

# Semileptonic B-decays (b to u transition)

## Outline

- Inclusive b to u decays
- Exclusive b to u decays
- Summary

(nothing new since ICHEP06)

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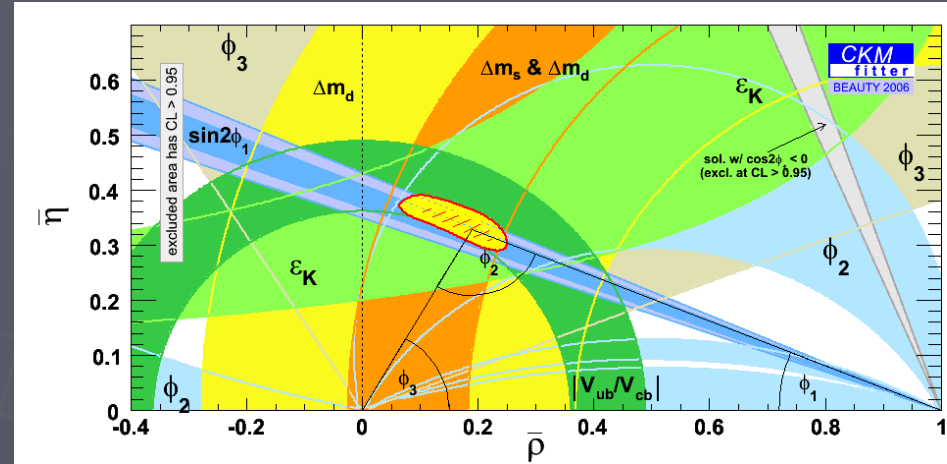
Heavy Quarks and Leptons

16.10 ~ 20.10 2006 Munich, Germany

# Status of $|V_{ub}|$

Measurements of  $|V_{ub}|$  provide independent crosscheck of the unitary triangle (UT)

With  $\phi_3, |V_{ub}|$  can constrain UT from tree level processes alone



$\delta|V_{ub}| \sim 2\%$  is established firmly by now

$|V_{ub}|$  from  $B \rightarrow X_{ul}\nu$  inclusive measurements

:precision about 7%

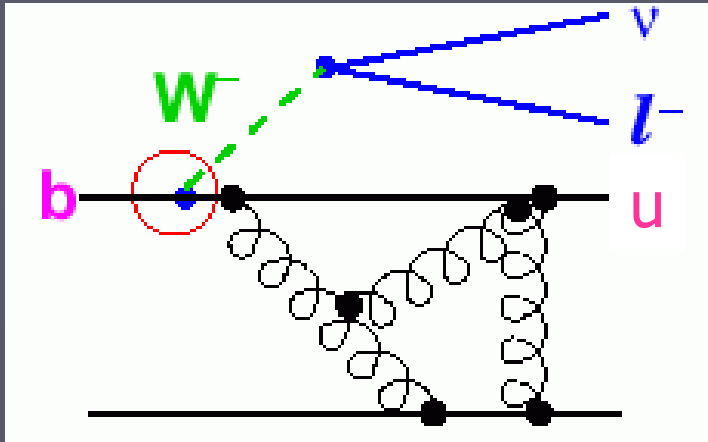
accessing fraction of phase space  $\rightarrow$  shape function is usually required

$|V_{ub}|$  from exclusive decays  $B \rightarrow \pi l\nu, \rho l\nu, \dots$

:precision worse than 10%

form factor has to be calculated  $\rightarrow$  model dependence is introduced

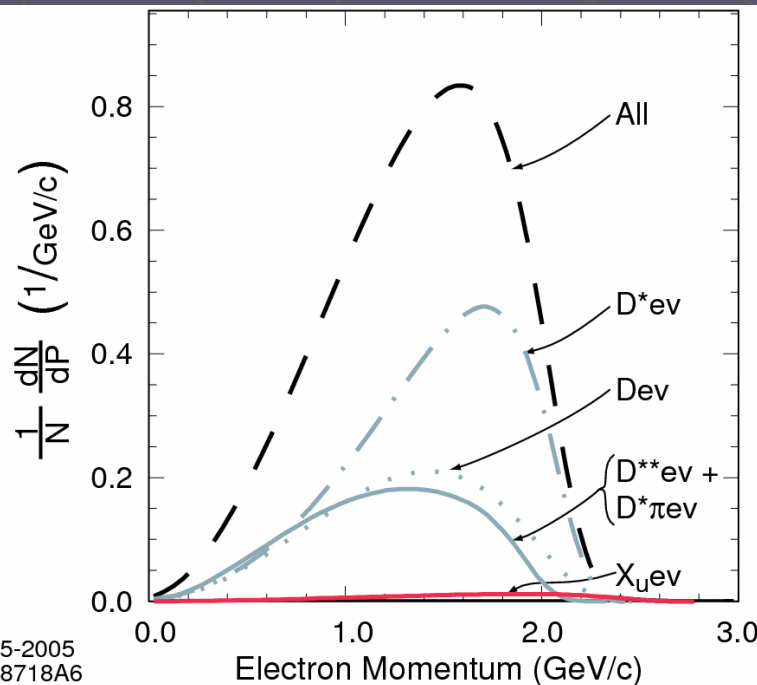
# $|V_{ub}|$ from semileptonic B-decays



Semileptonic inclusive rates depend only on CKM matrix element and quark mass

$$\Gamma(b \rightarrow u l \bar{\nu}) = \frac{G_F^2}{192\pi^2} |V_{ub}|^2 m_b^5$$

hadron level easy to calculate in OPE (<5% error)



$$|V_{ub}| = 0.00424 \left\{ \frac{\mathcal{B}(B \rightarrow X_{ul}\nu) 1.61 \text{ ps}}{0.02 \tau_b} \right\}^{\frac{1}{2}} \times (1.0 \pm 0.012_{\text{QCD}} \pm 0.022_{\text{HQE}})$$

But the problem is:

$$\Gamma(b \rightarrow cl\nu) \sim 50\Gamma(b \rightarrow ul\nu)$$



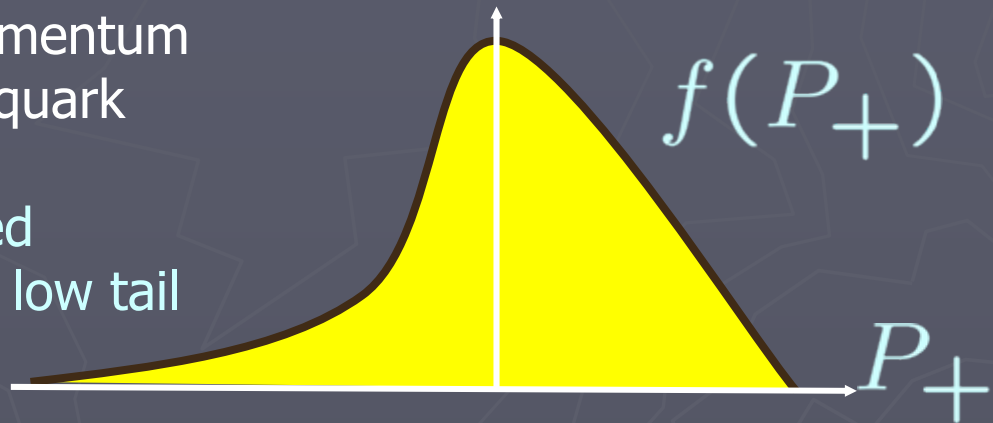
OPE does not work on limited phase space

# $|V_{ub}|$ : inclusive determination

Limited phase space to reduce the b to c transition background  $\rightarrow$  OPE fails  
Non perturbative **Shape Function** to extrapolate to the full phase space

**Shape function**: lightcone momentum distribution function of the b quark inside the meson

Detailed shape not constrained theoretically, in particular the low tail



**Shape function need to be determined from experimental data**

**Theoretical frameworks used by HFAG**

BLNP : B. O. Lange, M. Neubert and G. Paz, PRD 72 073006 (2005)

DGE : J. R. Anderson and E. Gardi, JHEP 0601:097 (2006)

BLL : C. W. Bauer, Z. Ligeti and M. E. Luke, PRD 64 113004 (2001)

**Also**

(\*) LLR : A. K. Leibovich, I. Low and I. Z. Rothstein, PLB 486 86 (2000)

$\rightarrow$  for BaBar's new analysis (less dependence on SF)

# Inclusive $B \rightarrow X_u \ell \nu$

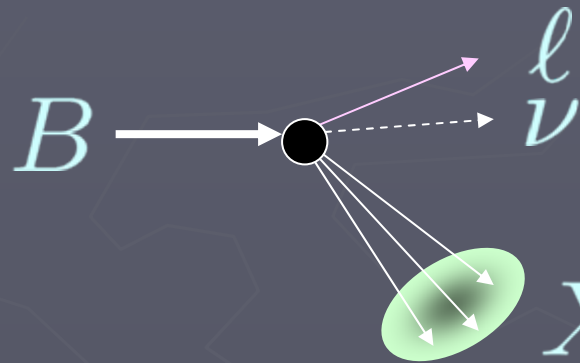
$m_u \ll m_c \rightarrow$  Exploits different kinematics

$E_\ell$  : lepton energy

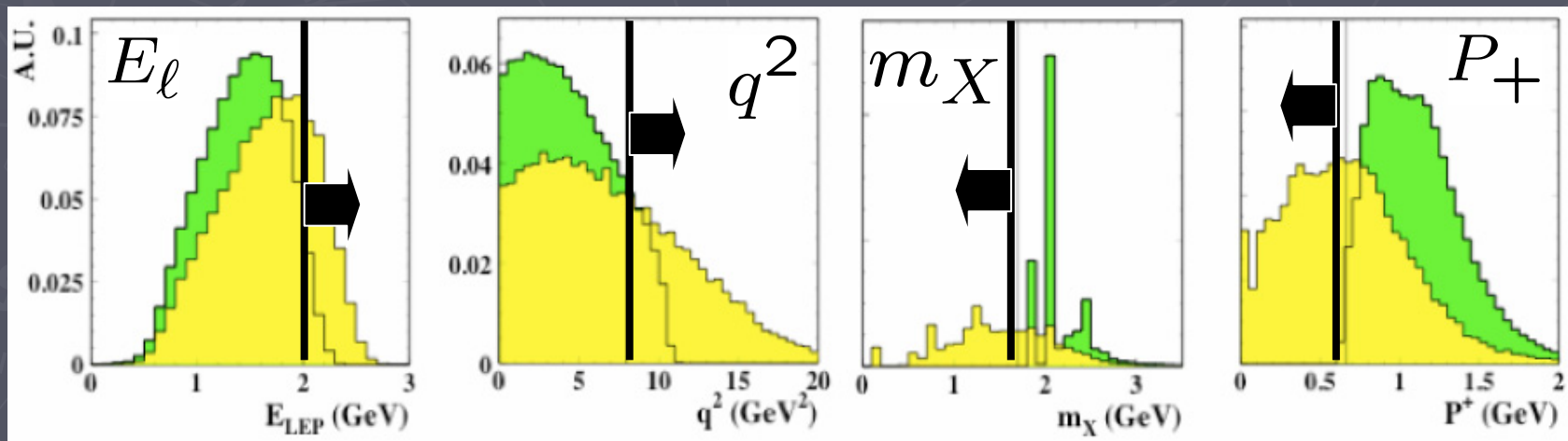
$q^2$  : lepton-neutrino mass squared

$m_X$  : mass of the hadronic system

$P_+ = E_X - |\vec{P}_X|$



Signal events have smaller  $m_X$  and  $P_+$   $\rightarrow$  Larger  $E_\ell$  and  $q^2$



