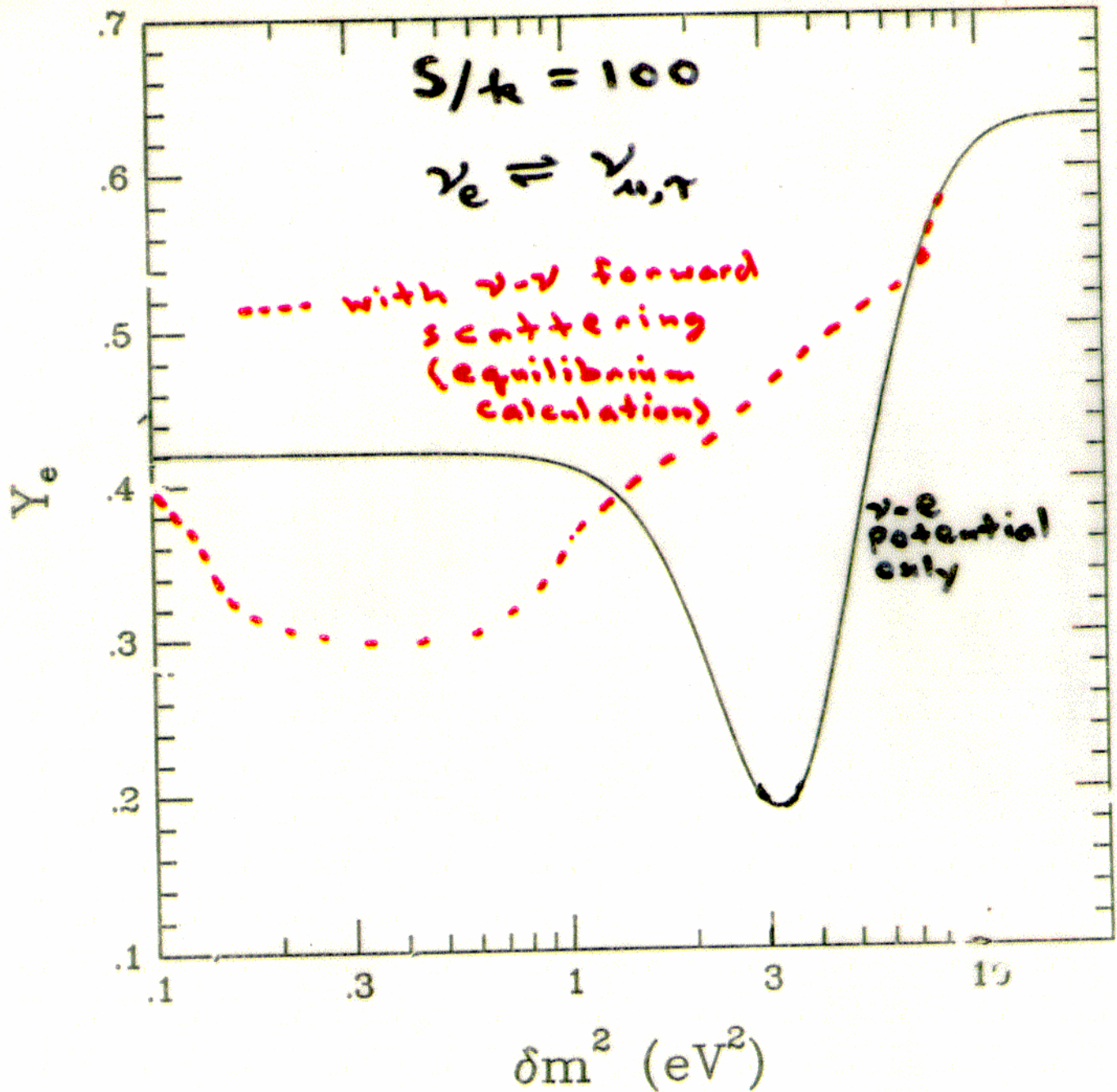
can effective two- $\nu$  mixing  
be big enough? Also BBN?

NO

not  
it  
we  
want

with  $\nu$ - $\nu$  forward scattering,  
get  $\sim 10\%$  reduction in  $Y_e$  for

$$0.1 \text{ eV}^2 \lesssim \delta m^2 \lesssim 1 \text{ eV}^2$$



# Matter-Enhanced $\nu_{\mu(\tau)} \rightleftharpoons \nu_e$

(Qian & Fuller 1997)

- Want only neutrinos with energies less than spectrum crossover energy  $E_c$  to transform inside the weak freeze-out radius.

- This will occur and decrease  $Y_e$  when

$$2 \text{ eV}^2 \lesssim \delta m^2 S_{100} \lesssim 3 \text{ eV}^2$$

e.g., when  $S/k = 400$ ,  $Y_e$  decreased adequately for  $\delta m^2 \approx 0.5 \text{ eV}^2$ .



Y.-Z. Qian & G.M. Fuller  
Phys. Rev. D51, 1479 (1995)

