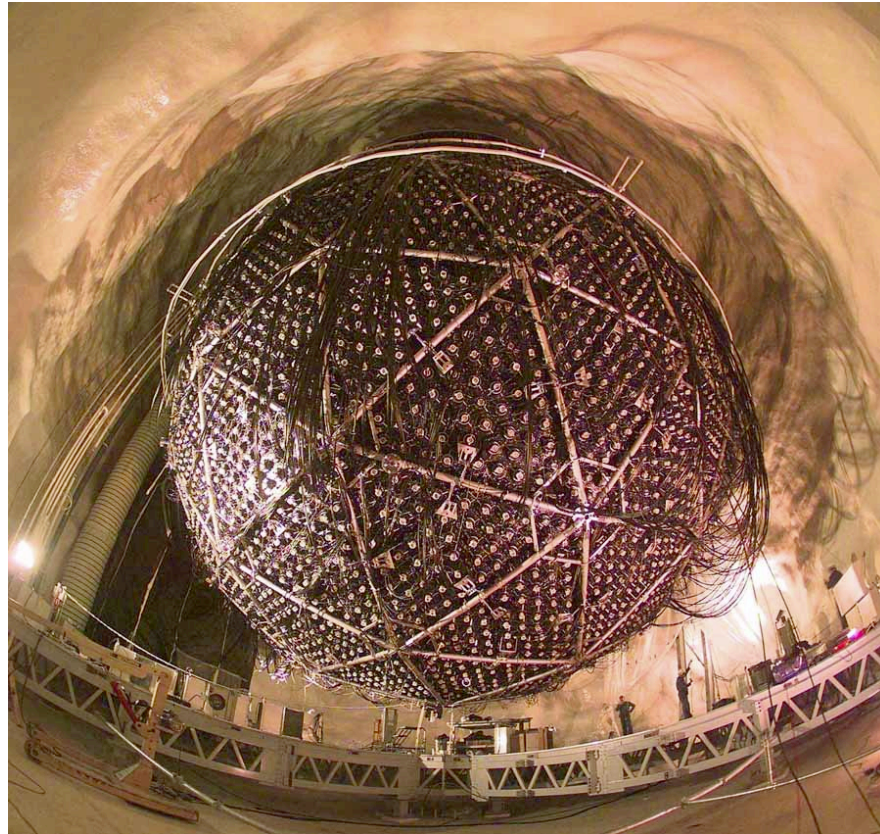


# Solar Neutrino Observations at the Sudbury Neutrino Observatory (SNO)



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Lawrence Berkeley National Laboratory*



† for the SNO Collaboration





# Outline

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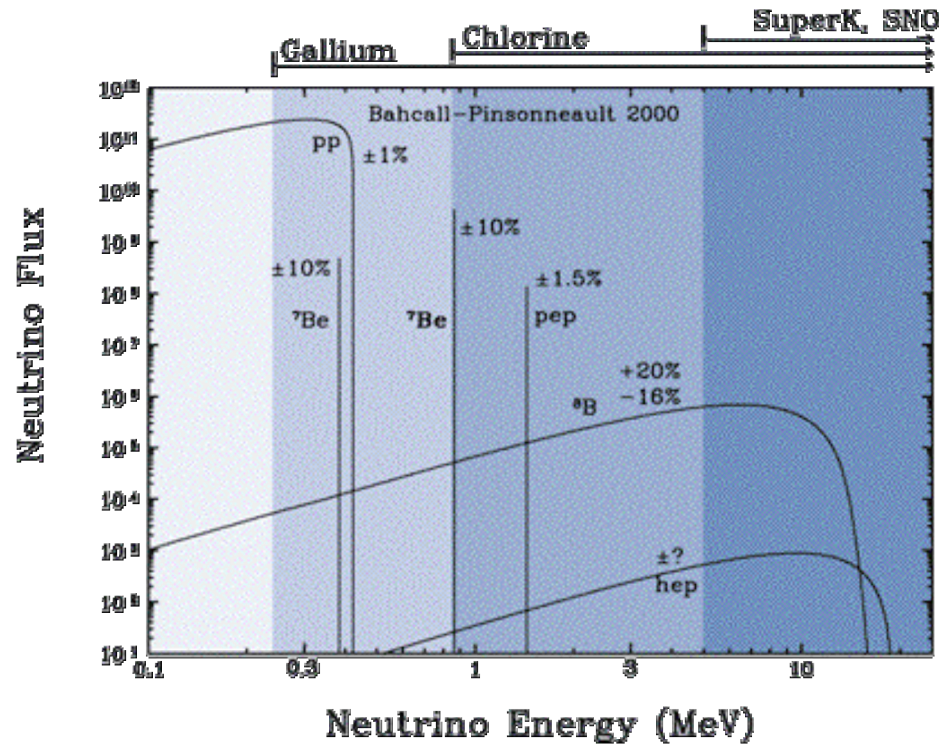
- 1. Introduction – the Solar Neutrino Problem (SNP)**
- 2. Results from the Sudbury Neutrino Observatory**
- 3. Physics Implications**
- 4. Summary**



# Solar Neutrino Problem



**pp Chain:  $4p + 2e \rightarrow ^4\text{He} + 2\nu_e + 26.7\text{MeV}$**



Experiment	Reaction
Homestake	$\nu_e + ^{37}\text{Cl} \rightarrow ^{37}\text{Ar} + e$
SAGE	$\nu_e + ^{71}\text{Ga} \rightarrow ^{71}\text{Ge} + e$
Gallex + GNO	$\nu_e + ^{71}\text{Ga} \rightarrow ^{71}\text{Ge} + e$
Kamiokande + Super-Kamiokande	$\nu_x + e \rightarrow \nu_x + e$

GALLEX :  $\frac{\nu_{\text{Ga}}(\nu_e)}{\nu_{\text{SSM}}(\nu_e)} = 0.58 \pm 0.05$

SAGE :  $\frac{\nu_{\text{Ga}}(\nu_e)}{\nu_{\text{SSM}}(\nu_e)} = 0.60 \pm 0.05$

Homestake :  $\frac{\nu_{\text{Cl}}(\nu_e)}{\nu_{\text{SSM}}(\nu_e)} = 0.34 \pm 0.03$

Super - K :  $\frac{\nu_{\text{SK}}(\nu_x)}{\nu_{\text{SSM}}(\nu_e)} = 0.451^{+0.017}_{-0.015}$



# Solar Neutrino Problem



*either*  
**Solar models are incomplete/incorrect**  
*or*  
**Neutrinos undergo flavor-changing oscillation**



*In this talk, we will demonstrate that:*

**Solar model prediction of the active  $^8\text{B}$   $\square$  flux is  
consistent with experiments**

**AND**

**Neutrinos change flavors while in transit to the Earth**





# Neutrino Oscillation



The lepton mixing matrix (**Maki-Nakagawa-Sakata-Pontecorvo**) is expressed as

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

and the  $\nu_e$  flavor state evolves as

$$\nu_e = U_{e1} e^{iE_1 t} \nu_1 + U_{e2} e^{iE_2 t} \nu_2 + U_{e3} e^{iE_3 t} \nu_3$$

2 □

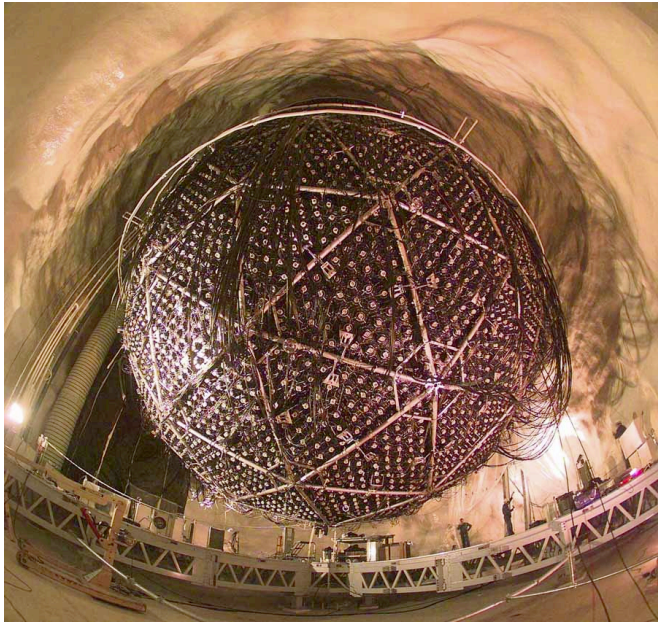
**Survival :  
Prob.**

$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2 2\theta \sin^2 \left[ 1.27 \frac{m^2 [\text{eV}^2] L [\text{m}]}{E [\text{MeV}]} \right] \text{ where } \Delta m^2 = m_2^2 - m_1^2$$

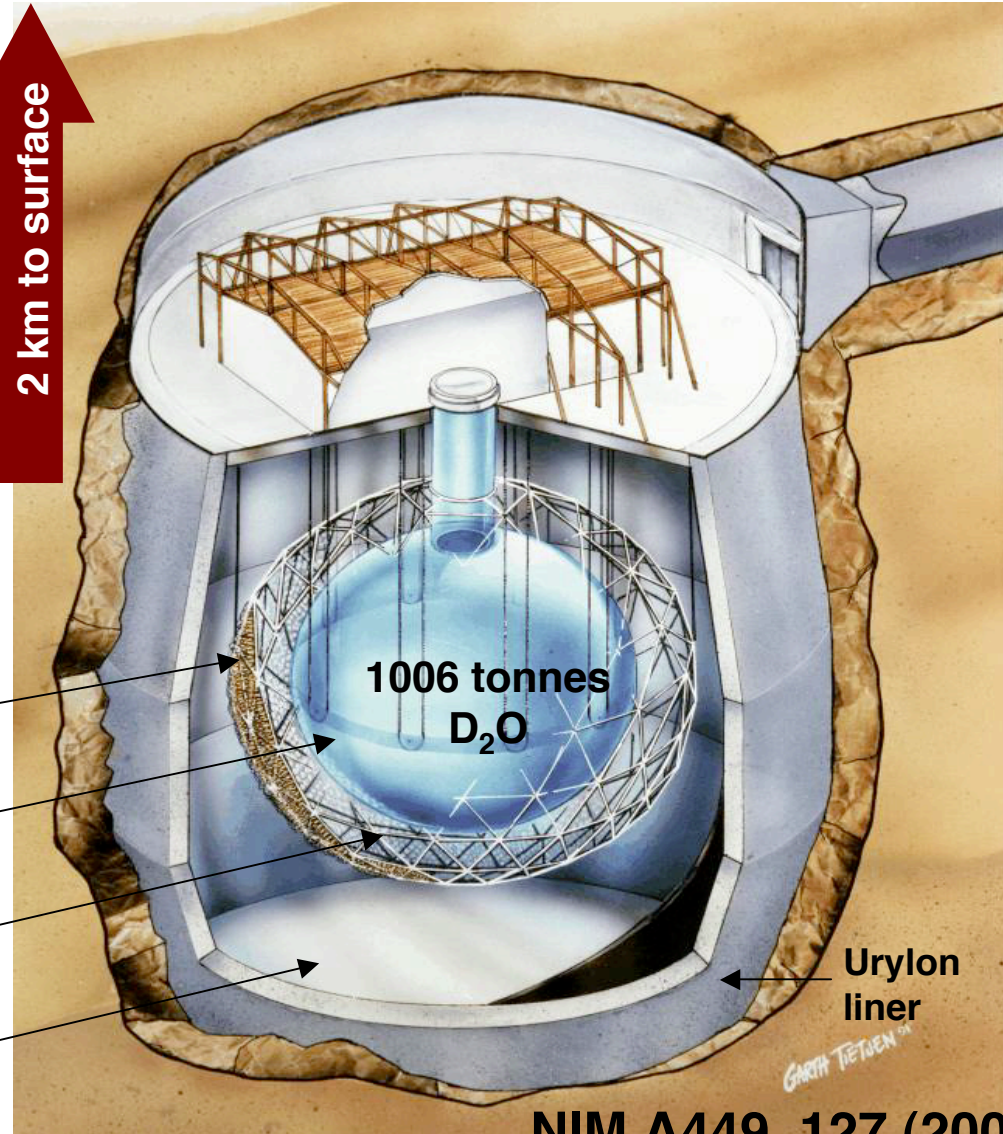
*Note: May also have resonant flavor conversion in matter — **Mikheyev-Smirnov-Wolfenstein (MSW) effect***



# Sudbury Neutrino Observatory



2 km to surface



17.8m dia. PMT Support Structure  
9456 20-cm dia. PMTs  
56% coverage

12.01m dia. acrylic vessel

1700 tonnes of inner shielding H<sub>2</sub>O

5300 tonnes of outer shielding H<sub>2</sub>O

1006 tonnes  
D<sub>2</sub>O

Urylon liner

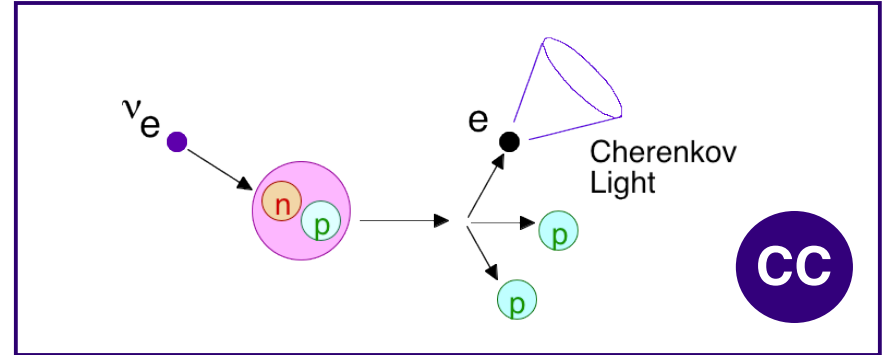
NIM A449, 127 (2000)



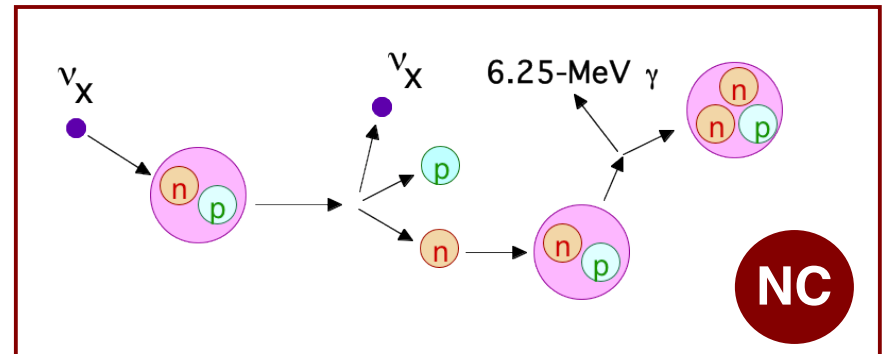
# Detecting $\bar{\nu}$ in SNO



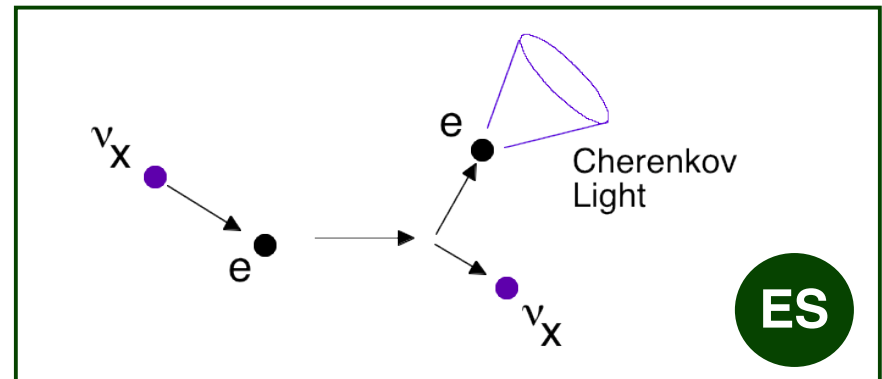
- Measurement of  $\bar{\nu}_e$  energy spectrum
- Weak directionality:  $1 \pm 0.340 \cos \theta$



- Measure total  $^8\text{B}$   $\bar{\nu}$  flux from the sun
- $\sigma(\bar{\nu}_e) = \sigma(\bar{\nu}_\mu) = \sigma(\bar{\nu}_\tau)$



