The 3-D momentum structure of the nucleon what would we like to know? what do we know and how?



XIV International Conference on Hadron Spectroscopy -HADRON 2011 - Munich, June 13-17, 2011 Mauro Anselmino, Torino University & INFN Exploring the 3-dimensional phase-space structure of the nucleon

> phase-space (k-b) distribution of partons in nucleons; parton intrinsic motion; spin-k_ correlations? orbiting quarks?

information encoded in GPDs and TMDs (exclusive and inclusive processes)



new probes and concepts to explore the nucleon structure

TMDs - Transverse Momentum Dependent (distribution and fragmentation functions)

(polarized) SIDIS and Drell-Yan, spin asymmetries in inclusive (large P_T) NN processes



GPDs - Generalized Partonic Distributions

exclusive processes in leptonic and hadronic interactions



$$q(x, \boldsymbol{b}_T) = \int \frac{d^2 \boldsymbol{\Delta}_T}{(2\pi)^2} H_q(x, 0, -\boldsymbol{\Delta}_T^2) e^{-i \boldsymbol{b}_T \cdot \boldsymbol{\Delta}_T}$$

GTMDs - Generalized Transverse Momentum Dependent (partonic distributions) exclusive processes in leptonic and hadronic interactions



phase-space parton distribution, W(k, b)



TMDs in SIDIS



(Collins, Soper, Ji, J.P. Ma, Yuan, Qiu, Vogelsang, Collins, Metz)

TMDs: the leading-twist correlator, with intrinsic k_{\perp} , contains 8 independent functions





$$f_1^q(x,k_{\perp}^2) \qquad q(x) = f_1^q(x) = \int d^2 \mathbf{k}_{\perp} f_1^q(x,k_{\perp}^2)$$

several spin-k_ correlations in TMDs



 $egin{aligned} m{S} \cdot (m{p} imes m{k}_\perp) & s_q \cdot (m{p} imes m{k}_\perp) & (m{p} \cdot m{S})(m{p} \cdot m{s}_q) & \cdots \end{aligned}$ "Sivers effect" "Boer-Mulders effect"

The nucleon at twist-2



similar spin- p_{\perp} correlations in fragmentation process (case of final spinless hadron)



 $oldsymbol{s}_q \cdot (oldsymbol{p}_q imes oldsymbol{p}_\perp)$ "Collins effect"

$$\begin{aligned} \frac{d\sigma}{d\phi} &= F_{UU} + \cos(2\phi) F_{UU}^{\cos(2\phi)} + \frac{1}{Q} \cos\phi F_{UU}^{\cos\phi} + \lambda \frac{1}{Q} \sin\phi F_{LU}^{\sin\phi} \\ &+ S_L \left\{ \sin(2\phi) F_{UL}^{\sin(2\phi)} + \frac{1}{Q} \sin\phi F_{UL}^{\sin\phi} + \lambda \left[F_{LL} + \frac{1}{Q} \cos\phi F_{LL}^{\cos\phi} \right] \right\} \\ &+ S_T \left\{ \sin(\phi - \phi_S) F_{UT}^{\sin(\phi - \phi_S)} + \sin(\phi + \phi_S) F_{UT}^{\sin(\phi + \phi_S)} + \sin(3\phi - \phi_S) F_{UT}^{\sin(3\phi - \phi_S)} \right. \\ &+ \frac{1}{Q} \left[\sin(2\phi - \phi_S) F_{UT}^{\sin(2\phi - \phi_S)} + \sin\phi_S F_{UT}^{\sin\phi_S} \right] \\ &+ \lambda \left[\cos(\phi - \phi_S) F_{LT}^{\cos(\phi - \phi_S)} + \frac{1}{Q} \left(\cos\phi_S F_{LT}^{\cos\phi_S} + \cos(2\phi - \phi_S) F_{LT}^{\cos(2\phi - \phi_S)} \right) \right] \right\} \end{aligned}$$

Kotzinian, NP B441 (1995) 234 Mulders and Tangermann, NP B461 (1996) 197 Boer and Mulders, PR D57 (1998) 5780 Bacchetta et al., PL B595 (2004) 309 Bacchetta et al., JHEP 0702 (2007) 093 Anselmino et al., arXiv:1101.1011 [hep-ph]

 $\begin{array}{l} \mbox{many spin asymmetries} \\ & \mathrm{d}\sigma(\boldsymbol{S}) \neq \mathrm{d}\sigma(-\boldsymbol{S}) \\ F^{(\dots)}_{S_B S_T} \mbox{ contain the TMDs} \end{array}$