# Lepton Endpoint

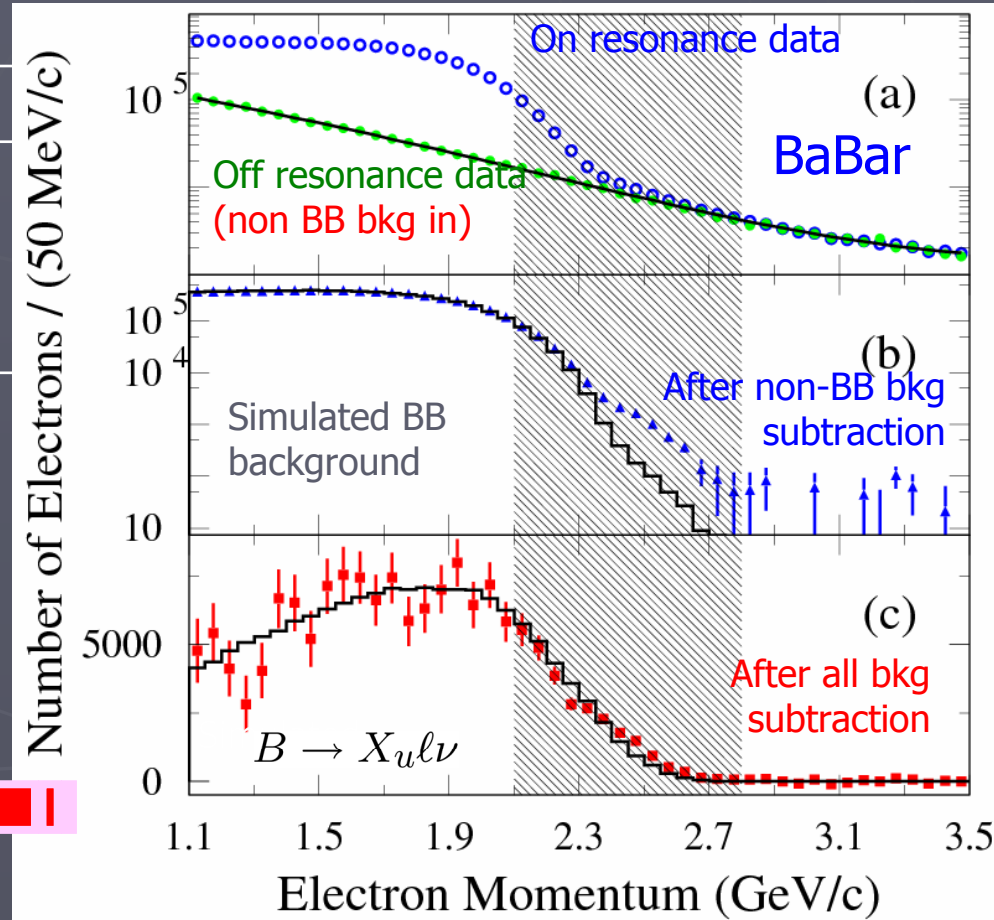
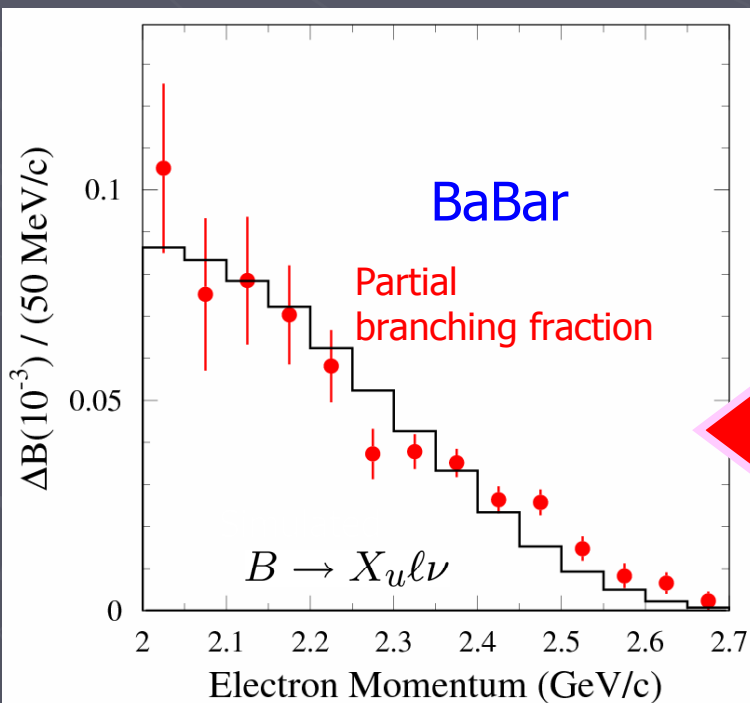
CLEO  
Belle  
BaBar

PRL 88 231803 (2002)  
PLB 621 28 (2005)  
PRD 73 012006 (2006)

First pioneered by CLEO

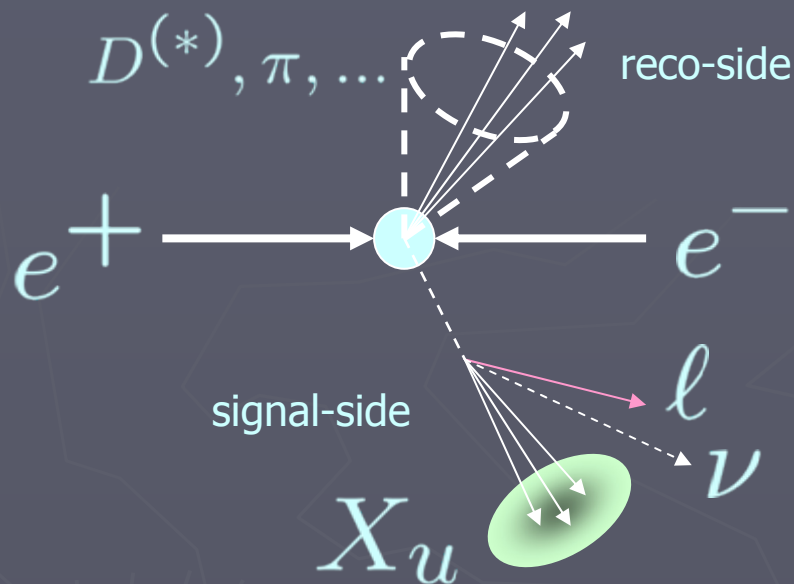
Subtraction of backgrounds crucial!

data	$\Delta B(10^{-4})$	$E_\ell >$
CLEO 9/fb	$2.30 \pm 0.15 \pm 0.35$	2.1 GeV
Belle 27/fb	$8.47 \pm 0.37 \pm 1.53$	1.9
BaBar 80/fb	$5.72 \pm 0.41 \pm 0.65$	2.0



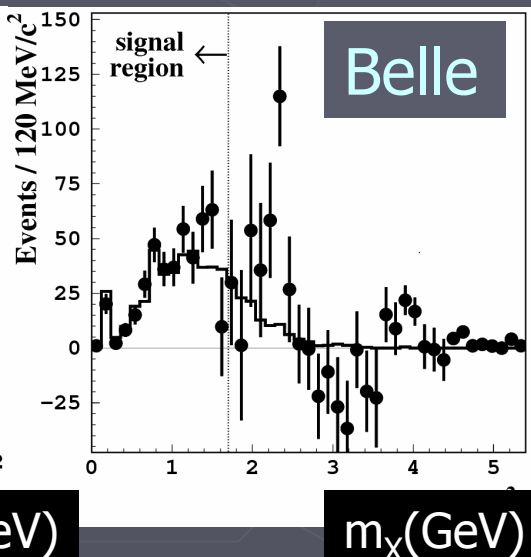
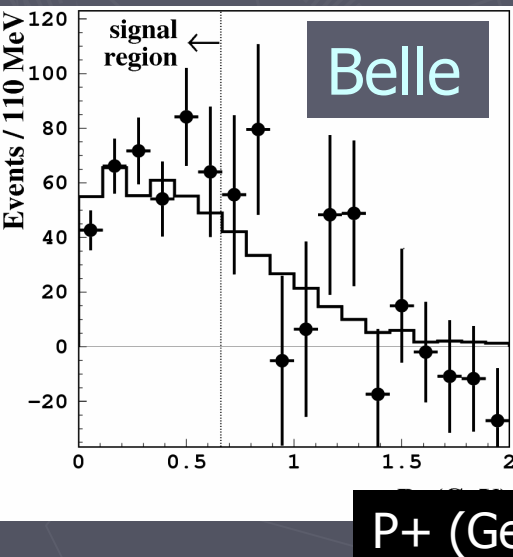
# Measurements of $m_X, P_+, q^2$

Belle PRL 95 241801 (2005)  
BaBar hep-ex/0507017



Reconstruct all decay products to measure  $m_X, P_+, q^2$

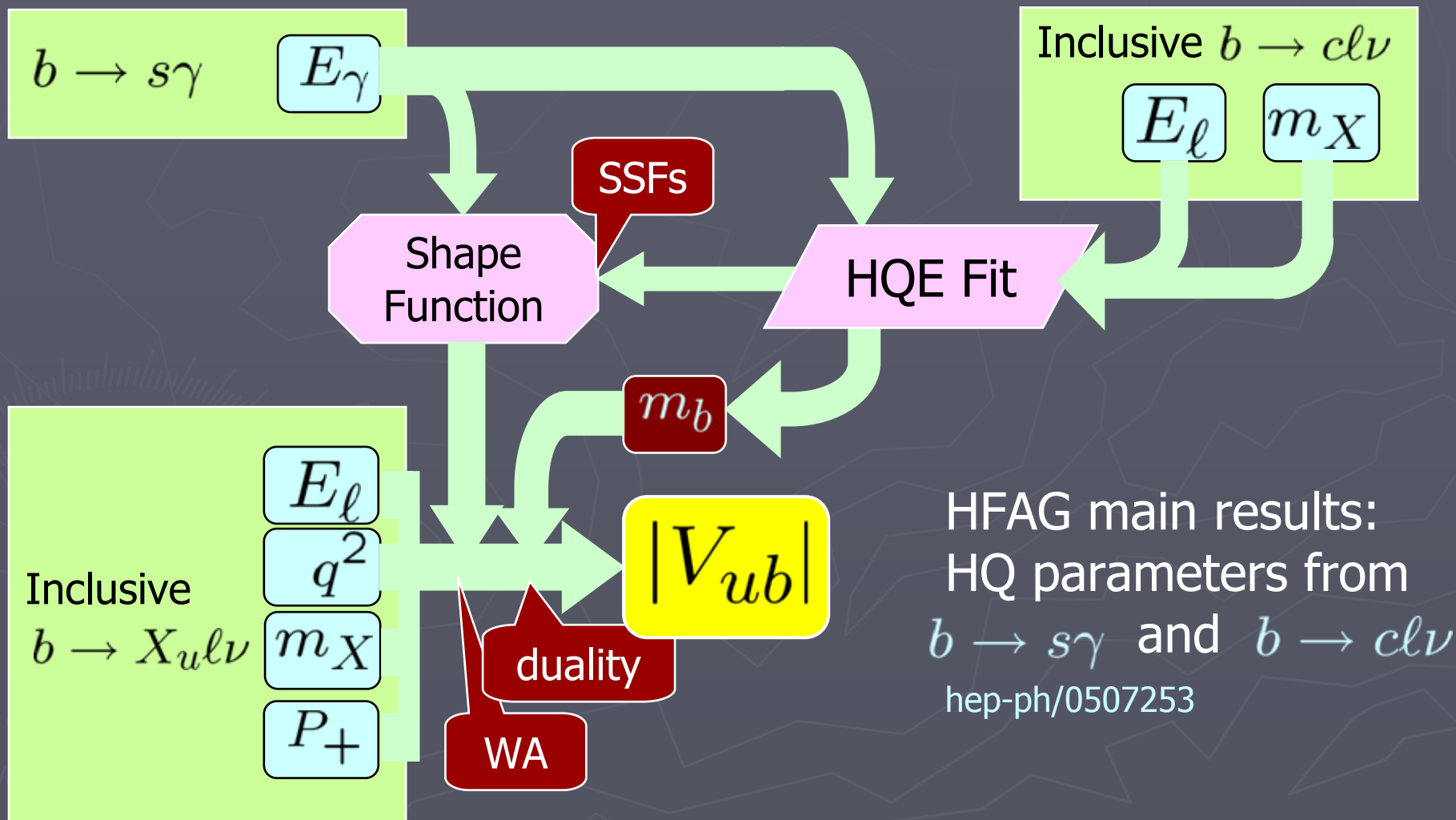
- 1) Fully reconstruct B meson
  - flavor and momentum known
  - maximum purity but low efficiency
- 2)  $D^{(*)}$  tag in reco-side
  - lower purity but efficiency improved



data	Phase space	$\Delta B(10^{-4})$
	$m_X < 1.7$	$12.4 \pm 1.1 \pm 1.0$
Belle 253/fb	$m_X < 1.7, q^2 > 8$	$8.4 \pm 0.8 \pm 1.0$
	$P_+ < 0.66$	$11.0 \pm 1.0 \pm 1.6$
BaBar 211/fb	$m_X < 1.7, q^2 > 8$	$8.7 \pm 0.9 \pm 0.9$ (preliminary)