- Low Statistics
- $\sigma = \sigma(\bar{\nu}_e) + 0.154 \sigma(\bar{\nu}_\mu + \bar{\nu}_\tau)$
- Strong directionality:  $\bar{\nu}_e \approx 18^\circ$  ( $T_e = 10 \text{ MeV}$ )





# Smoking Guns for Flavor Changing Oscillation



Measure:

Oscillation to active flavor if:

$$\frac{\phi^{CC}}{\phi^{ES}} = \frac{\phi_e}{\phi_e + 0.15(\phi_{\mu} + \phi_{\tau})} \Rightarrow \phi^{CC}(\phi_e) < \phi^{ES}(\phi_x)$$

June 2001:  $\phi_{SNO}^{CC}(\phi_e) < \phi_{SK}^{ES}(\phi_x)$  (3.3 $\sigma$ ) [PRL 87, 071301 (2001)]

THIS TALK

$$\frac{\phi^{CC}}{\phi^{NC}} = \frac{\phi_e}{\phi_e + \phi_{\mu} + \phi_{\tau}} \Rightarrow \phi^{CC}(\phi_e) < \phi^{NC}(\phi_x)$$

April 2002:  $\phi_{SNO}^{CC}(\phi_e), \phi_{SNO}^{NC}(\phi_x), \phi_{SNO}^{ES}(\phi_x)$  [PRL 89, 011301 (2002)]

$$\phi_{Night}^{CC(ES)} > \phi_{Day}^{CC(ES)} \Rightarrow \phi_{Night}^{CC(ES)} > \phi_{Day}^{CC(ES)}$$

April 2002: Day and Night fluxes [PRL 89, 011302 (2002)]





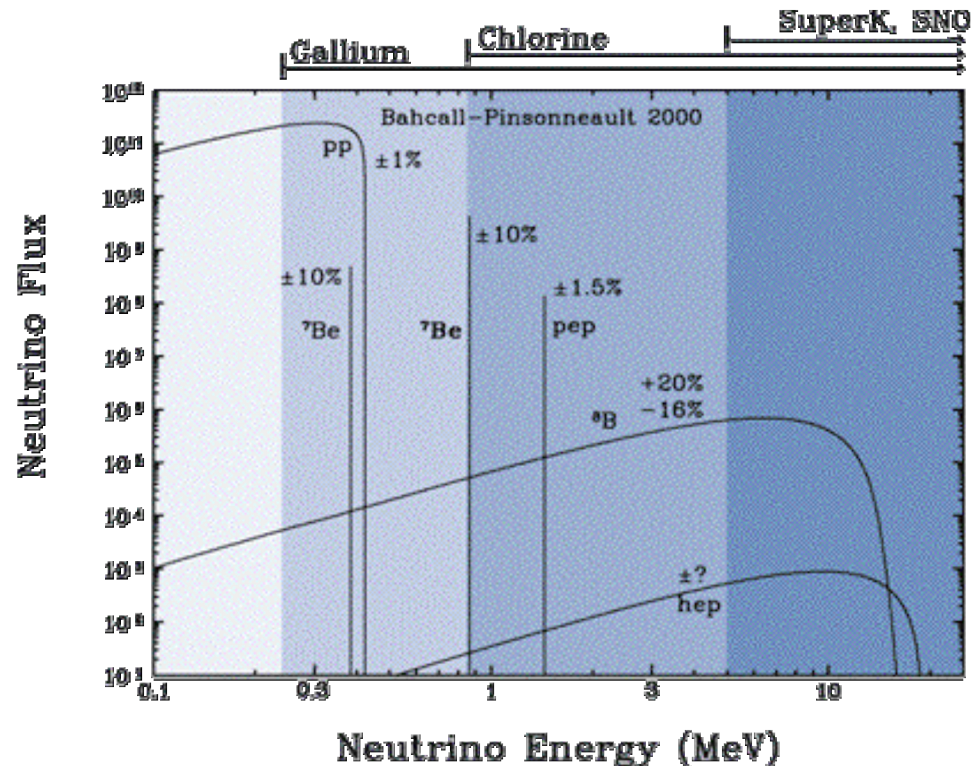
# What else can NC tell us?



→ SNO NC  
→ SNO CC

- $\bar{\nu}_e$  disappearance and  $\bar{\nu}_\mu/\bar{\nu}_\tau$  appearance in one experiment:

  - Direct measurement of the total active  $^8\text{B}$  flux
  - No ambiguity in combining results from experiments with different systematics (e.g. energy resolution)
- Lowest  $E_\nu$  threshold (2.2 MeV) for real time experiments  
[No energy spectral information]







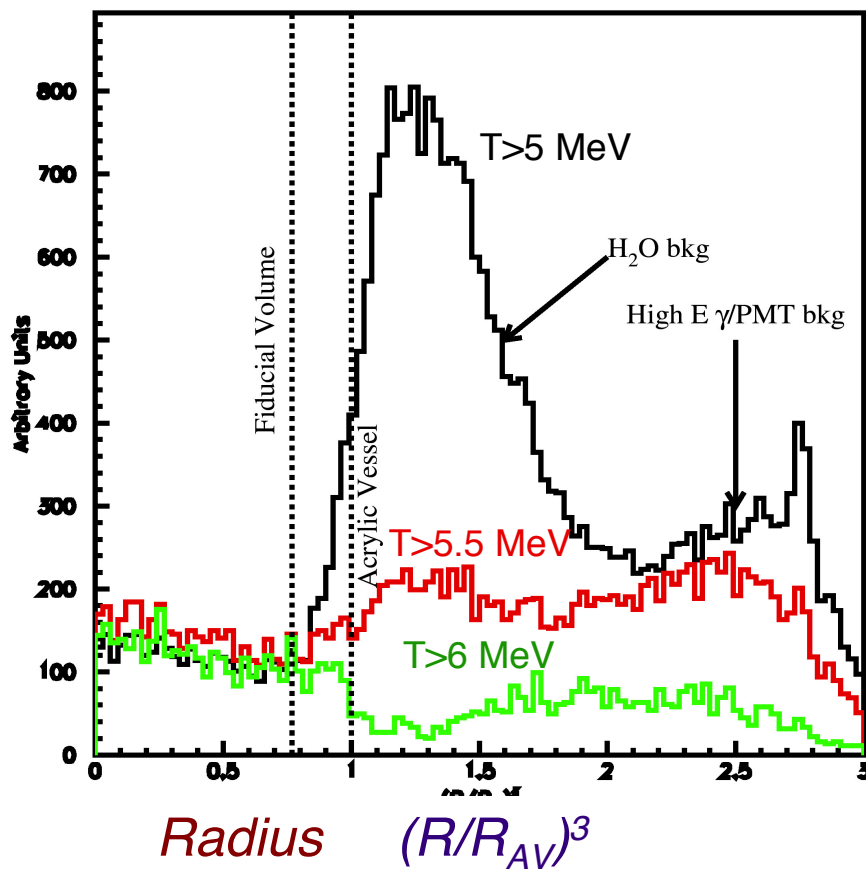
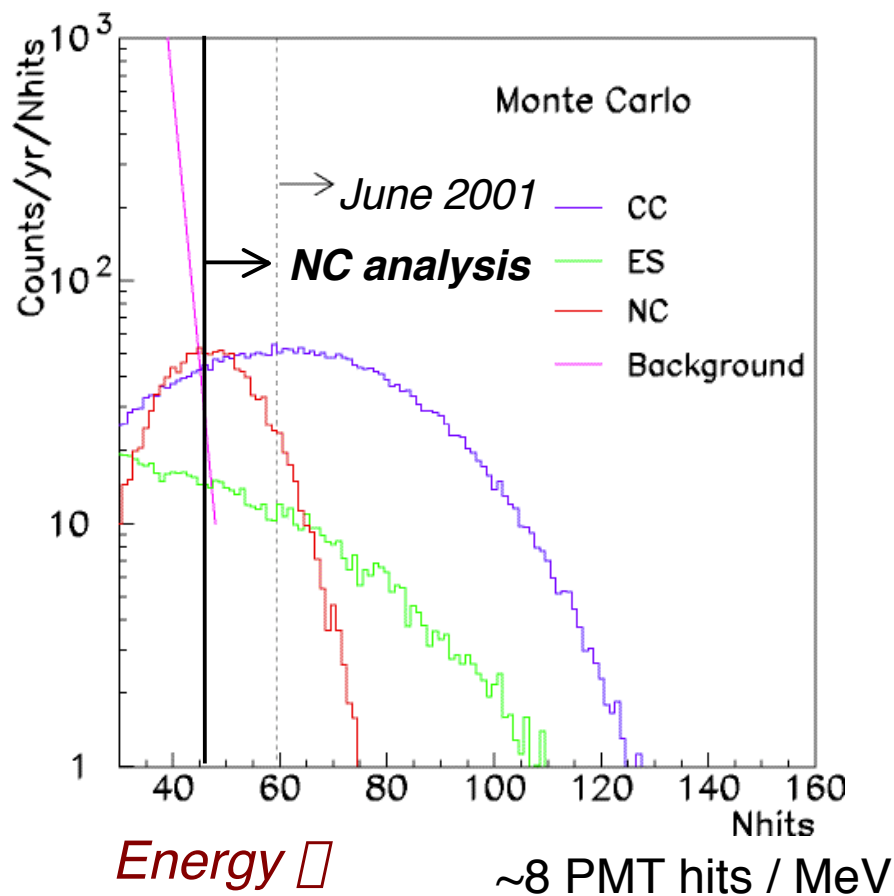
# Solar Neutrino Analysis (NC+CC+ES)



## NC and Day-Night Analysis in Pure D<sub>2</sub>O

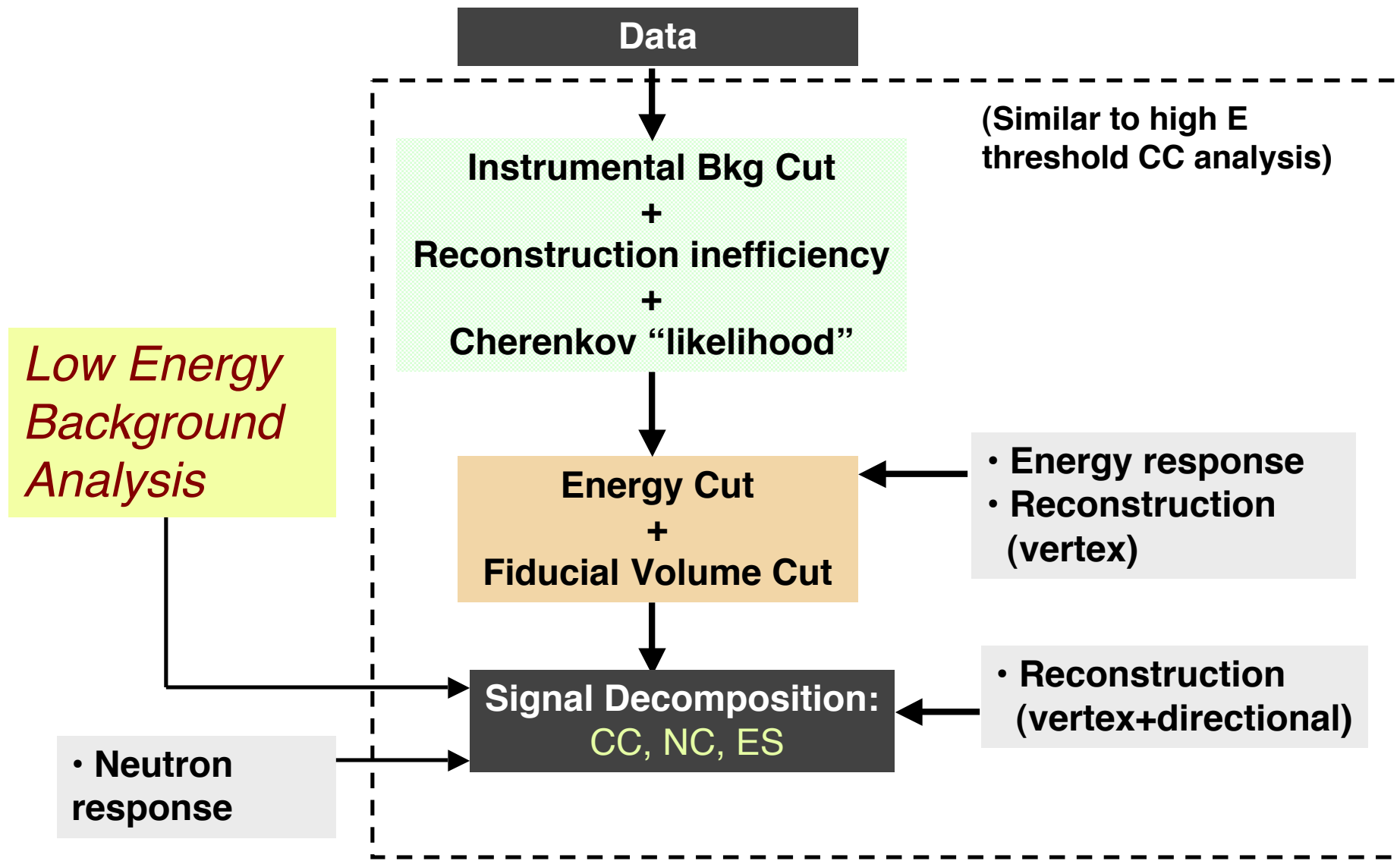
- Energy:  $T_{\text{eff}} > 5 \text{ MeV}$
- Fiducial vol.:  $R < 550 \text{ cm}$

E Threshold      Low E Background





# NC Data Analysis





# Data Reduction



Nov 2, 1999 to May 28, 2001  
306.4 live days □ *Day*=128.5 days, *Night*=177.9 days

<i>Analysis Step</i>	<i>Events</i>
Total Event Triggers	450,188,649
Neutrino Data Trigger	191,312,560
NHIT $\geq 30$	10,088,842
Instrumental Background	7,805,238
Cherenkov "likelihood"	3,418,439
Fiducial Volume ( $R < 550\text{cm}$ )	67,343
Energy Threshold ( $T > 5\text{ MeV}$ )	3440
Muon Follower	2981
Residual Cosmic Background	2928
<b>Candidate Event Set</b>	<b>2928</b>

[c.f. High energy CC paper: 240.9 live days, 1169 candidate events]