Qian & Fuller 1994

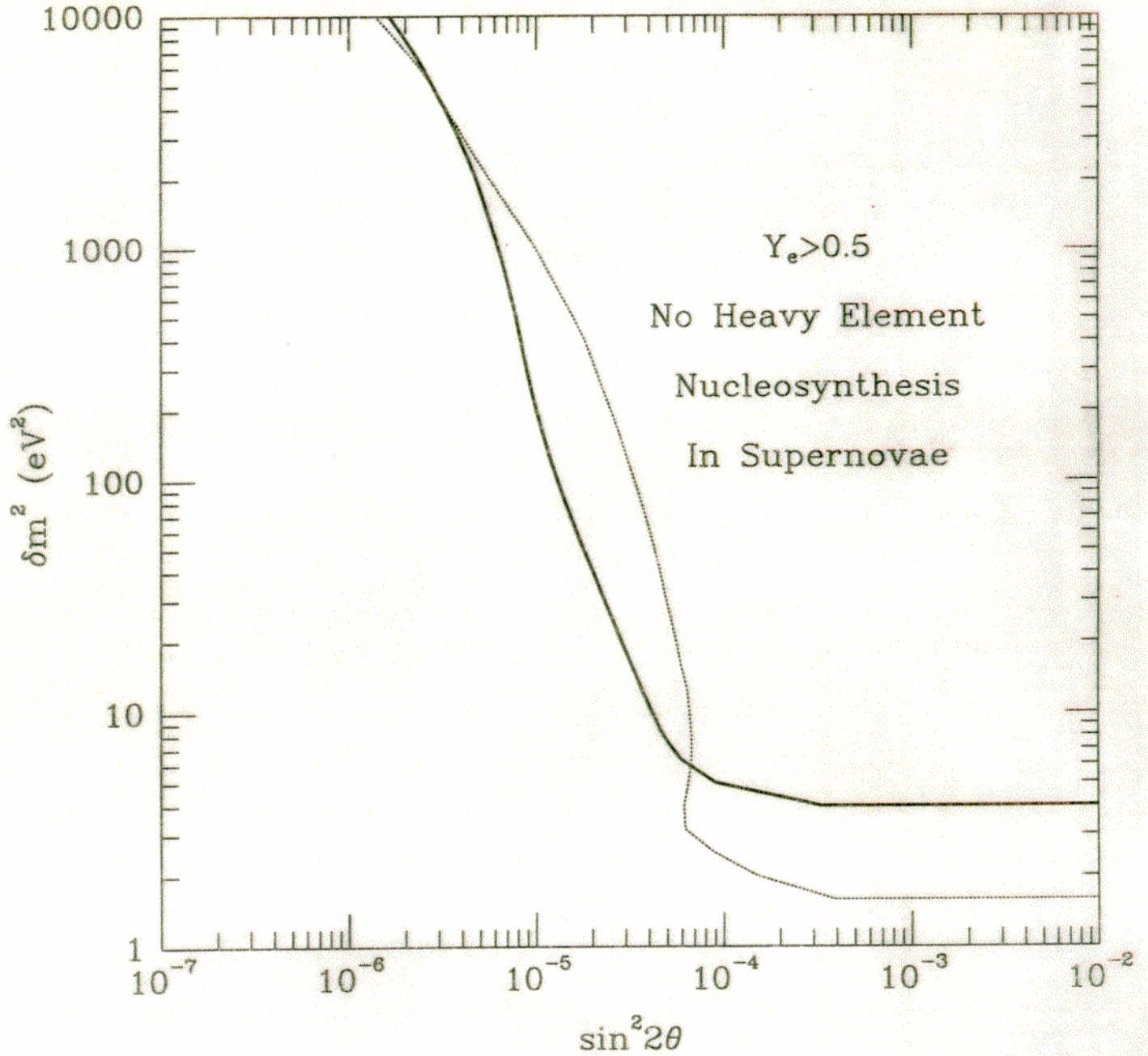


Fig. 9

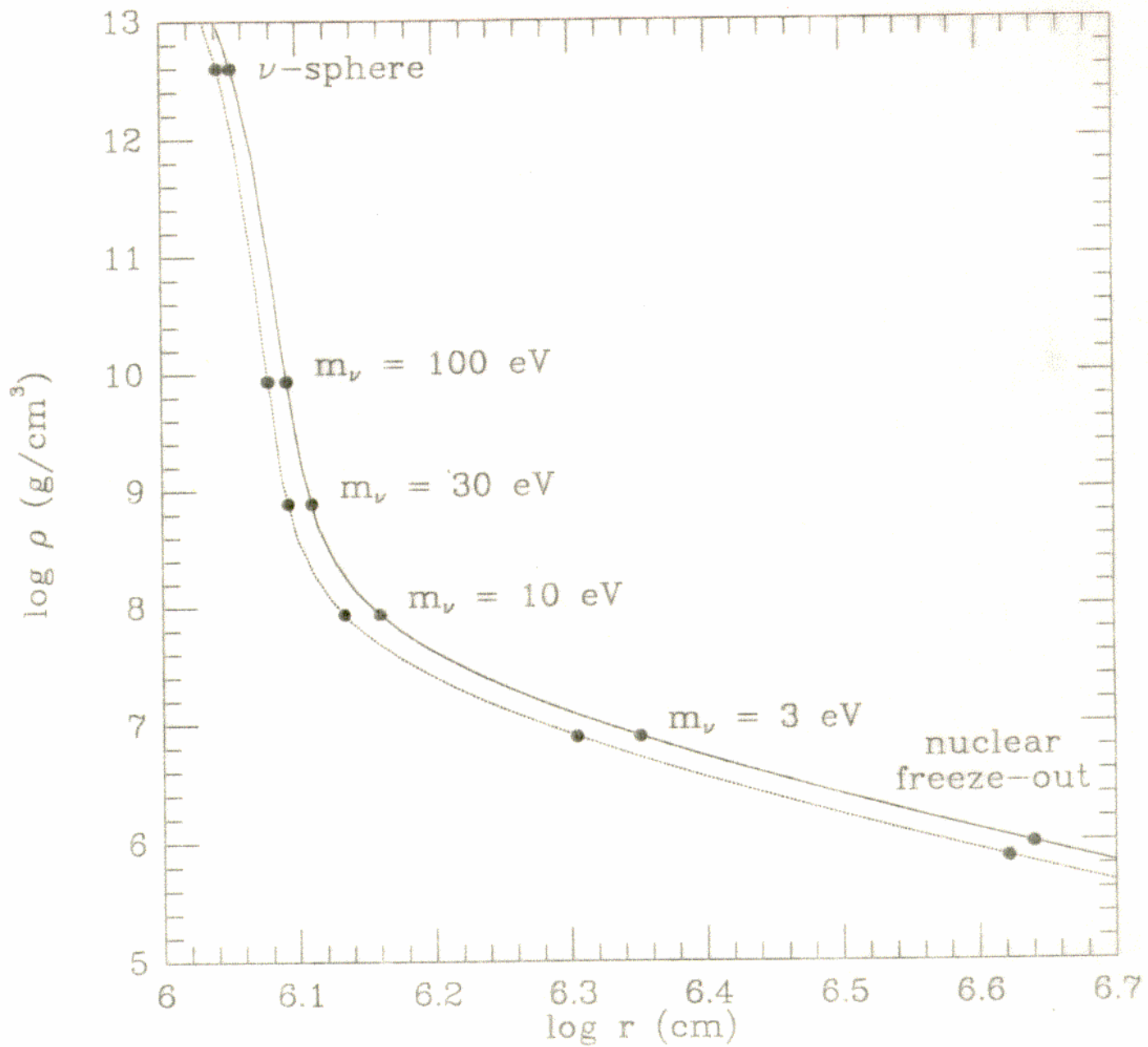
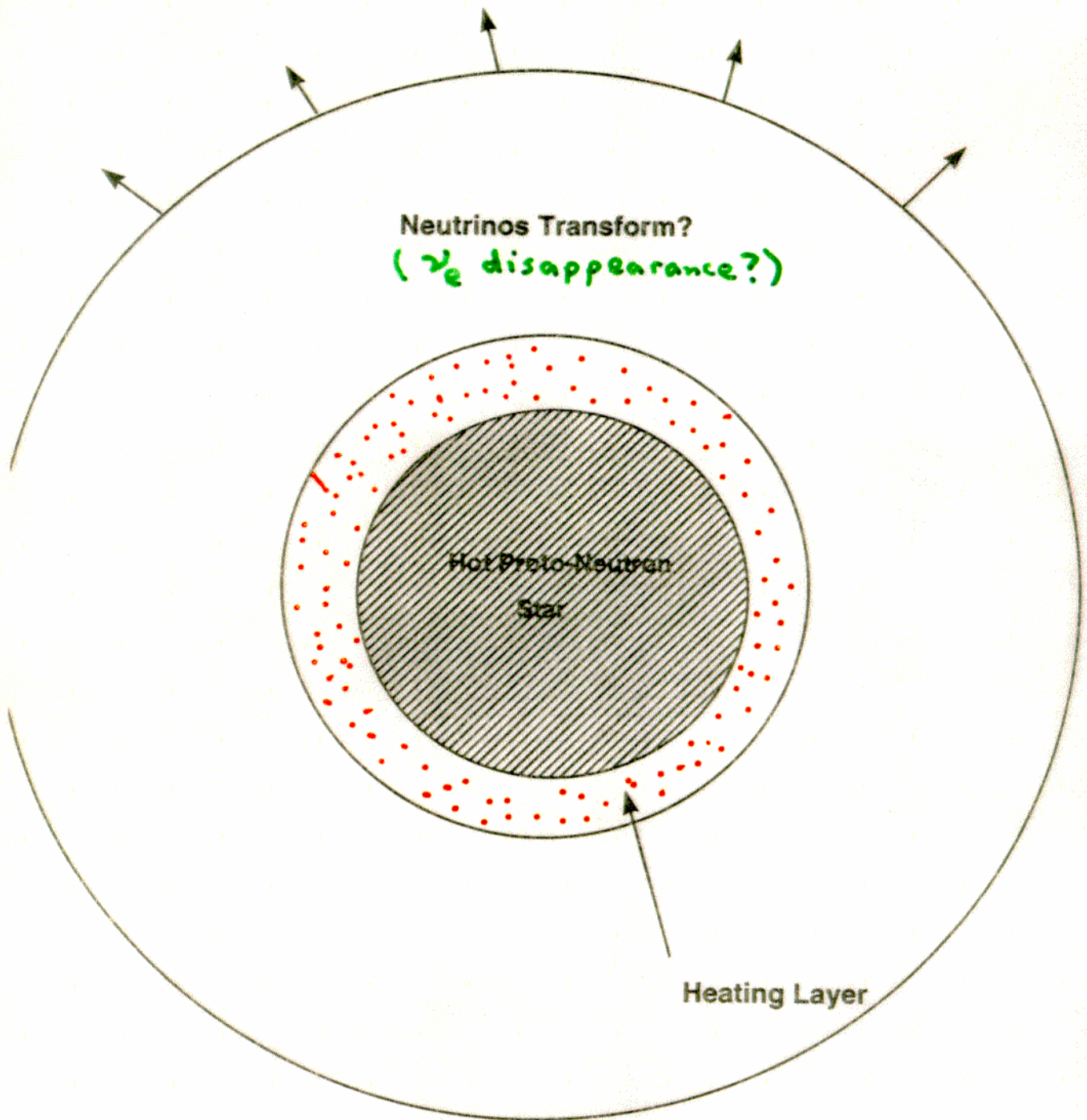