First measurement of  $P_+$  (Belle)  
Errors are larger than endpoint results

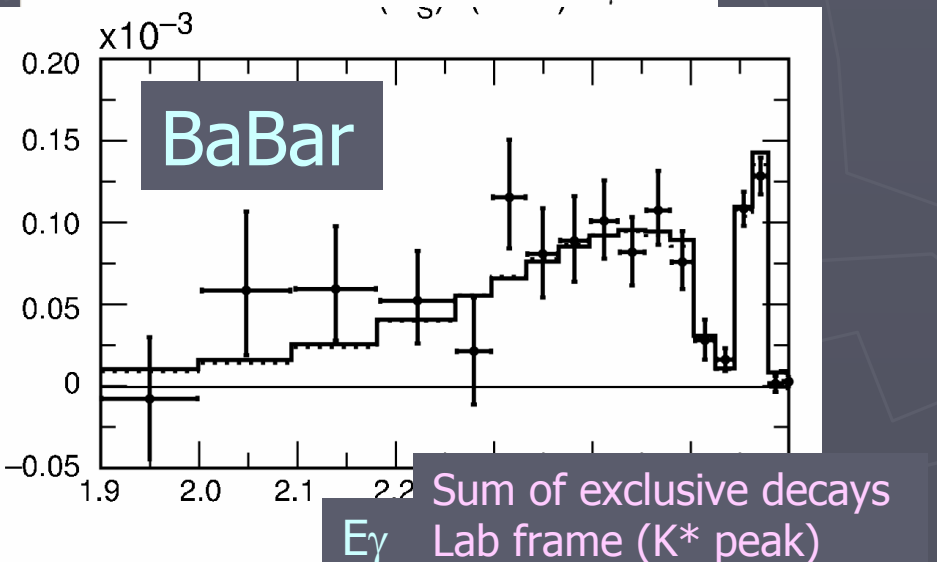
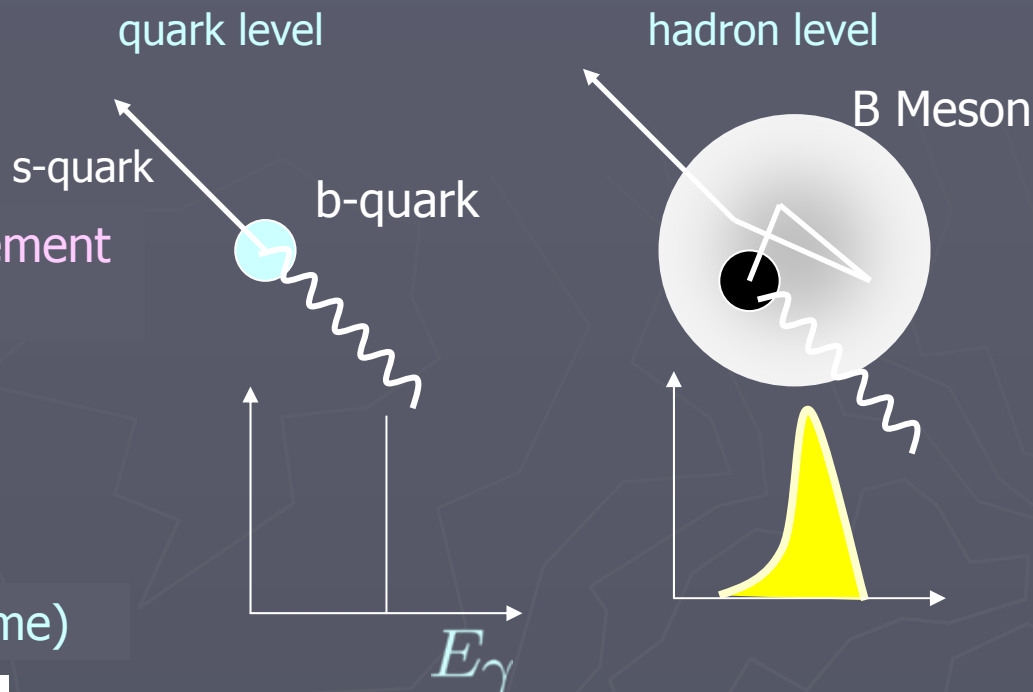
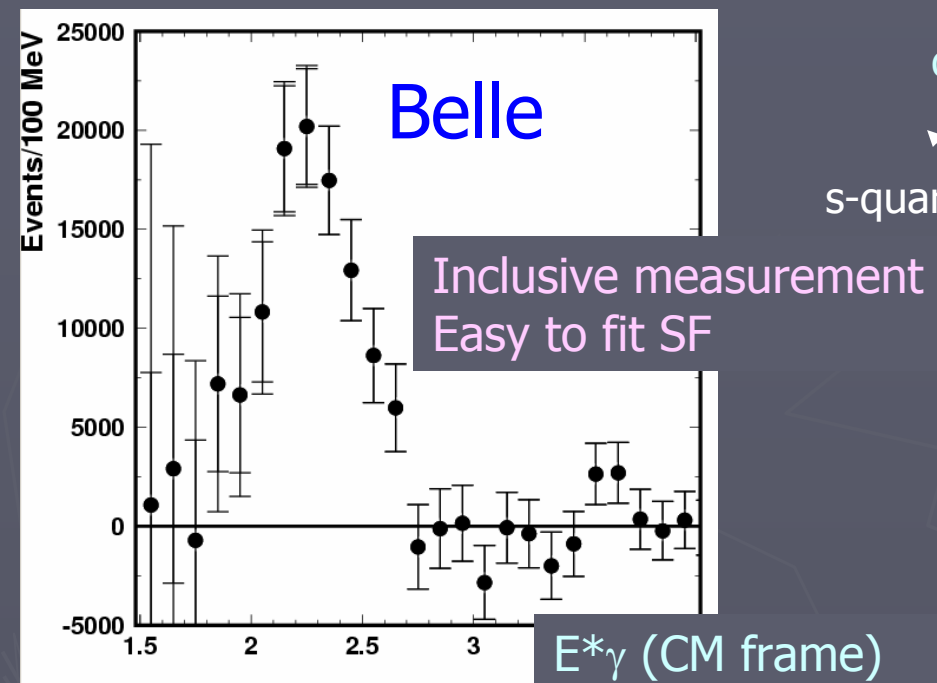
# Extracting $|V_{ub}|$ from partial branching fraction ("Morii diagram")





# Fitting the Shape Function

Belle PRL 93 061803 (2004)  
BaBar PRD 72 052004 (2005)



Need to parameterize SF  
(shape is not known a priori)

Sub-leading shape function is  
considered (BLNP)

HQ parameters can be extracted from the  
photon spectrum in  $b \rightarrow s\gamma$  or  
lepton spectrum in  $b \rightarrow cl\nu$

# Inclusive $|V_{ub}|$ : BLNP framework

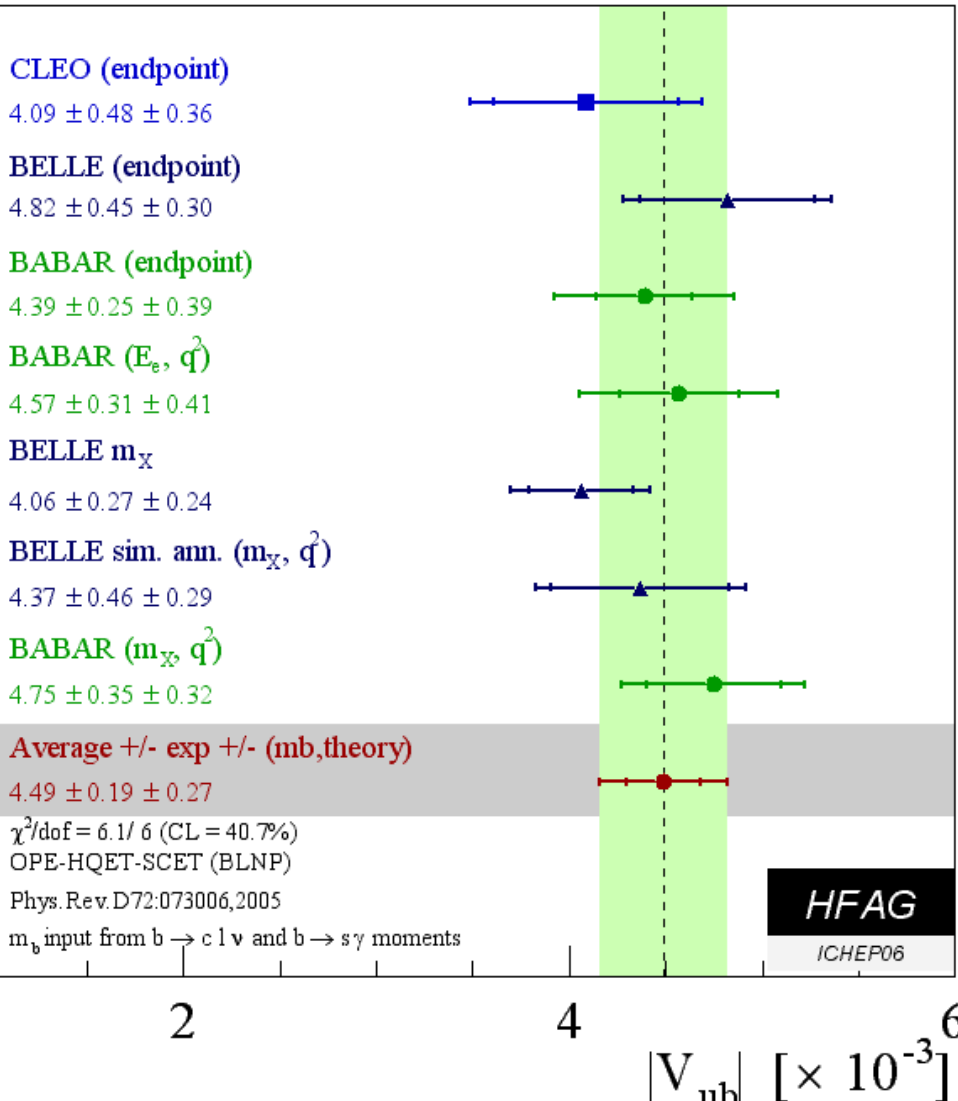
$$|V_{ub}|_{\text{BLNP}} = (4.49 \pm 0.19_{\text{exp}} \pm 0.27_{\text{theory}}) \times 10^{-3}$$

$$m_b(\text{SF}) = 4.60 \pm 0.04 \text{ GeV}$$

$$\mu_\pi^2(\text{SF}) = 0.20 \pm 0.04 \text{ GeV}^2$$

The total error in percentage:

$$\delta|V_{ub}| = \pm 7.3\%$$



statistical	2.2%
Expt. systematic	2.8%
$b \rightarrow cl\nu$ model	1.9%
$b \rightarrow ul\nu$ model	1.6%
HQ parameters	4.2%
Subleading SF + Perturbative	3.8%
Weak Annihilation	1.9%

Theoretical uncertainty is larger (not by a lot)

