

# Recent Rare K Decay Results

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Although data taking was some years ago the K decay experiments KTeV and NA48 are still producing many interesting results on rare decays.

We define rare decays in two ways:

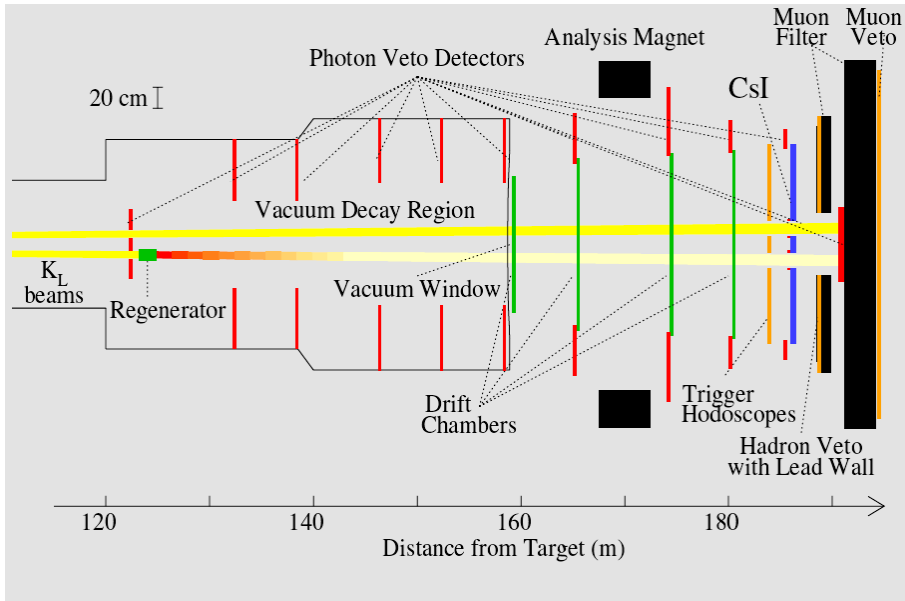
- 1) Pushing the smallest branching fractions observable to smaller and smaller levels.
- 2) Obtaining large statistics on previously observed decays to study their properties in more detail.

Results will be presented on the following modes

mode	Approx BR	Number of events
$K_L \rightarrow \pi^+ \pi^- \gamma$	$1 \times 10^{-5}$	112,000
$K^\pm \rightarrow \pi^\pm \pi^0 \gamma$	$3 \times 10^{-4}$	124,000
$K_L \rightarrow \pi^+ \pi^- \pi^0 \gamma$	$2 \times 10^{-4}$	5600
$K_L \rightarrow \pi^+ \pi^- \pi^0 e^+ e^-$	$2 \times 10^{-7}$	132
$K_L \rightarrow e^+ e^- \gamma$	$1 \times 10^{-5}$	100,000
$K_L \rightarrow \pi^\pm e^\mp \nu e^+ e^-$	$1 \times 10^{-5}$	19,500
$\pi^0 \rightarrow e^+ e^-$	$7 \times 10^{-8}$	794
$K_L \rightarrow \pi^0 \pi^0 \mu^\pm e^\mp$	$< \text{few} \times 10^{-10}$	?

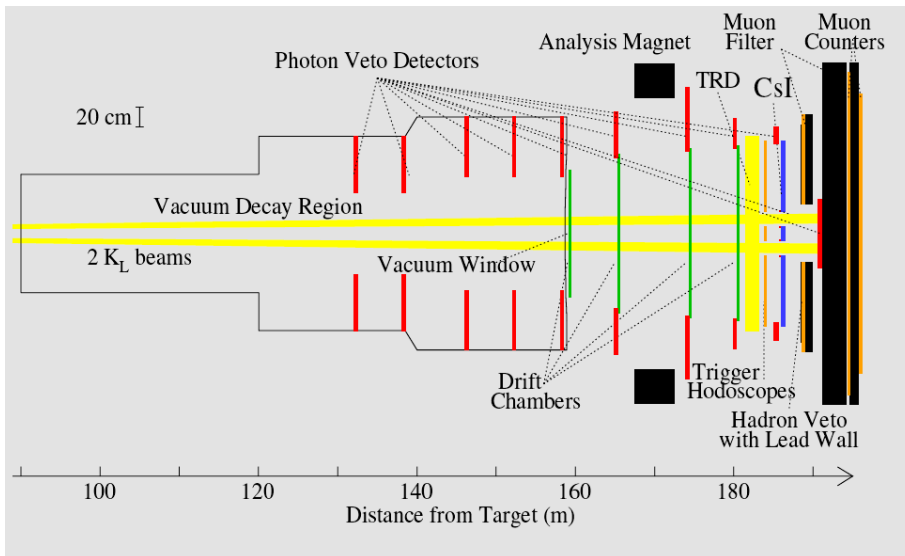
( $K_L \rightarrow \pi^0 \gamma \gamma$     $K_L \rightarrow \pi^0 e^+ e^- \gamma$     $K_L \rightarrow \pi^0 \pi^0 \gamma$  in E.Cheu's talk)

# KTeV Configurations



E832  $K_L$  and regenerated  $K_S$   
for  $\varepsilon'/\varepsilon$  measurement

Magnet  $p_t$  kick 400 MeV/c



E799 2  $K_L$  (vacuum) beams  
for rare decays

Magnet  $p_t$  kick 200 MeV/c in 1997  
And 150 MeV/c in 1999

KTeV used very clean  $K_L$  beams generated by 800 GeV/c protons on a BeO target.

Large vacuum decay region surrounded by veto counters

Tracking: Magnet surrounded by 2 sets of 2 x/y drift chambers

3100 element CsI EM calorimeter

$$\sigma(E)/E = 0.45\%(+)2\%/sqrt(E)$$

Muon absorber and scintillator hodoscopes

KTeV had two runs 1997 and 1999.

E832 1999 about same sensitivity as 1997 at somewhat lower intensity – to check  $\varepsilon'/\varepsilon$

E799 1999 lowered magnet kick to increase acceptance (for 4 track modes especially) some triggers prescaled.

Sensitivity:

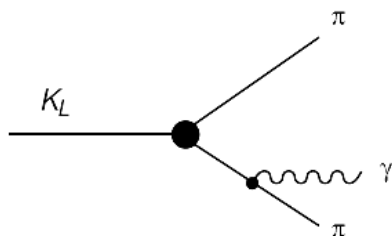
1997  $2.5 \times 10^{11}$   $K_L$  decays

1999  $3.5 \times 10^{11}$   $K_L$  decays

# Radiative Decay $K_L \rightarrow \pi^+ \pi^- \gamma$

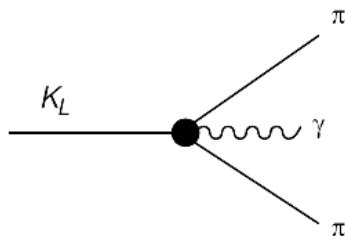
(John Shields and Michael Ronquest, University of Virginia)

**internal bremsstrahlung**



CP-violating

**direct emission**



DE coupling requires energy dependence:

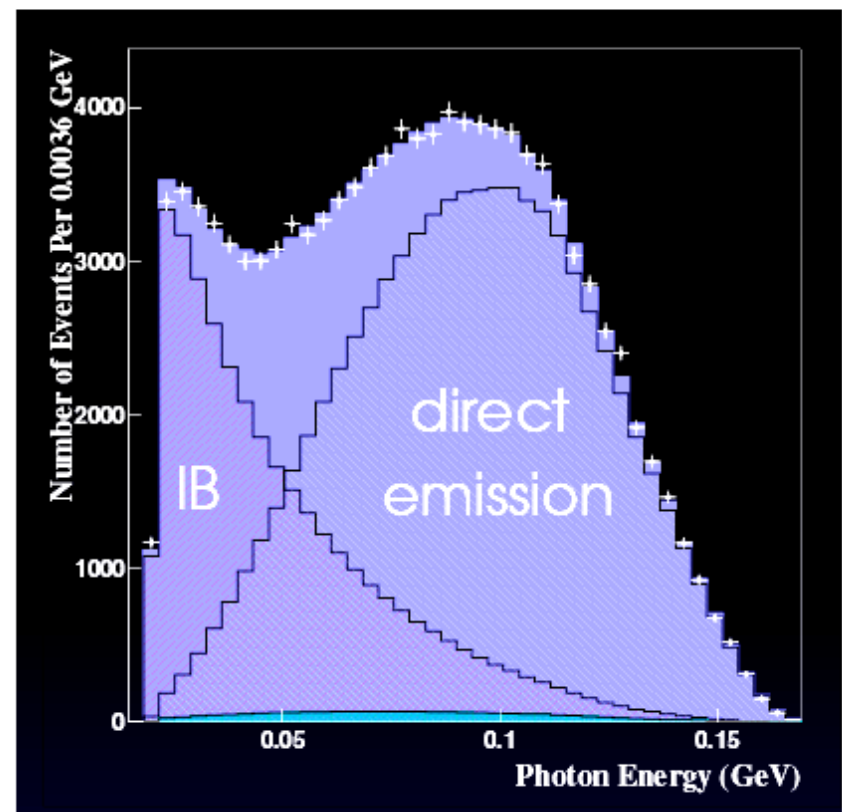
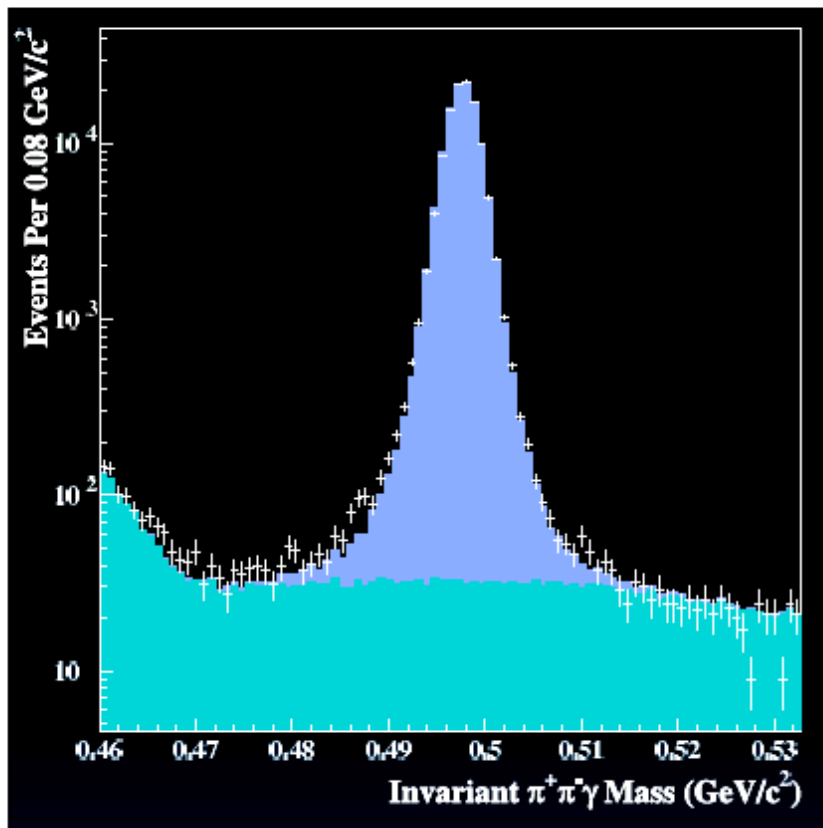
$$|g_{M1}| = \tilde{g}_{M1} \left[ 1 + \frac{a_1/a_2}{(M_\rho^2 - M_K^2) + 2M_K E_\gamma} \right]$$

Direct emission can have M1,E1,M2,E2... multipoles  
E1 is CP violating.