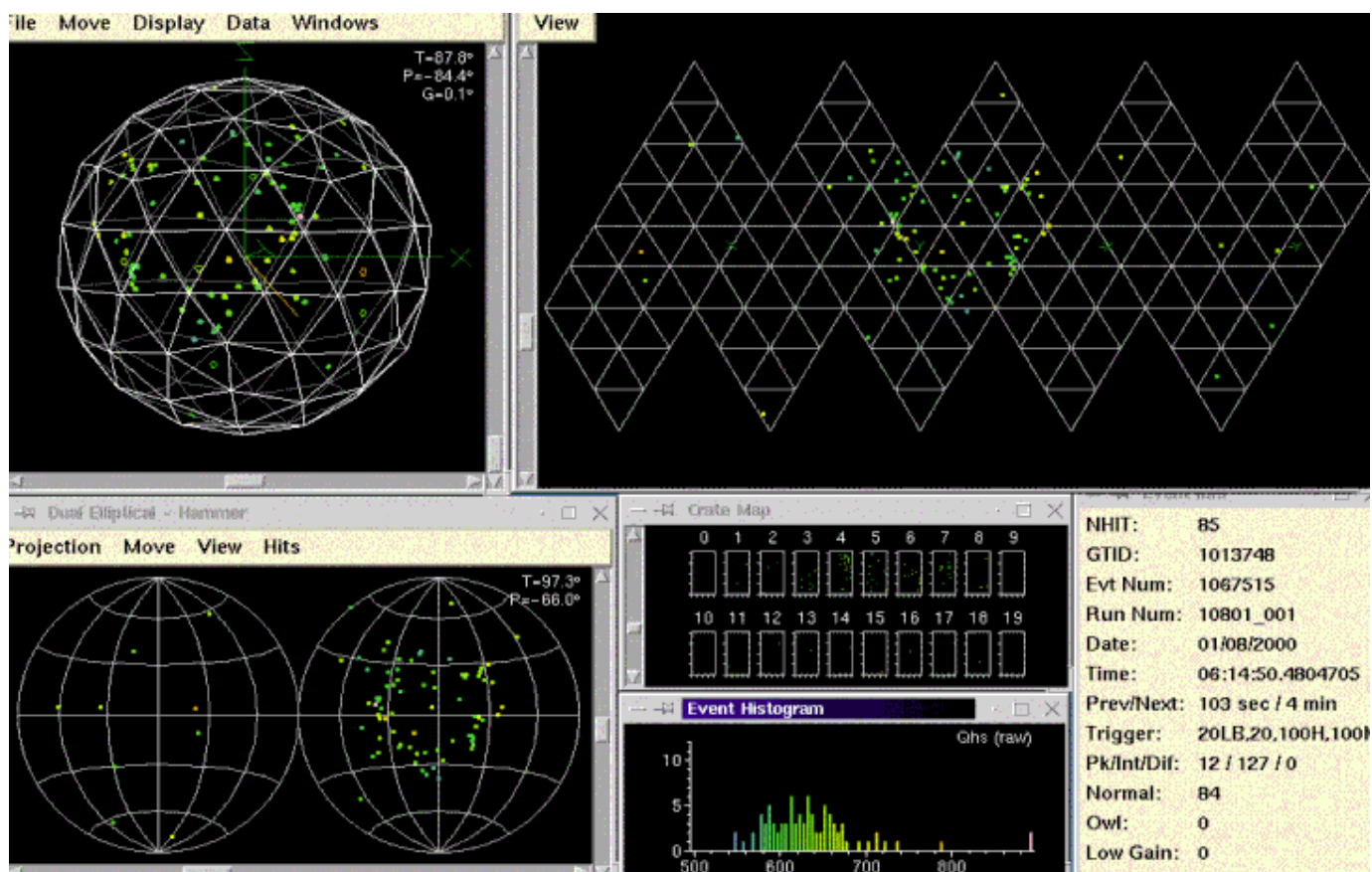
# A neutrino candidate event



**PMT Information:**  
**Positions,**  
**Charges, Times**



**Event Reconstruction:**  
**Vertex, Direction,**  
**Energy, Light Isotropy**



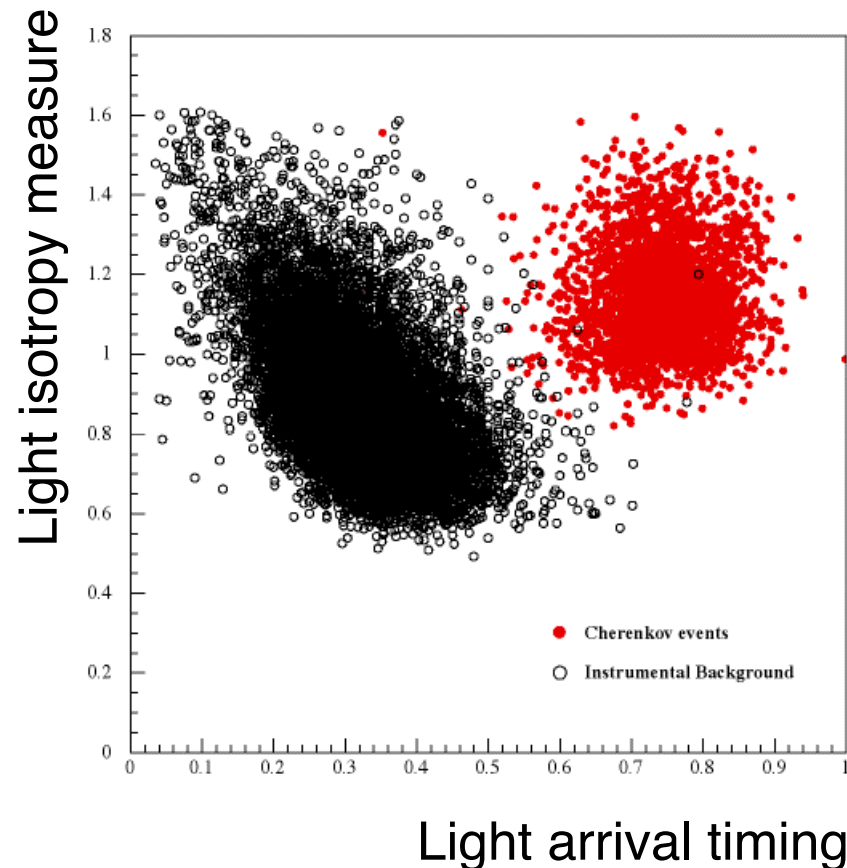


# Data Reduction Cuts



## *Remove instrumental background using:*

- PMT time & charge distribution
- Event time correlation
- Veto PMT tag
- Reconstruction information
- Light isotropy & arrival timing



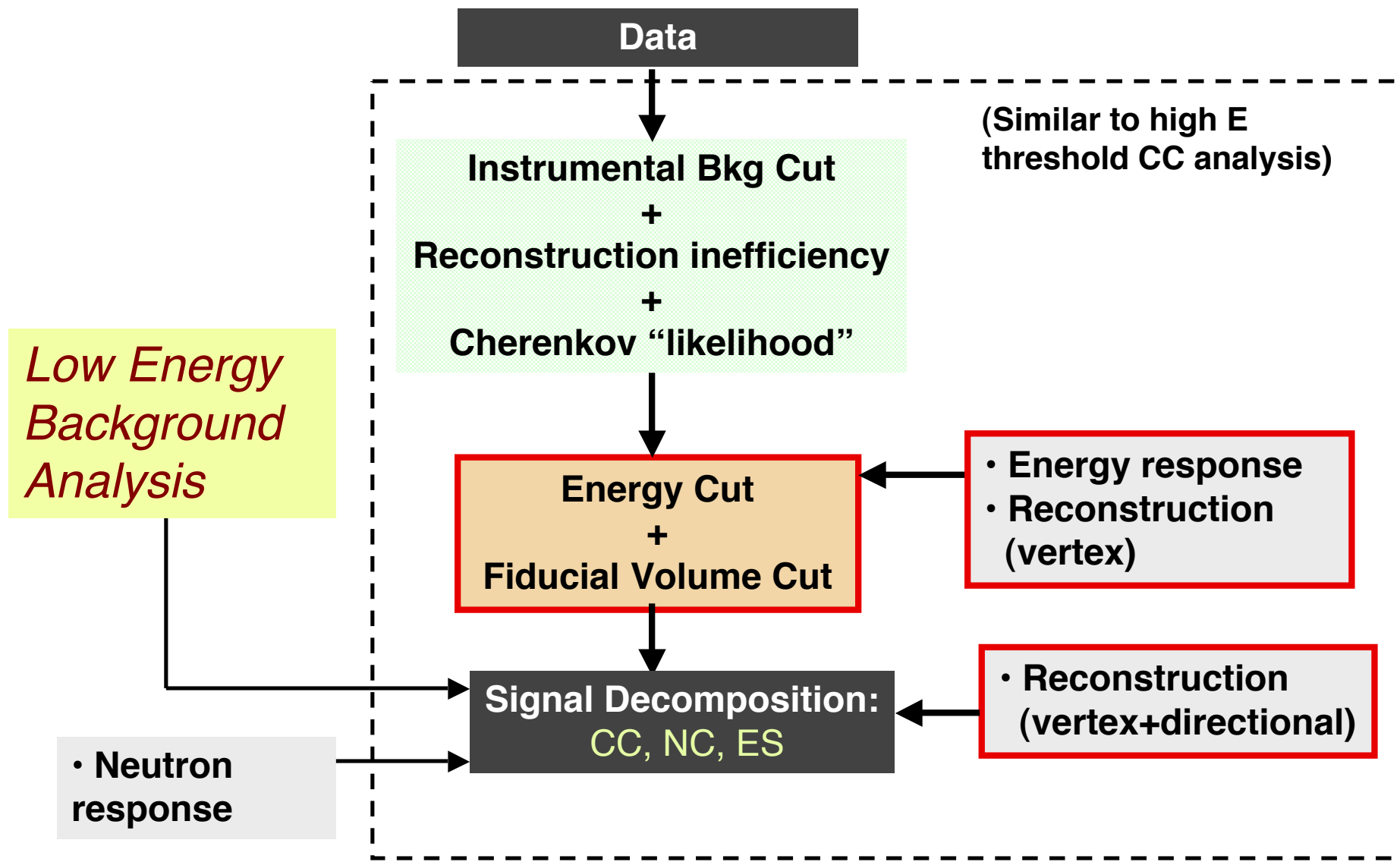
□ **signal loss:** CC :  $1.43^{+0.39}_{-0.21}\%$  ES :  $1.46^{+0.40}_{-0.21}\%$  NC :  $2.28^{+0.41}_{-0.23}\%$

**Residual instrumental bkg. contamination:** < 3 events (95% CL)





# NC Data Analysis





# Energy Response

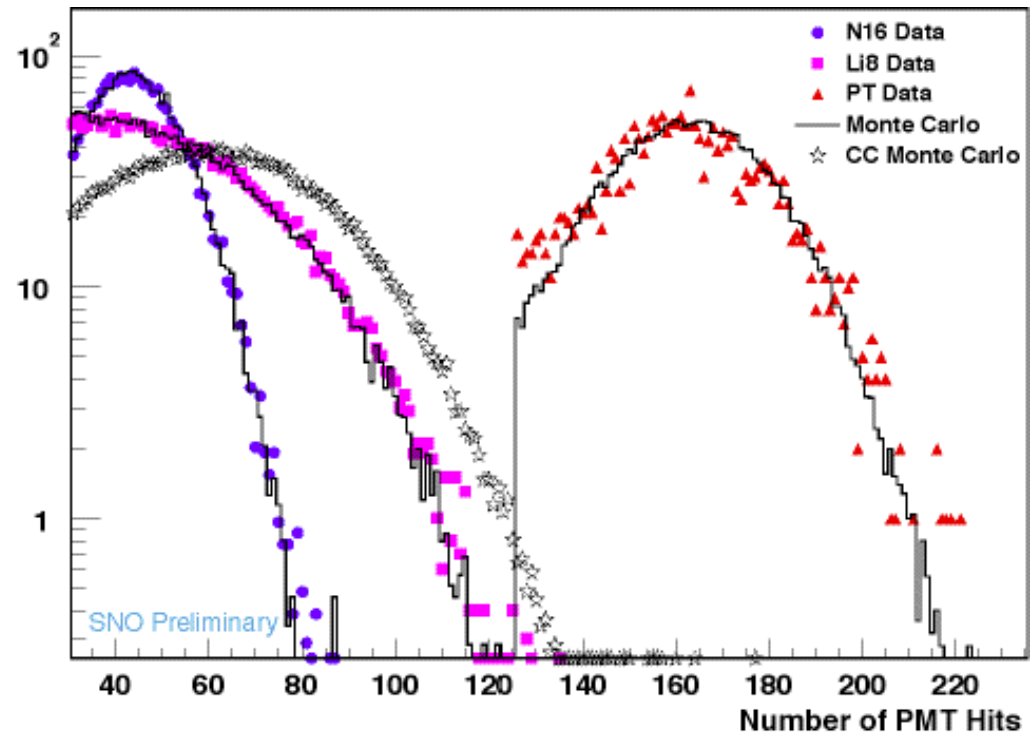


## Calibration:

- PMT & Optics
- Normalized to  $^{16}\text{N}$  [ $E_{\beta}=6.13$  MeV]
- Check with
  - $^8\text{Li}$  [13 MeV  $\beta$ ]
  - $^{252}\text{Cf}$  [ $d(n,\beta)$ ,  $E_{\beta}=6.25$  MeV]
  - $^3\text{H}(p,\beta)$  [19.8 MeV  $\beta$ ]

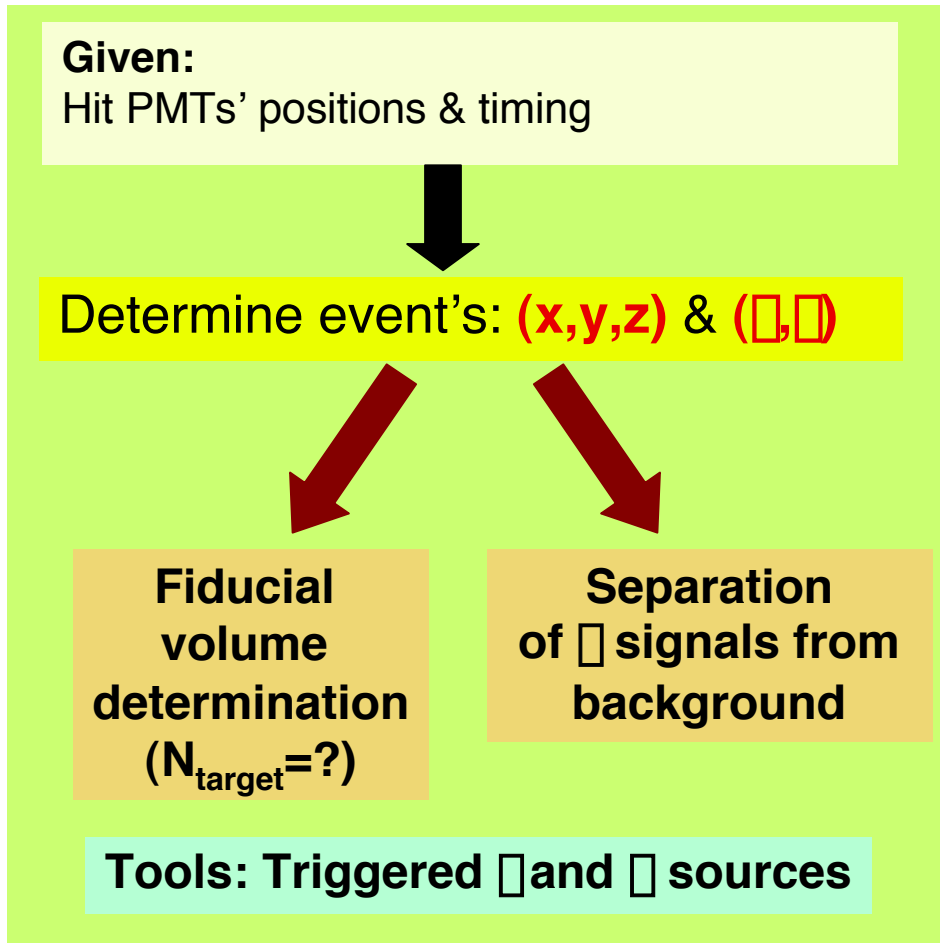
$\sigma E/E = \pm 1.21\%$   
 $\sigma\sigma/\sigma = +4.5\%$   
 Linearity =  $\pm 0.23\%$  @  $E_e=19.1$  MeV

	$\sigma\sigma_{\text{CC}}/\sigma_{\text{CC}}$	$\sigma\sigma_{\text{NC}}/\sigma_{\text{NC}}$
$\sigma E$	+4.3% $\sigma 4.2$	+6.1% $\sigma 6.2$
$\sigma\sigma$	+0.0% $\sigma 0.9$	+4.4% $\sigma 0.0$
Linearity	$\pm 0.1\%$	$\pm 0.4\%$
<b>Total</b>	<b>+4.3%</b> <b><math>\sigma 4.3</math></b>	<b>+7.5%</b> <b><math>\sigma 6.2</math></b>