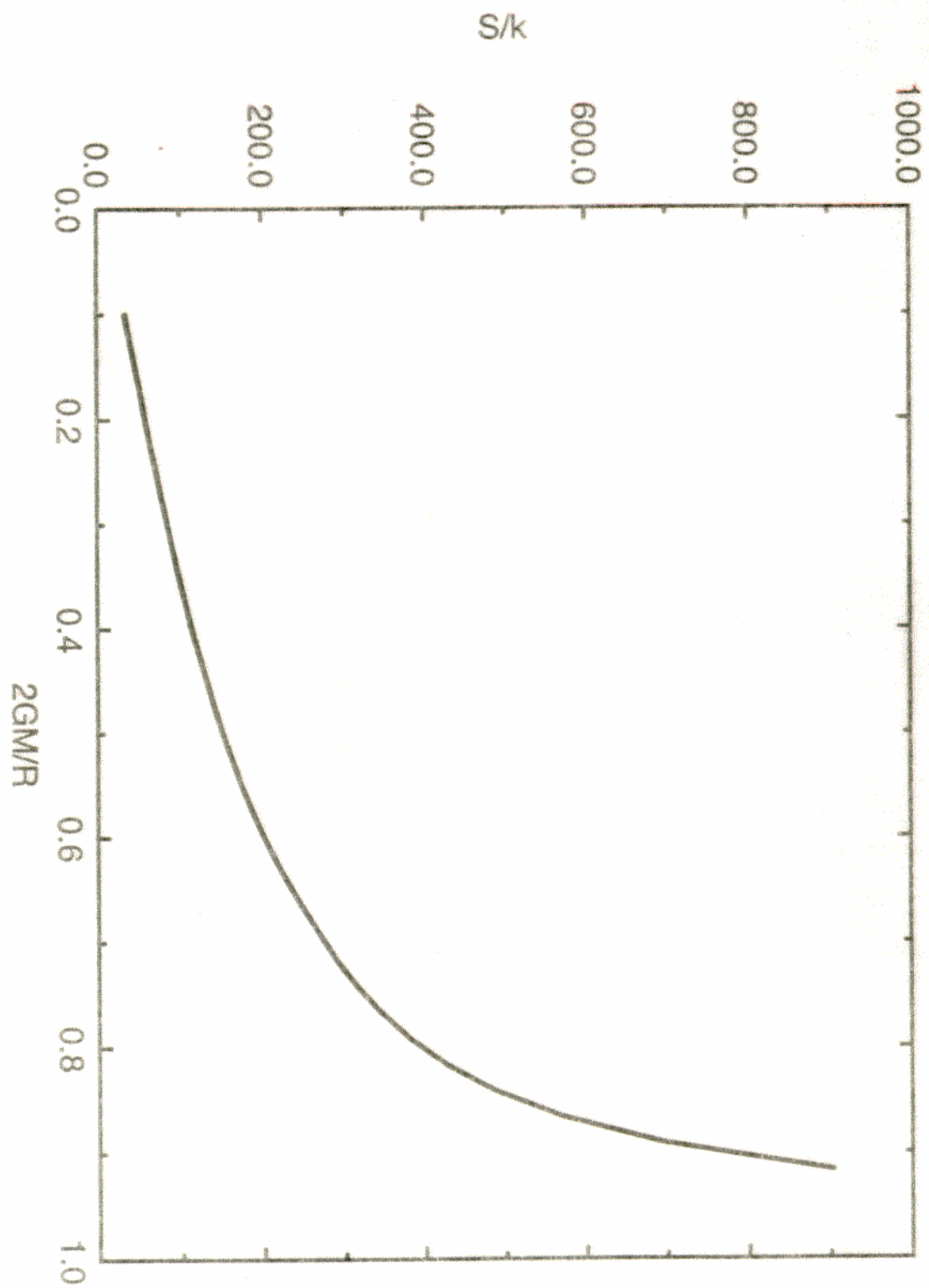
Figure 1

Uranium  
 $\alpha + \alpha + n \rightarrow {}^9\text{Be}$   
 $2p + 2n \rightarrow \alpha$   
r-Process Region

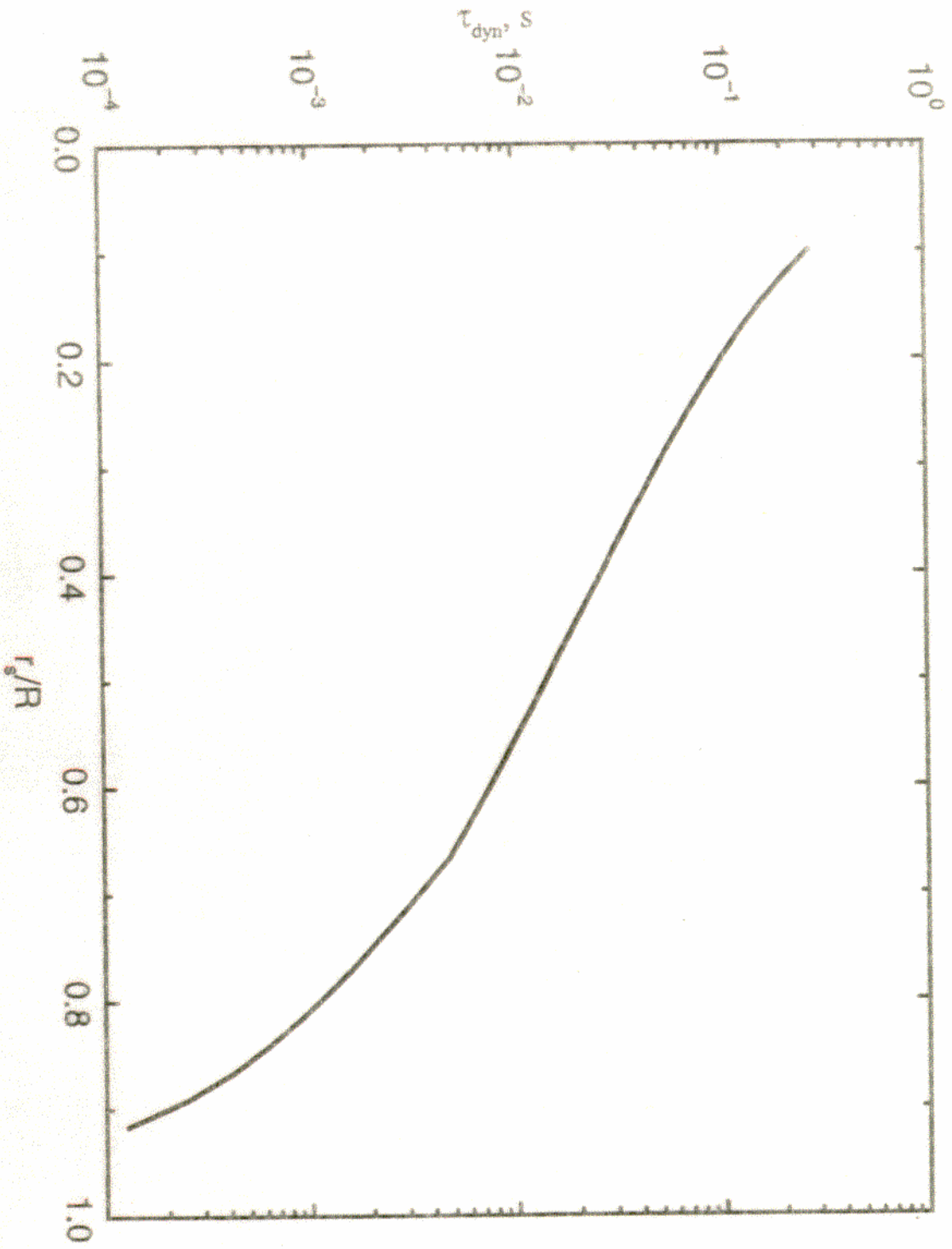




# Entropy per Baryon



# Dynamical Time Scale



## General Relativistic Effects

Cardall & Fuller 1996; Fuller & Qian 1996;  
Qian & Woosley 1996

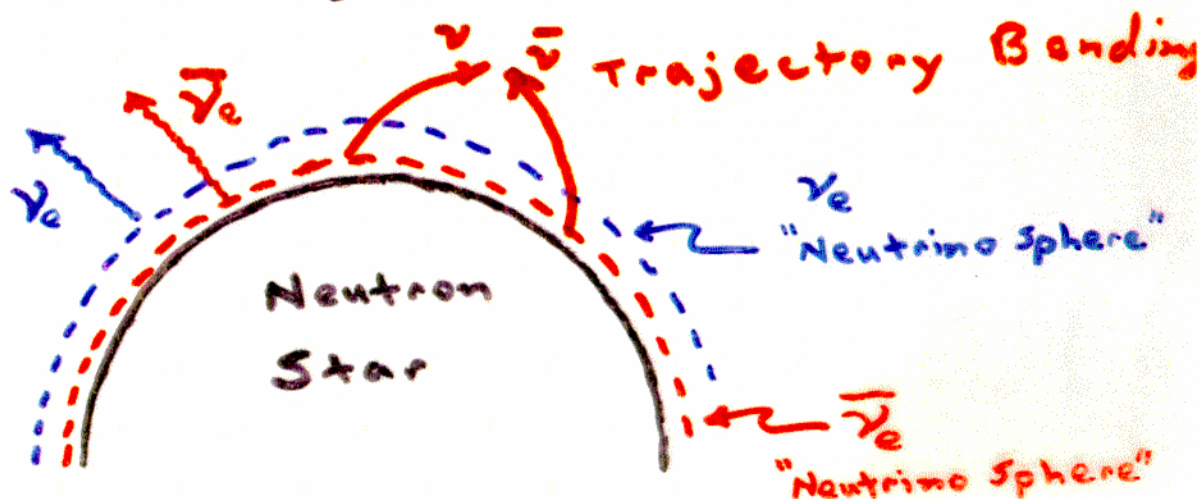
Salmonson & Wilson 1999; T. Kajino et al '99

- Entropy of Outflow in Wind Increases:

$$Ts \approx GMm_b/r.$$

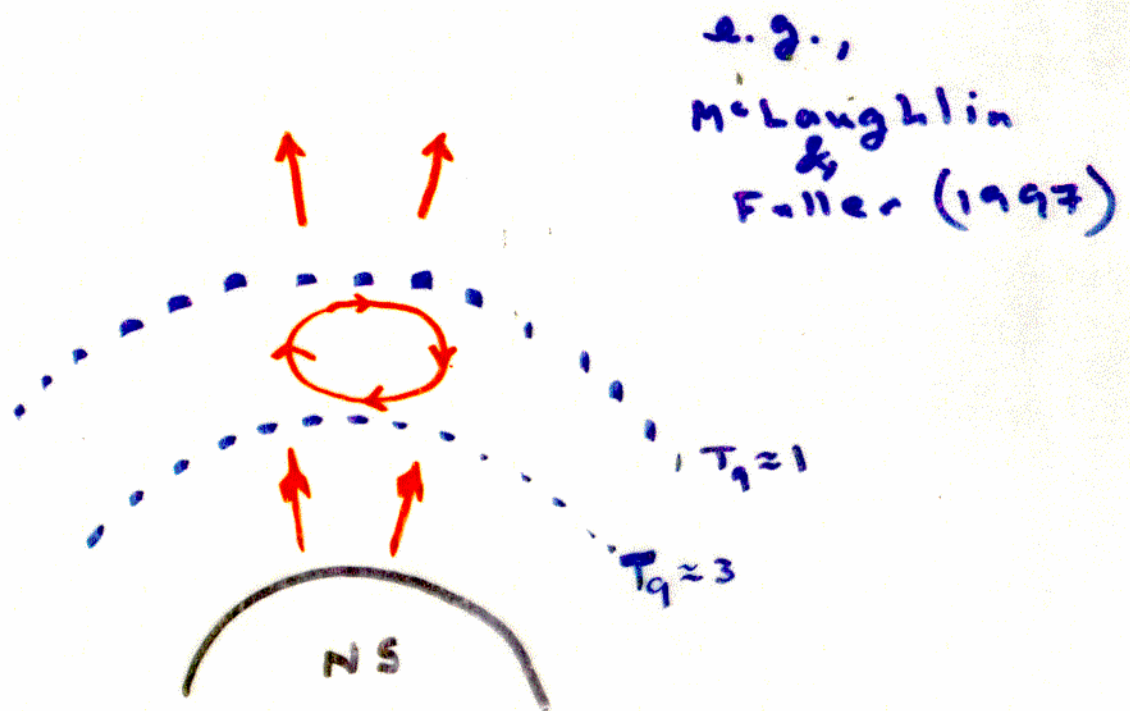
- Expansion Timescale Decreases
- Differential redshift of  $\bar{\nu}_e$  and  $\nu_e$  sets limit on how relativistic star can get and yet still have  $Y_e < 0.5$ .

⇒  $\bar{\nu}_e$  redshifted more than  $\nu_e$   
since  $\bar{\nu}_e$  decouple deeper  
in gravitational potential well.



## “Fast-Slow-Fast” Outflow

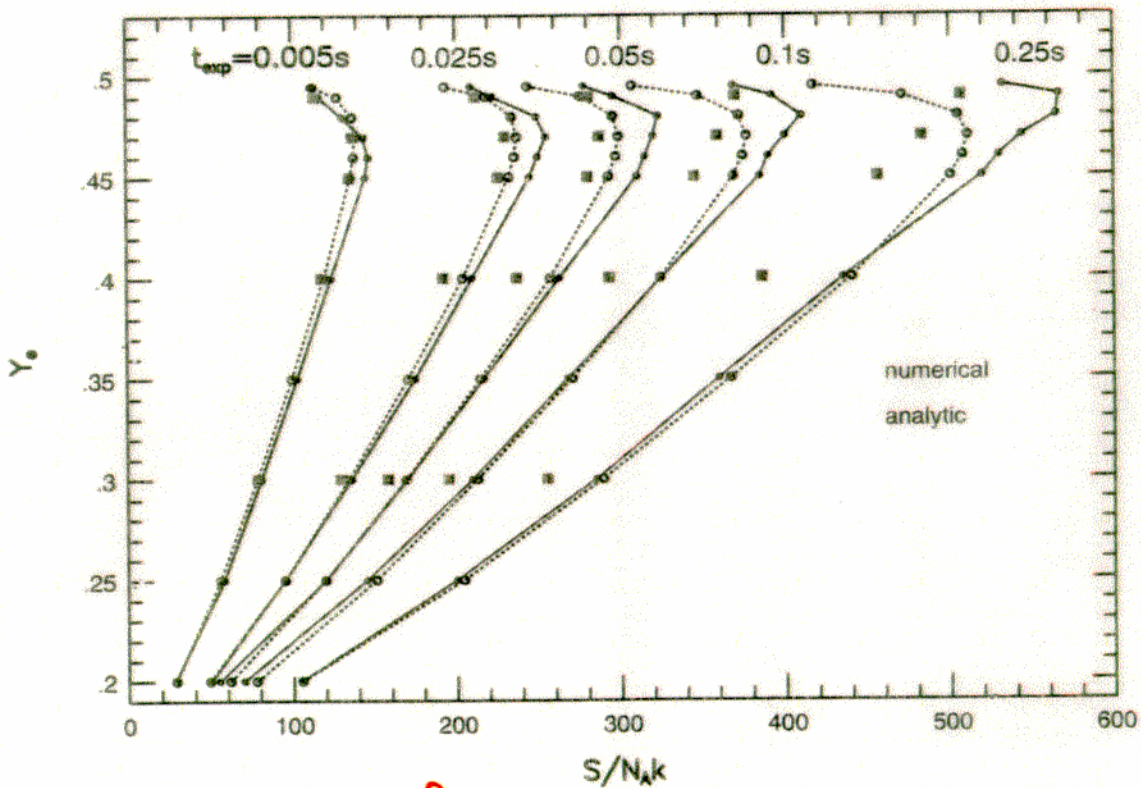
- Fast initial early outflow from Neutron Star  $\Rightarrow$  increase neutron-to-seed ratio
- Slow expansion during rapid neutron capture phase, so that weak steady flow equilibrium is established.
- After cessation of neutron capture, *may* need outflow speed to increase again so as to avoid too much neutrino post-processing.



Required Entropy  $S$ ,  $Y_e$  &  $\tau_{\text{dyn}}$ 

Meyer et al. 1996

Hoffman et al. 1996



Hoffman, Woosley, &amp; Qian 1996

Figure 1. The combination of electron fraction, entropy, and expansion time scale required for production of the  $A \sim 195$   $r$ -process peak nuclei. Points connected by lines are for fixed expansion time scales. The results from a simple analytic approximation (open circles) and two numerical surveys (filled circles and squares) are shown. All results assumed a constant entropy in deriving the density. For the circles, the approximation  $S \propto T^3/\rho$  is used to solve for the density, whereas for the squares, a fully consistent equation of state is used.

## How to Fix the $r$ -Process

(i.e., raise the neutron-to-seed nucleus ratio)

- **Decrease  $Y_e$**

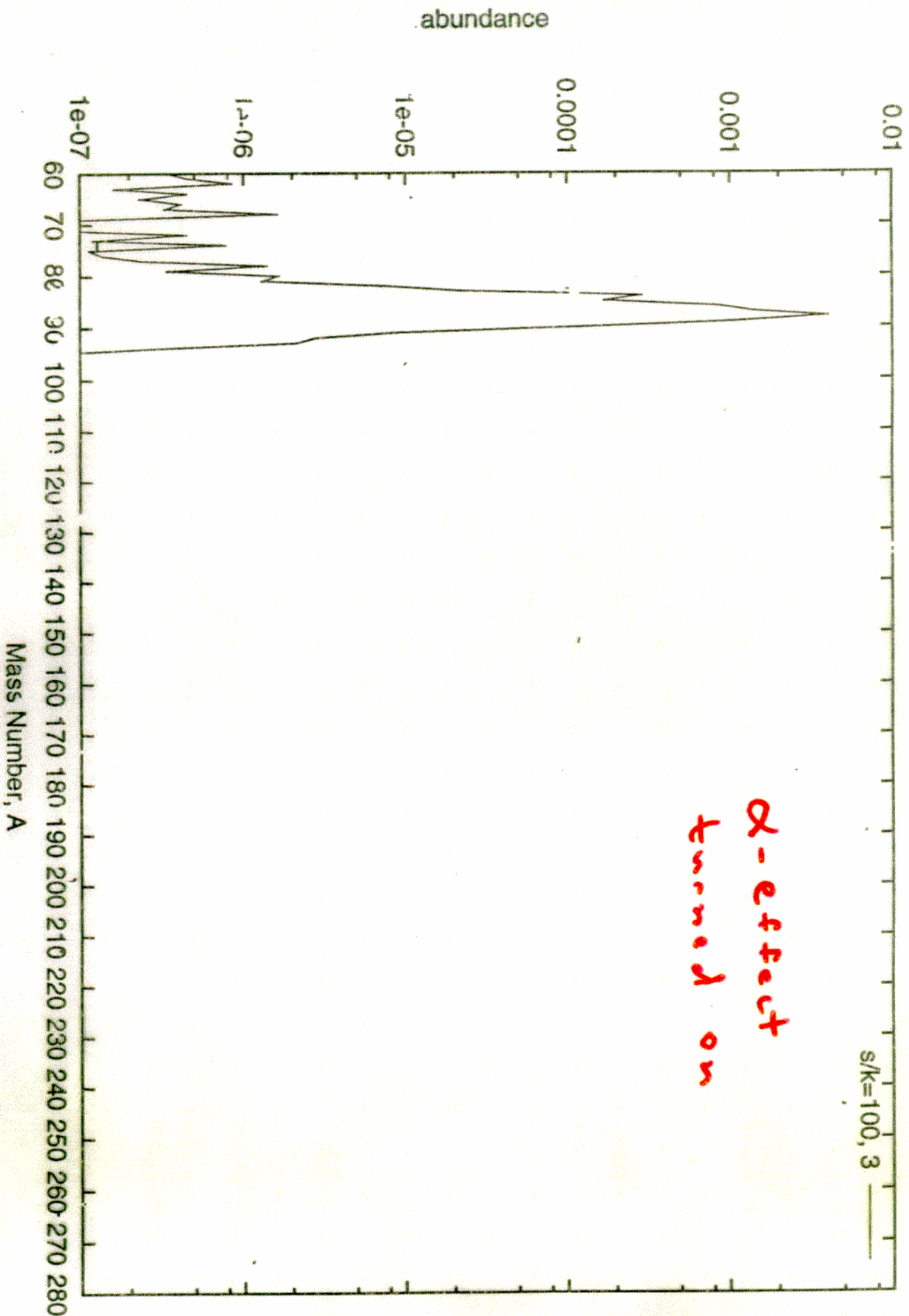
(Increases  $n/p$  ratio.)