1997 dataset of E832 (collected during  $\epsilon'/\epsilon$  data taking)

After all analysis cuts:  $112.1 \times 10^3$  candidates including background of  $671 \pm 41$  events.

Likelihood fit to full-differential decay amplitude.





# KTeV Results for $\pi^+\pi^-\gamma$

## Form Factor parameters:

$$\frac{a_1}{a_2} = -0.738 \pm 0.007 \pm 0.018 \text{ (GeV}^2\text{)}$$

$$\tilde{g}_{M1} = 1.198 \pm 0.035 \pm 0.086$$

## Decay rate for $E_\gamma > 20$ MeV:

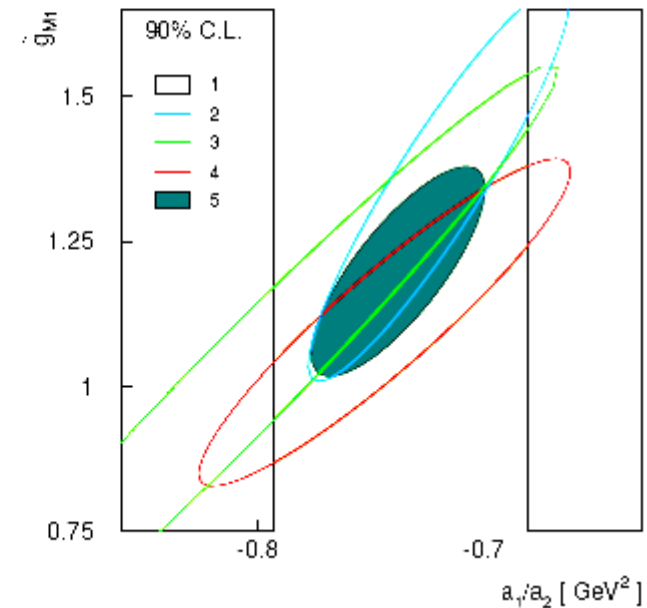
$$\frac{DE}{DE + IB} = 0.689 \pm 0.021$$

## CP violating E1 DE:

$$|g_{E1}| < 0.21 \text{ (90\%CL)}$$

**Figure:** 90% contours for  $\tilde{g}_{M1}$  vs  $a_1/a_2$  for known experimental results:

- 1 - KTeV( $\pi\pi\gamma$ ). PRL 86.761(2001)
- 2 - KTeV( $\pi\pi ee$ ). PRL 84.408(2000)
- 3 - NA48( $\pi\pi ee$ ). EPJ C30.33(2003)
- 4 - KTeV( $\pi\pi ee$ ). PRL 96.101801(2006)
- 5 - KTeV( $\pi\pi\gamma$ ). This Result, accepted to PRD

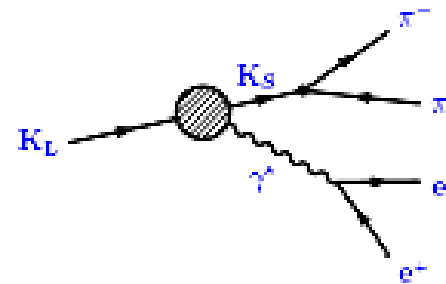
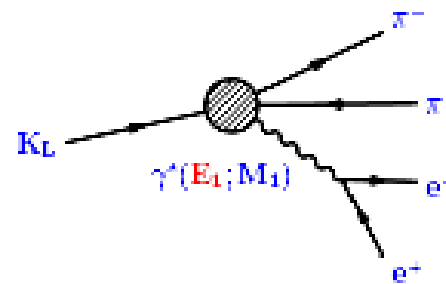
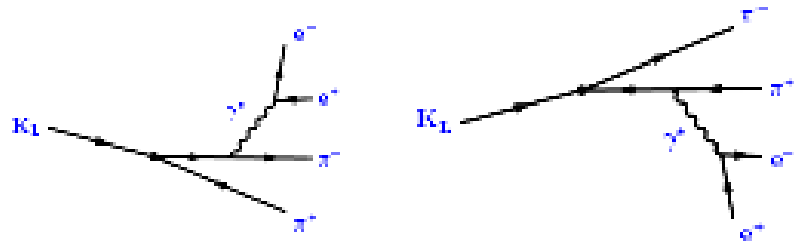


Can also use related decay  $K_L \rightarrow \pi^+ \pi^- e^+ e^-$

Has additional  
charge radius term

More sensitive to possible  $E_1$   
term than is  $K_L \rightarrow \pi^+ \pi^- \gamma$

$$|g_{E_1}|/|g_{M_1}| < 0.04 \text{ (90\% CL)}$$



# NA48/2 results on $K^{\pm} \rightarrow \pi^{\pm} \pi^0 \gamma$

(Silvia Goy-Lopez and Mauro Raggi)

## The NA48 Detector

### Detector components:

#### ■ Magnet spectrometer

4 sets of drift chambers.

$$\Delta p/p \approx 0.5\% \quad \text{for } p = 20 \text{ GeV}/c.$$

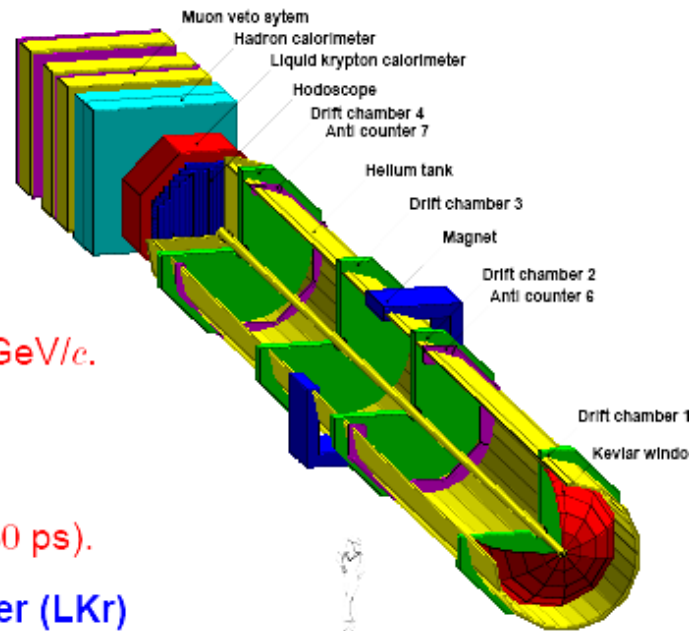
#### ■ Hodoscopes:

Fast trigger, precise time measurement ( $\sigma_t = 150 \text{ ps}$ ).

#### ■ Liquid Krypton Calorimeter (LKr)

$$\Delta E/E \approx 1.0\% \quad \text{for } E_{e,\gamma} = 20 \text{ GeV}/c.$$

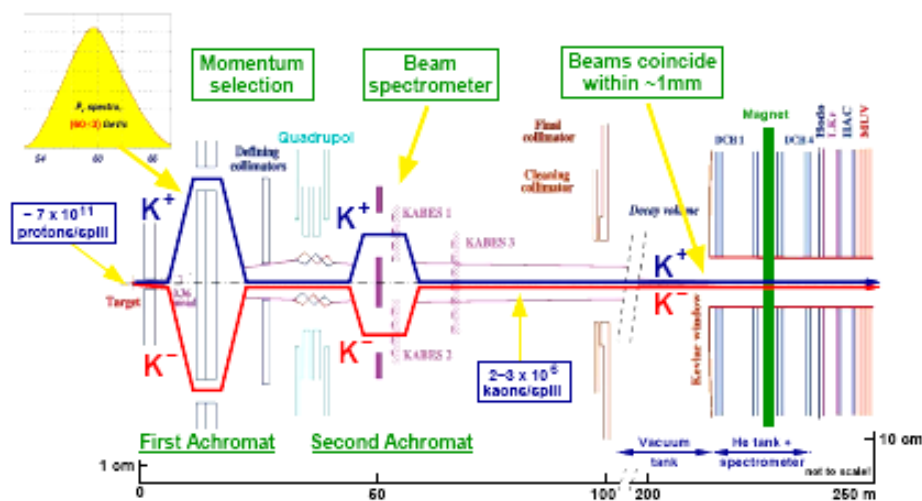
#### ■ Hadron calorimeter, photon vetos, muon counters



# $K^\pm$ Decays: The NA48/2 Experiment

Data taking in 2003/2004: (NA48/2 experiment)

- Main aim: Search for direct CP violation in  $K^\pm \rightarrow 3\pi$  decays.
- Simultaneous  $K^+$  and  $K^-$  beams, with  $p_{K^\pm} = (60 \pm 3) \text{ GeV}/c$ .
- Total statistics:  $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$ :  $\sim 4 \times 10^9$  events  
 $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$ :  $\sim 100 \times 10^6$  events

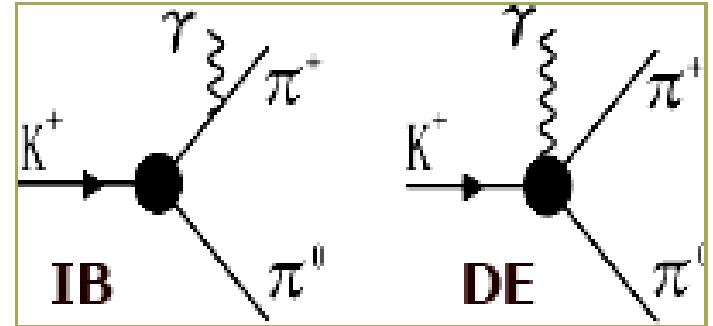


Rainer Wanke, Universität Mainz, ICHEP 2006, Moscow, July 29, 2006 – p.10/16

# Physics of $K^\pm \rightarrow \pi^\pm \pi^0 \gamma$

As for  $K_L \rightarrow \pi^+ \pi^- \gamma$  have both Inner Brem and Direct Emission