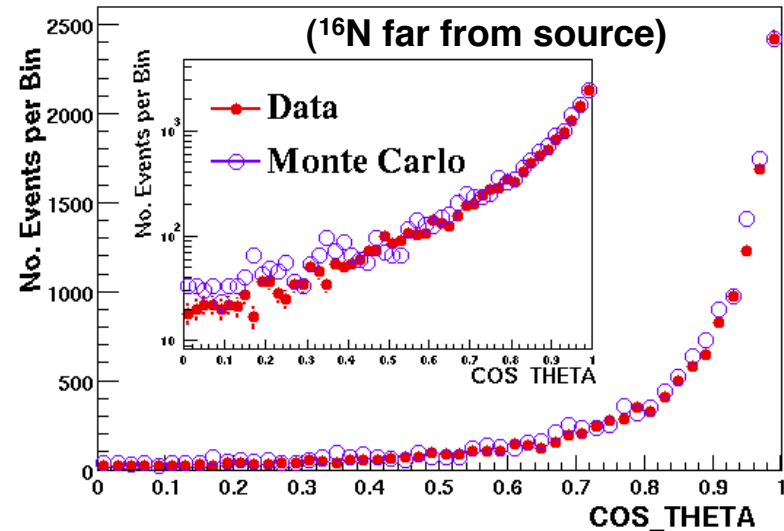




# Event Reconstruction



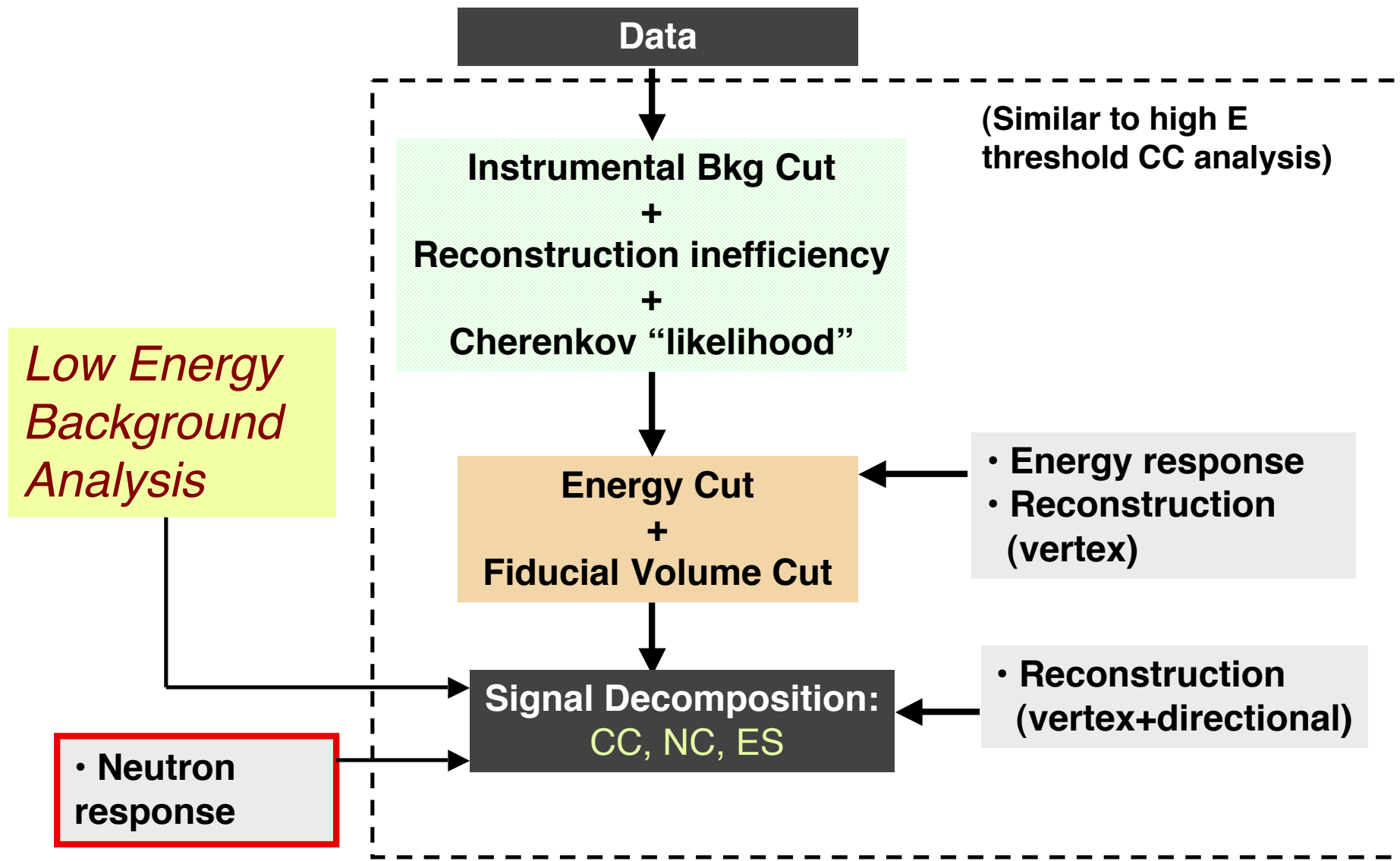
## Example: Angular Resolution



	$\sigma_{\text{CC}}/\sigma_{\text{CC}}$	$\sigma_{\text{NC}}/\sigma_{\text{NC}}$
Vertex Accuracy	+2.9 -2.8 %	+1.8 -1.8 %
Vertex Resolution	+0.0 -0.0 %	+0.1 -0.1 %
Angular Resolution	+0.2 -0.2 %	+0.3 -0.3 %
<b>Total</b>	<b>+2.9 -2.8 %</b>	<b>+1.8 -1.8 %</b>



# NC Data Analysis





# Neutron Detection Efficiency



- Calibrate using  $^{252}\text{Cf}$  fission source (~3.8 n per fission)

## Capture Efficiency

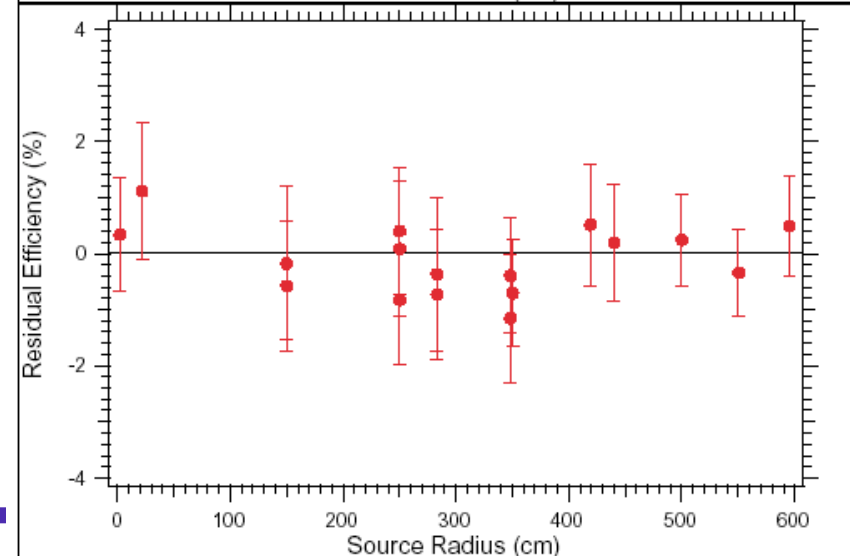
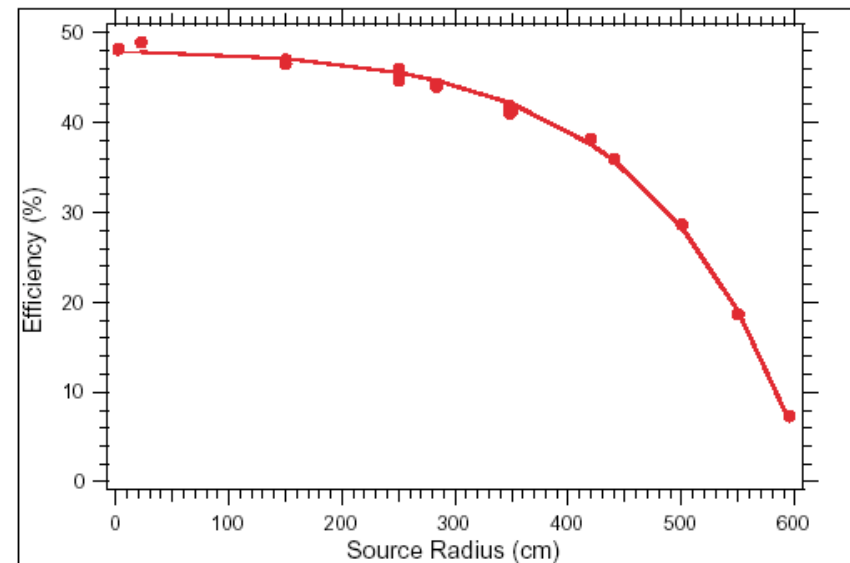
**Total:**  $29.90 \pm 1.10 \%$

**With energy**  $14.38 \pm 0.53 \%$

**threshold &  
fiducial volume  
selections**

( $T > 5 \text{ MeV}$ ,  $R < 550 \text{ cm}$ )

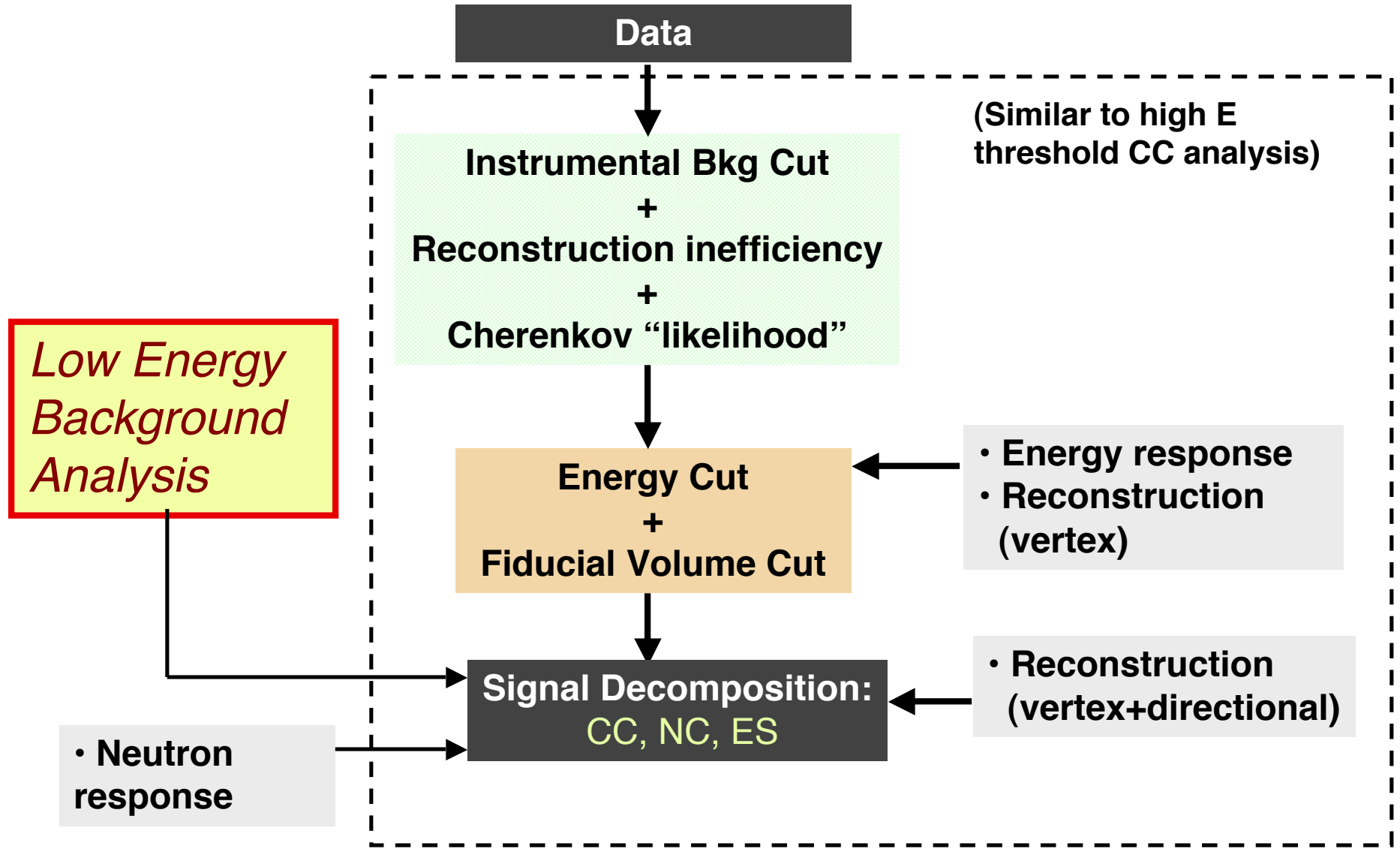
## Response vs $^{252}\text{Cf}$ source position







# NC Data Analysis





# Low Energy Background (Overview)



## Daughters in U or Th chain

- $\alpha$  decays
- $\alpha\beta$  decays

## “Photodisintegration” (pd)



Indistinguishable from NC !

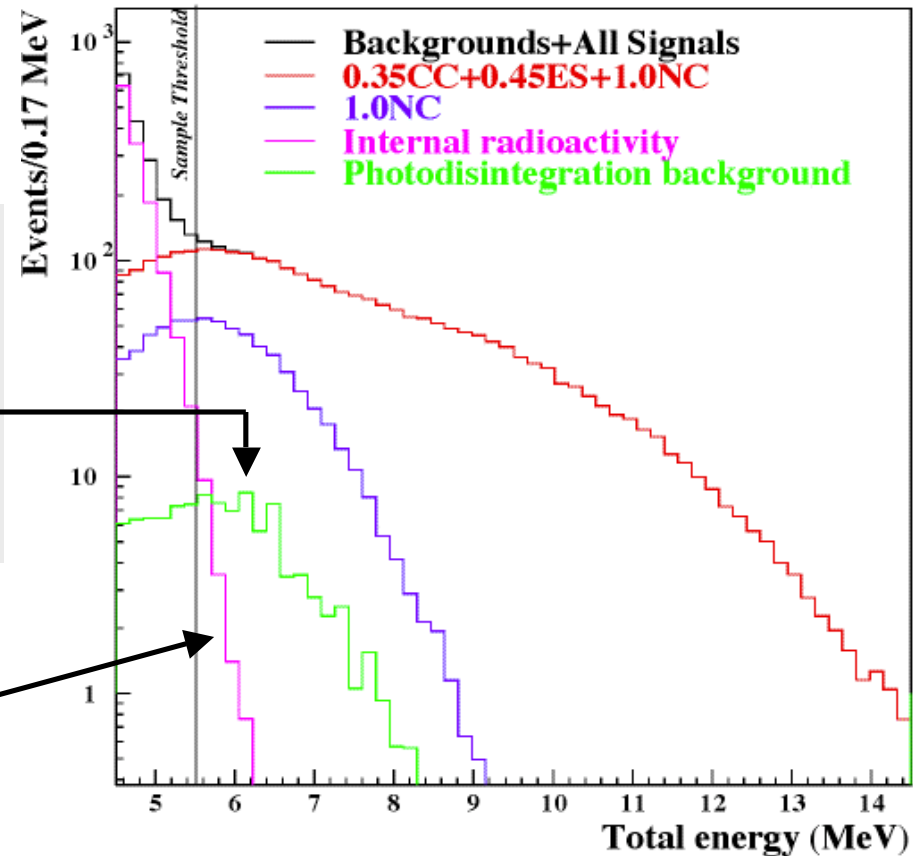
Technique:  $\alpha$  Radiochemical assay  
 $\beta$  Light isotropy