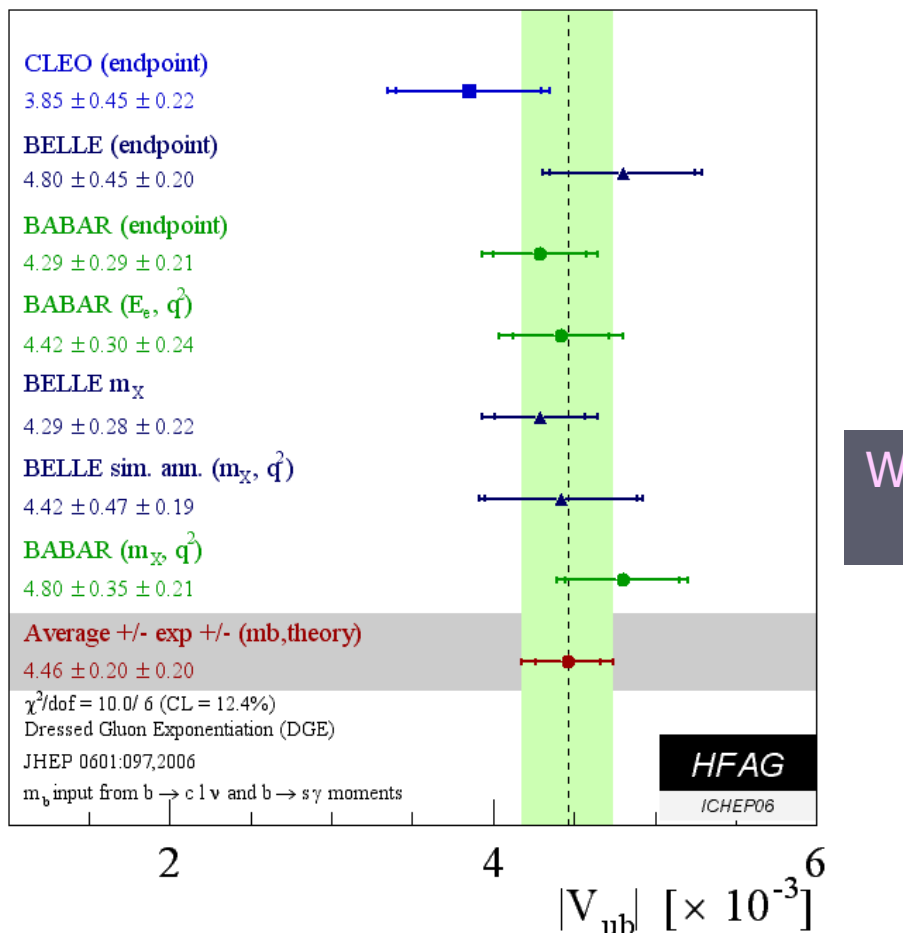
# Inclusive $|V_{ub}|$ : DGE framework

Dressed Gluon Exponentiation (DGE): on-shell b-quark calculation converted into hadronic variables used as approximation to the meson decay spectrum

$$|V_{ub}|_{\text{DGE}} = (4.46 \pm 0.20_{\text{exp}} \pm 0.20_{\text{theory}}) \times 10^{-3}$$

$$m_b(\text{MS}) = 4.20 \pm 0.04 \text{ GeV}$$

$$\delta|V_{ub}| = \pm 6.3\%$$



WA missing here

statistical	1.8%
Expt. systematic	2.5%
$b \rightarrow cl\nu$ model	2.3%
$b \rightarrow ul\nu$ model	2.3%
$m_b(R\_CUT)$	1.2%
$\alpha_s(R\_CUT)$	1.0%
<b>Total SL width</b>	<b>3.0%</b>
<b>DGE theory</b>	<b>2.9%</b>

# $m_X + \text{weighted } E_\gamma \text{ in } b \rightarrow s\gamma$

Instead of

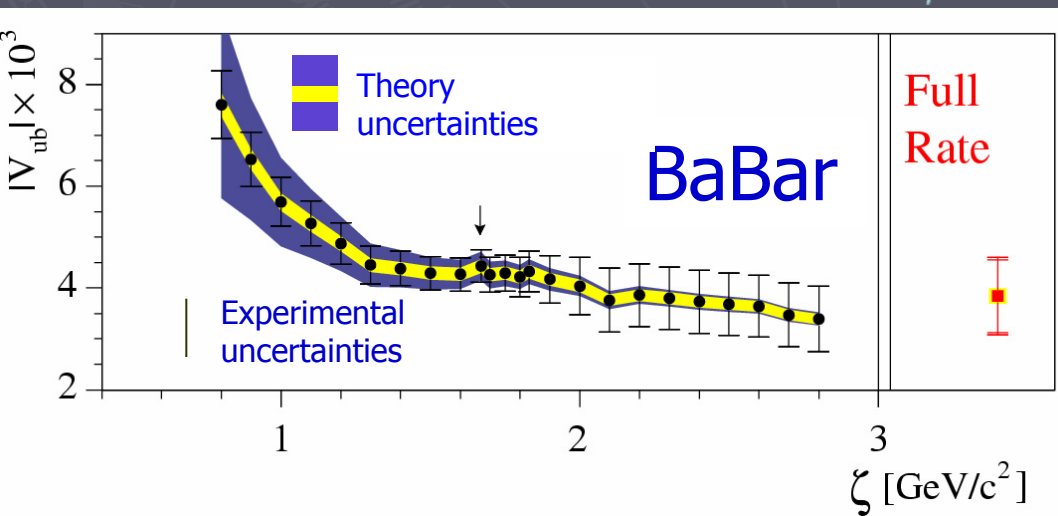
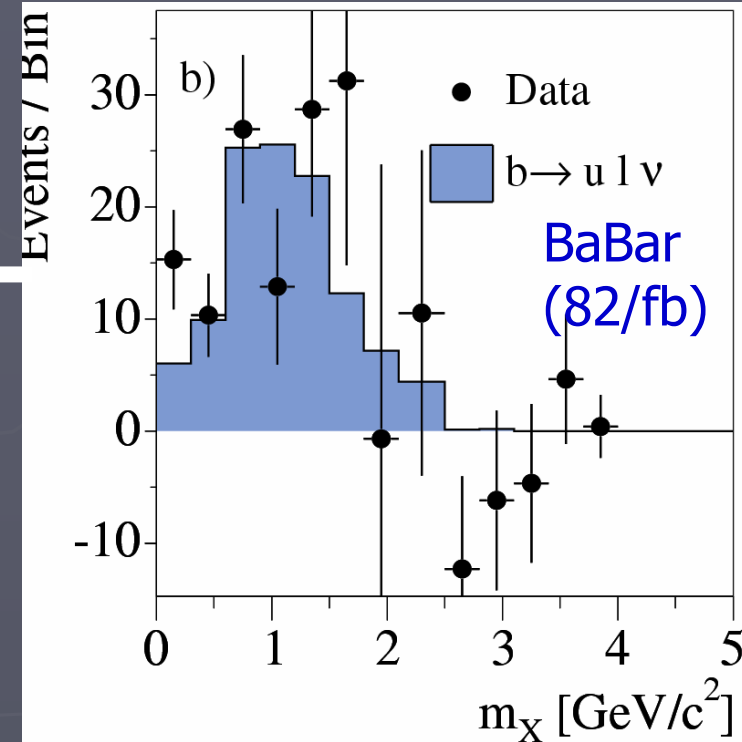
- assume ad-hoc model for SF and
  - get model parameters from  $b \rightarrow c$  and  $B \rightarrow s\gamma$
- Directly use measured photon energy spectrum in  $b \rightarrow s\gamma$  (LLR approach)
- residual SF dependence via signal MC

$$\frac{|V_{ub}|}{|V_{ts}|} = \left\{ \frac{6\alpha(1 + H_{\text{mix}}^\gamma)(C_7^{(0)})^2}{\pi[I_0(\zeta) + I_+(\zeta)]} \times \delta R_u(\zeta) \right\}^{1/2}$$

$\delta R_u(\zeta)$  : partial decay rate of  $b \rightarrow u l \nu$

$H_{\text{mix}}^\gamma$  : interferences btw EM penguin  $O_7$  w/  $O_2$  and  $O_8$

$I_0, I_+$  : weights from  $d\Gamma^\gamma/dE_\gamma$  in  $b \rightarrow s\gamma$



Choose :  $\zeta$

→ Total error is minimal

$$\zeta = 1.67 \text{ GeV}/c^2$$

$$|V_{ub}| = (4.43 \pm 0.38_{\text{stat}} \pm 0.25_{\text{syst}} \pm 0.29_{\text{th}}) \times 10^{-3}$$

# $m_X$ with large acceptance

OPE allows to extract  $|V_{ub}|$  with  $\sim 5\%$  error

→ measure entire  $m_X$  spectrum up to 2.5 GeV

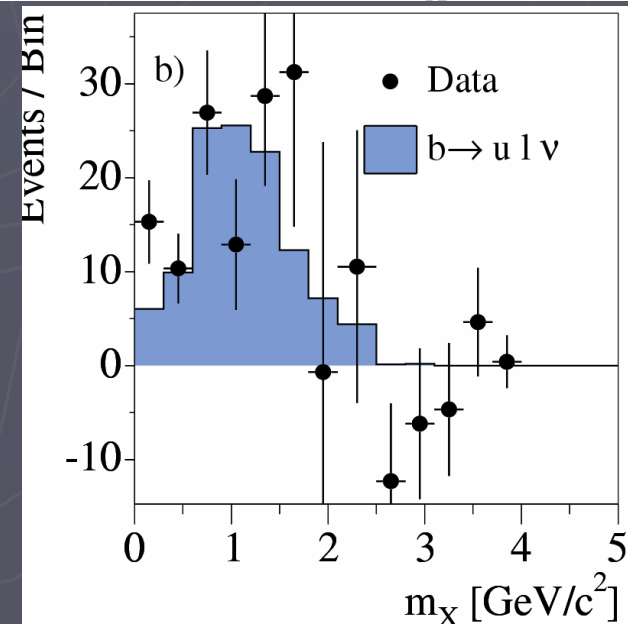
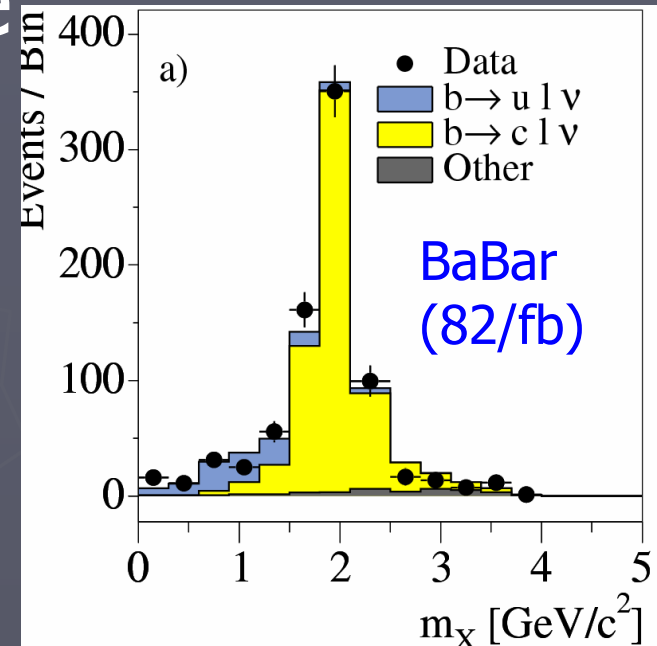
→ This gives acceptance  $\sim 97\%$

No shape function in extraction of  $|V_{ub}|$

- Residual SF dependence via signal MC systematic: 5.0%
- Need to subtract  $b \rightarrow c$  background systematic: 3.8% increased statistical uncertainty

$$|V_{ub}| = (3.84 \pm 0.70_{\text{stat}} \pm 0.30_{\text{syst}} \pm 0.10_{\text{theory}}) \times 10^{-3}$$

↑  
Improvement in theory uncertainty



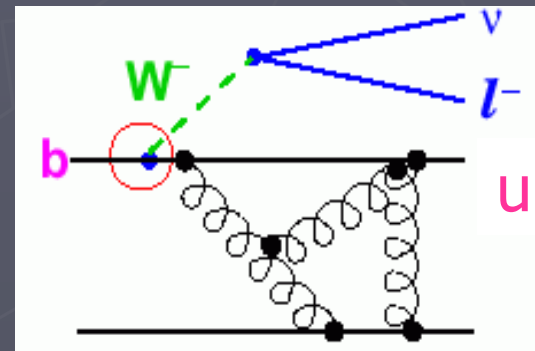
# Exclusive decays to $|V_{ub}|$

measure exclusive final states

- good suppression of  $b \rightarrow c$  decays, high signal-to-background
- small branching fraction  $O(10^{-4}) \rightarrow$  need high statistics

require unknown form factor to extract  $|V_{ub}|$   
(complication due to QCD)

need to measure  $q^2$  i.e. either 4-momentum of  $\nu$  or  $B$



Channel  $B \rightarrow \pi l \nu$  is the most promising for  $|V_{ub}|$

$$\frac{d\Gamma(B \rightarrow \pi l \nu)}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{ub}|^2 p_\pi^3 |f_+(q^2)|^2$$

- one dominant form factor  $f_+(q^2)$
- $q^2$  shape and normalization needed

# Determining the Form Factors

- Earlier predictions made with quark models e.g. ISGW2
- Lattice QCD
  - ✓ makes predictions at high  $q^2$  ( $q^2 > \sim 16 \text{ GeV}^2$ )
  - ✓ unquenched calculations have become available recently  
e.g. HPQCD PRD 73, 074502 (2006)  
FNAL NP Proc. Suppl. 140, 461 (2005)
- Light Cone Sum Rules (LCSR)
  - ✓ makes predictions at low  $q^2$  ( $q^2 < \sim 14 \text{ GeV}^2$ )  
e.g. Ball & Zwicky PRD 71 014015 (2005), PRD 71, 014029 (2005)
- Parameterization has been used to extend Lattice QCD or LCSR to full  $q^2$  range
- The normalization of FF becomes main issue when extracting  $|V_{ub}|$