DE can have Magnetic and Electric parts

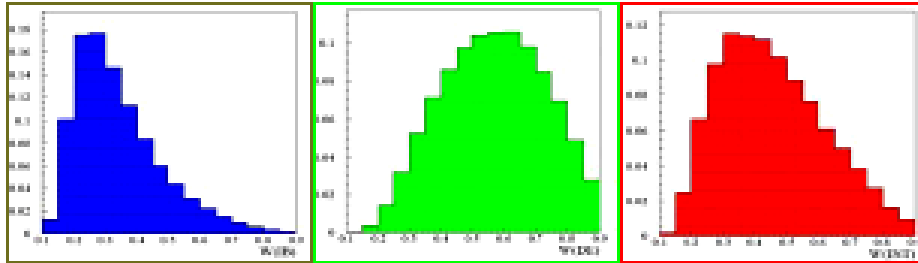


Electric part of DE can interfere with IB

Unlike in  $K_L \rightarrow \pi^+ \pi^- \gamma$  IB is CP conserving, so DE is much smaller than IB

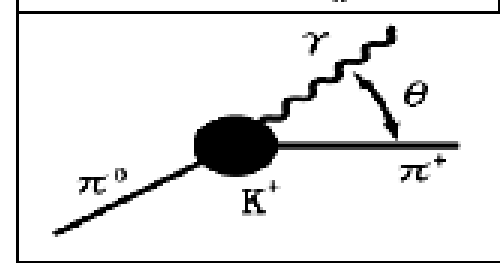
- Two Dalitz plot variables:  $W$  and  $T^*_\pi$

$$W^2 = \frac{(p_K p_\gamma)(p_\pi p_\gamma)}{m_\pi^2 m_K^2} \quad (\text{Lorentz invariant definition})$$



In  $K$  rest frame

$$W^2 = \frac{E_\gamma^2 (E_\pi - P_\pi \cos\theta_{\pi\gamma})}{m_K m_\pi^2}$$



- Matrix element shows separation in  $W^2$  of components

$$\frac{d\Gamma^\pm}{dW} \simeq \underbrace{\left(\frac{d\Gamma^\pm}{dW}\right)_{IB}}_{\text{IB}} \left[ 1 + \underbrace{2 \left(\frac{m_\pi}{m_K}\right)^2 W^2 |E| \cos((\delta_1 - \delta_0) \pm \phi)}_{\text{INT}} + \underbrace{\left(\frac{m_\pi}{m_K}\right)^4 W^4 (|E|^2 + |M|^2)}_{\text{DE}} \right]$$

Previous experiments have not seen the INT term

NA48/2 has analysed 5 times more statistics

Two main problems that must be reduced to avoid distortions in  $W$  spectrum that might mimic DE or INT

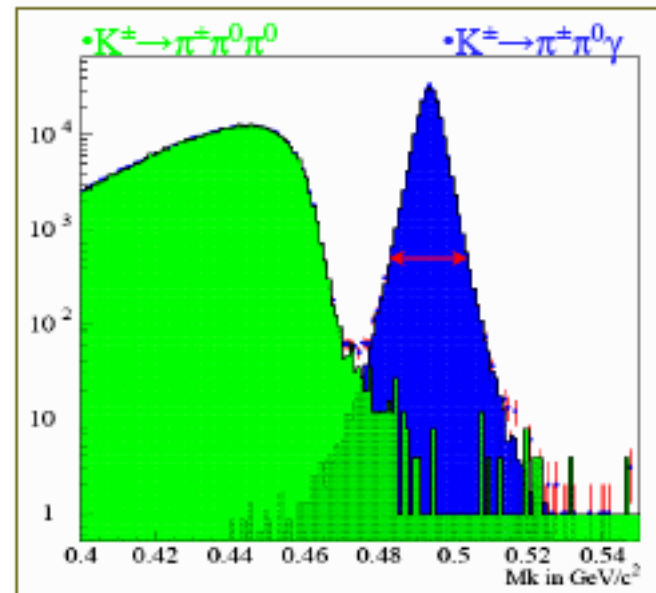
1) mis-assignment of  $\gamma$ 's

Use best  $\pi^0$  or  $K^\pm$  mass and require agreement of charged and neutral vertices.

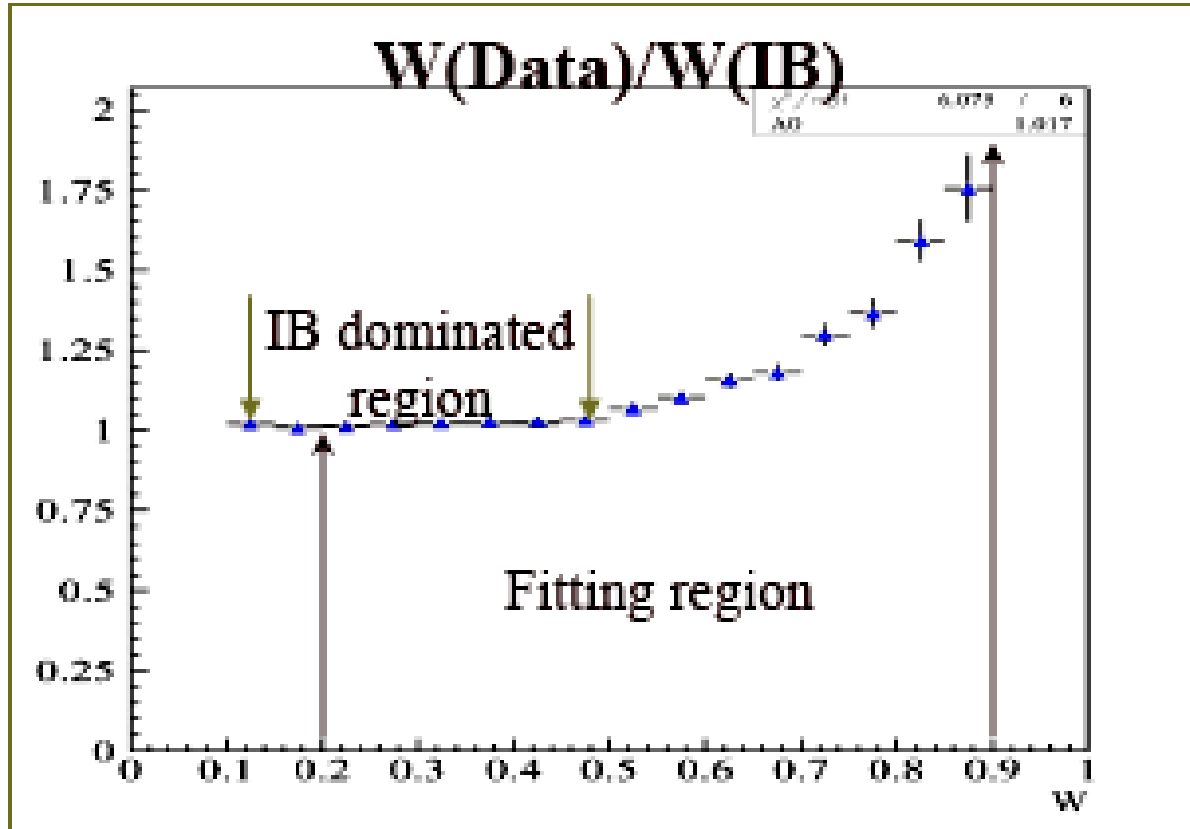
2) Backgrounds from  $K^\pm \rightarrow \pi^\pm \pi^0$  and  $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$

Remove  $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$  with merged  $\gamma$ 's by trying to split each of the  $\gamma$ 's

Background is reduced to <1% of DE level



## Results of fit to W distribution



Clear evidence of DE/INT at high W



# The $K^\pm \rightarrow \pi^\pm \pi^0 \gamma$ decay. Results

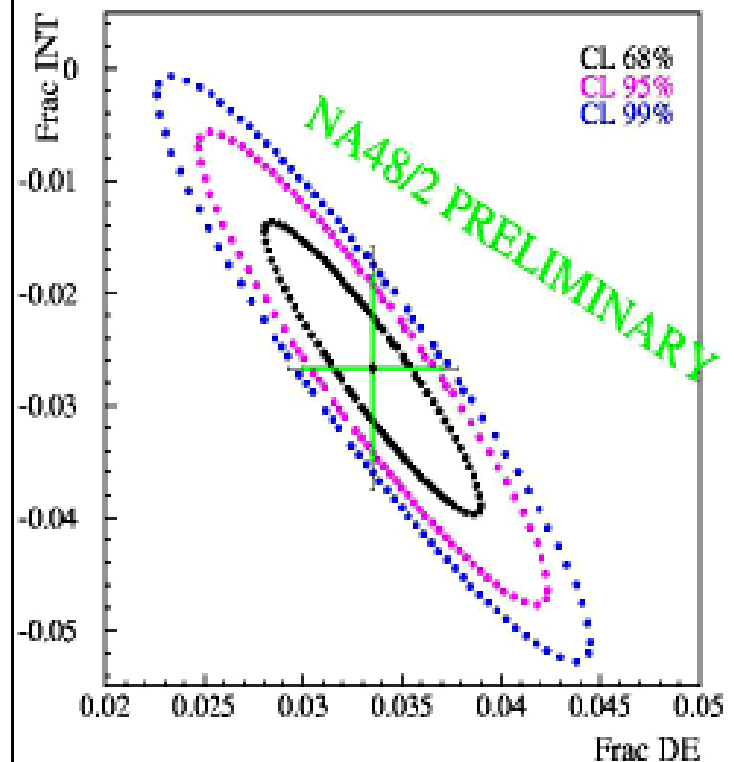
- Use extended ML for  $0.2 < W < 0.9$
- Based on  $124 \cdot 10^3$  events
- Systematic checks:
  - Trigger efficiency dominates (trigger improved for 2004)
  - Neutral energy scale, fitting procedure, miss tagging
- **First evidence of INT!**

**NA48/2 Preliminary: 2003 data**

$0 < T^*_\pi < 80$  MeV

$$\text{Frac(DE)} = (3.35 \pm 0.35_{\text{stat}} \pm 0.25_{\text{syst}}) \%$$

$$\text{Frac(INT)} = (-2.67 \pm 0.81_{\text{stat}} \pm 0.73_{\text{syst}}) \%$$



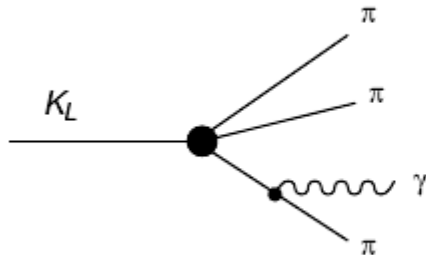
High correlation -0.92

This is based on ~30% of available data

# $K_L \rightarrow \pi^+ \pi^- \pi^0 \gamma$ and $K_L \rightarrow \pi^+ \pi^- \pi^0 e^+ e^-$

(Sasha Ledovskoy – University of Virginia)

## internal bremsstrahlung

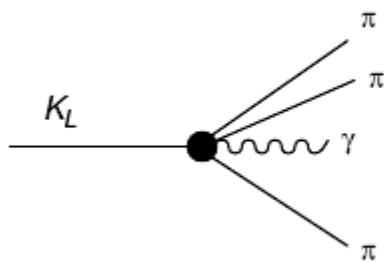


This decay is dominated by inner brem process

$$BR(E_\gamma > 10 \text{ MeV}) = (1.65 \pm 0.03) \times 10^{-4}$$

G. D'Ambrosio *et al*, Z. Phys. C **76**, 301 (1997)

## direct emission



DE is estimated to be very small

$$BR|_{\text{direct}} = (8a_1 + a_2 - 10a_3)^2 \cdot 2 \cdot 10^{-10}$$

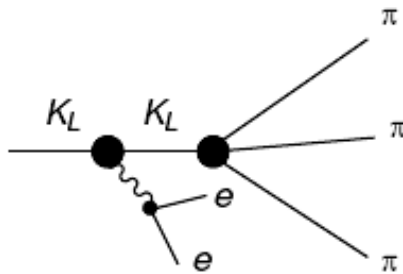
where  $a_i = O(1)$  are unknown. G. Ecker *et al*, Nucl. Phys. B **413**, 321 (1994)

For  $K_L \rightarrow \pi^+\pi^-\pi^0 e^+e^-$  there are no published theories

### Inner Brem and DE

Same amplitude as in  $K_L \rightarrow \pi^+\pi^-\pi^0\gamma$  with  $\gamma^* \rightarrow e^+e^-$ .