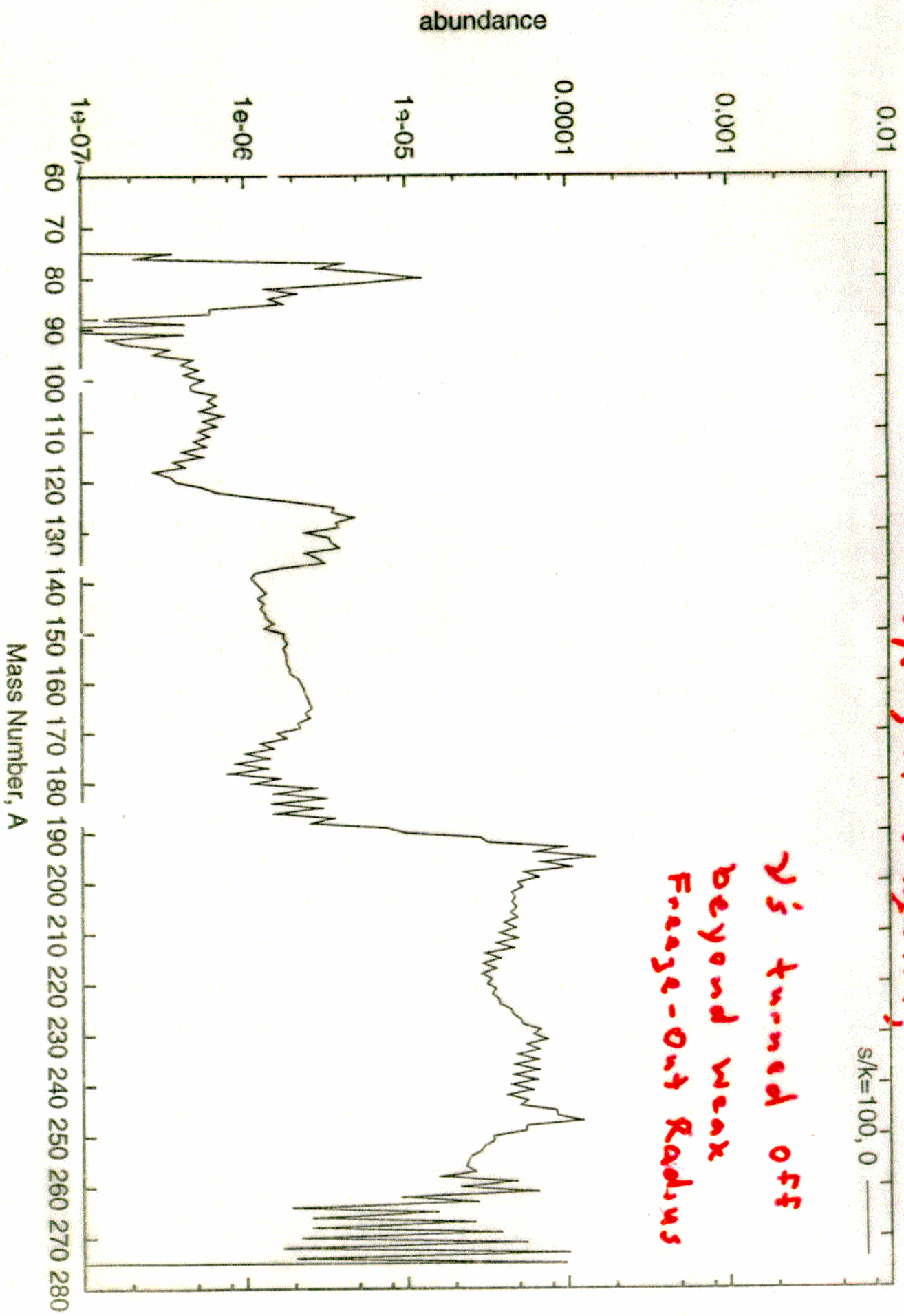
- **Increase Entropy**

(More photo-dissociation hinders formation of seed nuclei.)

- **Decrease Expansion Timescale**

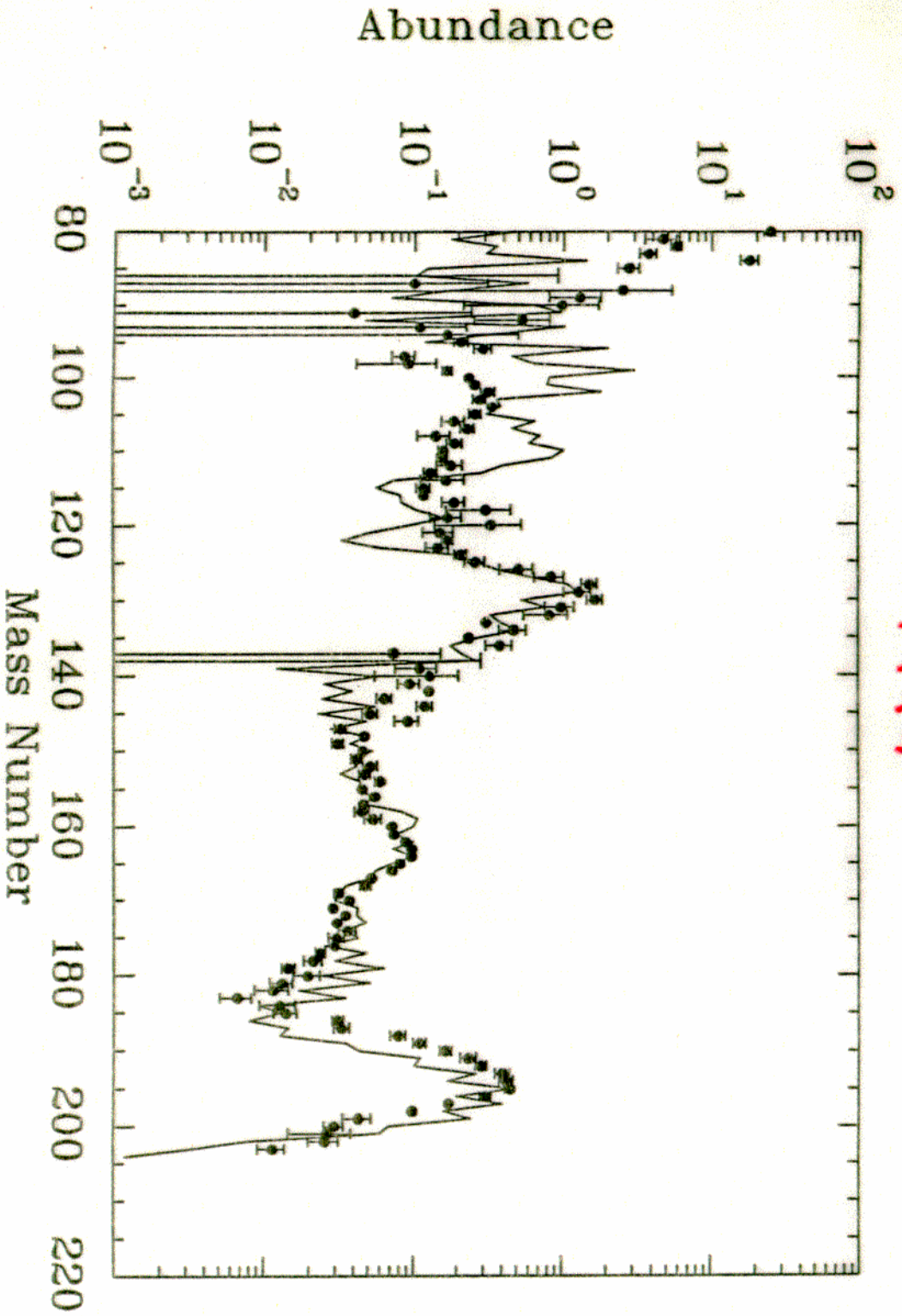
(Fewer seed nuclei because there is less time for  $\alpha + \alpha + n \rightarrow {}^9\text{Be}$ .)