## “Cherenkov Tail”

Cause:  $\alpha$  Tail of resolution, or  
 $\beta$  Mis-reconstruction

Technique:  $\alpha$  U/Th calib. source  
 $\beta$  Monte Carlo

MC Prediction for 240 Days of Signal+Internal Background



Must know U and Th concentration in D<sub>2</sub>O



# Measuring the U and Th Concentration in “Water”

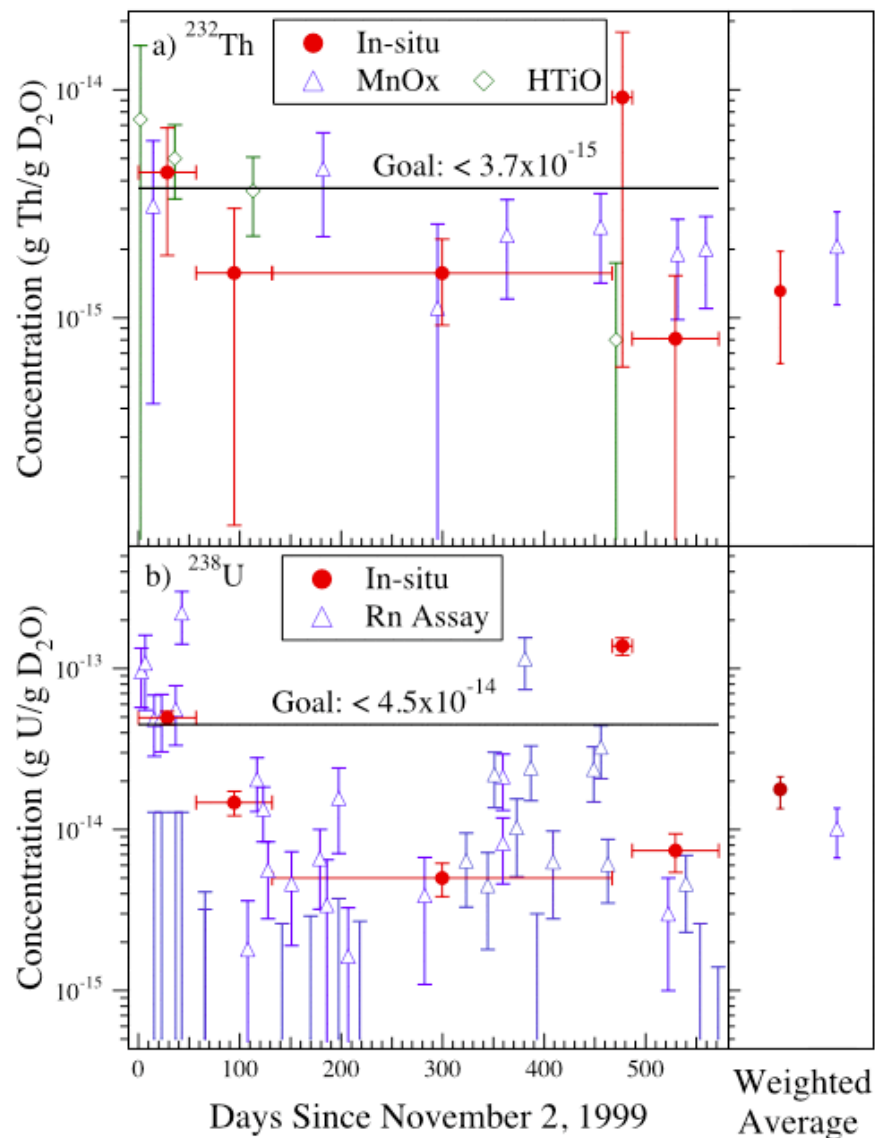
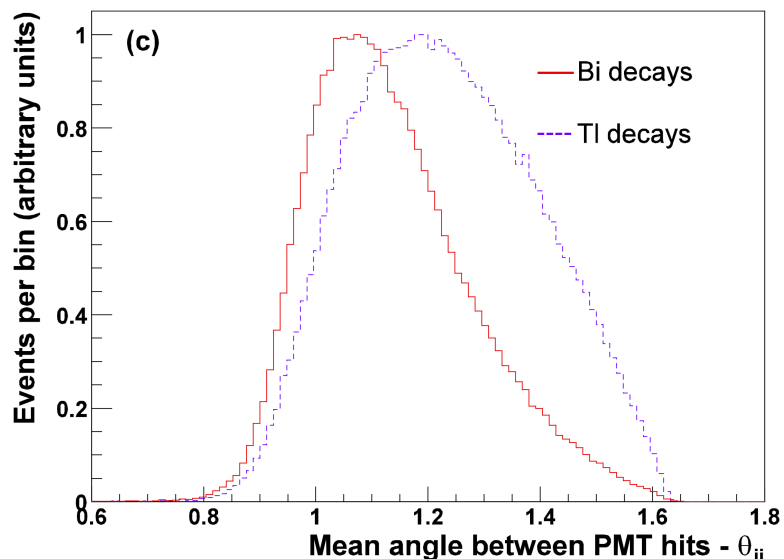


## I. Ex-situ (Radiochemical Assays)

- Count daughter product decays:  
 $^{224}\text{Ra}$ ,  $^{226}\text{Ra}$ ,  $^{222}\text{Rn}$

## II. In-situ (Low energy physics data)

- Statistical separation of  $^{208}\text{Tl}$  and  $^{214}\text{Bi}$  using light isotropy





# LE Background Summary



For  $T_e \geq 5$  MeV,  
 $R < 550$ cm

	pd neutron bkg. (counts)
D <sub>2</sub> O	$44^{+8}_{-9}$
H <sub>2</sub> O+AV	$27^{+8}_{-8}$
Atmospheric $\bar{\nu}$	$4 \pm 1$
<sup>235</sup> U spont. fission	$\ll 1$
<sup>2</sup> H( $\bar{\nu}$ , $\bar{\nu}$ )pn	$2.0 \pm 0.4$
<sup>17</sup> O( $\bar{\nu}$ ,n)	$\ll 1$
Terrestrial & reactor $\bar{\nu}$	$1^{+3}_{-1}$
External neutrons	$\ll 1$
<b>Total</b>	<b><math>78 \pm 12</math></b>

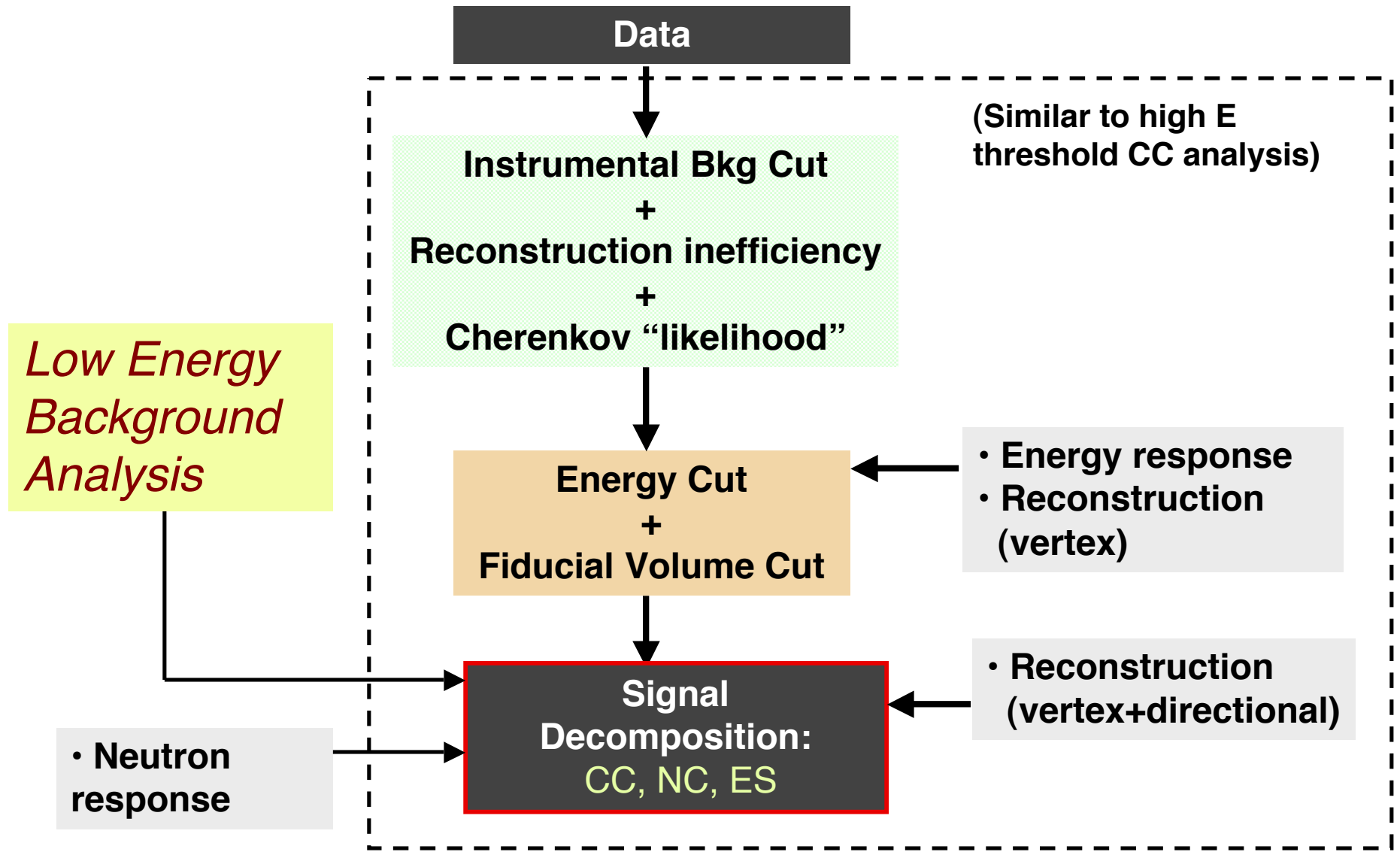
	Tail Bkg (counts)
D <sub>2</sub> O	$20^{+13}_{-6}$
H <sub>2</sub> O	$3^{+4}_{-3}$
AV	$6^{+3}_{-6}$
PMT	$16^{+11}_{-8}$
<b>Total</b>	<b><math>45^{+17}_{-11}</math></b>

[c.f.: 2928  $\bar{\nu}$  candidates]

12% of the number of observed NC neutrons assuming standard solar model  $\bar{\nu}$  flux



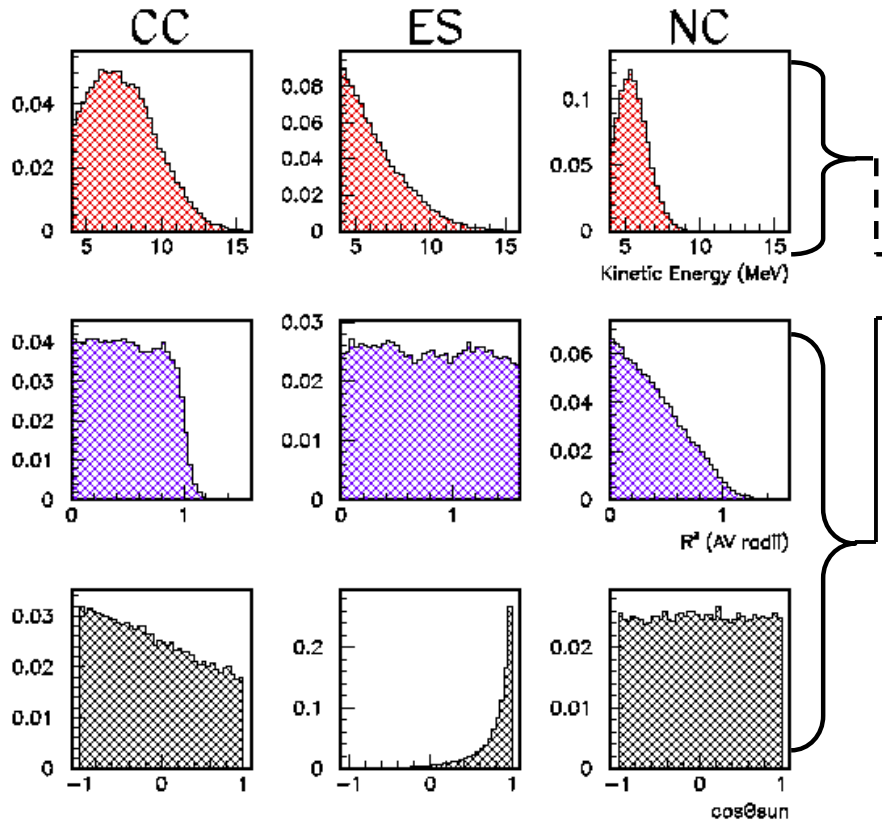
# NC Data Analysis







# Extracting the $\bar{\nu}$ Signals



$\bar{\nu}$ Signals	Cherenkov tail & neutron background
Amplitudes Free	Amplitudes Fixed
Perturb Observables: $\vec{R}, \vec{u}, T$	Shift amplitudes ( $\pm 1 \sigma$ )

Max. Likelihood Fit



$$\begin{array}{c}
 \nu^{CC} \nu^{NC} \nu^{ES} \\
 \text{OR} \\
 \nu_e \nu_{\mu\tau}
 \end{array}
 + \nu\nu$$

- PDFs: *kinetic energy T, event location R<sup>3</sup>, and solar angle correlation cos  $\theta_{\odot}$*



# Signal Extraction Results



Assume standard  $^8\text{B}$   $\square$  spectrum

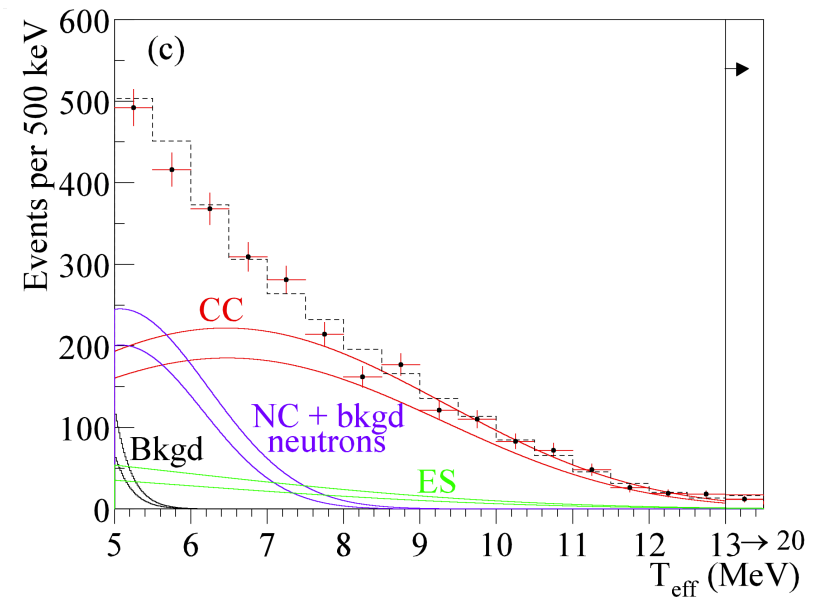
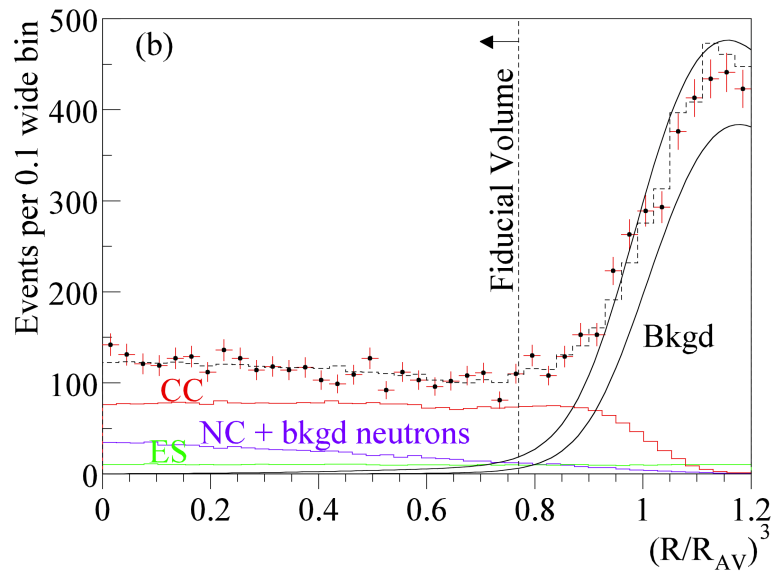
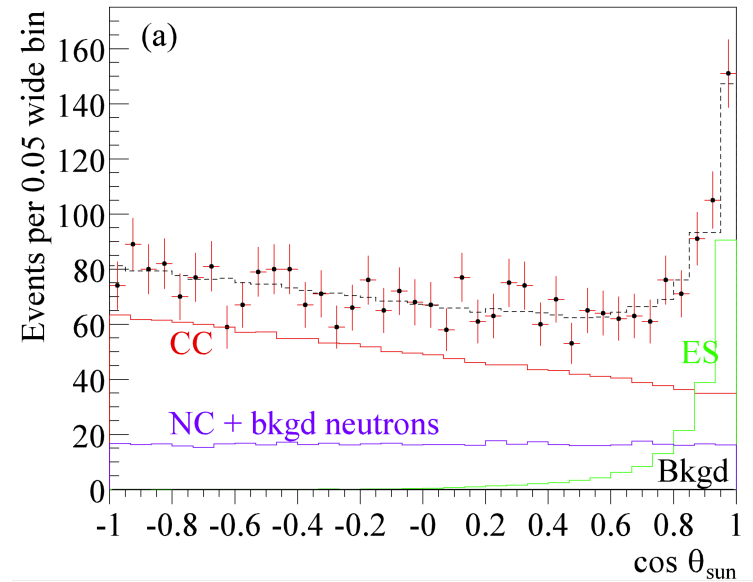
**Null hypothesis:**