### Charge radius

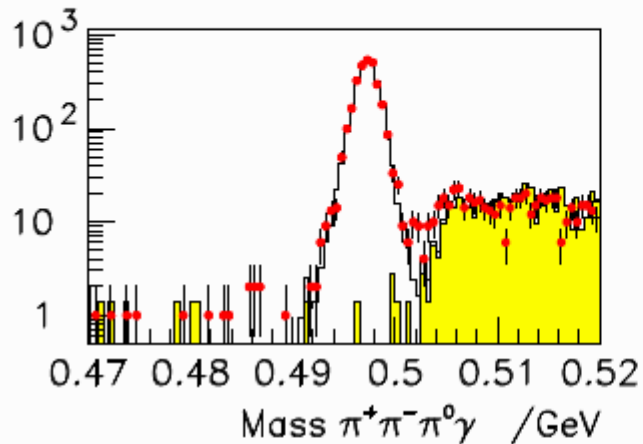


Similar to  $K_L \rightarrow \pi^+\pi^-e^+e^-$ .  
Is it  $K_L \rightarrow K_L\gamma^*$  or  $K_L \rightarrow K_S\gamma^*$ ?

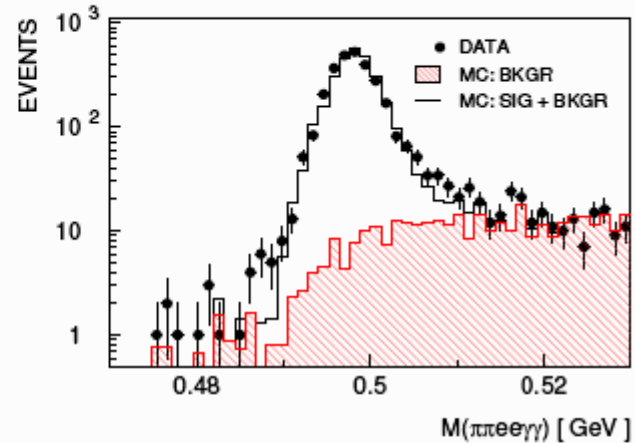
How one can measure IB, DE and CR amplitudes in  $K_L \rightarrow \pi^+\pi^-\pi^0\gamma^{(*)}$  decays that have never been observed?

# KTeV first observation of $K_L \rightarrow \pi^+ \pi^- \pi^0 \gamma$

E832:  $\pi^0 \rightarrow \gamma\gamma$   
2853 candidates



E799 ( $\sim 40\%$ ):  $\pi^0 \rightarrow e^+ e^- \gamma$ .  
2847 candidates



**KTeV Preliminary for  $E_\gamma^{cm} > 10 \text{ MeV}$ :**

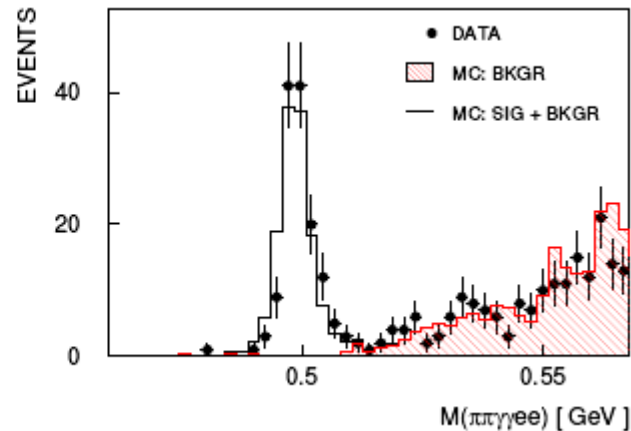
$$BR = (1.70 \pm 0.03_{stat} \pm 0.04_{syst} \pm 0.03_{norm}) \times 10^{-4}$$

Good agreement with SM calculations (ZP C76,301)

$$BR(K_L \rightarrow \pi^+ \pi^- \pi^0 \gamma, E_\gamma^{cm} > 10 \text{ MeV}) = (1.65 \pm 0.03) \times 10^{-4}$$

# KTeV first observation of $K_L \rightarrow \pi^+ \pi^- \pi^0 e^+ e^-$

- ▶ E799 data,
- ▶ clean sample of 132 candidates
- ▶ estimated background level of  $1.2 \pm 0.9$  evt
- ▶ Normalization mode is  $K_L \rightarrow \pi^+ \pi^- \pi^0$
- ▶  $\sim 40\%$  of KTeV data analyzed



**KTeV Preliminary  $E_{ee} > 20$  MeV:**

$$BR = (1.60 \pm 0.18_{stat}) \times 10^{-7}$$

We will try to measure Direct Emission and Charge Radius amplitudes in near future.

$$K_L \rightarrow e^+ e^- \gamma$$

(Mike Wilking – Colorado University)

$K_L \rightarrow \mu^+ \mu^-$  arises partly by a short distance coupling whose value yields a measurement of  $|V_{td}|$

However it also has a long distance coupling related to  $K_L \rightarrow \gamma^{(*)} \gamma^{(*)}$  which must be subtracted.

Two form factor models are usually considered.

2 parameter model of D'Ambrosio, Isidori and Portoles based on chiral perturbation theory.

Vector dominance inspired model of Bergstrom, Masso and Singer.

$$f_{BMS}(x) = \frac{1}{1 - x \frac{M_K^2}{M_\rho^2}} + \frac{C \alpha_{K^*}}{1 - x \frac{M_K^2}{M_{K^*}^2}} \left( \frac{4}{3} - \frac{1}{1 - x \frac{M_K^2}{M_\rho^2}} - \frac{1}{9} \frac{1}{1 - x \frac{M_K^2}{M_\omega^2}} - \frac{2}{9} \frac{1}{1 - x \frac{M_K^2}{M_\phi^2}} \right)$$

Experiments actually determine  $C \cdot \alpha_K^*$  where C is:

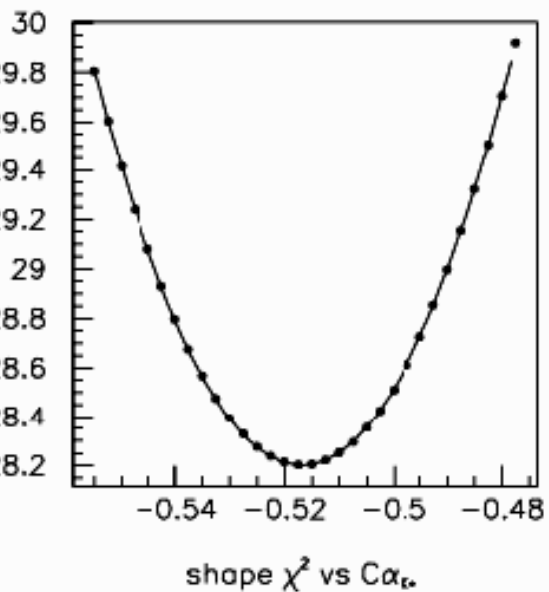
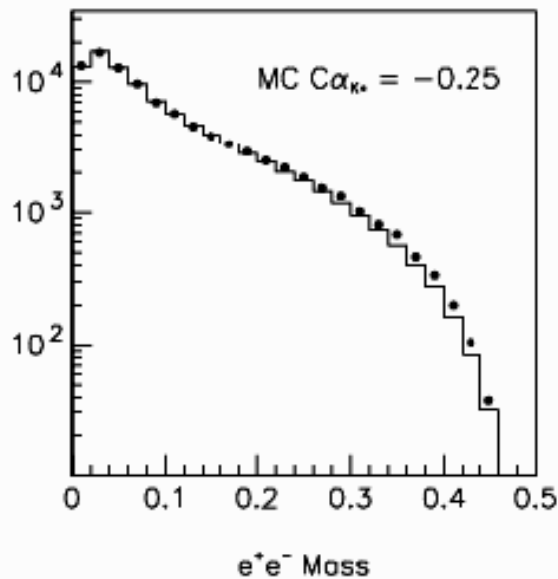
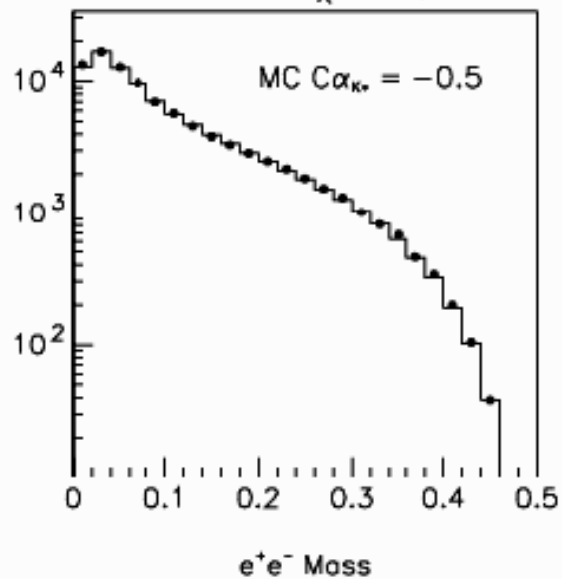
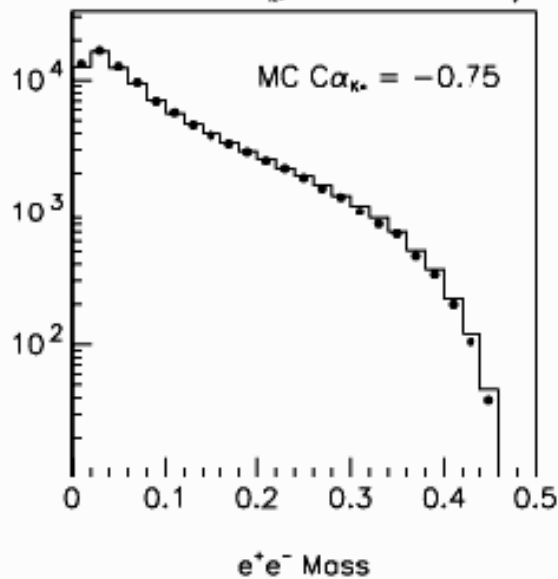
$$C = (8\pi\alpha_{EM})^{1/2} G_{NL} f_{K^*} f_{K\gamma} m_{\rho}^2 / (f_K^* f_{\rho}^2 A_{\gamma\gamma})$$

A number of experiments have reported values of  $\alpha_K^*$  from various decay modes, but are inconsistent as to value of C as the parameters making up C have changed over time.