# Range of Applicability of Method

Luminosity      100      300      500      1000 fb<sup>-1</sup>

Untagged



$D^{(*)}l\nu$  tag



Full reconstruction tag



Not an absolute but illustrative statement

Full reconstruction tag will become method of choice soon

Note:

Belle      642 fb<sup>-1</sup>

BaBar      406 fb<sup>-1</sup>      **(now reached 1/ab for combined data)**

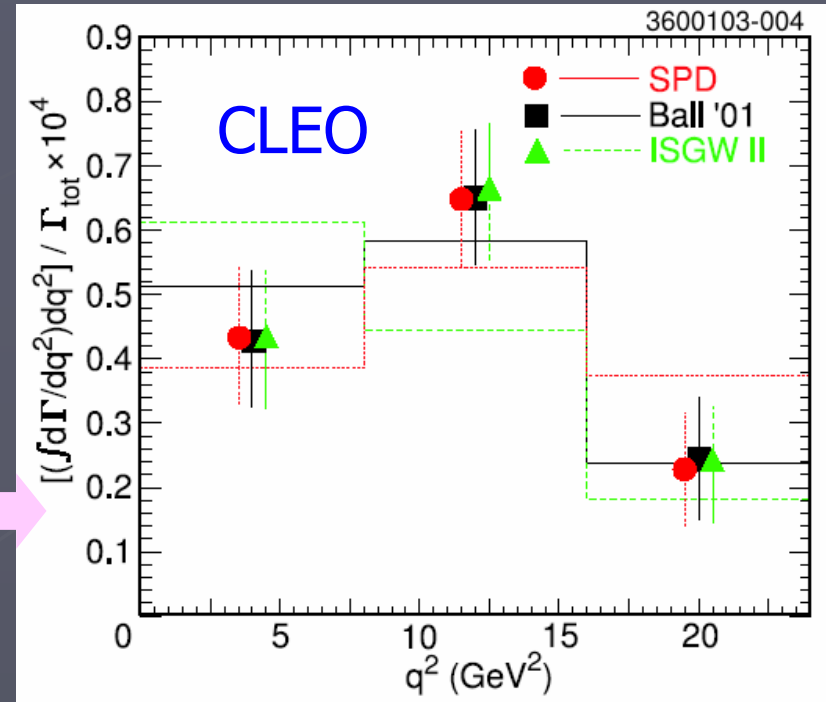


# CLEO untagged $B \rightarrow \pi \ell \nu$

FF models enter extraction of BF through

- ✓ signal efficiency from MC
- ✓  $b \rightarrow u$  crossfeed and  $b \rightarrow c$

$\pi^+$  result



$$\mathcal{B}(B \rightarrow \pi^- \ell^+ \nu) = (1.33 \pm 0.18_{\text{stat}} \pm 0.11_{\text{syst}} \pm 0.01_{\text{FF sig}} \pm 0.07_{\text{FF crossfeed}}) \times 10^{-4}$$

$$|V_{ub}| = (3.17 \pm 0.17_{\text{stat}} \pm 0.16_{\text{syst}} \pm 0.53_{\text{theory}} \pm 0.03_{\text{FF shape}}) \times 10^{-3}$$

( $\pi$  and  $\rho$  mode combined)  
LCSR+LQCD

# BaBar untagged $B \rightarrow \pi \ell \nu$ preliminary

Updated from the last pub (PRD72051102)

: now **227 BB pairs** used

- use much looser  $\nu$  reconstruction to improve signal efficiency

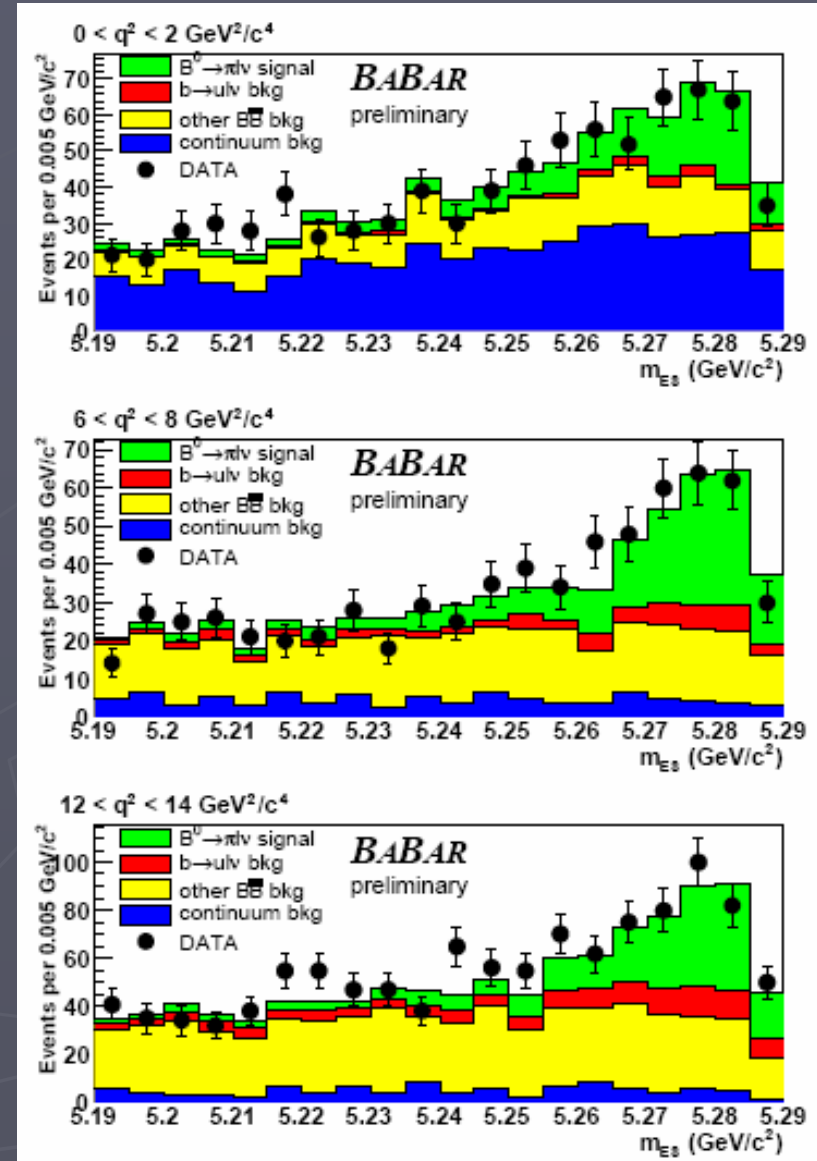
- no harsh cut on  $|m_{\text{miss}}^2/E_{\text{miss}}|$

- calculate  $q^2$  from B momentum in "average" B-frame + unfolding  
 $\rightarrow q^2$  resolution still good ( $\sim 400$  MeV)

- more parameters for background  
 $\rightarrow$  reduced systematics

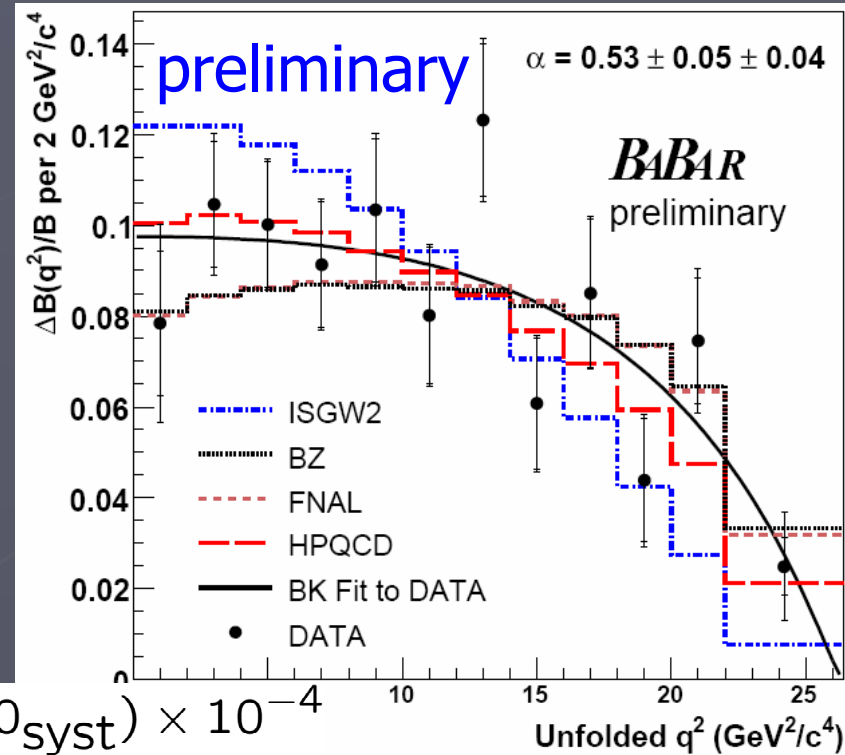
- 5 times the signal yield: 25/fb-1

- 12 bins in  $q^2$



# BaBar untagged $B \rightarrow \pi \ell \nu$

- ISGW2 is incompatible with the data
- LQCD & LCSR consistent with BaBar data
- Covariance matrices are included in preprint  $\rightarrow$  theorists can use for analyses
- use BK parameterization for  $f_+(q^2, \alpha)$



$$\mathcal{B}(B^0 \rightarrow \pi^- \ell^+ \nu) = (1.44 \pm 0.08_{\text{stat}} \pm 0.10_{\text{syst}}) \times 10^{-4}$$

QCD calculations	Stat+syst. errors			$q^2$	$ V_{ub} (10^{-3})$	
	$\chi^2$	Prob( $\chi^2$ )%				
ISGW2	34.1	0.07	Ball-Zwicky	<16	$3.6 \pm 0.1 \pm 0.1 + 0.6 - 0.4$ (uncertainty in FFs)	$\rightarrow$ LCSR
Ball-Zwicky	13.0	37.2	HPQCD	>16	$4.1 \pm 0.2 \pm 0.2 + 0.6 - 0.4$	} Lattice QCD
FNAL	12.5	41.0	FNAL	>16	$3.6 \pm 0.2 \pm 0.2 + 0.6 - 0.4$	
HPQCD	10.2	60.2				

# Belle $B \rightarrow \pi/\rho \ell \nu$ with $D^{(*)} \ell \nu$ tag

Ratios of decay rates are measured and found to be consistent with isospin relations

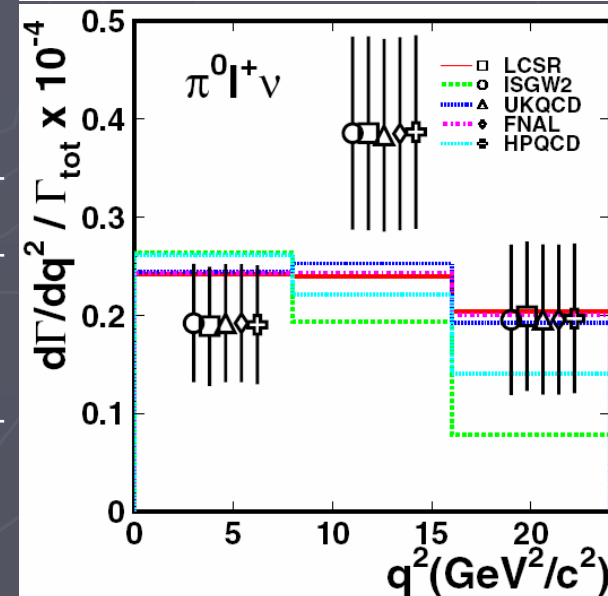
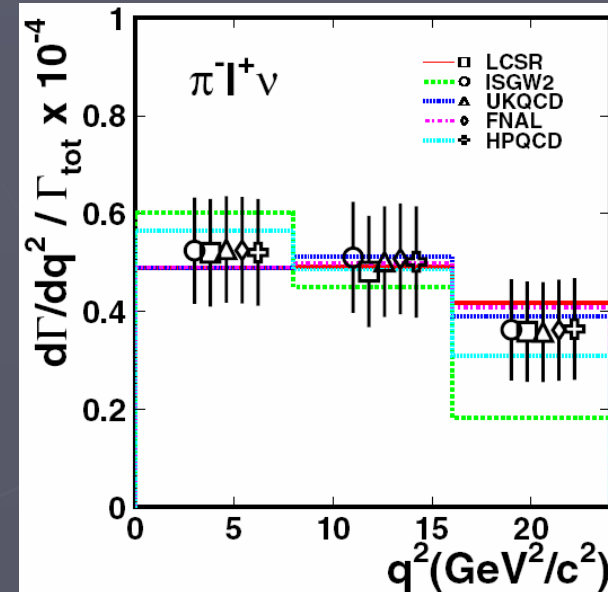
$$\frac{\Gamma(B^0 \rightarrow \pi^- \ell^+ \nu)}{\Gamma(B^+ \rightarrow \pi^0 \ell^+ \nu)} = (1.92 \pm 0.43 \pm 0.28)$$

$$\frac{\Gamma(B^0 \rightarrow \rho^- \ell^+ \nu)}{\Gamma(B^+ \rightarrow \rho^0 \ell^+ \nu)} = (1.74 \pm 0.53 \pm 0.33)$$