**no neutrino flavor mixing**

**CC**      1967.7    +61.9  
                 -60.9    events

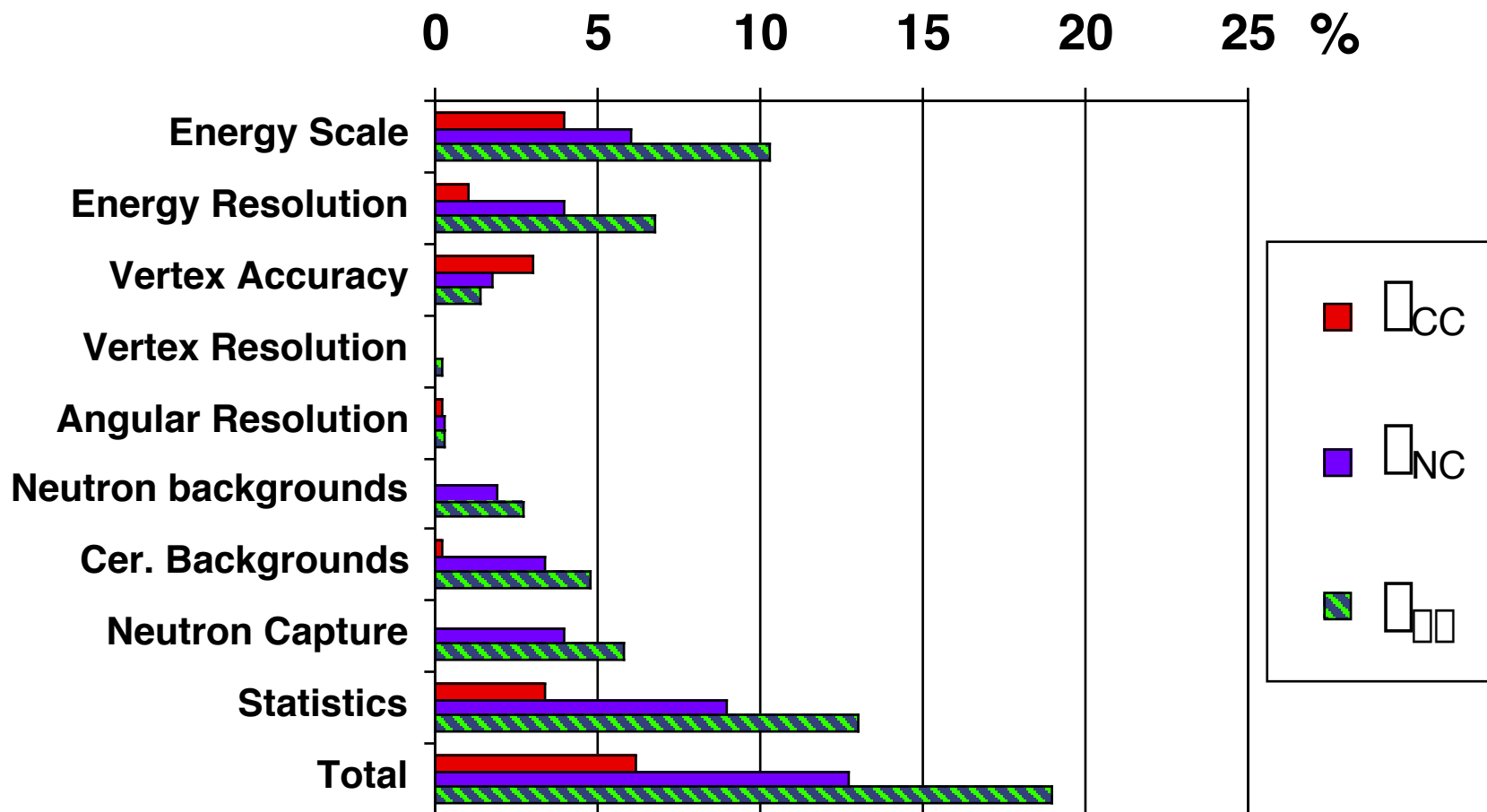
**NC**      576.5      +49.5  
                 -48.9    events

**ES**      263.6      +26.4  
                 -25.6    events





# Flux Uncertainties (Shape constrained)

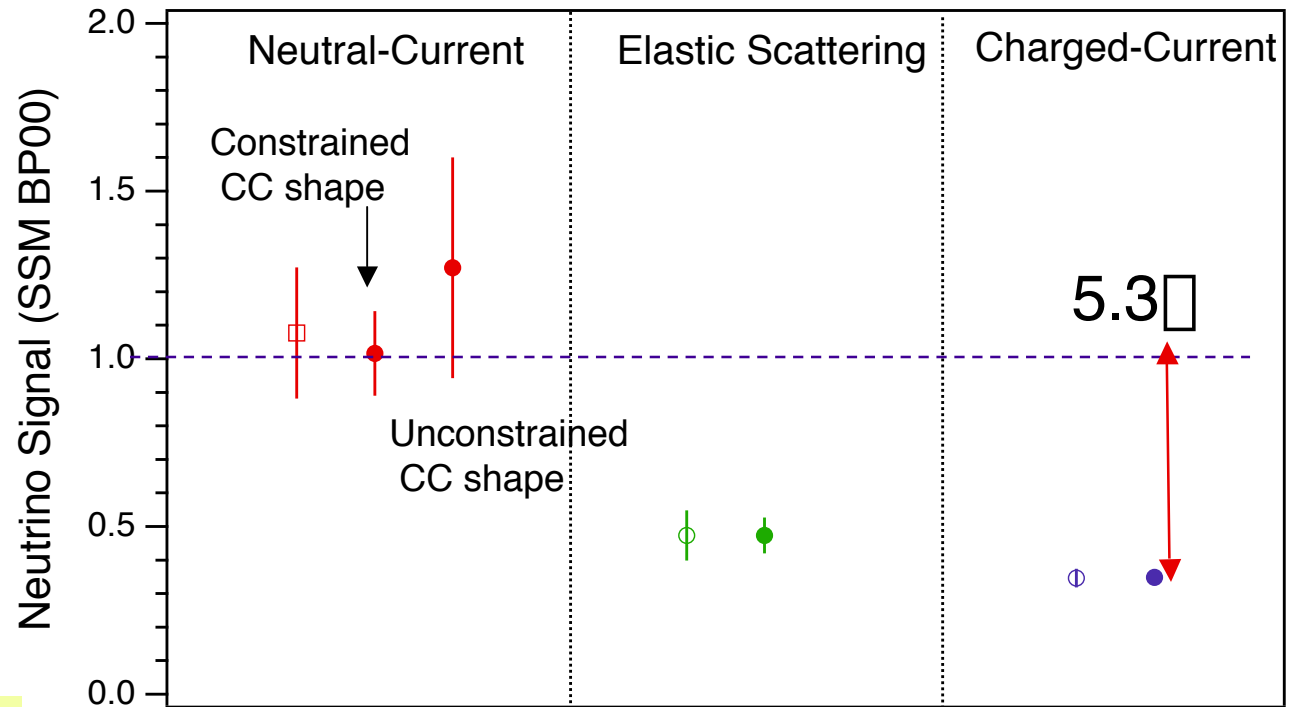




# Solar $\nu$ Flux Summary



- $\square$   $^8\text{B}$  from  $\text{CC}_{\text{SNO}} + \text{ES}_{\text{SK}}$  (2001)
- $\circ$   $\text{ES}_{\text{SNO}}$  (2001)
- $\circ$   $\text{CC}_{\text{SNO}}$  (2001)
- $\bullet$   $\text{NC}_{\text{SNO}}$  (2002)
- $\bullet$   $\text{ES}_{\text{SNO}}$  (2002)
- $\bullet$   $\text{CC}_{\text{SNO}}$  (2002)



**Null hypothesis rejected at 5.3 $\sigma$**

**STRONG Evidence for  $\nu_e$ ,  $\nu_\mu$  and/or  $\nu_\tau$**

$$\nu_e + \nu_\mu + \nu_\tau \quad \nu_e + 0.15(\nu_\mu + \nu_\tau) \quad \nu_e$$

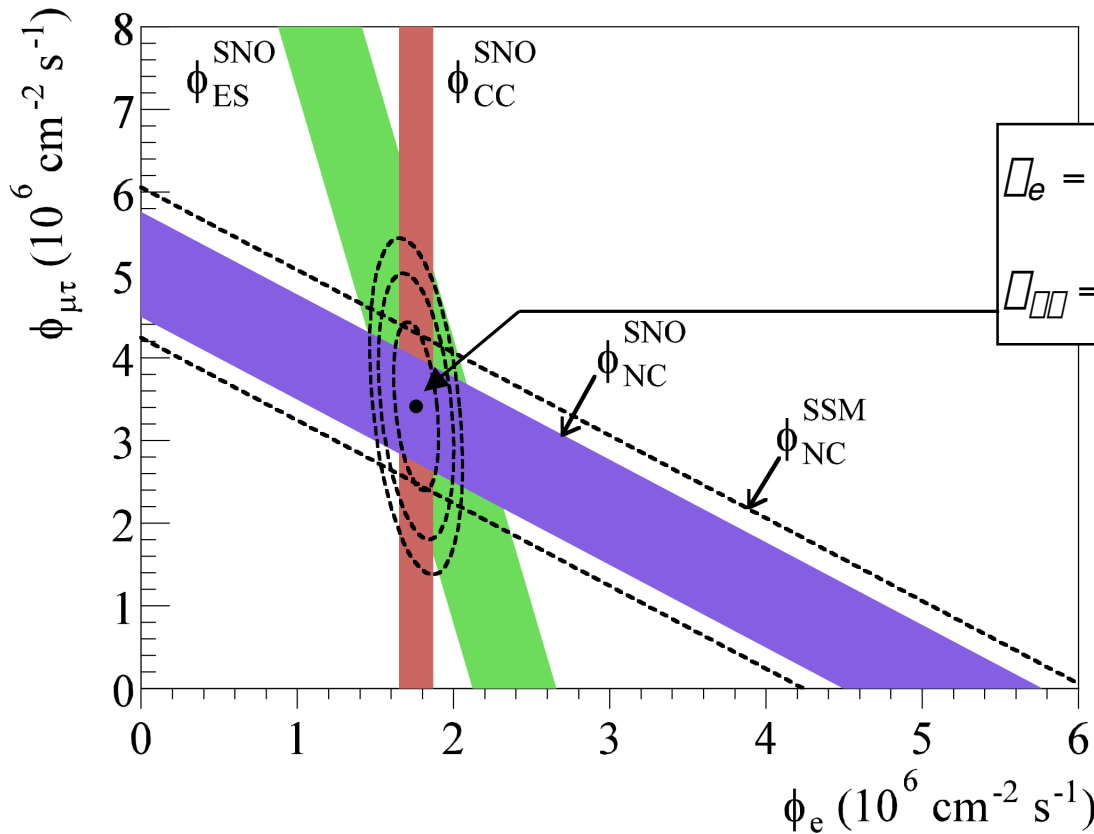
$$\Phi_{\text{CC}}(\nu_e) = 1.76^{+0.06}_{-0.05} (\text{stat.})^{+0.09}_{-0.09} (\text{syst.}) \times 10^6 \text{ cm}^2 \text{ s}^{-1}$$

$$\Phi_{\text{ES}}(\nu_x) = 2.39^{+0.24}_{-0.23} (\text{stat.})^{+0.12}_{-0.12} (\text{syst.}) \times 10^6 \text{ cm}^2 \text{ s}^{-1}$$

$$\Phi_{\text{NC}}(\nu_x) = 5.09^{+0.44}_{-0.43} (\text{stat.})^{+0.46}_{-0.43} (\text{syst.}) \times 10^6 \text{ cm}^2 \text{ s}^{-1}$$



# Disappearance and Reappearance



$$\bar{\phi}_e = 1.76^{+0.05}_{-0.05} \text{ (stat.) } ^{+0.09}_{-0.09} \text{ (syst.) } \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$$

$$\bar{\phi}_{\mu\tau} = 3.41^{+0.45}_{-0.45} \text{ (stat.) } ^{+0.48}_{-0.45} \text{ (syst.) } \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$$

Note:

$$\bar{\phi}_{CC}(\bar{\phi}_e) = \bar{\phi}_e$$

$$\bar{\phi}_{NC}(\bar{\phi}_x) = \bar{\phi}_e + \bar{\phi}_{\mu\tau}$$

$$\bar{\phi}_{ES}(\bar{\phi}_x) = \bar{\phi}_e + 0.15\bar{\phi}_{\mu\tau}$$

Solar Model predictions  
are verified: [in  $10^6 \text{ cm}^{-2} \text{ s}^{-1}$ ]

$$\bar{\phi}_{SSM} \text{ (BP01)} = 5.05^{+1.01}_{-0.81}$$

$$\bar{\phi}_{SNO}^{constrained} = 5.09^{+0.44}_{-0.43} \text{ (stat.) } ^{+0.46}_{-0.43} \text{ (syst.)}$$

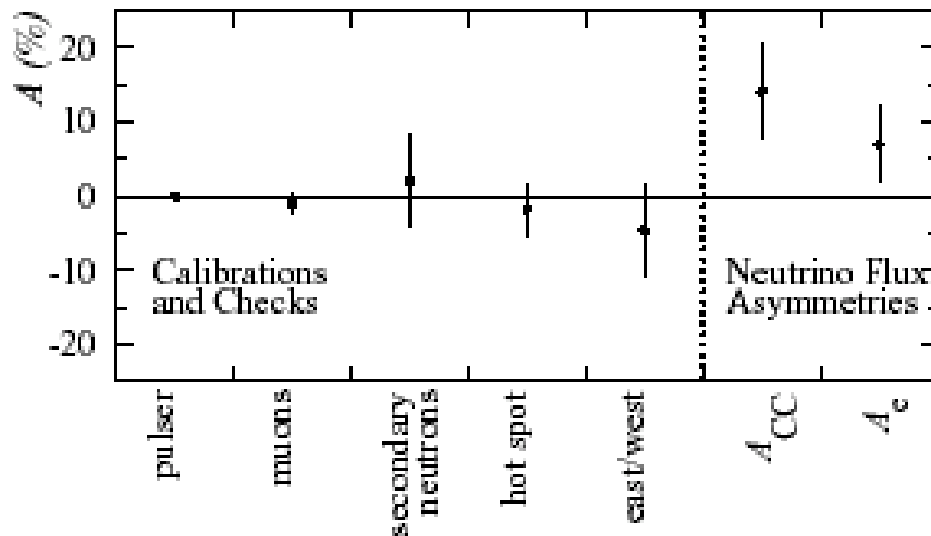
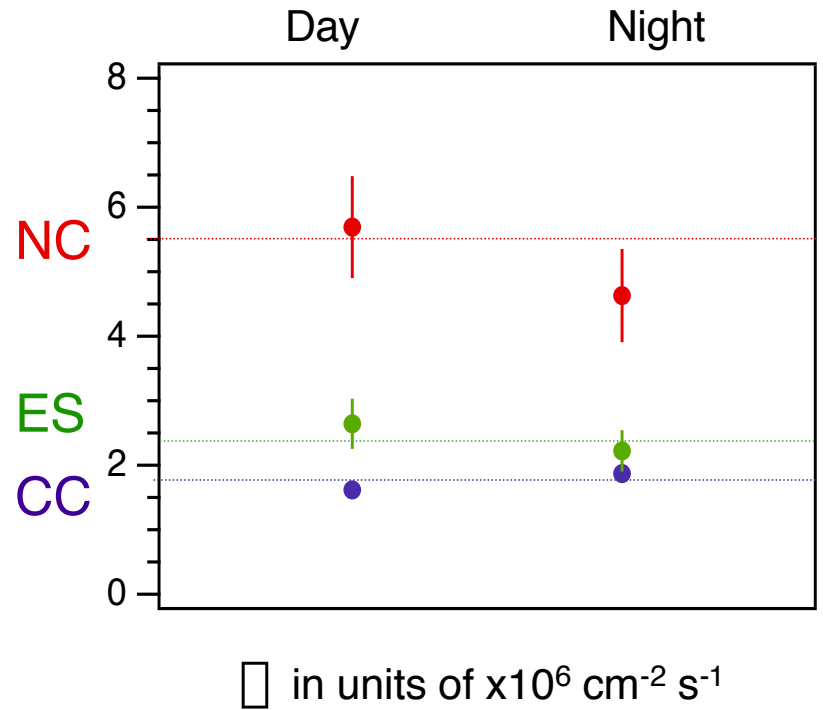


# Day Flux vs Night Flux



- *Day*:  $\cos \theta_{\text{zenith}} > 0$  (128.5 days)
- *Night*:  $\cos \theta_{\text{zenith}} < 0$  (177.9 days)
- $^8\text{B}$  shape constrained extraction