We report  $C\alpha_K^*$  and compare it with  $C\alpha_K^*$  from other experiments

Distribution of  $m_{ee}$  is quite sensitive to the form factor.

Best Fit  $C\alpha_{K^*} = -0.516847 \pm 0.0300864$  & Best Fit  $\chi^2 = 23.2006$



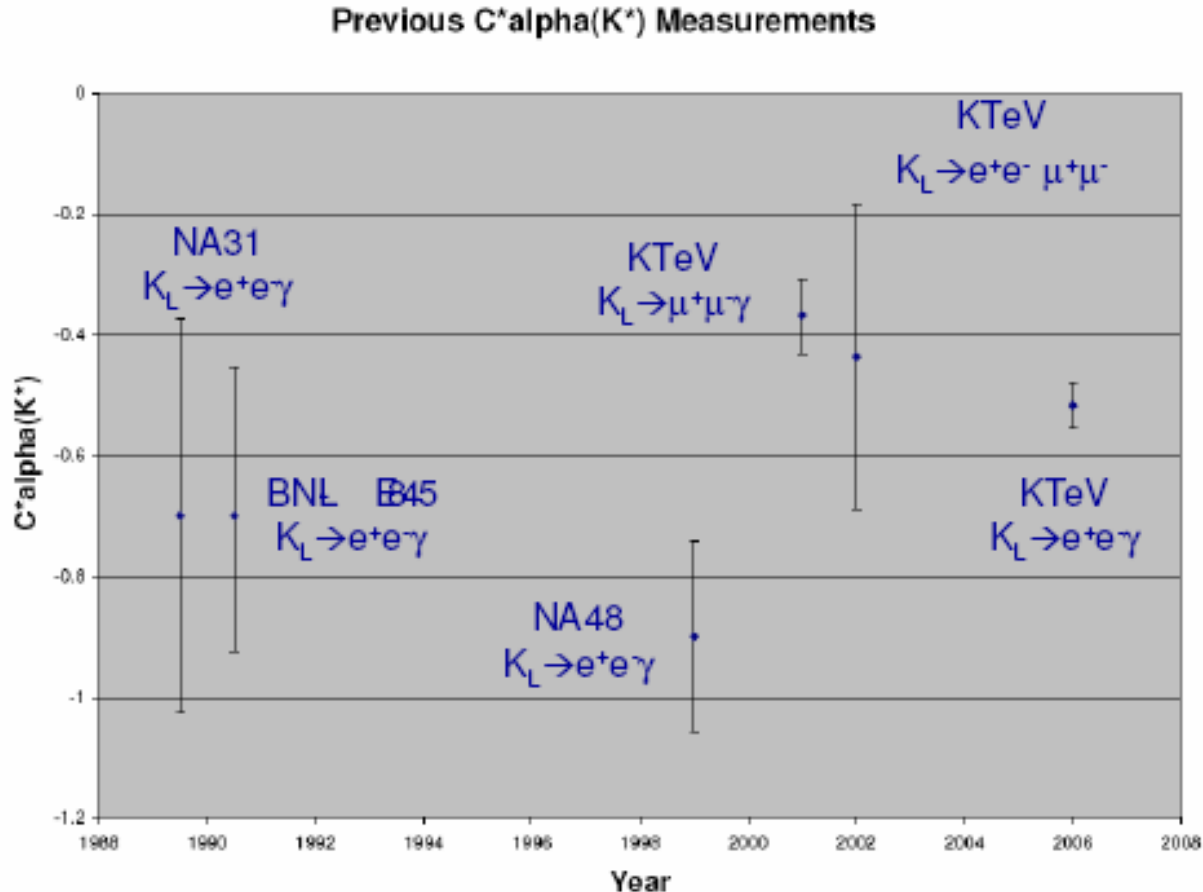


# Corrected preliminary KTeV $K_L \rightarrow e^+e^-\gamma$ Results

BR:  $(9.25 \pm 0.03(\text{stat}) \pm 0.07(\text{syst}) \pm 0.26(\text{ext syst})) \times 10^{-6}$

$C\alpha_K^*$  :  $-0.517 \pm 0.030(\text{fit}) \pm 0.022(\text{syst})$

$\alpha_{\text{DIP}}$  :  $-1.729 \pm 0.043(\text{fit}) \pm 0.028(\text{syst})$



$$K_L \rightarrow \pi^\pm e^\mp \nu e^+ e^- \quad (\text{commonly called Ke3ee})$$

(Katsushige Kotera – Osaka University)

Because of missing  $\nu$ , harder to separate out this decay

Worst backgrounds are:

$$K_L \rightarrow \pi^+ \pi^- \pi^0 \quad (\pi^0 \rightarrow e^+ e^- \gamma \text{ or } e^+ e^- e^+ e^-)$$

$$K_L \rightarrow \pi^\pm e^\mp \nu \pi^0 \quad (\pi^0 \rightarrow e^+ e^- \gamma)$$

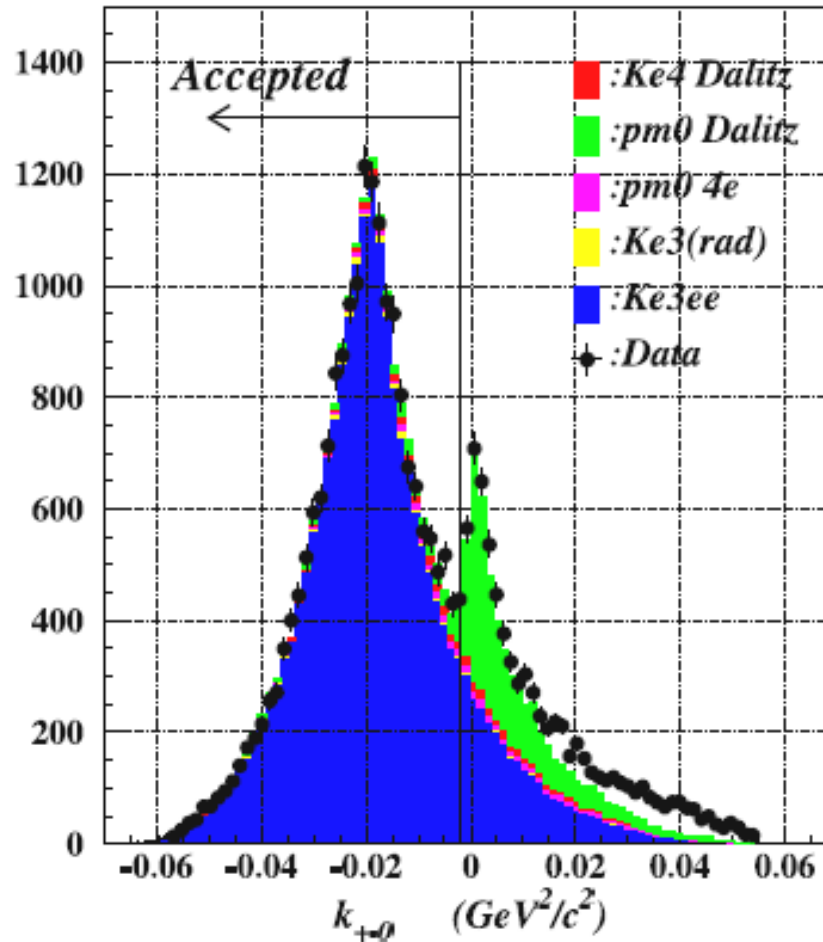
$$K_L \rightarrow \pi^\pm e^\mp \nu \gamma \quad (\gamma \text{ converts in material})$$

Use full  $\pi/e$  ID power of CsI calorimeter and the TRD's

$k_{+-0}$  is 4 momentum squared a  $\pi^0$  from a Dalitz decay would have

Calculated assuming identified e from Ke3 is actually a  $\pi$

signal is mostly  $< 0$        $\pi^+\pi^-\pi^0(\pi^0 \rightarrow e^+e^-\gamma)$  background  $> 0$



Final sample 19466 candidates with background of ~5%  
(this is based on about 25% of all E799 data)

Preliminary results:

$$\text{BR}[K_L \rightarrow \pi^\pm e^\mp \nu e^+ e^-; m_{e^+ e^-} > 0.005 \text{ GeV}/c^2, E_{e^+ e^-} > 0.03 \text{ GeV}] \\ = [1.281 \pm 0.010(\text{stat}) \pm 0.019(\text{syst}) \pm 0.035(\text{ext syst})] \times 10^{-5}$$

K.Tsuji (paper in preparation) has calculated in  $\chi$ PT the ratio:

$$R = \Gamma(\text{Ke}3e, m_{ee} > 0.005 \text{ GeV}/c^2) / \Gamma(\text{Ke}3)$$

$$R = 4.06 \times 10^{-5} \text{ at leading order}$$

$$R = 4.29 \times 10^{-5} \text{ at next to leading order (p}^4\text{)}$$

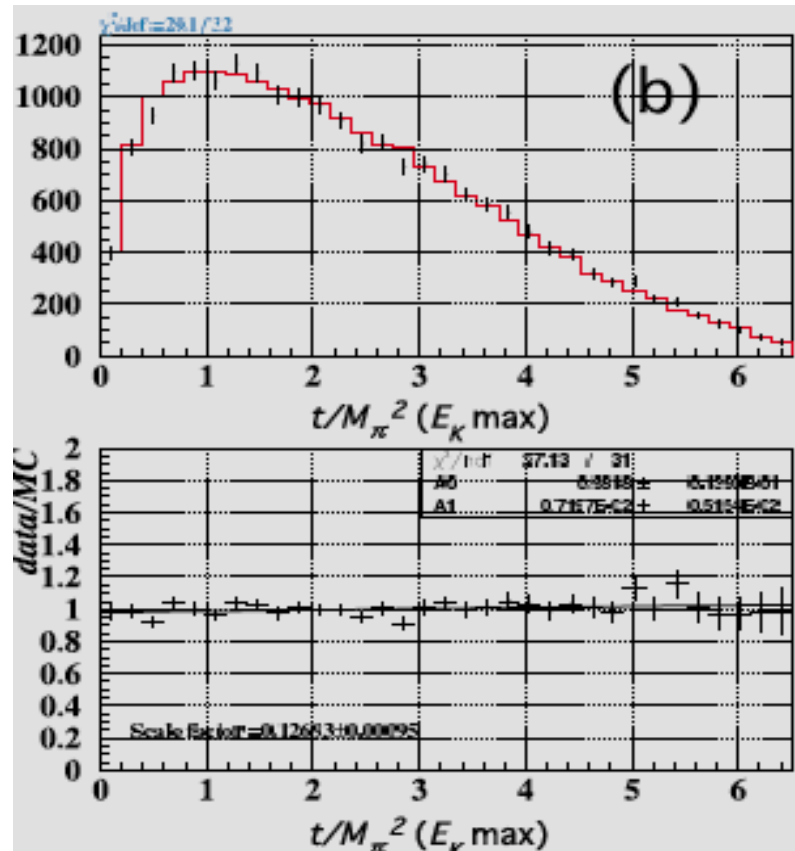
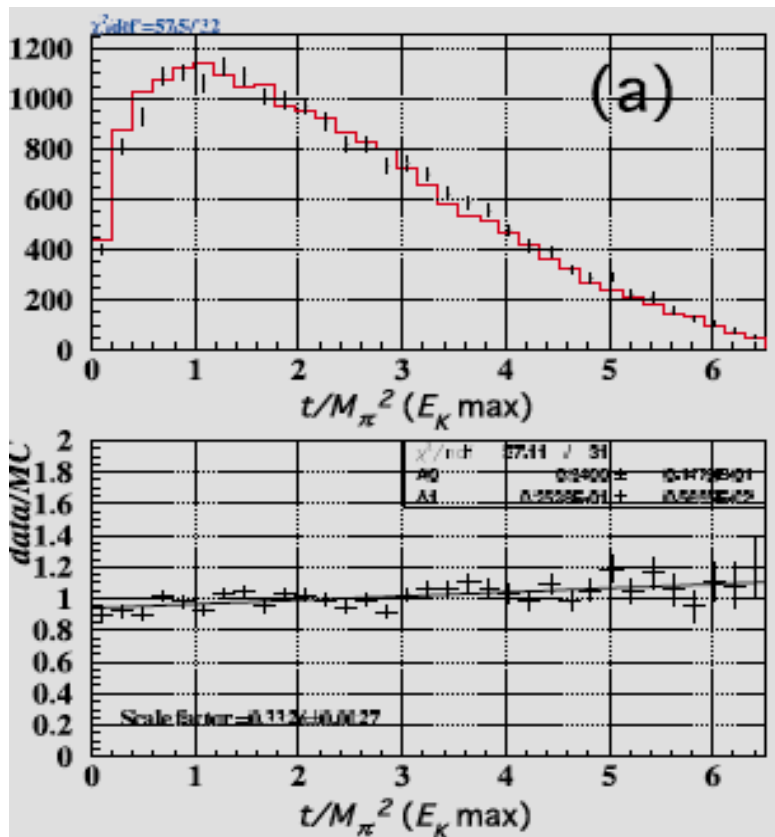
Measured BR corresponds to  $R = (4.54 \pm 0.15) \times 10^{-5}$

3.2 $\sigma$  from LO and 1.7 $\sigma$  from NLO

# Chiral Perturbation Theory for $K_L \rightarrow \pi e \nu e e$

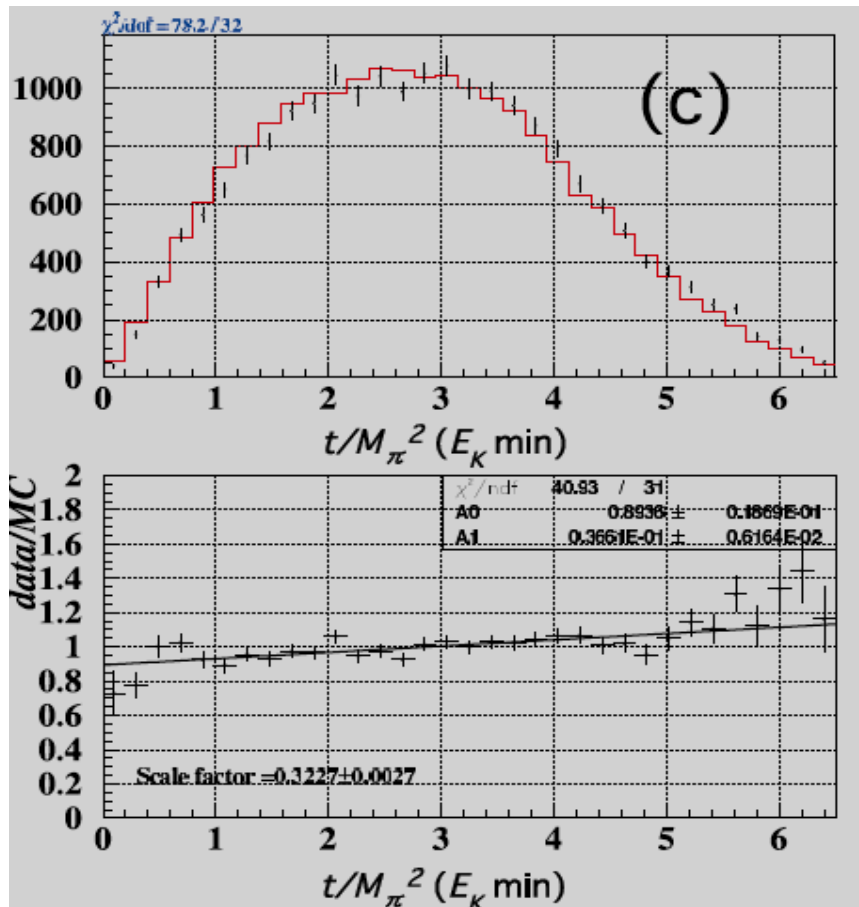
Leading order

Next to leading order

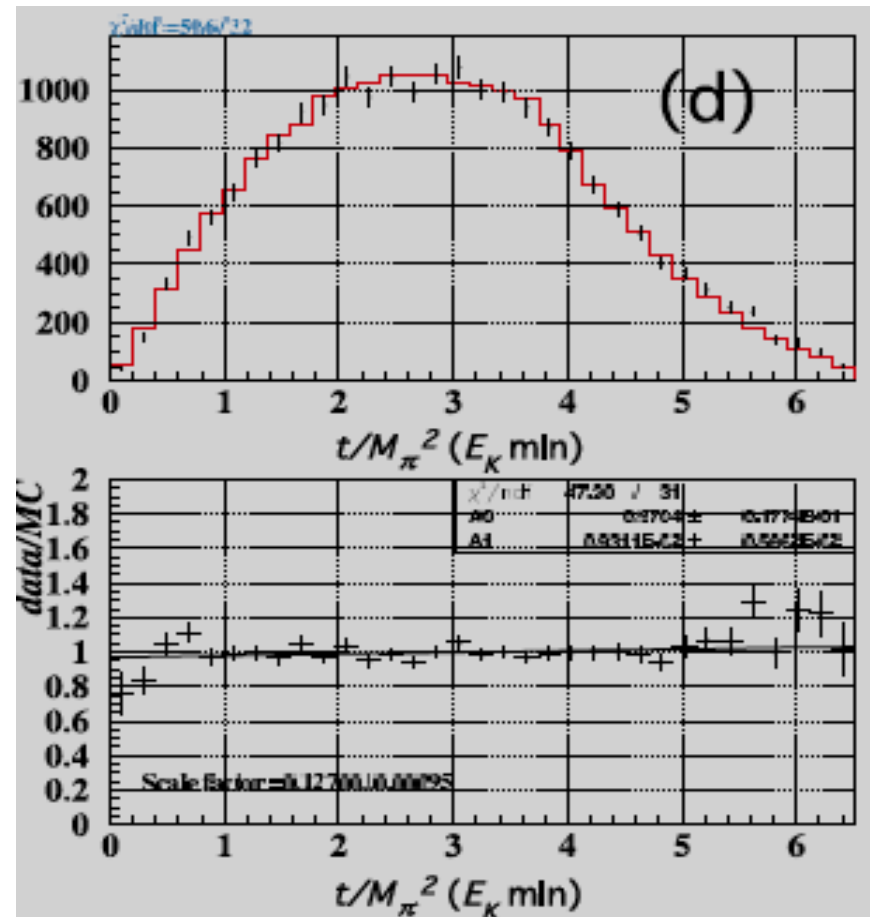


# Chiral Perturbation Theory for $K_L \rightarrow \pi e \nu e$

Leading order



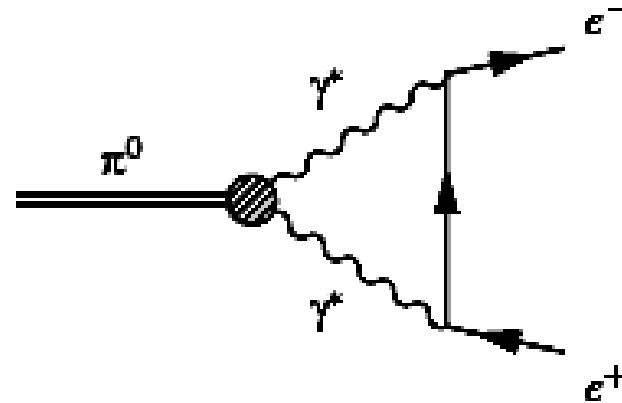
Next to leading order



$$\pi^0 \rightarrow e^+ e^-$$

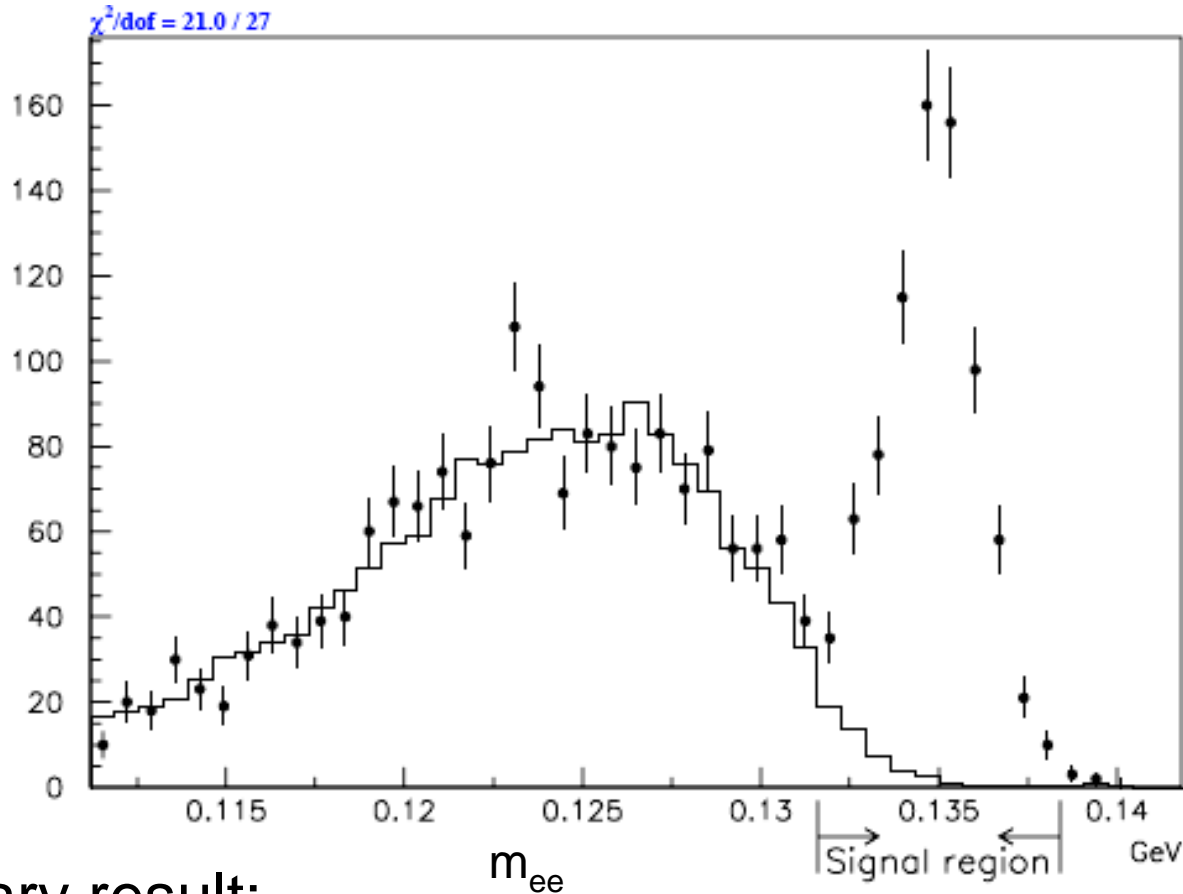
(Rune Niclasen – Colorado University)