$|V_{ub}|$  from  $q^2 > 16 \text{ GeV}^2$  region,  $\pi^-, \pi^0$  combined

	$q^2(\text{GeV}^2)$	$ V_{ub} (10^{-3})$
FNAL	>16	$3.60 \pm 0.41 \pm 0.20 + 0.62 - 0.41$ (LQCD)
HPQCD	>16	$4.03 \pm 0.46 \pm 0.22 + 0.59 - 0.41$ (LQCD)

This gives  $\sim 13\%$  experimental uncertainty on  $|V_{ub}|$



# BaBar $B \rightarrow \pi/\rho l \nu$ with had. + SL tag combined

BaBar hep-ex/0607089

Using 215/fb of data

Need to combine 4 measurements

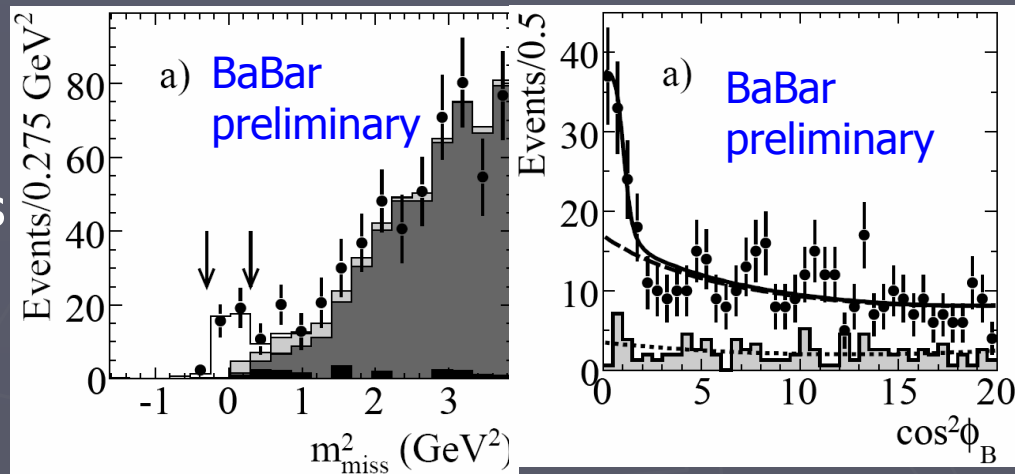
2 x B-flavors:

charged and neutral B

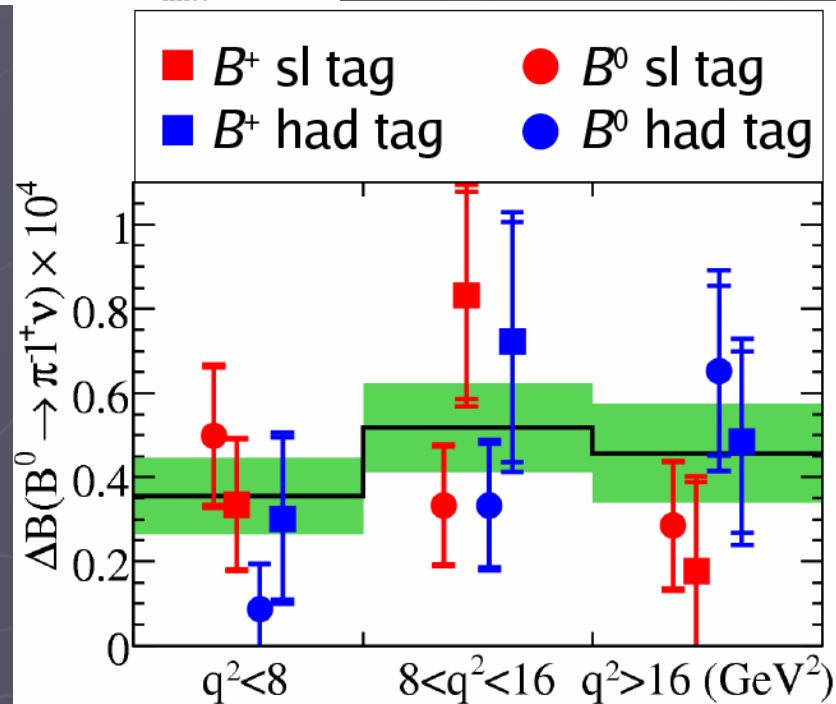
2 x tagging methods

hadronic and semileptonic

→ minimize total error in each bin of  $q^2$

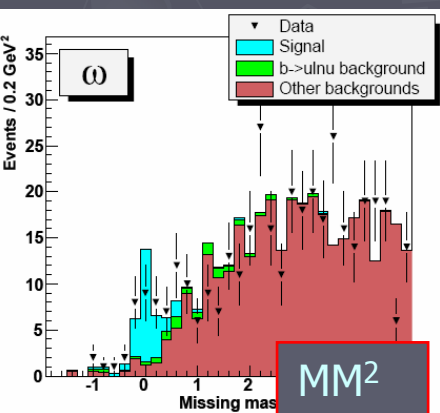
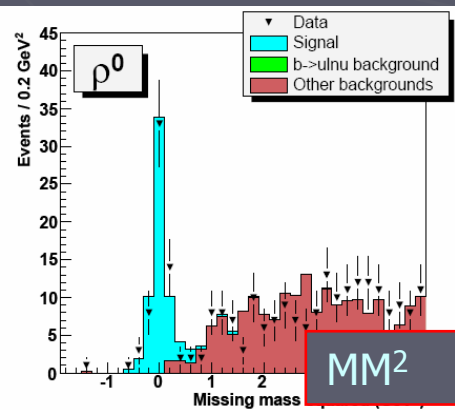
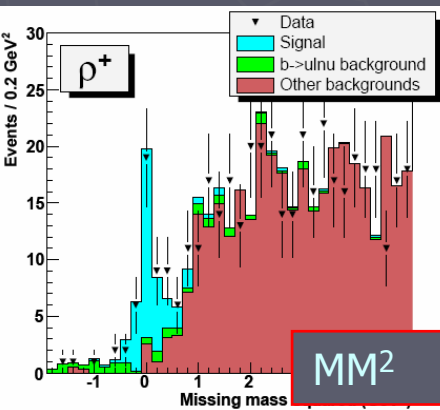
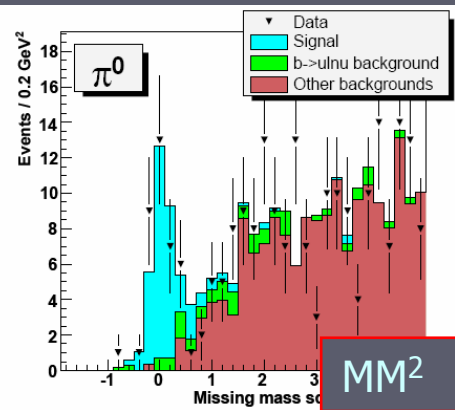
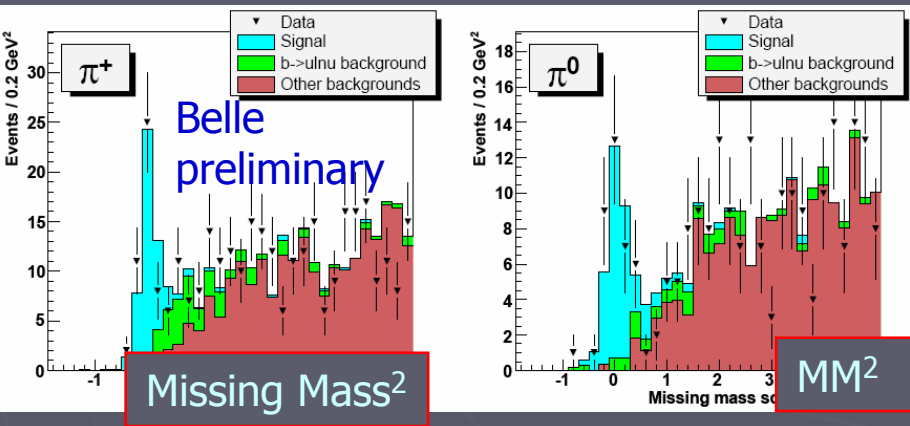


	$q^2(\text{GeV}^2)$	$ V_{ub} (10^{-3})$
Ball-Zwicky	<16	$3.2 \pm 0.2 \pm 0.1 + 0.5 - 0.4$ (uncertainty in FFs)
HPQCD	>16	$4.5 \pm 0.5 \pm 0.3 + 0.7 - 0.5$
FNAL	>16	$4.0 \pm 0.5 \pm 0.3 + 0.7 - 0.5$
APE	>16	$4.1 \pm 0.5 \pm 0.3 + 1.6 - 0.7$



# Belle $B \rightarrow \pi/\rho/\omega \ell \nu$ with full reco tag

Belle-CONF-0666



Using 497/fb of data

Reconstruct the hadronic decay of B first

Identify a lepton and search for light mesons

Extract yield from the missing mass squared distributions

→ high purity signal obtained

Belle preliminary

$$\mathcal{B}(B^0 \rightarrow \pi^- \ell^+ \nu) = (1.49 \pm 0.26_{\text{stat}} \pm 0.06_{\text{syst}}) \times 10^{-4}$$

$$\mathcal{B}(B^+ \rightarrow \pi^0 \ell^+ \nu) = (0.86 \pm 0.17_{\text{stat}} \pm 0.06_{\text{syst}}) \times 10^{-4}$$