 $f \otimes D \sim \int d^2 \mathbf{k}_{\perp} d^2 \mathbf{p}_{\perp} \, \delta^{(2)} (\mathbf{P}_T - z_h \mathbf{k}_{\perp} - \mathbf{p}_{\perp}) \, w(\mathbf{k}_{\perp}, \mathbf{P}_T) \, f(x_B, k_{\perp}) \, D\left(z_h, p_{\perp}\right)$



assuming gaussian k_{\perp} and p_{\perp} dependences: $\langle k_{\perp}^2 \rangle = 0.28 \ (\text{GeV})^2 \qquad \langle p_{\perp}^2 \rangle = 0.25 \ (\text{GeV})^2$ M.A., M. Boglione, U. D'Alesio, A. Kotzinian, F. Murgia and A. Prokudin

Siver function phenomenology in SIDIS

M.Anselmino, M.Boglione, J.C.Collins, U.D'Alesio, A.V.Efremov, K.Goeke, A.Kotzinian, S.Menzel, A.Metz, F.Murgia, A.Prokudin, P.Schweitzer, W.Vogelsang, F.Yuan

$$2\left\langle\sin(\phi-\phi_S)\right\rangle = A_{UT}^{\sin(\phi-\phi_S)} \equiv 2\frac{\int d\phi \,d\phi_S \,(d\sigma^{\uparrow}-d\sigma^{\downarrow}) \,\sin(\phi-\phi_S)}{\int d\phi \,d\phi_S \,(d\sigma^{\uparrow}+d\sigma^{\downarrow})}$$

extraction of Sivers function based on very simple parameterization, with x and \mathbf{k}_{\perp} factorization. Typically:

$$\Delta^N f_{q/p^{\uparrow}}(x,k_{\perp}) = -rac{2k_{\perp}}{M} f_{1T}^{\perp q}(x,k_{\perp}) = N x^{lpha} (1-x)^{eta} h(k_{\perp}) f_{q/p}(x,k_{\perp})$$
 with

$$f_{q/p}(x,k_{\perp}) = f_q(x) \frac{1}{\pi \langle k_{\perp}^2 \rangle} e^{-k_{\perp}^2/\langle k_{\perp}^2 \rangle} \qquad \begin{cases} k_{\perp}^2 \\ \text{flave} \end{cases}$$

 $\langle k_{\perp}^2 \rangle$ constant and flavour independent

S. Melis, talk at DIS 2011



S. Melis, talk at DIS 2011

FIT u & d only **COMPASS** Proton h^+ h^+ h^+ 0.15 0.1 $A_{UT}^{sin(\phi_h-\phi_S)}$ 0.05 Ţ. 0 -0.05 -0.1 -0.15 h⁻ h h 0.15 0.1 $A_{UT}^{sin(\phi_h-\phi_S)}$ 0.05 0 ¥ į į ¢ **•** • • • • **∮ ∳** -0.05 -0.1 -0.15 10⁻² 10⁻¹ 0.7 0.9 0.3 0.4 0.5 0.6 0.7 0.1 0.3 0.5 $P_{T}(GeV)$ Ζ Х



simple Sivers functions for u and d quarks are sufficient to fit the available SIDIS data large and very small x dependence not constrained by data



new and previous extraction of u and d Sivers functions

S. Melis and A. Prokudin, preliminary results

Anselmino et al. Eur. Phys. J. A39,89 (2009)

Quark models for Sivers function

Brodsky, Hwang, Schmidt; Bacchetta, Conti, Radici; Bacchetta, Radici, Conti, Guagnelli; Brodsky, Pasquini, Xiao, Yuan; Pasquini, Yuan; Hwang;



the azimuthal dependence induced by intrinsic motion in unpolarized SIDIS (Cahn effect) has been confirmed (EMC, HERMES, COMPASS, CLAS)

> Gaussian k_{\perp} distribution of TMDs? $\langle k_{\perp}^2 \rangle(x, Q^2) \quad \langle p_{\perp}^2 \rangle(z, Q^2)$ x, z dependence? flavour dependence? energy dependence?