Lowest order process  
Unitarity bound  
(Drell 1959)



Various Vector Dominance and  
Chiral Perturbation Theory calculations  
predict small enhancements above this level

$\pi^0$ 's are "tagged" by requiring  $K_L \rightarrow \pi^0 \pi^0 \pi^0$



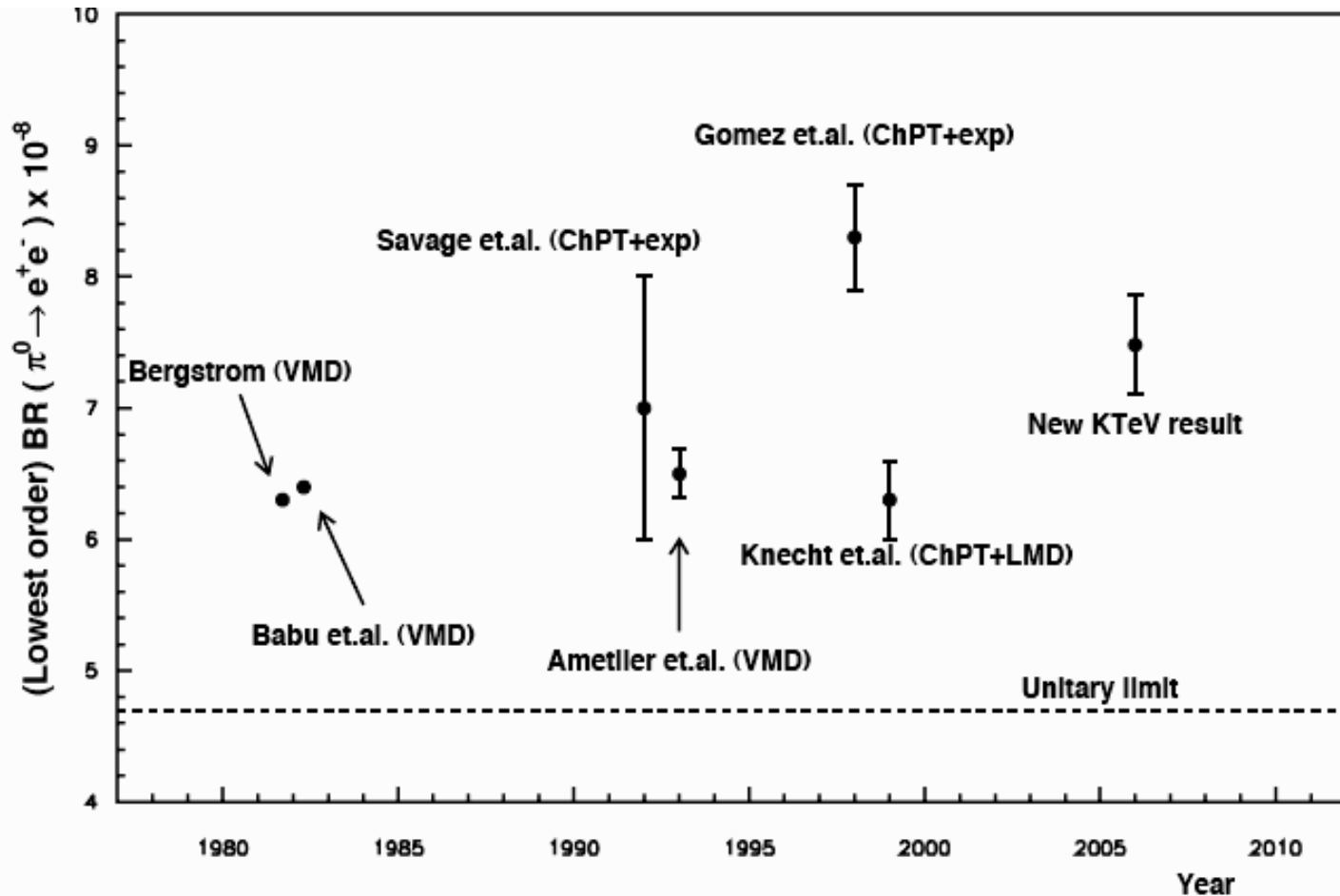
Preliminary result:

794 events with a background of  $53.2 \pm 9.5$  events

$$\text{BR}(\pi^0 \rightarrow e^+e^-, x > 0.95) = (6.56 \pm 0.26 \pm 0.10(\text{int}) \pm 0.19(\text{ext})) \times 10^{-8}$$



# Comparison to theories



Result is  $7\sigma$  above unitarity bound

# Searches for Lepton Flavor Violating Modes

$$K_L \rightarrow \pi^0 \mu^\pm e^\mp \quad K_L \rightarrow \pi^0 \pi^0 \mu^\pm e^\mp \quad \pi^0 \rightarrow \mu^\pm e^\mp$$

Marj Corcoran – Rice University

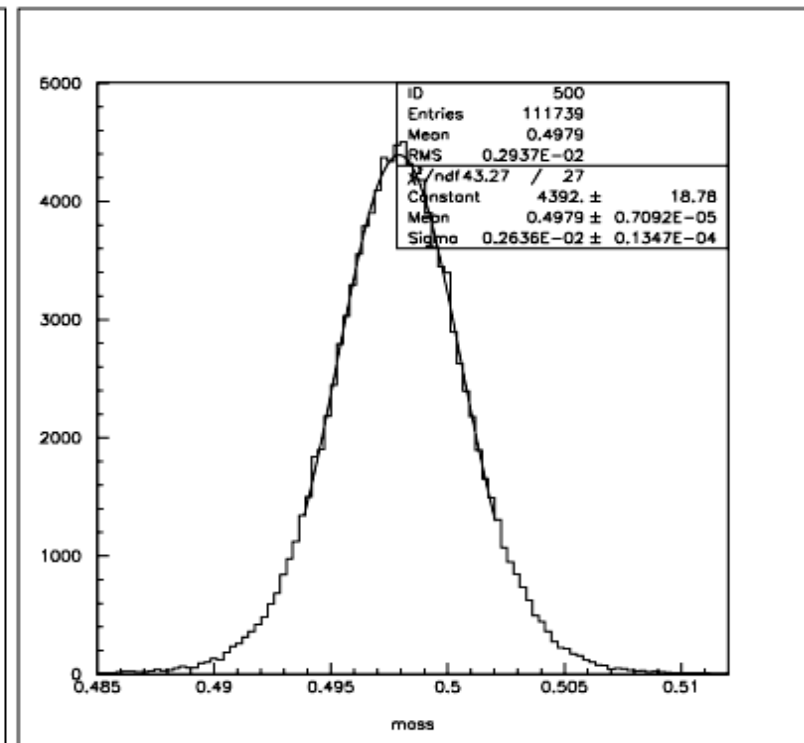
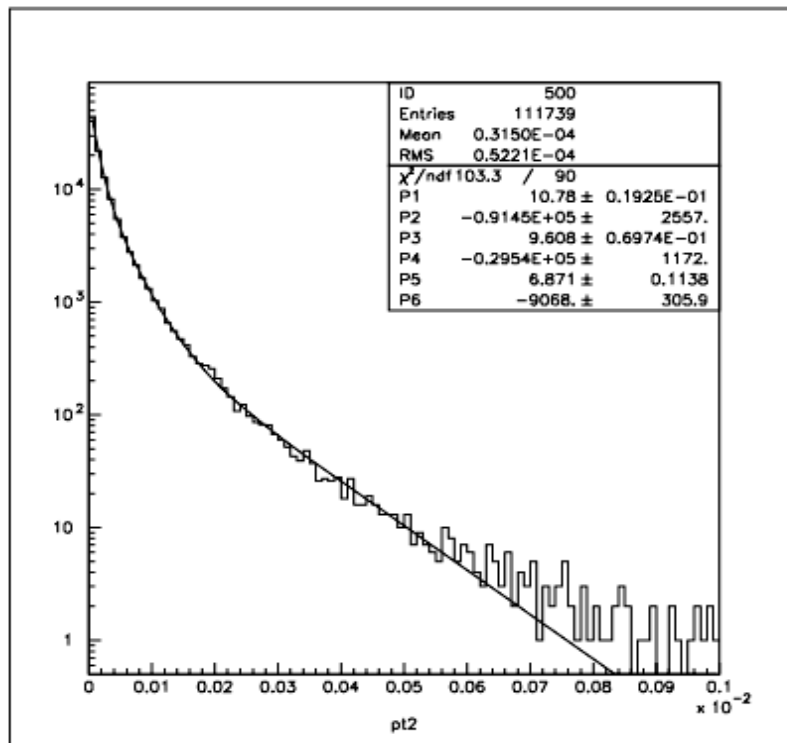
Final combined analysis of entire KTeV dataset in progress.