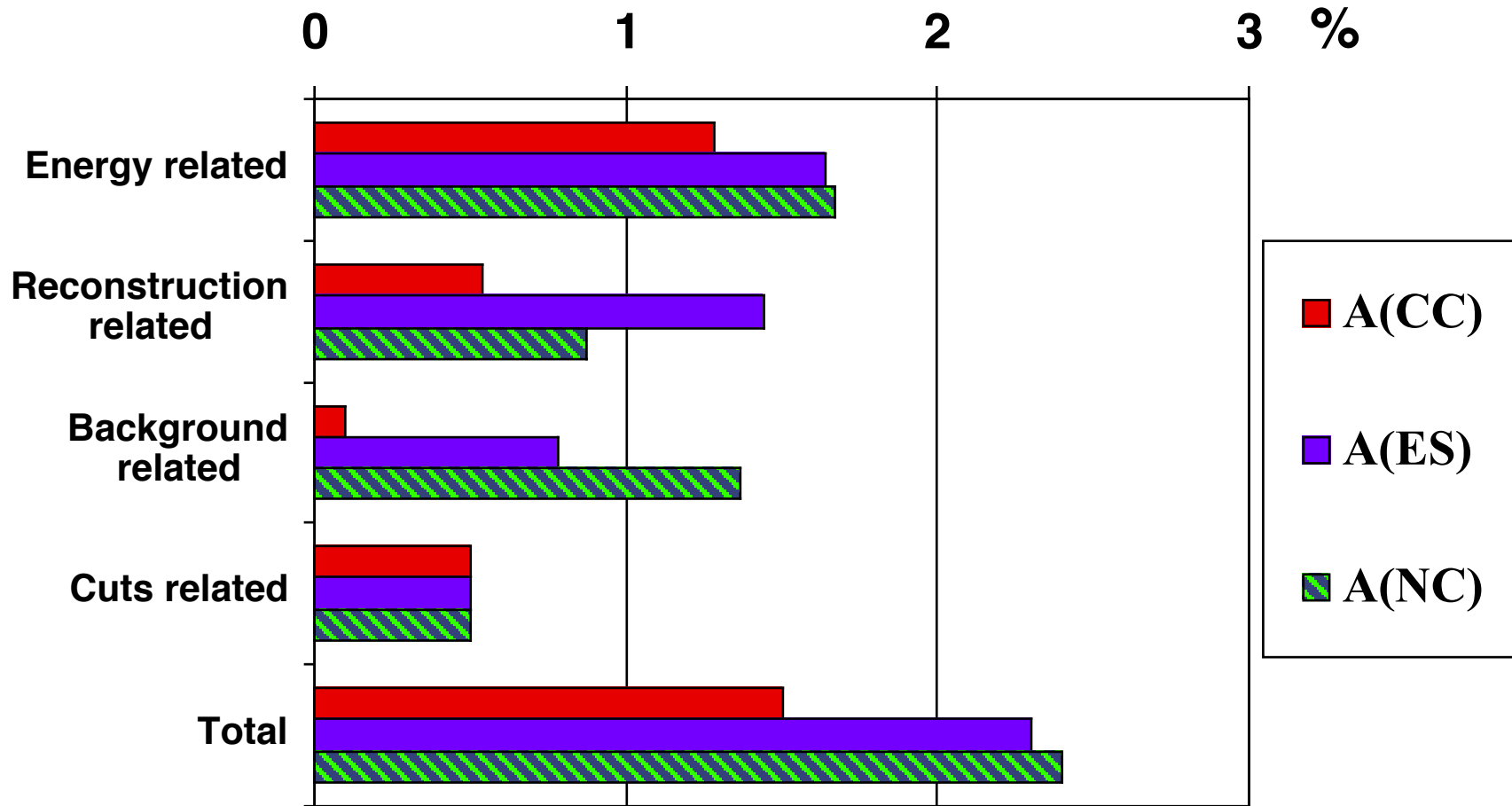
$$A_x = 2 \frac{(\Phi_{\text{NIGHT}} - \Phi_{\text{DAY}})}{(\Phi_{\text{NIGHT}} + \Phi_{\text{DAY}})}$$



Day/Night Signals	
□	A (%)
CC	$+14.0 \pm 6.3 \pm 1.5$
ES	$-17.4 \pm 19.5 \pm 2.4$
NC	$-20.4 \pm 16.9 \pm 2.5$



# Day-Night Uncertainties



The day-night analysis is currently statistics limited

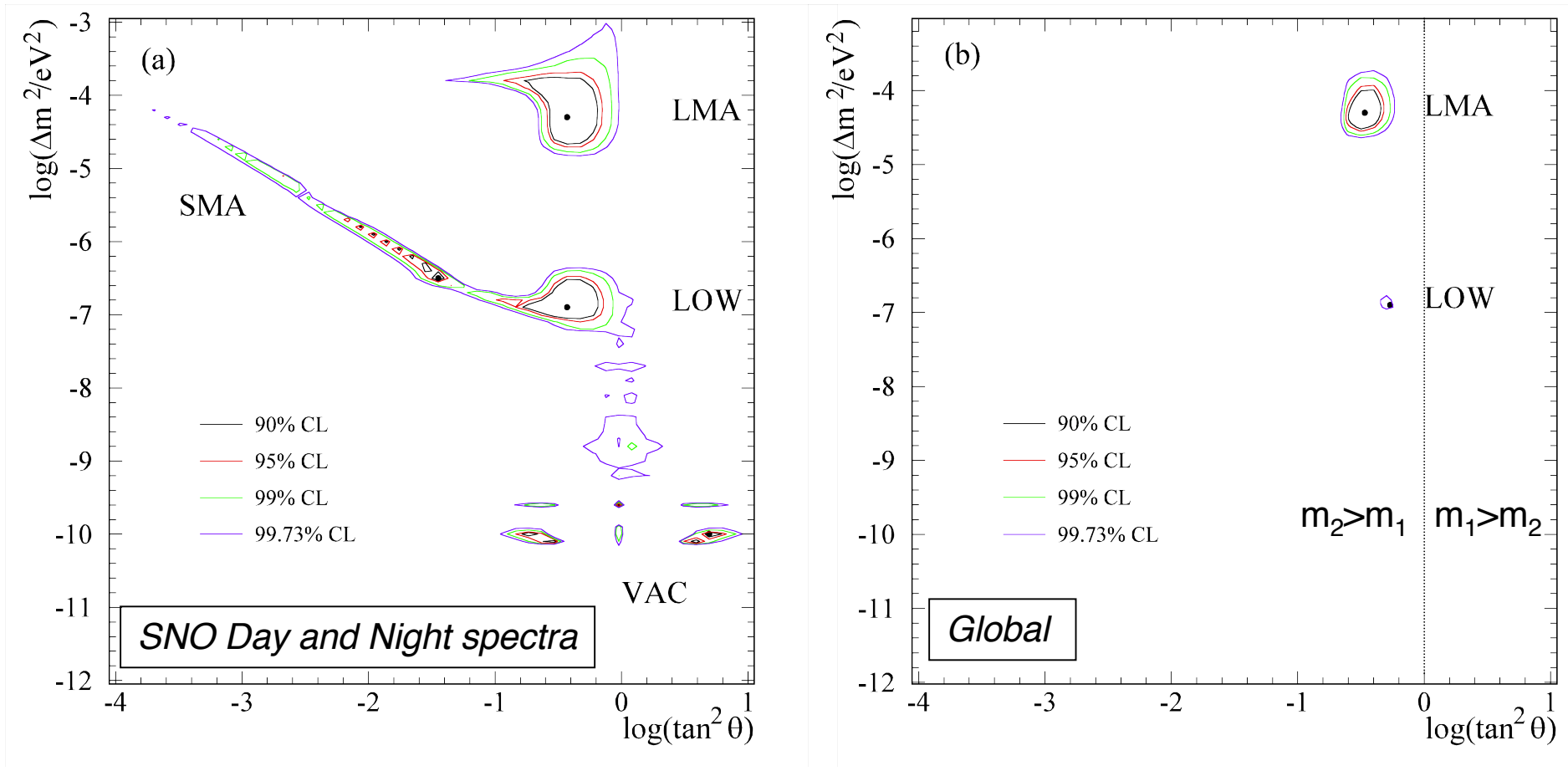




# Global Solar $\square$ Analysis



- Inputs:**
- $^{37}\text{Cl}$ , latest Gallex/GNO, new SAGE, SK 1258-day day & night spectra
  - SNO day spectrum (total: CC+NC+ES+background)
  - SNO night spectrum (total: CC+NC+ES+background)
  - $^8\text{B}$  floats free in fit, hep  $\square$  at 1 SSM





# Global $\theta$ Analysis Fit Results



Region	$\chi^2_{\min}/\text{dof}$	$\chi^2_{8B}$	$A_e(\%)$	$\theta m^2$	$\tan^2\theta$	CL(%)
LMA	57.0/72	5.86	6.4	$5.0 \times 10^{-5}$	0.34	$\square$
LOW	67.7/72	4.95	5.9	$1.3 \times 10^{-7}$	0.55	99.5%

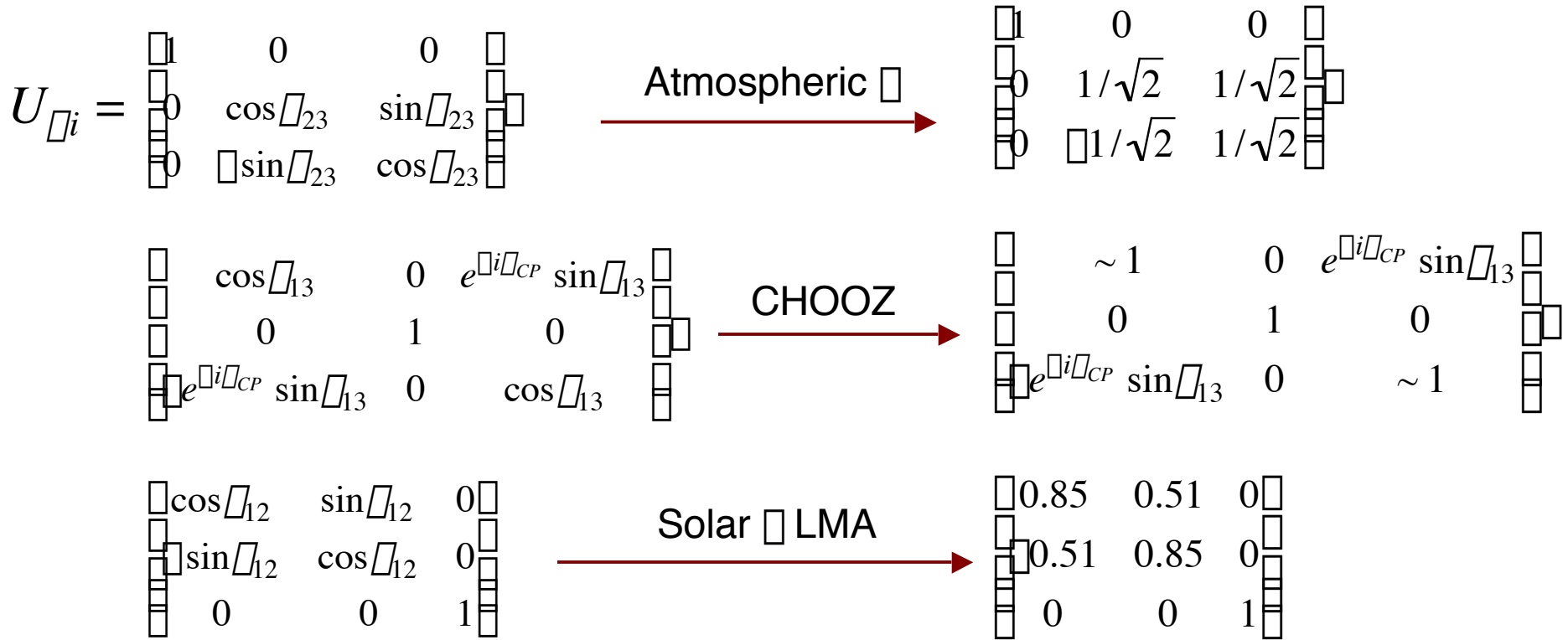
- SNO CC/NC measurement directly constrains the survival probability at high energy  
 $\square$  *forces LOW solution to confront the Ga experimental results:*

	LMA	LOW
SNO NC	$5.86 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$	$4.95 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$
SNO CC day	$1.66 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$	$1.83 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$
SNO $A_e$	6.4%	5.9%
SK ES	$2.30 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$	$2.30 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$
SK $A_{ES}$	3.5%	4.4%
Cl rate	3.0 SNU	3.0 SNU
Ga rate	<b>72.8 SNU</b>	<b>61.2 SNU</b>

[Experimental results: SK= $2.32 \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$ , Ga= $72.0 \pm 4.5 \text{ SNU}$ , Cl= $2.56 \pm 0.23 \text{ SNU}$ ]



# Neutrino Mixing: What do we know now?



**Present situation:**

Solar  $\nu_e$  mix with  $\frac{\nu_\mu \nu_\tau}{\sqrt{2}}$  }
 
$$\nu_e = 0.85\nu_1 + 0.51\nu_2$$

$$\nu_\mu = 0.36\nu_1 + 0.60\nu_2 + 0.71\nu_3$$

$$\nu_\tau = 0.36\nu_1 - 0.60\nu_2 + 0.71\nu_3$$



# CKM vs MNSP



Contrast between  $V_{CKM}$  (quark) and  $U_{MNSP}$  (lepton)

[  $B \equiv \text{Big}$       $s = \text{small}$  ]

$$V_{CKM} = \begin{bmatrix} 1 & s & s \\ s & 1 & s \\ s & s & 1 \end{bmatrix}$$

$$U_{MNSP} = \begin{bmatrix} B & B & s \\ B & B & B \\ B & B & B \end{bmatrix}$$



# Present Status & Future of SNO



## The Salt Phase

- 2 tonnes of NaCl added to D<sub>2</sub>O
- Higher n-capture efficiency
- Higher event light output
- Light isotropy differs from e<sup>-</sup>
- Running since June 2001

## Neutral Current Detectors

<sup>3</sup>He proportional counters



- **To be deployed in early 2003**
- Event-by-event separation of n





# SNO Summary



## ***Newest SNO results :***

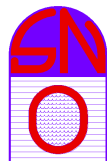
- $\nu_e \rightarrow \nu_\mu$  or  $\nu_\mu \rightarrow \nu_e$  appearance at 5.3  $\sigma$
- Total  ${}^8\text{B}$   $\nu$  flux measured for  $E_\nu > 2.2$  MeV
- SSM prediction for total active  ${}^8\text{B}$   $\nu$  flux verified
- Day-Night results consistent with MSW hypothesis

## ***Global fit including the newest SNO results :***

- LMA highly favored ( $\Delta m^2 \sim 5.0 \times 10^{-5} \text{ eV}^2$ )
- No “dark side” and not maximal mixing ( $m_1 > m_2$ ,  $\tan^2(\theta) < 1$ )
- Predictions for Borexino & KamLAND

The NC and Day-Night papers (in July 1 issue of PRL), along with a HOWTO guide on using the SNO results are available at the official SNO website:

<http://sno.phy.queensu.ca>



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Supplementary slides from this point on



# How to solve the Solar Neutrino Problem?



VOLUME 55, NUMBER 14

PHYSICAL REVIEW LETTERS

30 SEPTEMBER 1985

## Direct Approach to Resolve the Solar-Neutrino Problem

Herbert H. Chen

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(Received 27 June 1985)

A direct approach to resolve the solar-neutrino problem would be to observe neutrinos by use of both neutral-current and charged-current reactions. Then, the total neutrino flux and the electron-neutrino flux would be separately determined to provide independent tests of the neutrino-oscillation hypothesis and the standard solar model. A large heavy-water Cherenkov detector, sensitive to neutrinos from  ${}^8\text{B}$  decay via the neutral-current reaction  $\nu + d \rightarrow \nu + p + n$  and the charged-current reaction  $\nu_e + d \rightarrow e^- + p + p$ , is suggested for this purpose.