Woosley, Wilson, Mathews, Hoffman, Meyer  
1994

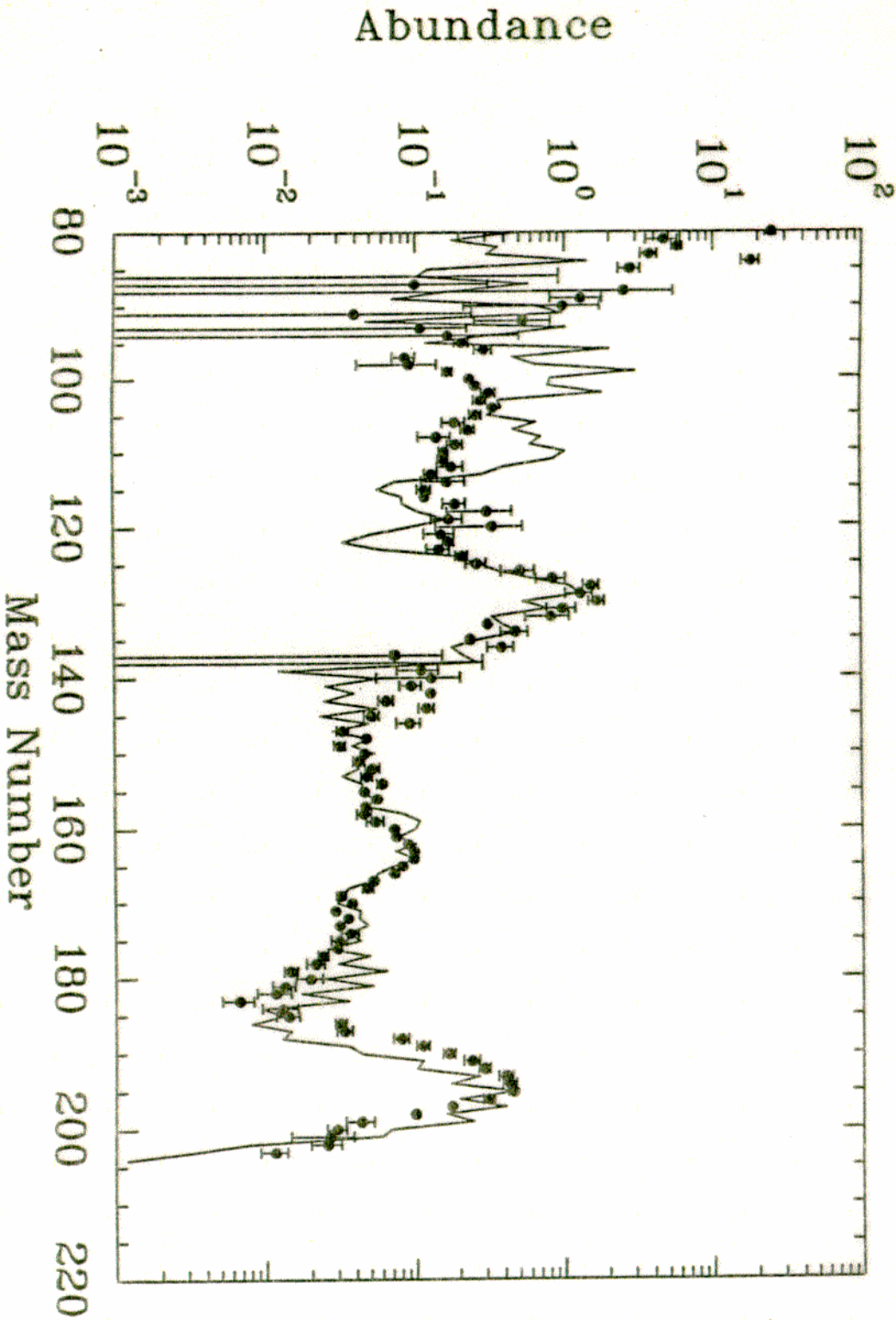


But  $\frac{n}{\text{seed}}$  ratio artificially high here

$\Rightarrow$  see Meyer 1995 ( $\nu + \alpha \rightarrow 3H + p + \bar{\nu}$ )  
 $\rightarrow \nu + 3He + n$

Fig. 16

Woosley, Wilson, Mathews, Hoffman, Meyer  
1994



But  $\frac{n}{\text{seed}}$  ratio artificially high here

$\Rightarrow$  see Meyer 1995 ( $\nu + \alpha \rightarrow {}^3\text{H} + p + \nu$ )

Fig. 16

## Average Neutrino Energy Hierarchy

- In the Absence of Neutrino Flavor Transformations, the Average Neutrino Energies Always Satisfy:

$$\langle E_{\nu_{\mu(\tau)}} \rangle > \langle E_{\bar{\nu}_e} \rangle > \langle E_{\nu_e} \rangle$$

⇓

⇓

⇓

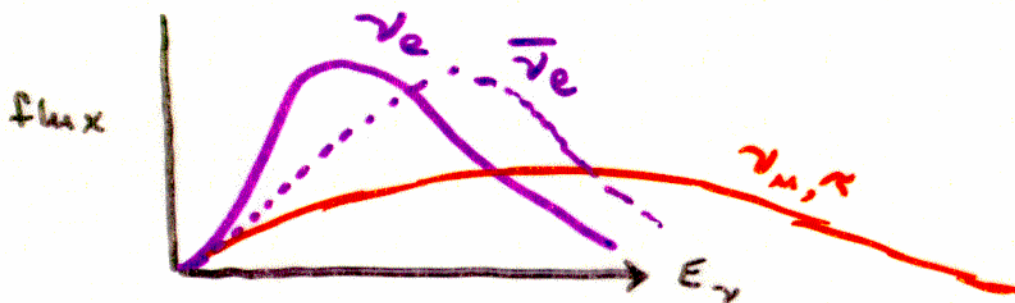
⇓

⇒ This Would Imply That Material Is Neutron-Rich, Since

$$Y_e \approx (1 + \langle E_{\bar{\nu}_e} \rangle / \langle E_{\nu_e} \rangle)^{-1} < 0.5$$

OR

$$n/p \approx \langle E_{\bar{\nu}_e} \rangle / \langle E_{\nu_e} \rangle > 1$$



Neutrinos Set  $Y_e$ 

- Cross Sections:  $\sigma \sim G_F^2 E_\nu^2$
- Rates:  $\lambda = (\text{Flux}) \cdot (\text{Cross Section})$

$$\lambda \sim (L_\nu / \langle E_\nu \rangle) \cdot \langle E_\nu \rangle^2 = L_\nu \langle E_\nu \rangle$$

$$\Downarrow$$

$$\Downarrow$$

$$\Downarrow$$

$$\Downarrow$$

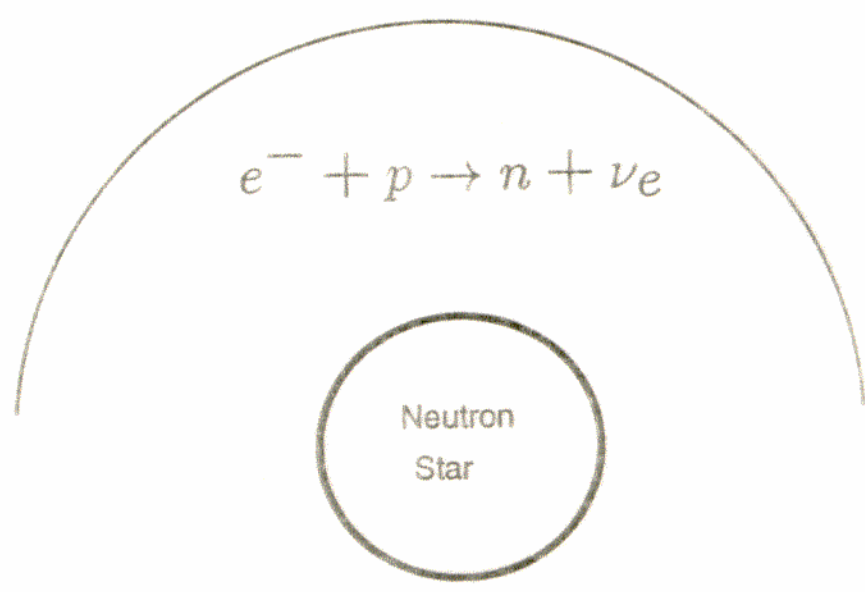
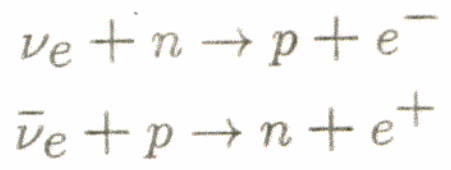
Integrate the Rate Equations  $\Rightarrow$

$$Y_e \approx \lambda_{\nu_e n} / (\lambda_{\nu_e n} + \lambda_{\bar{\nu}_e p}) \sim (1 + \langle E_{\bar{\nu}_e} \rangle / \langle E_{\nu_e} \rangle)^{-1}$$