No new result on  $K_L \rightarrow \pi^0 \mu^\pm e^\mp$

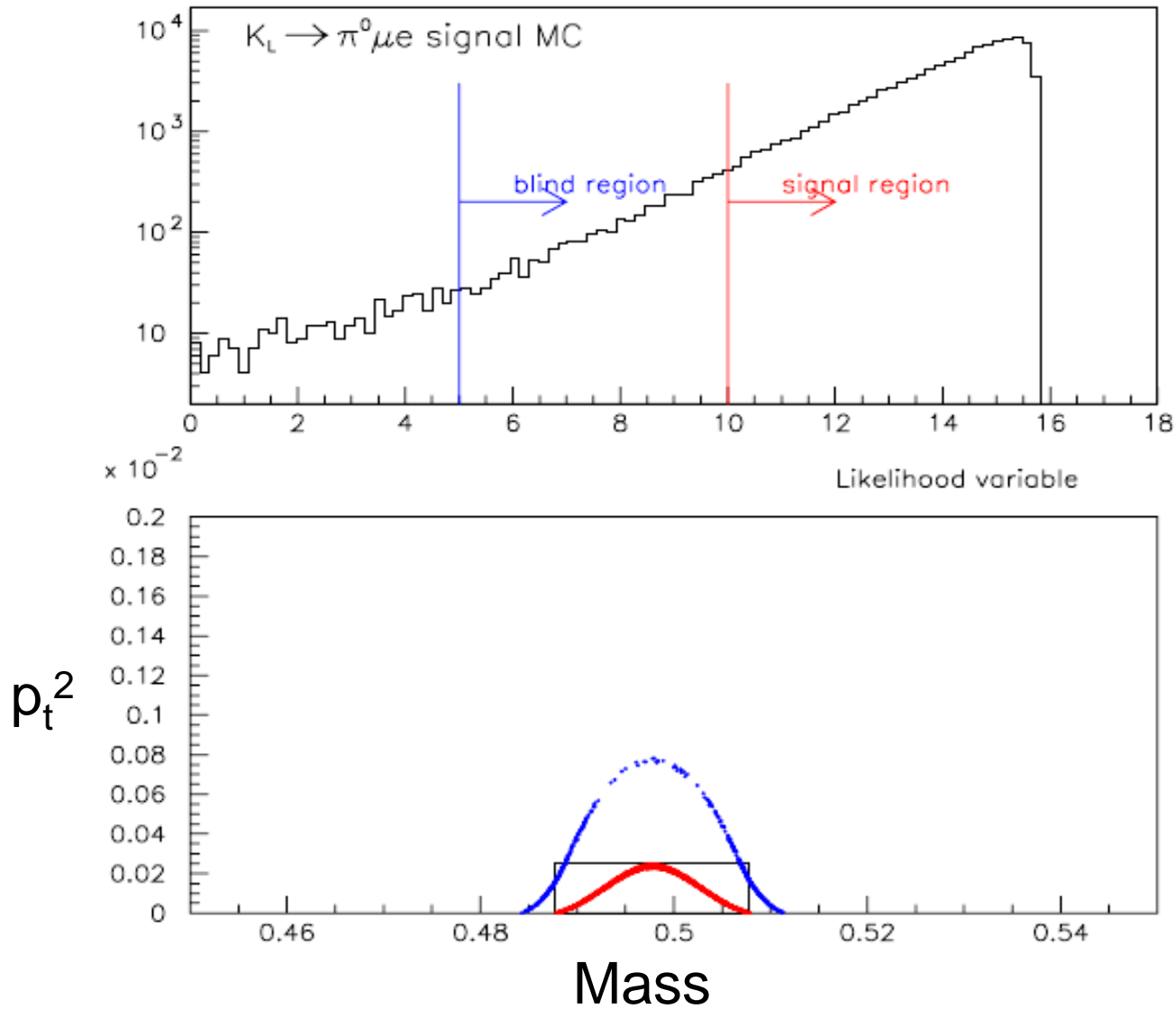
Very new result – Box opened last week – for  
 $K_L \rightarrow \pi^0 \pi^0 \mu^\pm e^\mp$  and  $\pi^0 \rightarrow \mu^\pm e^\mp$

After cuts to remove background final selection is usually made on  $m_{\pi\pi\mu e}$  and  $p_t^2$  with respect to  $K_L$  flight direction, defining a “box”.

Instead we define a probability distribution function (pdf) that  $m$  and  $p_t^2$  have appropriate values.



# Defining the search region



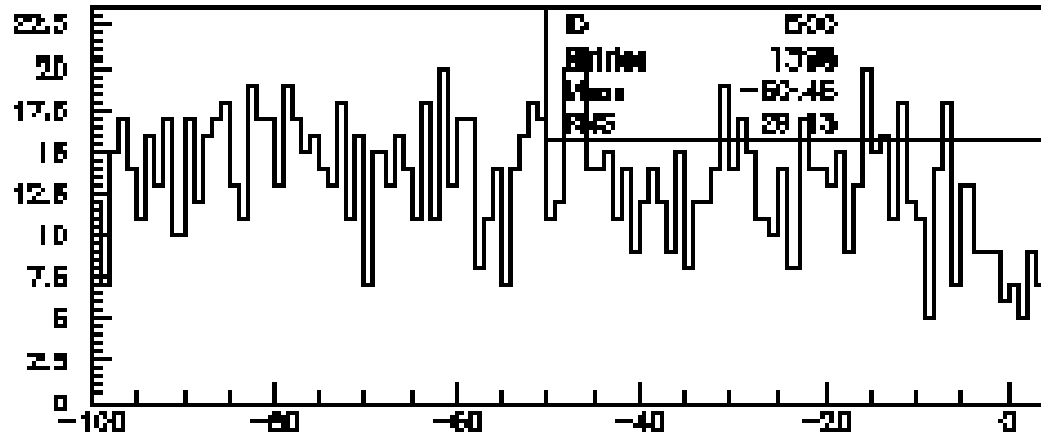
Backgrounds appear to be from a little bit of everything

Impossible to generate enough Monte Carlo to simulate.

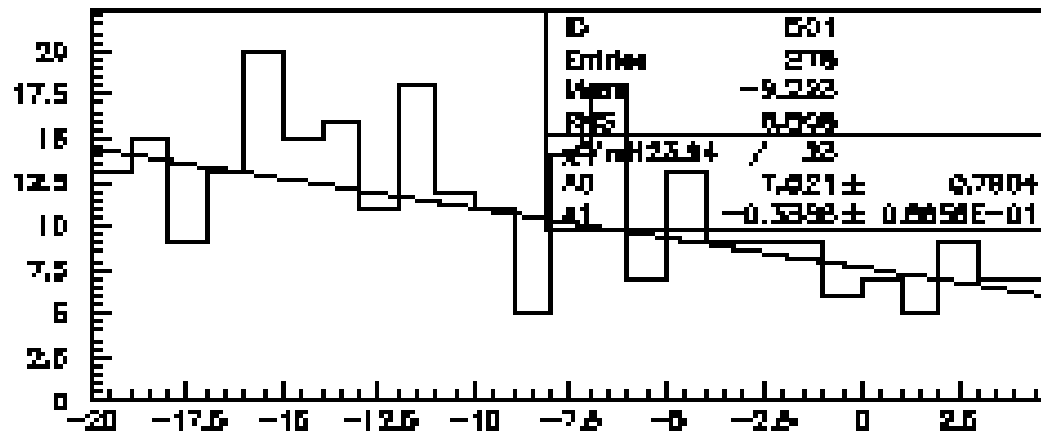
Probably cannot trust Monte Carlo at  $10^{-10}$  level

Instead estimate background from data, but not enough events left after final cuts to extrapolate to signal region

# Determine background from data by fitting pdf for data with relaxed cuts



pdf



pdf

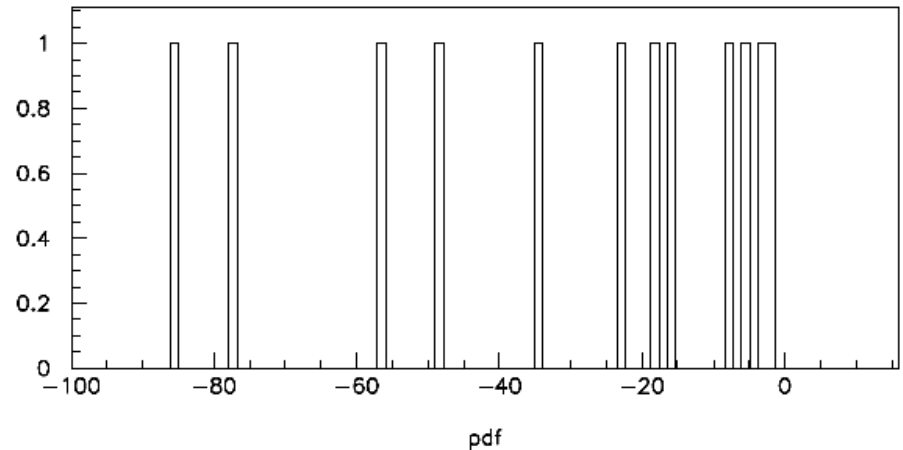
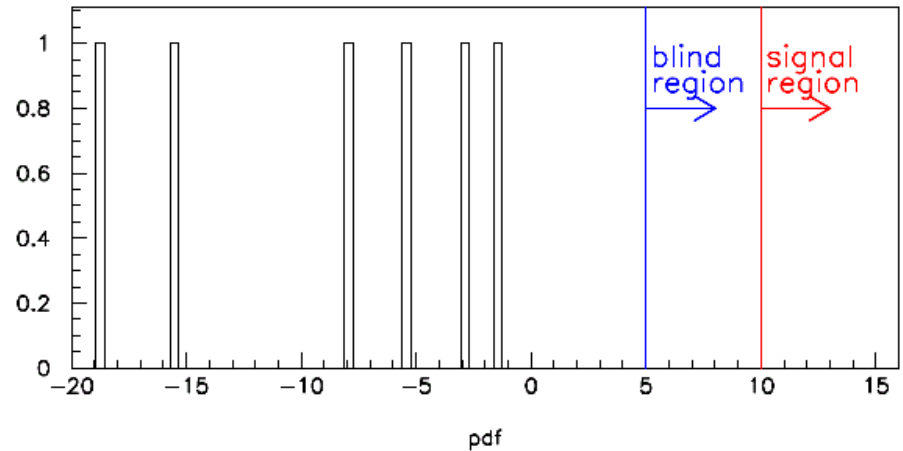
Signal region  
Is pdf > 10

## Expected backgrounds:

$$K_L \rightarrow \pi^0 \pi^0 \mu^\pm e^\mp \quad 0.44 \pm 0.12 \text{ events}$$

$$\pi^0 \rightarrow \mu^\pm e^\mp \quad 0.03 \pm 0.02 \text{ events}$$

Box opened, no events seen  
in either signal or blind region



Preliminary result using Feldman-Cousins method

90% confidence level upper limits

$$\text{BR}(K_L \rightarrow \pi^0 \pi^0 \mu^\pm e^\mp) < 1.58 \times 10^{-10}$$

$$\text{BR}(\pi^0 \rightarrow \mu^\pm e^\mp) < 3.63 \times 10^{-10}$$



## Summary

Recent very sensitive results from KTeV and NA48 on:

$$K_L \rightarrow \pi^+ \pi^- \gamma$$

$$K^\pm \rightarrow \pi^\pm \pi^0 \gamma$$

$$K_L \rightarrow \pi^+ \pi^- \pi^0 \gamma^{(*)}$$

$$K_L \rightarrow e^+ e^- \gamma$$

$$K_L \rightarrow \pi^\pm e^\mp \nu e^+ e^-$$

$$\pi^0 \rightarrow e^+ e^-$$

lepton flavor violating modes