Sivers effect now observed by two experiments (+ HALL-A A_{UT} on neutrons), but needs further measurements (wider kinematical coverage)

Q² of data not so high, role of higher twists? more sophisticated parameterization... (non) universality of Sivers function?

recent improvement in study of QCD evolution (Aybat, Rogers, arXiv:1101.5057)

Collins effect in SIDIS - $F_{UT}^{\sin(\phi+\phi_S)}$

$$\begin{aligned} D_{h/q,\mathbf{s}_{q}}(z,\boldsymbol{p}_{\perp}) &= D_{h/p}(z,p_{\perp}) + \\ \frac{1}{2} \Delta^{N} D_{h/q^{\dagger}}(z,p_{\perp}) \, \mathbf{s}_{q} \cdot (\hat{\boldsymbol{p}}_{q} \times \hat{\boldsymbol{p}}_{\perp}) \\ d\sigma^{\dagger} - d\sigma^{\downarrow} &= \sum_{q} h_{1q}(x,k_{\perp}) \otimes d\Delta \hat{\sigma}(y,\boldsymbol{k}_{\perp}) \otimes \Delta^{N} D_{h/q^{\dagger}}(z,\boldsymbol{p}_{\perp}) \\ A_{UT}^{\sin(\phi+\phi_{S})} &\equiv 2 \frac{\int d\phi \, d\phi_{S} \left[d\sigma^{\dagger} - d\sigma^{\downarrow} \right] \sin(\phi+\phi_{S})}{\int d\phi \, d\phi_{S} \left[d\sigma^{\dagger} + d\sigma^{\downarrow} \right]} \\ d\Delta \hat{\sigma} &= d\hat{\sigma}^{\ell q^{\dagger} \to \ell q^{\dagger}} - d\hat{\sigma}^{\ell q^{\dagger} \to \ell q^{\downarrow}} \end{aligned}$$

Collins effect in SIDIS couples to transversity





HERMES Collins asymmetry

independent information on Collins function from e⁺e⁻ processes BELLE @ KEK



$$A_{12}(z_1, z_2, \theta, \varphi_1 + \varphi_2) \equiv \frac{1}{\langle d\sigma \rangle} \frac{d\sigma^{e^+e^- \to h_1 h_2 X}}{dz_1 \, dz_2 \, d\cos\theta \, d(\varphi_1 + \varphi_2)} \\ = 1 + \frac{1}{4} \frac{\sin^2 \theta}{1 + \cos^2 \theta} \, \cos(\varphi_1 + \varphi_2) \times \frac{\sum_q e_q^2 \Delta^N D_{h_1/q^{\uparrow}}(z_1) \Delta^N D_{h_2/\bar{q}^{\uparrow}}(z_2)}{\sum_q e_q^2 D_{h_1/q}(z_1) \, D_{h_2/\bar{q}}(z_2)}$$

Transversity & Collins function phenomenology in SIDIS and e+e-

Same simple parametrization as for Sivers, but Collins effect has been clearly observed by three independent experiments: HERMES, COMPASS and BELLE

Collins function expected to be universal

QCD evolution important, as BELLE data are at a much higher energy than SIDIS data

extracted Collins functions



M.A., M. Boglione, U. D'Alesio, A. Kotzinian, S. Melis, F. Murgia, A. Prokudin, C. Türk



Predictions for COMPASS, with a proton target, and comparison with data



A. Martin, DIS2010

A_N in p p $\rightarrow \pi X$, the big challenge



$$A_N \equiv \frac{d\sigma^{\uparrow} - d\sigma^{\uparrow}}{d\sigma^{\uparrow} + d\sigma^{\uparrow}}$$

E704 Js = 20 GeV 0.7 < p_T < 2.0

and all be an if all RHIC data, persisting at high energy... $\overline{d\sigma^{\uparrow}+d\sigma^{\uparrow}}$ $0.7 < p_T < 2.0$ $p+p \rightarrow \pi^* + X \text{ at } \sqrt{s} = 200 \text{ GeV}$ 0.15 x_F> 0.4 $x_{F} < -0.4$ 0.1 A_{N 0.05} Ī <u>ō</u>.o.o.<u>ō</u>.<u>ō</u> 0 φ <u>γ</u> δ ð -0.05 3 3.5 1.5 2.5 1 2 4 p_T, GeV/c

no SSA in collinear factorization









M.A., M. Boglione, U. D'Alesio, E. Leader, S. Melis, F. Murgia, A. Prokudin, ... (Field-Feynman in unpolarized case) TMD factorization at work U. D'Alesio, F. Murgia