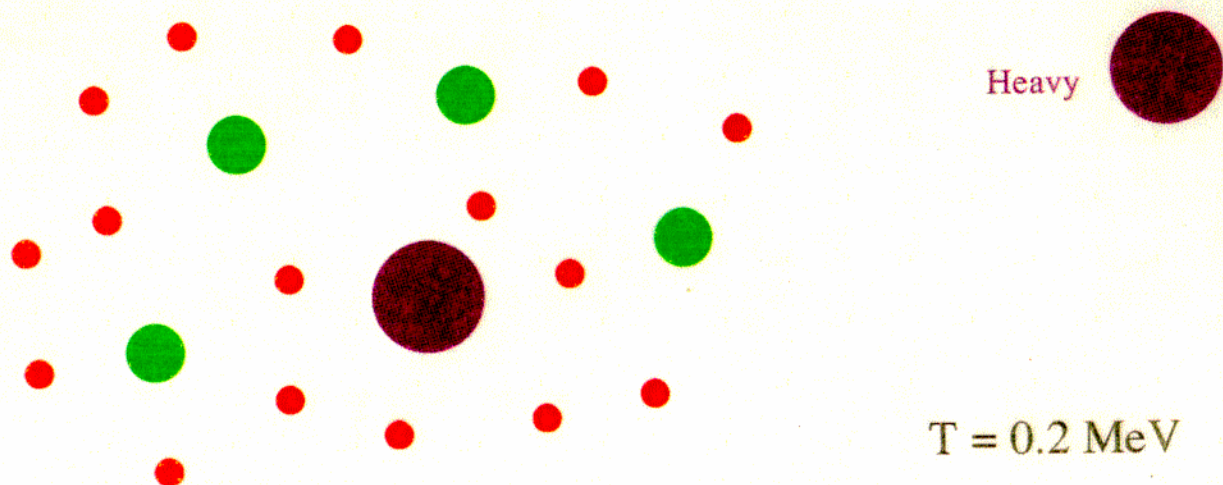
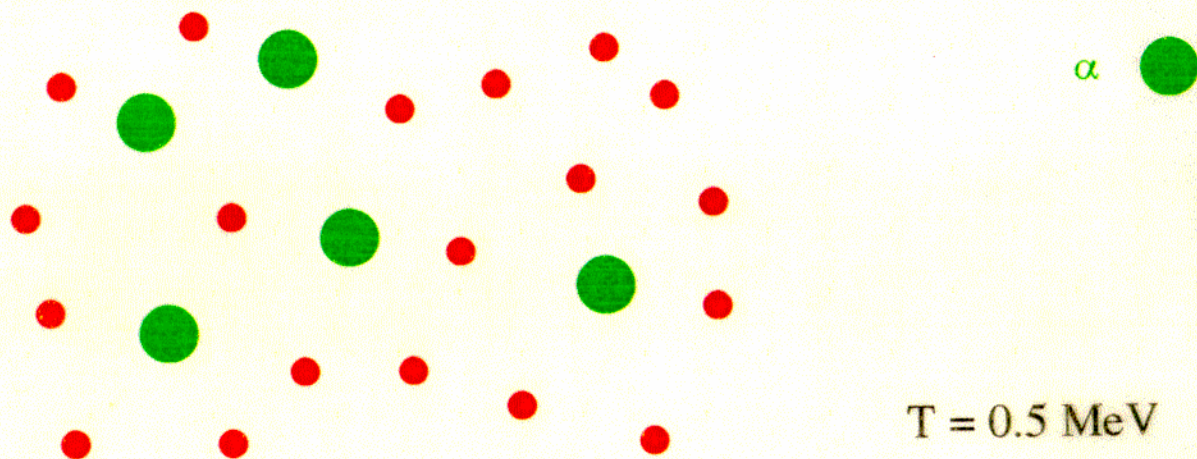
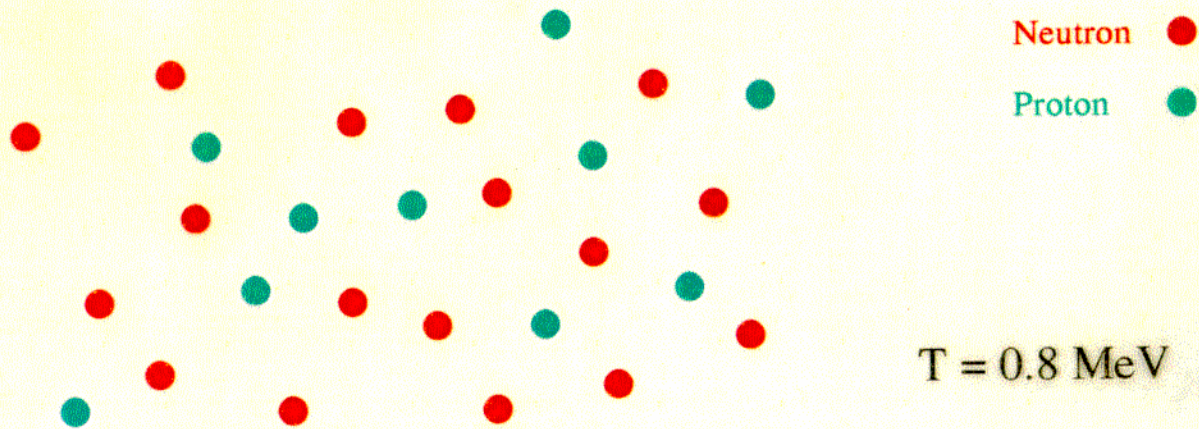
Where the Last Step Follows on Noting that at Late Times,

$$L_{\nu_e} \approx L_{\bar{\nu}_e} \approx L_{\nu_\mu(\tau)}$$

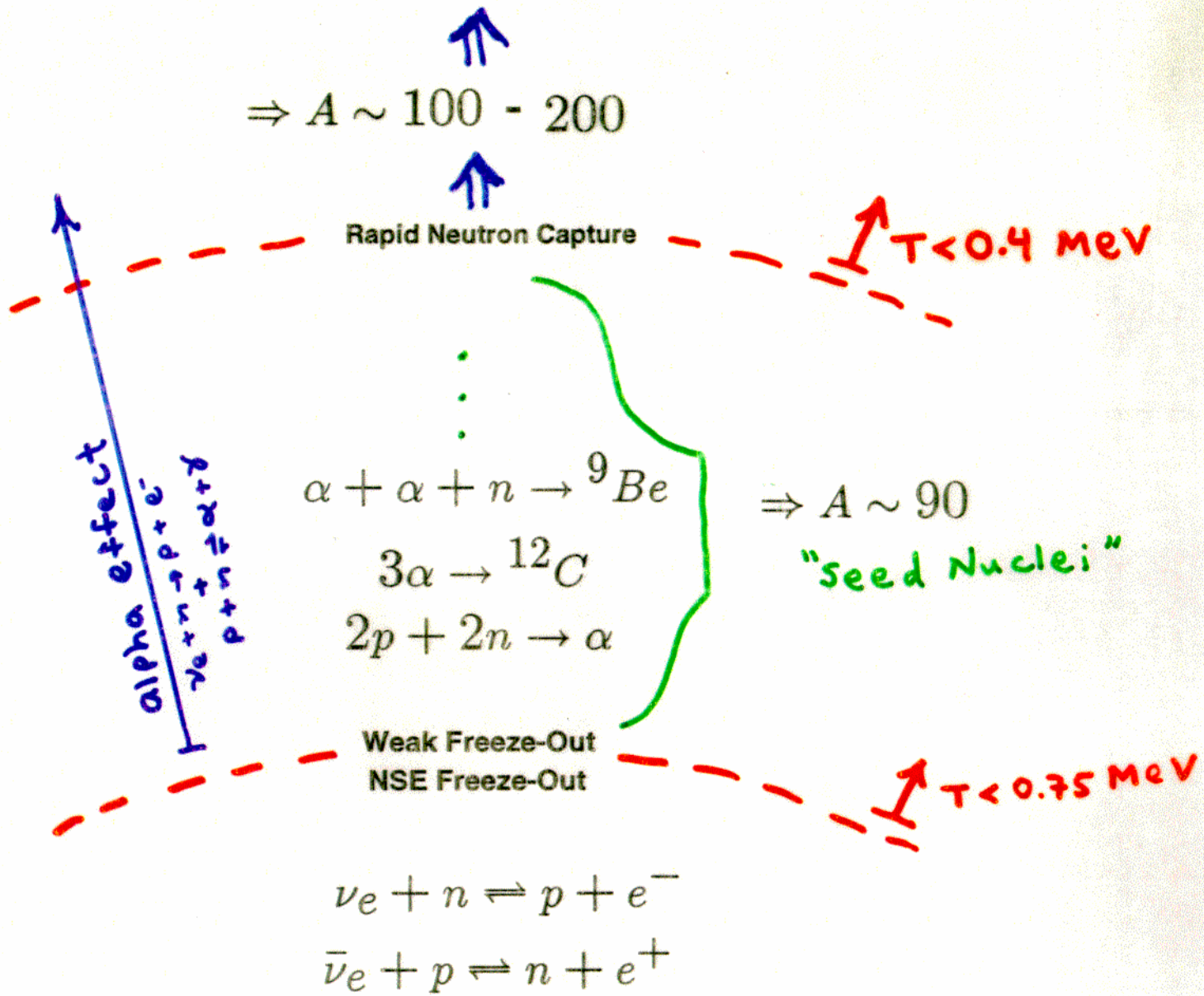
# WEAK FREEZE-OUT RADIUS -----







### r-Process from Neutrino-Heated SN Ejecta





- **$\nu$ -Heated Supernova Ejecta**

B. S. Meyer et al. 1992; K. Takahashi, Wittl, & T. Janka 1994; S. E. Woosley et al. 1994.

**Status:** Troubled; neutrino heating leads to "alpha effect," which can only be circumvented via new neutrino physics, finely tuned GR, or non-neutrino ejection with high speeds.

- **Neutron Star Mergers**

e.g., C. Freiburghaus, S. Rosswog, & F. Thielemann '99

**Status:** Troubled; merger rate only  $10^{-3}$  to  $10^{-4}$  of SN rate, implying that we need  $> 0.1 M_{\odot}$  of r-process material per event. Numerical calculations (e.g., Ruffert & Janka 1996; Wilson & Mathews 1996) suggests that much less is ejected and *that is* via neutrino heating (see above).

*sometimes*

- **Jets/Globs of Low  $Y_e$  Material**

e.g., LeBlanc/Wilson jets; A. Burrows low  $Y_e$  lumps

**Status:** Troubled; only one SN in  $\sim 10^3$  might do this.

- **Shock Induced r-Process in He or C/O Shells**

Truran & Cowan '00; Truran, Cowan, Cameron '78; Thielemann, Arnould, Hillebrandt 79; Blake, et al. '81

**Status:** Troubled; will make some lighter r-process, but heavy r-process species yield is sensitive to  $^{13}\text{C}$  content, shock parameters.