# Summary of Exclusive $\mathcal{B}(B \rightarrow \pi^- \ell^+ \nu)$

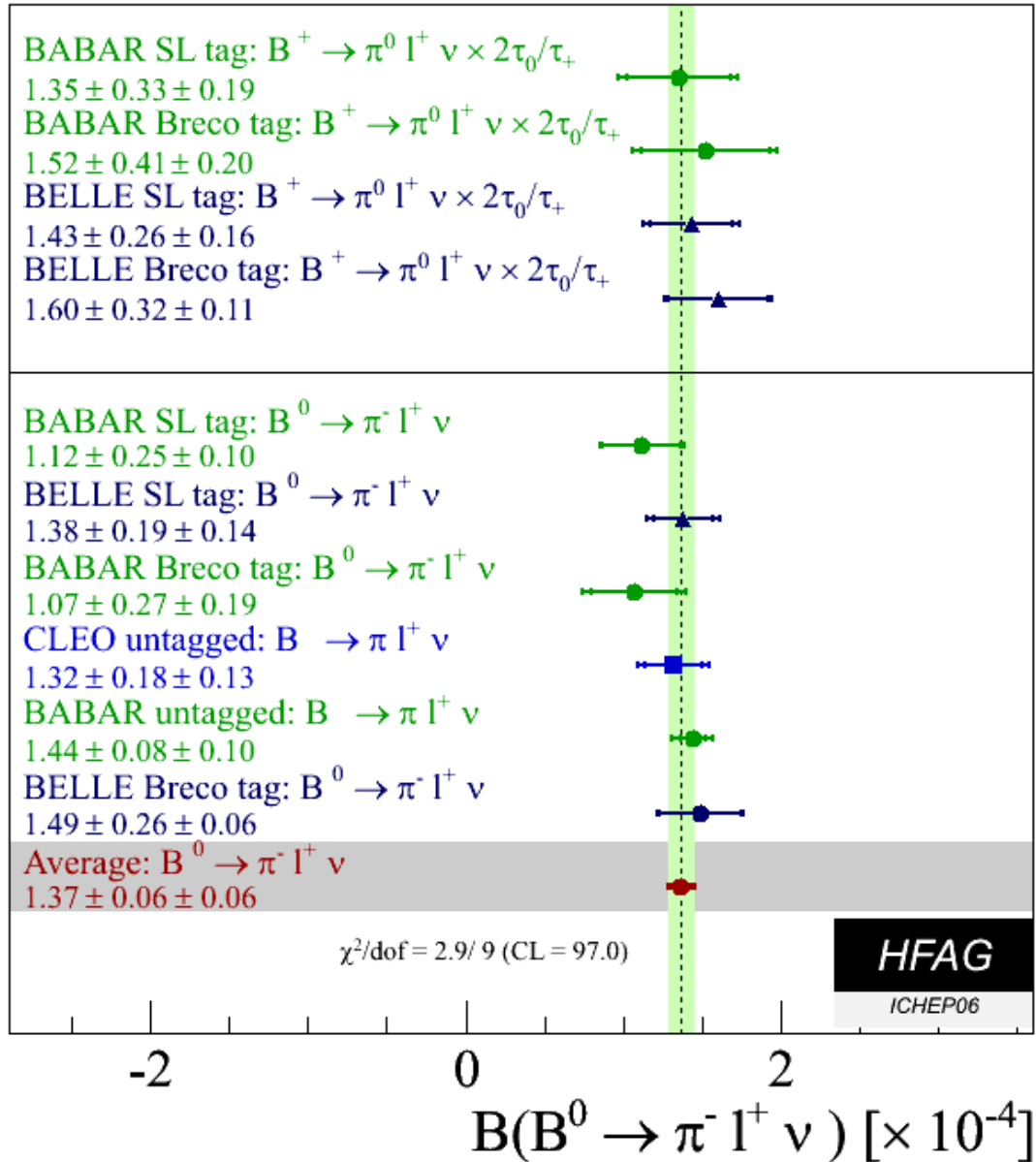
HFAG ICHEP 2006

Average  $\mathcal{B}(B \rightarrow \pi^- \ell^+ \nu)$

$$(1.37 \pm 0.06 \pm 0.06) \times 10^{-4}$$

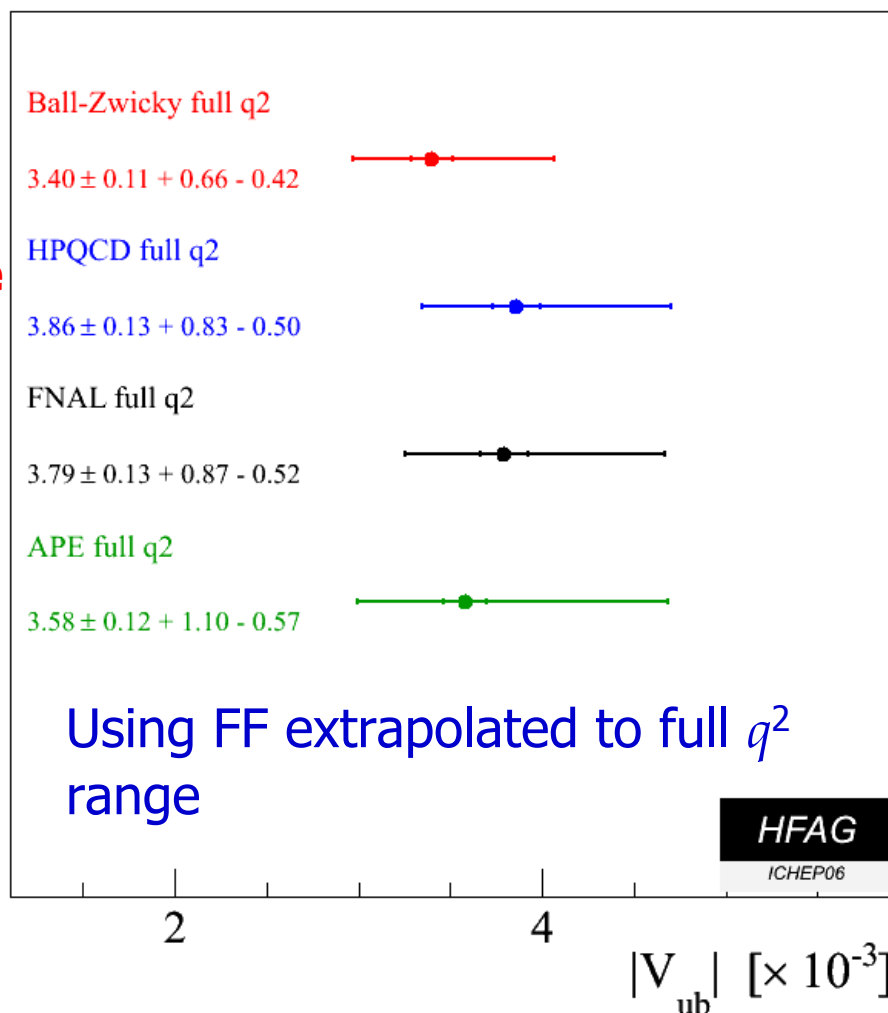
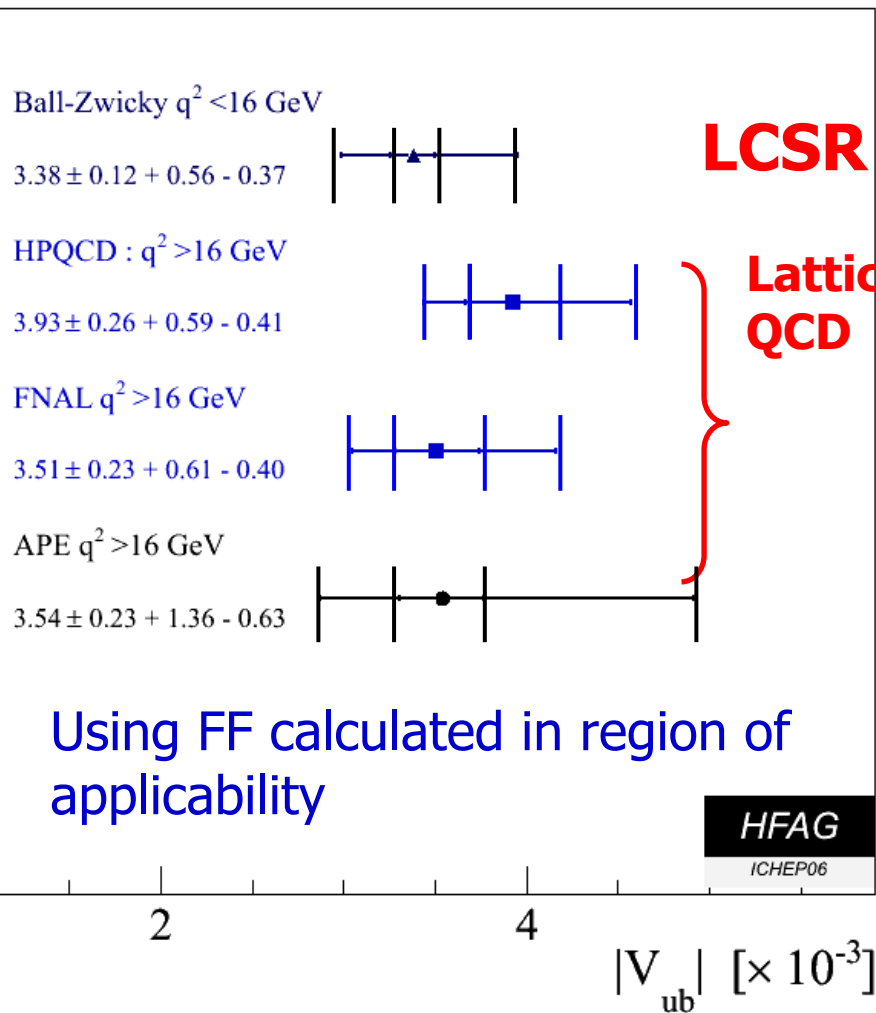
Note: updated Belle SL tag result now included

Untagged analyses currently the most precise



# Summary of $|V_{ub}|$ from Exclusive Decays

HFAG ICHEP 2006 (+ my bars for clarity)





# Summary

- Inclusive measurements  $|V_{ub}| \sim 7\%$  precision
  - ✓ shape function is one of main issues
  - ✓ Error is more or less equally shared by exp. and theory
  - ✓ BaBar's new result (LLR) looks promising
    - has residual SF dependence
- Exclusive measurements  $|V_{ub}| > 10\%$ 
  - ✓ Form factor calculation is the main issue
  - ✓ Theoretical uncertainty is dominant

Question:  $|V_{ub}| < 5\%$  error achievable?

# CLEO untagged $B \rightarrow \pi \ell \nu$

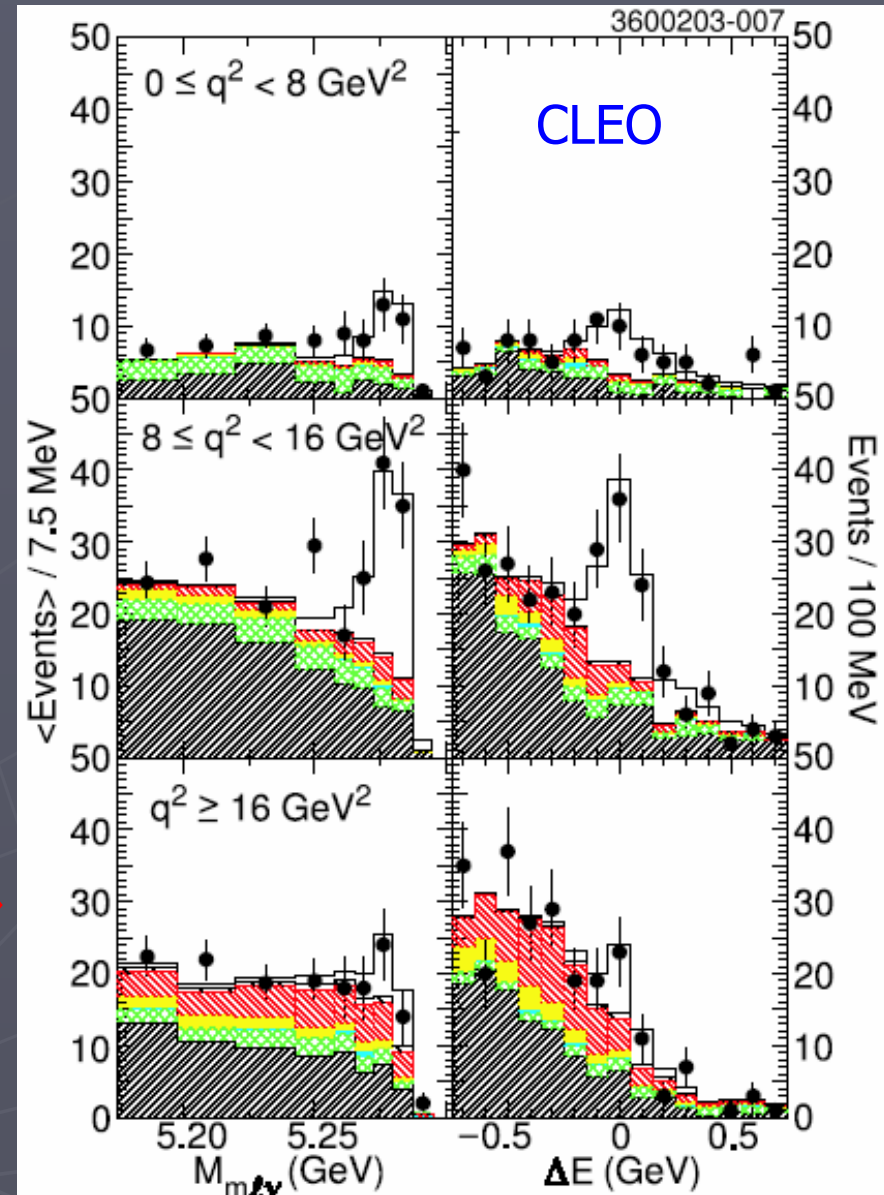
Search and fit for yields across a number of exclusive modes

$$\pi^+, \pi^0, \rho^+, \rho^0, \omega, \eta$$

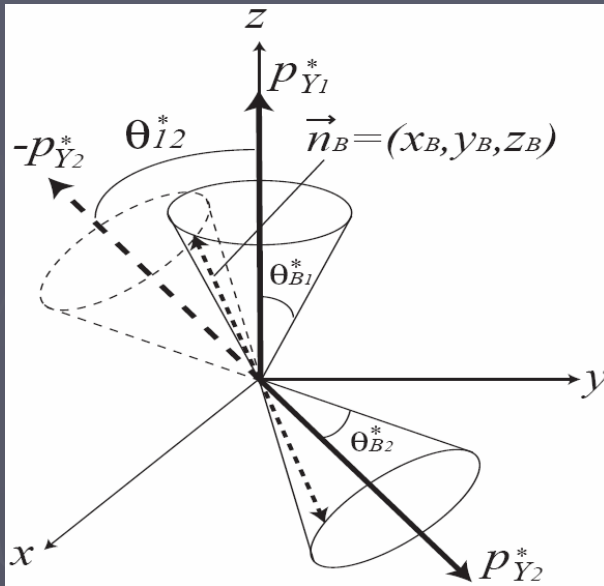
Isospin constraints imposed in fits on  $9.7 \times 10^6$  BB pairs