E704 data







possible project: compute T_a using SIDIS extracted Sivers functions



fits of E704 and STAR data Kouvaris, Qiu, Vogelsang, Yuan

sign mismatch (Kang, Qiu, Vogelsang, Yuan)

compare

$$gT_{q,F}(x,x) = -\int d^2k_{\perp} \frac{|k_{\perp}|^2}{M} f_{1T}^{\perp q}(x,k_{\perp}^2)|_{\text{SIDIS}}$$

as extracted from fitting A_N data, with that obtained by inserting in the the above relation the SIDIS extracted Sivers functions

similar magnitude, but opposite sign!

the same mismatch does not occurr adopting TMD factorization; the reason is that the hard scattering part in higher-twist factorization is negative

TMDs in Drell-Yan processes



factorization holds, two scales, M^2 , and $q_T \ll M$

$$\mathrm{d}\sigma^{D-Y} = \sum_{a} f_q(x_1, \boldsymbol{k}_{\perp 1}; Q^2) \otimes f_{\bar{q}}(x_2, \boldsymbol{k}_{\perp 2}; Q^2) \,\mathrm{d}\hat{\sigma}^{q\bar{q} \to \ell^+ \ell^-}$$

direct product of TMDs, no fragmentation process

$$\begin{aligned} \frac{d\sigma}{d^{4}qd\Omega} &= \frac{\alpha_{em}^{2}}{Fq^{2}} \times \quad \text{S. Arnold, A. Metz and M. Schlegel, arXiv:0809.2262 [hep-ph]} \\ &\left\{ \left((1 + \cos^{2}\theta) F_{UU}^{1} + (1 - \cos^{2}\theta) F_{UU}^{2} + \sin^{2}\theta \cos \phi F_{UU}^{\cos\phi} + \sin^{2}\theta \cos 2\phi F_{UU}^{\cos\phi}^{2\phi} \right) \\ &+ S_{aL} \left(\sin 2\theta \sin \phi F_{UL}^{\sin\phi} + \sin^{2}\theta \sin 2\phi F_{UL}^{\sin 2\phi} \right) \\ &+ S_{aL} \left(\sin 2\theta \sin \phi F_{UL}^{\sin\phi} + \sin^{2}\theta \sin 2\phi F_{UL}^{\sin 2\phi} \right) \\ &+ S_{bL} \left(\sin 2\theta \sin \phi F_{UL}^{\sin\phi} + \sin^{2}\theta \sin 2\phi F_{UL}^{\sin 2\phi} \right) \\ &+ S_{bL} \left[\sin \phi_{a} \left((1 + \cos^{2}\theta) F_{U}^{1} + (1 - \cos^{2}\theta) F_{UU}^{2} + \sin 2\theta \cos \phi F_{UU}^{\cos\phi} + \sin^{2}\theta \cos 2\phi F_{UU}^{\cos\phi} \right) \\ &+ (S_{aT}) \left[\sin \phi_{b} \left((1 + \cos^{2}\theta) F_{U}^{1} + (1 - \cos^{2}\theta) F_{U}^{2} + \sin^{2}\theta \sin 2\phi F_{UL}^{\sin 2\phi} \right) \right] \\ &+ S_{aL} S_{bL} \left((1 + \cos^{2}\theta) F_{UT}^{1} + (1 - \cos^{2}\theta) F_{UT}^{2} + \sin^{2}\theta \cos^{2}\phi \right) \\ &+ \cos\phi_{b} \left(\sin 2\theta \sin \phi F_{UT}^{\sin\phi} + \sin^{2}\theta \sin 2\phi F_{UT}^{\sin 2\phi} \right) \right] \\ &+ S_{aL} S_{bL} \left((1 + \cos^{2}\theta) F_{LL}^{1} + (1 - \cos^{2}\theta) F_{UT}^{1} + \sin^{2}\theta \cos\phi F_{LL}^{\cos\phi\phi} + \sin^{2}\theta \cos 2\phi F_{LL}^{\cos\phi\phi} \right) \\ &+ S_{aL} S_{bL} \left((1 + \cos^{2}\theta) F_{LL}^{1} + (1 - \cos^{2}\theta) F_{LT}^{1} + \sin^{2}\theta \cos\phi F_{LL}^{\cos\phi\phi} + \sin^{2}\theta \cos 2\phi F_{LL}^{\cos\phi\phi} \right) \\ &+ \sin\phi_{b} \left(\sin 2\theta \sin\phi F_{LT}^{\sin\phi} + \sin^{2}\theta \sin 2\phi F_{LT}^{\sin 2\phi} \right) \right] \\ &+ \left| \vec{S}_{aT} \right| \left[S_{bT} \left[\cos\phi_{a} \left((1 + \cos^{2}\theta) F_{LT}^{1} + (1 - \cos^{2}\theta) F_{LT}^{2} + \sin^{2}\theta \cos\phi F_{LL}^{\cos\phi\phi} + \sin^{2}\theta \cos 2\phi F_{LL}^{\cos\phi\phi\phi} \right) \\ &+ \sin\phi_{b} \left(\sin 2\theta \sin\phi F_{LT}^{\sin\phi} + \sin^{2}\theta \sin 2\phi F_{LT}^{\sin 2\phi} \right) \right] \\ &+ \left| \vec{S}_{aT} \right| \left| \vec{S}_{bT} \right| \left[\cos\phi_{a} \left((1 + \cos^{2}\theta) F_{LT}^{1} + (1 - \cos^{2}\theta) F_{TT}^{2} + \sin^{2}\theta \cos\phi F_{TL}^{\cos\phi\phi} + \sin^{2}\theta \cos 2\phi F_{TL}^{\cos\phi\phi\phi} \right) \\ &+ \sin\phi_{a} \left(\sin 2\theta \sin\phi F_{LT}^{\sin\phi} + \sin^{2}\theta \sin 2\phi F_{LT}^{\sin 2\phi} \right) \right] \\ &+ \left| \vec{S}_{aT} \right| \left| \vec{S}_{bT} \right| \left[\cos\phi_{a} \left((1 + \cos^{2}\theta) F_{TL}^{1} + (1 - \cos^{2}\theta) F_{TT}^{2} + \sin^{2}\theta \cos\phi F_{TL}^{\cos\phi\phi} + \sin^{2}\theta \cos 2\phi F_{TL}^{\cos\phi\phi} \right) \\ &+ \sin\phi_{a} \left(\sin^{2}\theta \sin\phi F_{TT}^{\sin\phi} + \sin^{2}\theta \sin^{2}\phi F_{TT}^{2\phi} \right) \\ &+ \sin\phi_{a} \left(\sin^{2}\theta \sin\phi F_{TT}^{\sin\phi} + \sin^{2}\theta \sin^{2}\phi F_{TT}^{\sin2\phi} \right) \\ &+ \sin\phi_{a} \left(\sin^{2}\theta \sin\phi F_{TT}^{\sin\phi} + \sin^{2}\theta \sin^{2}\phi F_{TT}^{\sin2\phi} \right) \\ &+ \sin(\phi_{a} - \phi_{b} \right) \left(\sin^{2}\theta \sin\phi F_{TT}^{\sin\phi} + \sin^{2}\theta \sin^{2}\phi F_{TT}^{2\phi} \right) \\ &+ \sin(\phi$$