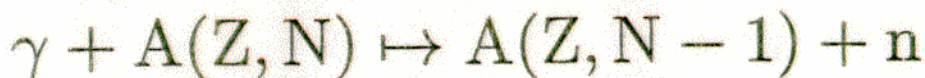
## The Riddle of Neutron-Rich Nuclei

⇒ Require synthesis environment with abundant free neutrons.

- But Neutrons Are Unstable!!  $\tau \approx 887.0 \text{ s}$

⇒ Can Obtain Free Neutrons By:

- “Spalling” Neutrons from Nuclei where they are Stabilized by the Strong Force:



But typical neutron binding  $\sim 8 \text{ MeV}$ !

- “Spalling” Neutrons from Neutron Stars where they are Stabilized by “Gravity.”

(*e.g.*, Binary Neutron Star Coalescence)

But typical neutron binding  $\gtrsim 100 \text{ MeV}$ !!

- Weak Interaction  $\bar{\nu}_e + p \mapsto n + e^+$

But  $G_F$  is small!

Evidence for  $\nu$  modification

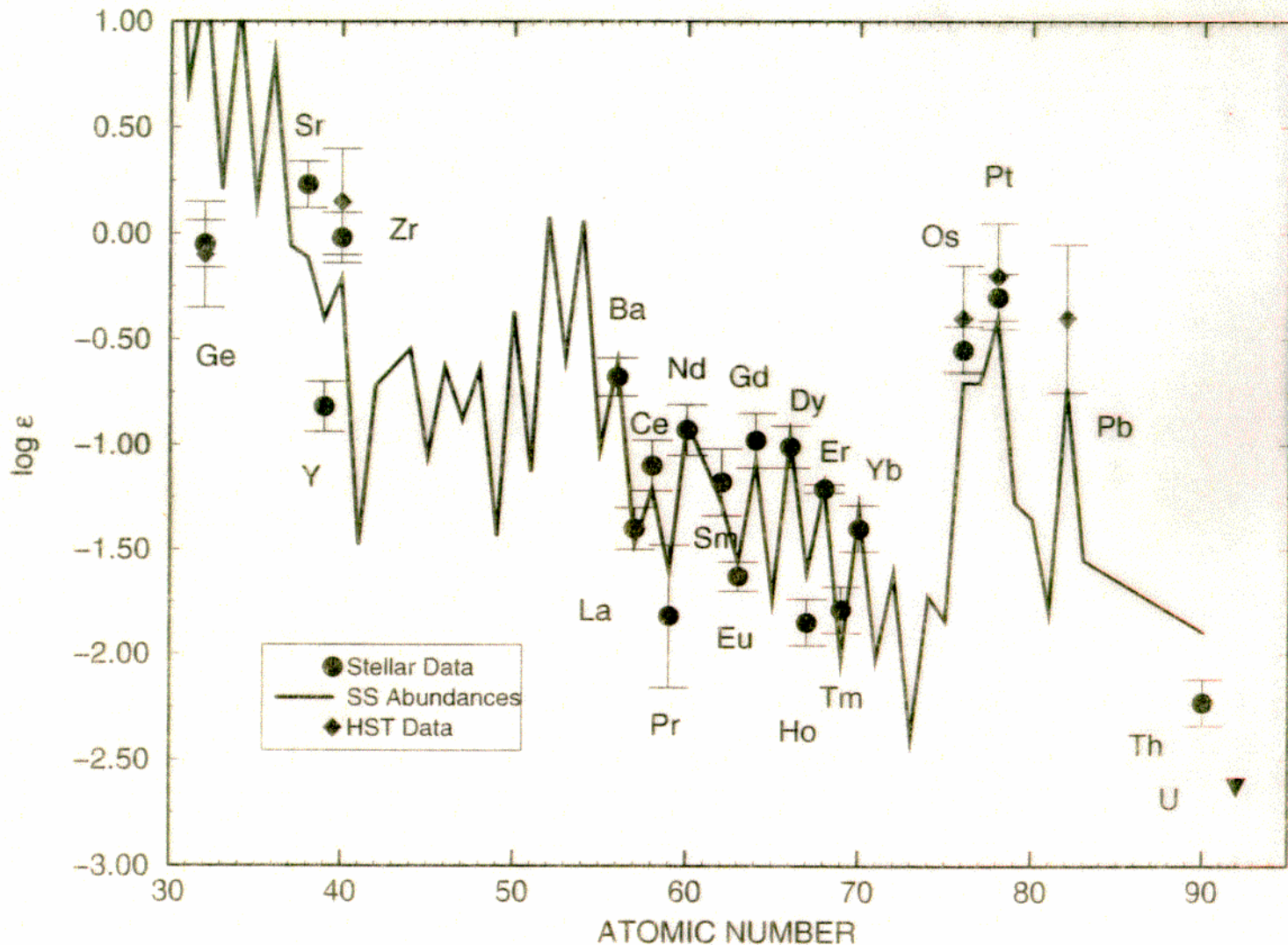
W. Haxton, Y.-Z. Qian, K. Langanke, P. Vogel  
'96

synthesis timescale “evidence”

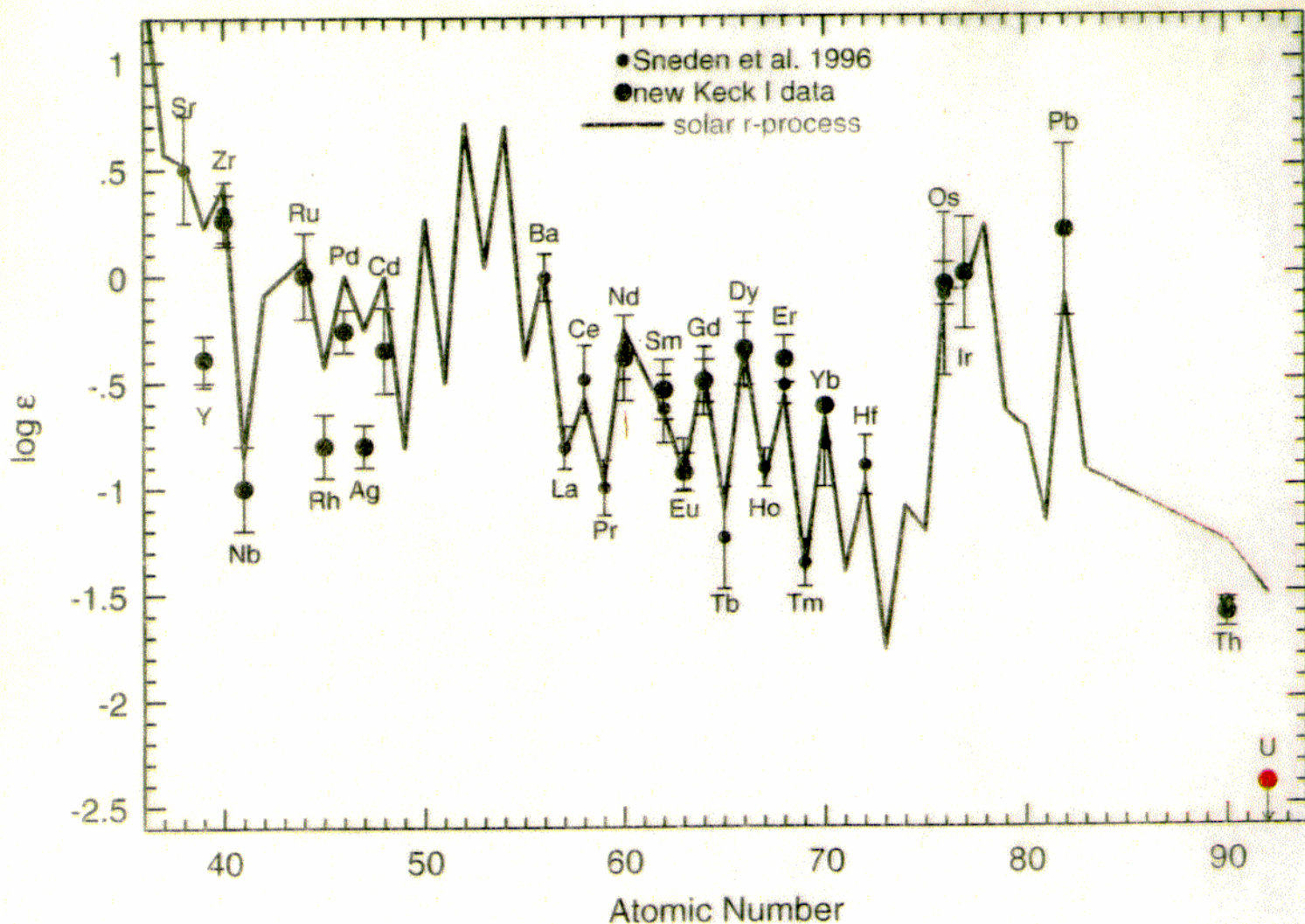
Qian, Vogel, Wasserburg '98

# NEW KECK Data

HD 115444: good match to scaled  
s.s. r-process for  $Z \geq 56$



### Neutron-Capture Element Abundances in CS 22892-052



scaled solar-system r-process is not a good match with data for  $Z < 56$

unlikely to ever get elements in  $Z \approx 50$  area