Extract partial branching fraction in 3  $q^2$  bins for  $\pi^+$  and  $\rho^+$

$\pi^+$  result 



# Belle $B \rightarrow \pi/\rho \ell \nu$ with $D^{(*)} \ell \nu$ tag



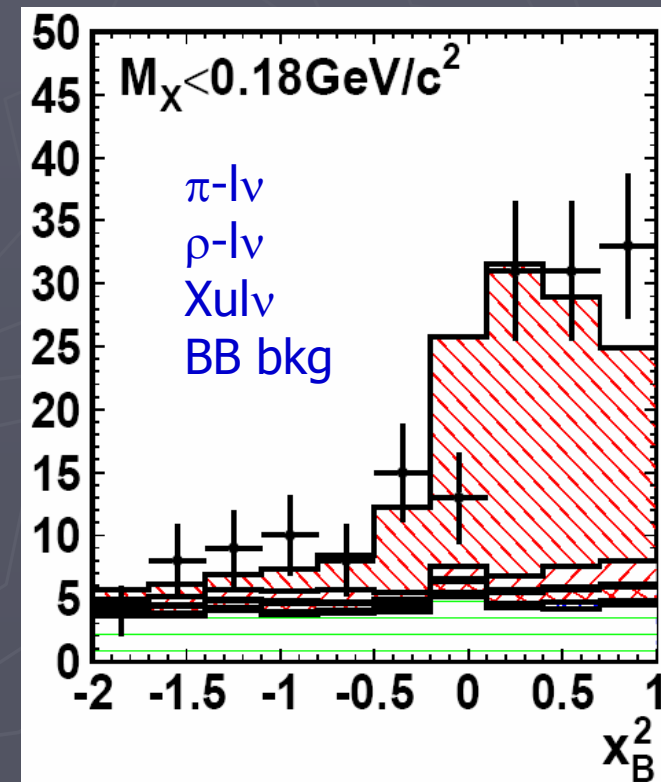
Using 253/fb (275 million BB events)

- Reconstruct tag side first  $B \rightarrow D^{(*)} \ell \nu$
- Identify leptons
- Look for  $\pi$  or  $\rho$  in the remaining particles

Select events using kinematical variables

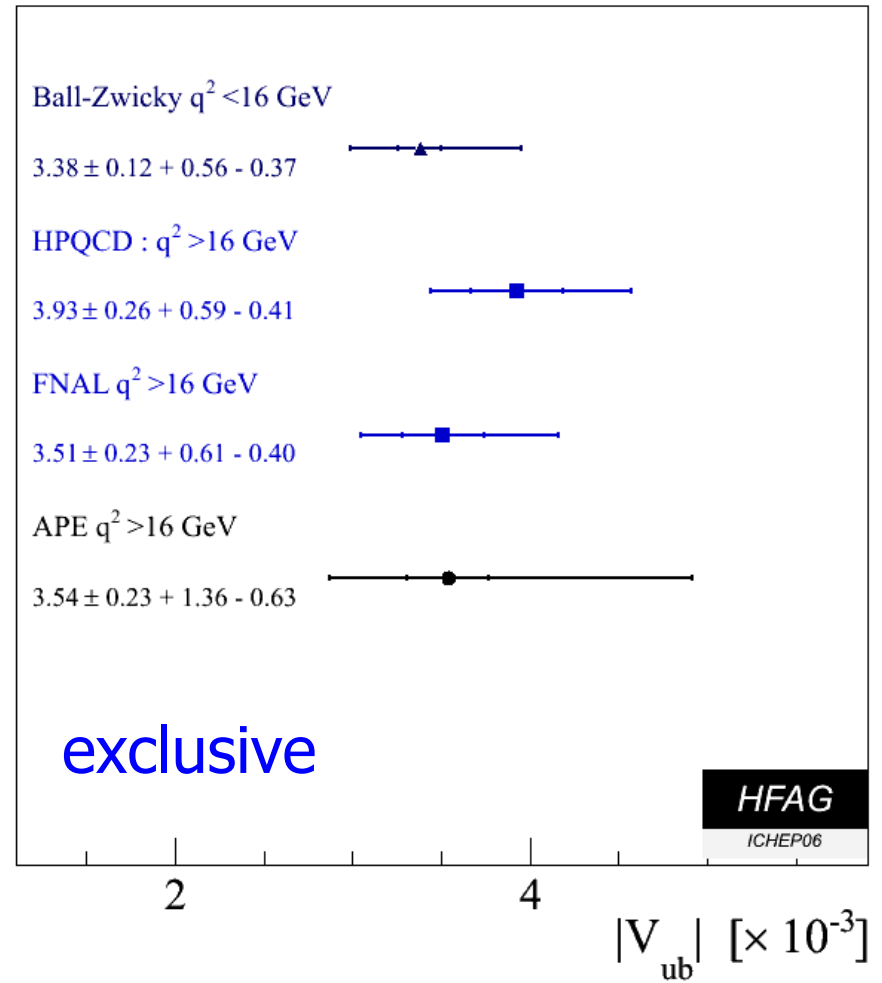
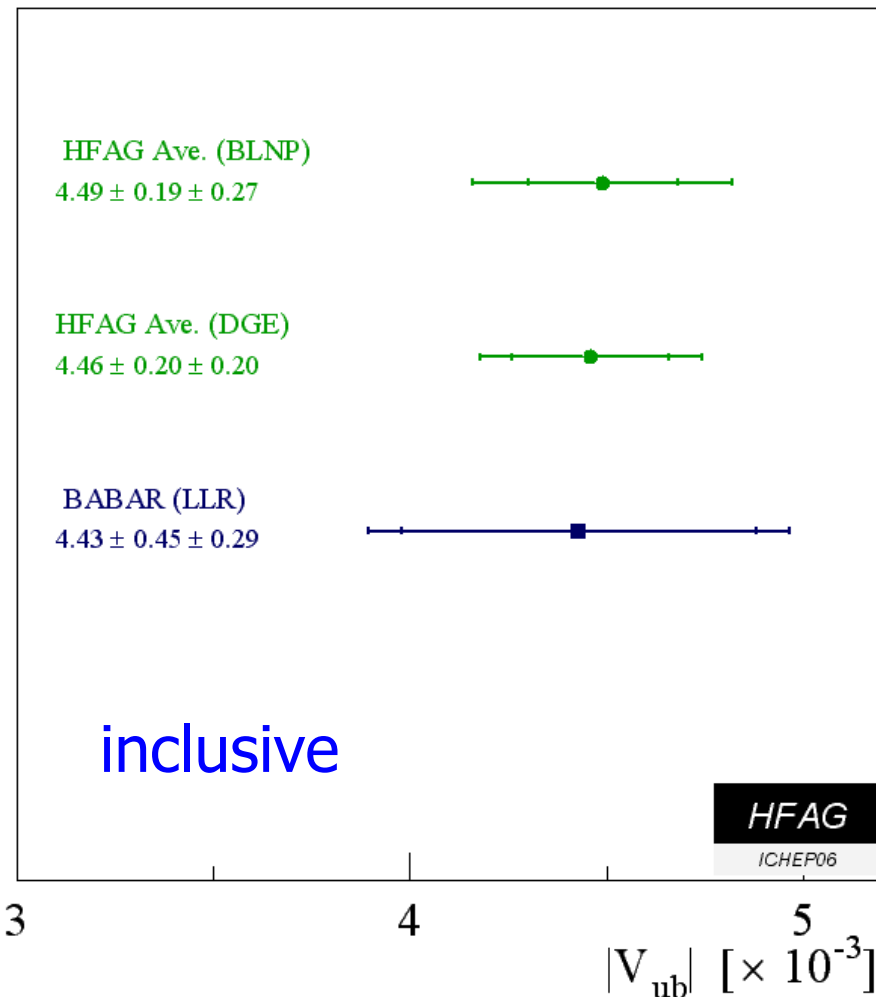
$$x_B^2 = 1 - \frac{1}{\sin^2 \theta_{12}^*} (\cos^2 \theta_{B1}^* + \cos^2 \theta_{B2}^* - 2 \cos \theta_{B1}^* \cos \theta_{B2}^* \cos \theta_{12}^*) > -2$$

Extract the signal in  $(x_B^2, m_\chi)$  plane with 4 signal and 3 bkg components

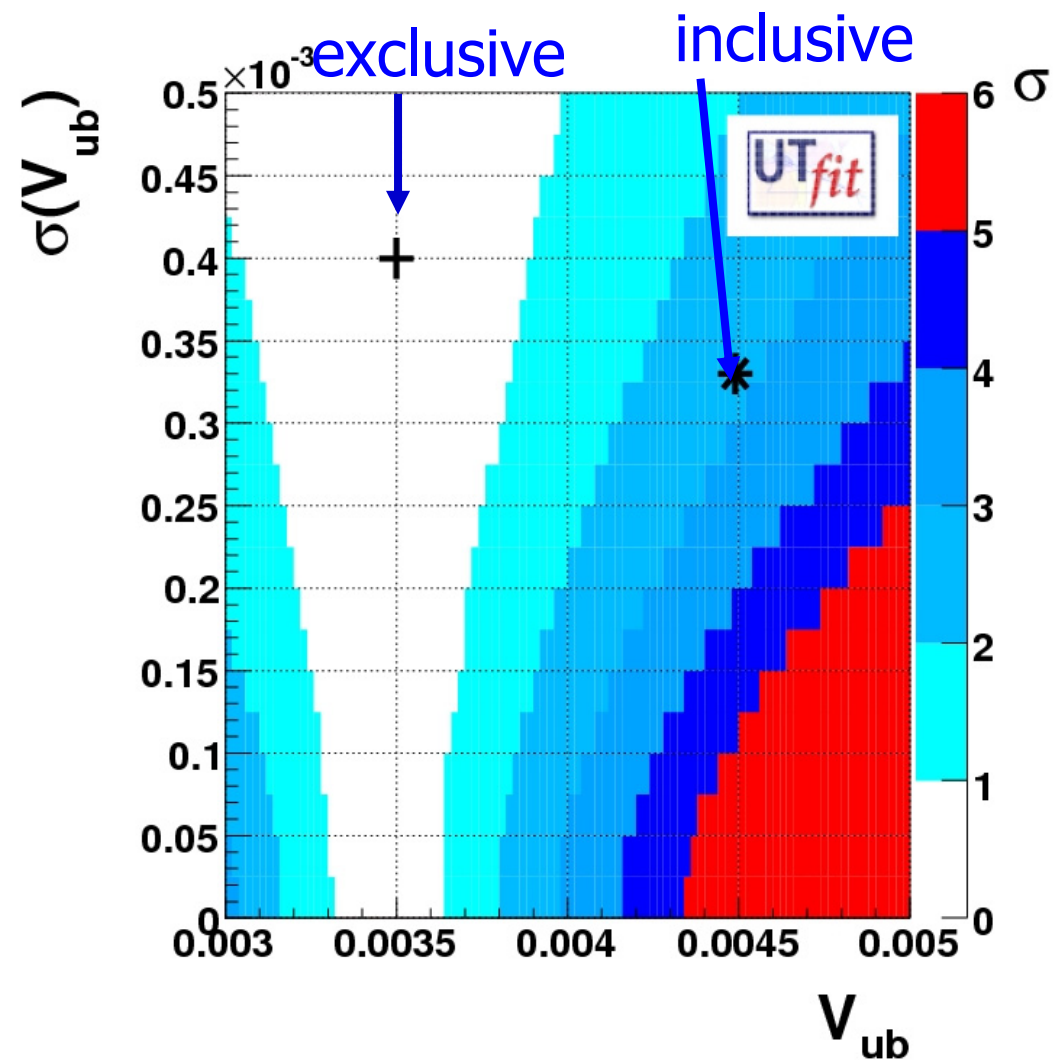


# $|V_{ub}|$ : inclusive vs exclusive

## HFAG ICHEP 2006



# $|V_{ub}|$ : direct vs. indirect



Indirect measurements constrain  $|V_{ub}|$

→ indicated as bands in the plot

There are two direct measurements

+ : exclusive \* : inclusive

The present value of  $\sin 2\phi_1$  is more compatible with exclusive determination of  $|V_{ub}|$