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Sivers effect in D-Y processes

By looking at the $d^4 \sigma / d^4 q$ cross section one can single out the Sivers effect in D-Y processes

$$d\sigma^{\uparrow} - d\sigma^{\downarrow} \propto \sum_{q} \Delta^{N} f_{q/p^{\uparrow}}(x_{1}, \boldsymbol{k}_{\perp}) \otimes f_{\bar{q}/p}(x_{2}) \otimes d\hat{\sigma}$$
$$q = u, \bar{u}, d, \bar{d}, s, \bar{s}$$

$$A_N^{\sin(\phi_S - \phi_\gamma)} \equiv \frac{2\int_0^{2\pi} \mathrm{d}\phi_\gamma \left[\mathrm{d}\sigma^{\uparrow} - \mathrm{d}\sigma^{\downarrow}\right] \sin(\phi_S - \phi_\gamma)}{\int_0^{2\pi} \mathrm{d}\phi_\gamma \left[\mathrm{d}\sigma^{\uparrow} + \mathrm{d}\sigma^{\downarrow}\right]}$$



Predictions for A_N

Sivers functions as extracted from SIDIS data, with opposite sign



M.A., M. Boglione, U. D'Alesio, S. Melis, F. Murgia, A. Prokudin, e-Print: arXiv:0901.3078

Conclusions

The3-dimensional exploration of the nucleon has just started: collect as much data as possible and try to reconstruct the nucleon phase-space structure

TMDs describe the momentum distribution; the actual knowledge covers limited kinematical regions, and assumes (too) simple functional forms

The properties of the Sivers function and its different role in different processes, have to be investigated and much more to do new experiments would help so much (ENC/EIC, Drell-Yan,)