PACS numbers: 96.60.Kx, 14.60.Gh

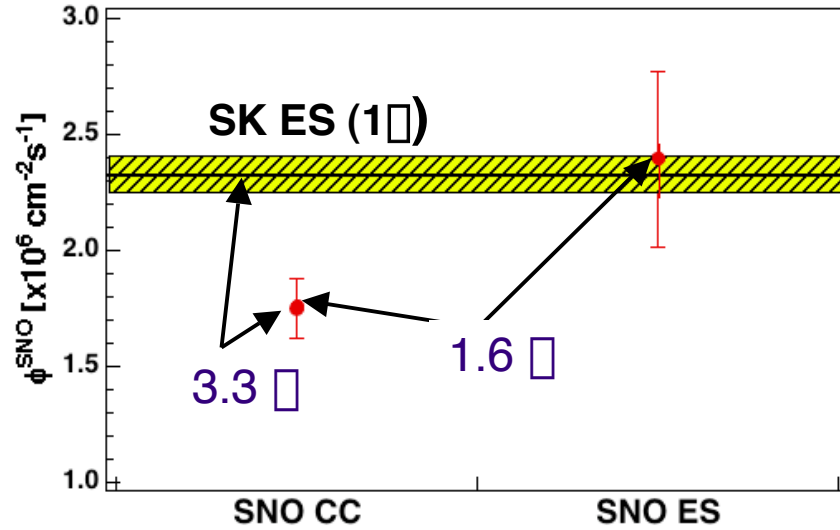
**PRL 55, 1534 (1985)**



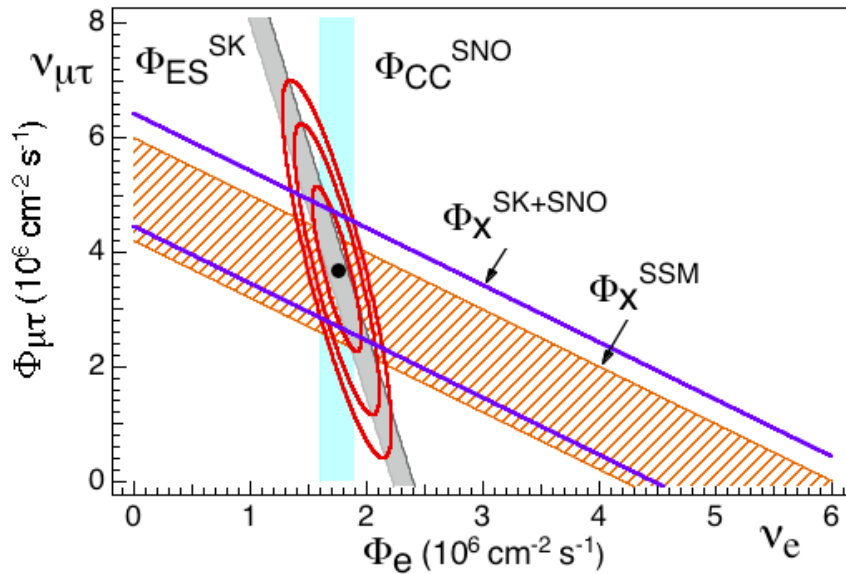
An experiment which directly addresses the solar-neutrino problem should be sensitive to all neutrino species equally. Such a measurement could determine the total solar-neutrino flux *even if neutrinos oscillate*.



# Recap [ $T_e > 6.75$ MeV]



**Result 1:**  $\nu_e$   $\nu_{\mu,\tau}$   
**Excludes:**  
 pure  $\nu_e$   $\nu_{\text{sterile}}$  at 3.1  $\sigma$



**Result 2:**  
 Solar model predictions  
 are verified



# Bifurcated Analysis



- Use a subset of the Pass 0 cuts and the HLC as two independent cuts

- Number of residual instrumental background event =  $K = y_1 y_2 \square_B$

- $K < 3$  events (95% CL)



**Bifurcated analysis**

<b>CUT 1</b>	<b>FAIL1-PASS2</b> b-region	<b>FAIL1-FAIL2</b> d-region
	<b>PASS1-PASS2</b> a-region	<b>PASS1-FAIL2</b> c-region
	<b>CUT 2</b>	

Pass Cut 1:  $a+c = \square_1 \square_\nu + y_1 \square_B$   
 Pass Cut 2:  $a+b = \square_2 \square_\nu + y_2 \square_B$   
 Pass Cuts 1&2:  $a = \square_1 \square_2 \square_\nu + y_1 y_2 \square_B$   
 Total Data:  $S = \square_\nu + \square_B$

$\square_i$ =neutrino acceptance for cut i  
 $y_i$ =leakage of background for cut i  
 $\square_\nu$ =number of neutrino events  
 $\square_B$ =number of background events



# Understanding the Detector Response



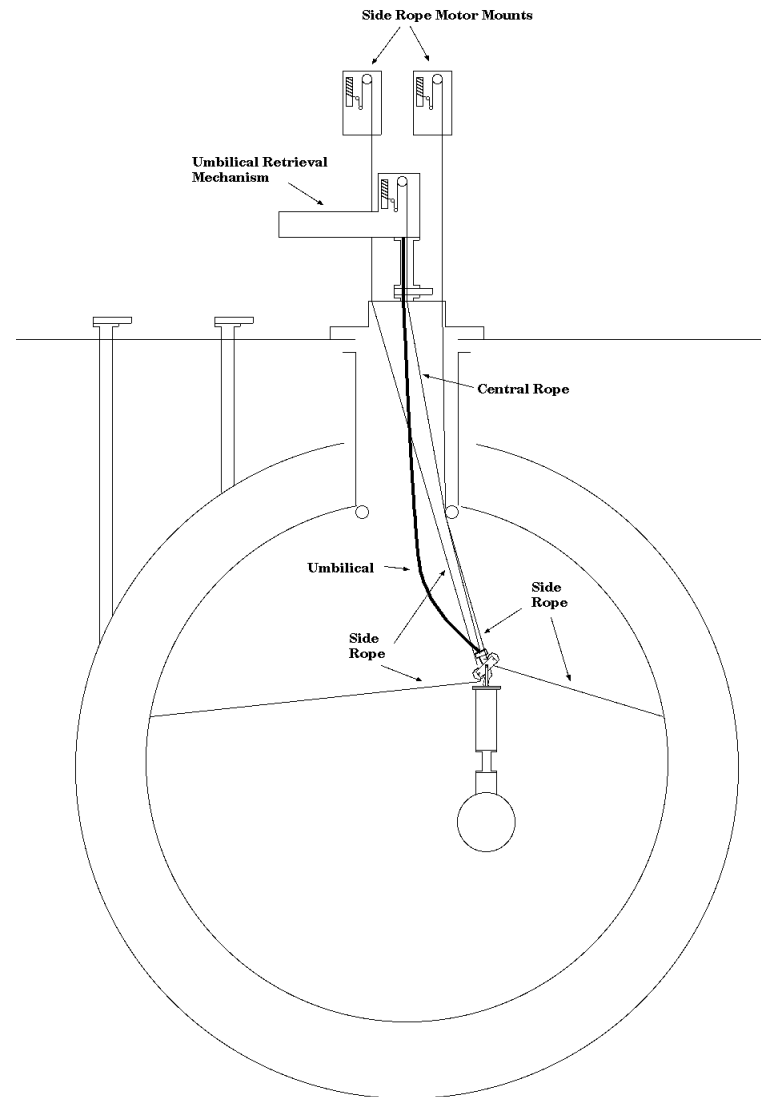
## Monte Carlo

- Cherenkov production ( $e^-$ ,  $\pi$ )
- Photon propagation and detection
- Neutron transport and capture
- Event Reconstruction



## Calibration

- |                                   |   |
|-----------------------------------|---|
| • Charge Pulsers                  | Electronic  |
| • Pulsed Laser                    | 337nm to 620 nm                                     |
| • $^{16}\text{N}$                 | 6.13 MeV $\mu\text{s}$                              |
| • $^3\text{H}(p, \pi)^4\text{He}$ | 19.8 MeV $\mu\text{s}$                              |
| • $^8\text{Li}$                   | <13.0 MeV $\mu\text{s}$                             |
| • $^{252}\text{Cf}$               | neutrons  |
| • U/Th Background                 | $^{214}\text{Bi}$ & $^{208}\text{Tl}$ $\mu\text{s}$ |





# Energy Calibration Uncertainties



## Absolute Energy Calibration Uncertainties

Time drift	0.25%
Position Dependence	0.72%
<sup>16</sup> N source	0.46%
Rate dependence	0.39%
Threshold dependence	0.45%
Gain variation	0.28%
Channel accounting	0.00%
Background noise	0.00%
Timing calibration	0.50%
<b>Total</b>	<b>1.21%</b>

Energy  
Response  
functions

$$R(E_e, E_{eff}) = \frac{1}{\sqrt{2\pi} \sigma_E(E_e)} \exp\left[-\frac{1}{2} \frac{(E_{eff} - E_e)^2}{\sigma_E^2(E_e)}\right]$$

$$\sigma_E(E_e) = 0.6837 + 0.3308\sqrt{E_e - 0.511} + 0.04253(E_e - 0.511)$$

$$\frac{\partial \sigma_E(E_e)}{\partial E_e} = 0.045 + 0.00401(E_e - 5.486)$$



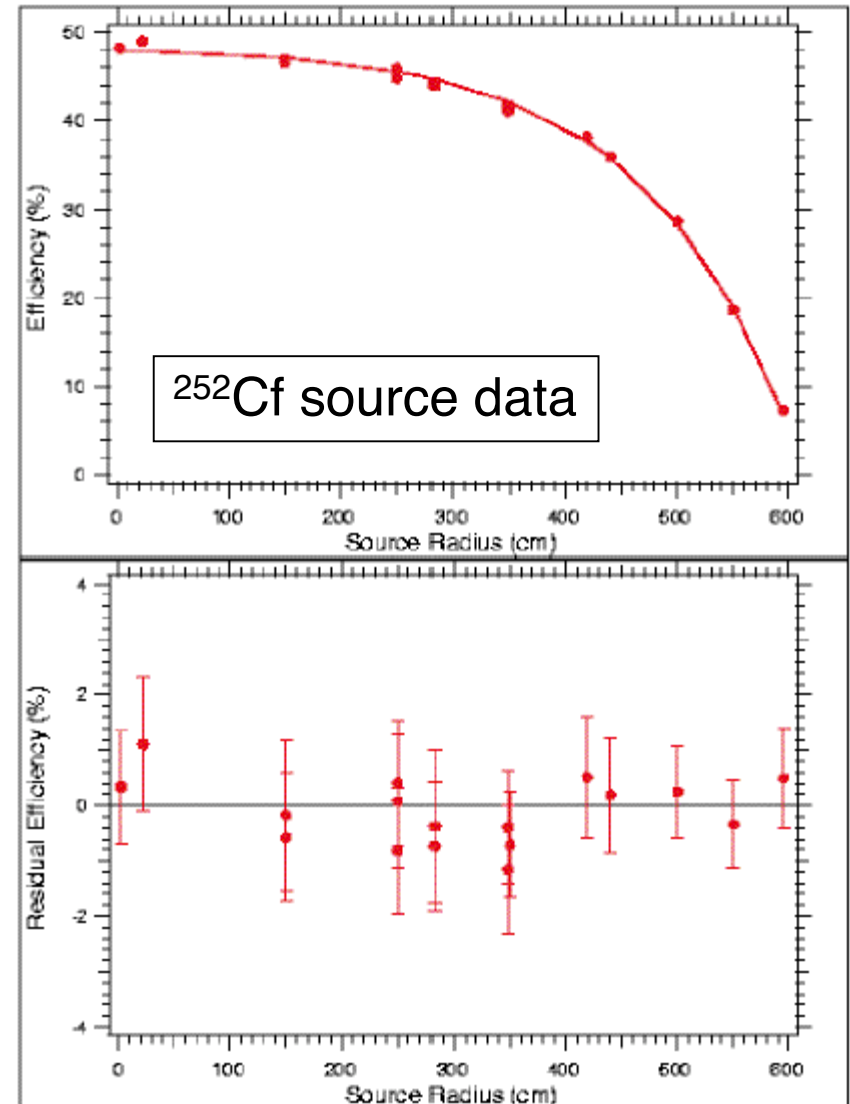


# Neutron Capture Efficiency



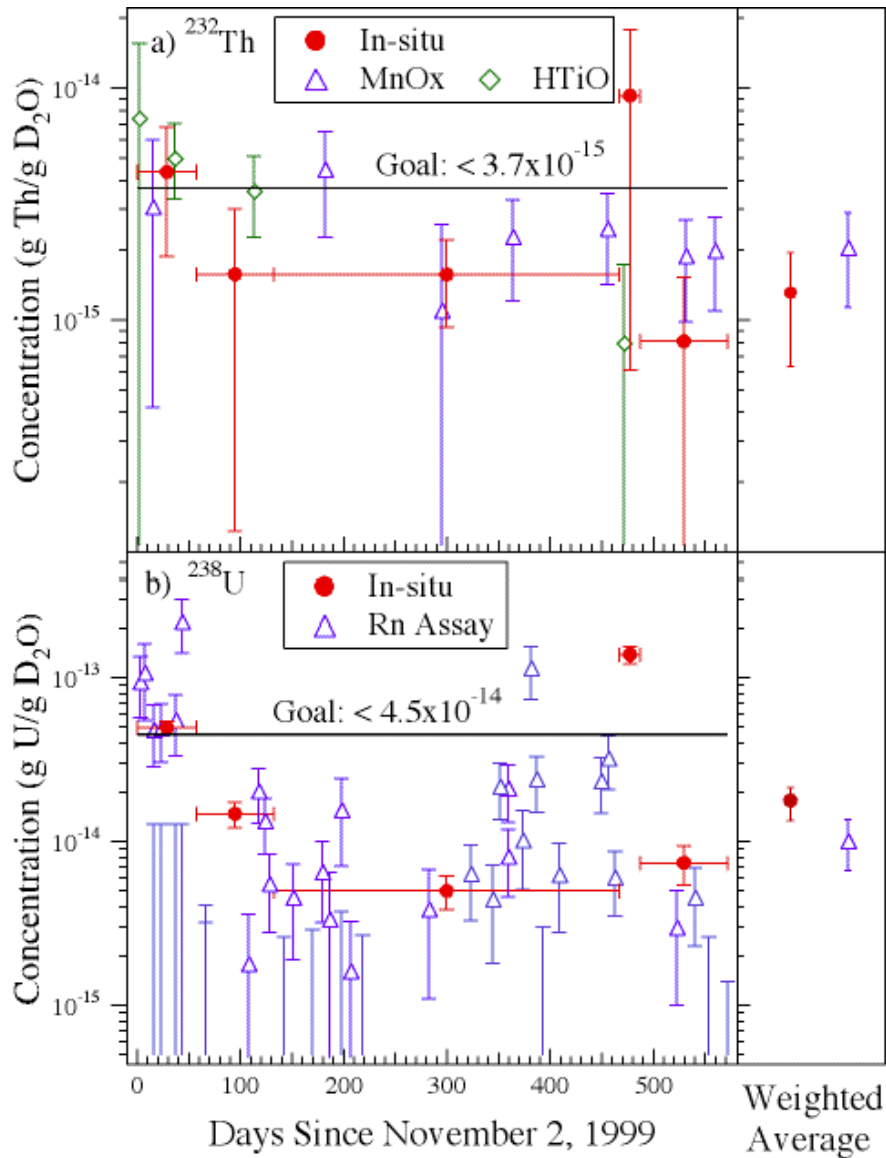
<b>Analytic Prediction n capture efficiency</b>			
Isotope	Abundance	Point Source at Center	Uniform Source
D	99.9176%	49.11%	29.34%
H	0.0824%	29.76%	17.79%
16-O	99.9195%	9.20%	5.49%
17-O	0.0485%	5.36%	3.20%
18-O	0.0320%	0.02%	0.00%
Escape		6.55%	44.15%
<b>Total</b>		<b>100%</b>	<b>100%</b>

**Measured:**  
**n capture on d (uniform source)**  
**29.9 ± 1.1 %**





# pd background from D<sub>2</sub>O, AV, H<sub>2</sub>O radioactivity



	D <sub>2</sub> O (10 <sup>-15</sup> g/g D <sub>2</sub> O)	H <sub>2</sub> O (10 <sup>-14</sup> g/g H <sub>2</sub> O)	AV (10 <sup>-12</sup> g/g)
[Th]	1.63 ± 0.58	9.1 ± 2.7	0.90 <sup>+0.60</sup> <sub>-0.53</sub>
[U]	17.8 <sup>+3.5</sup> <sub>-4.3</sub>	75.5 ± 33.0	0.27 <sup>+0.07</sup> <sub>-0.03</sub>



Monte Carlo

pd n detected (306.4 d)	44 <sup>+8</sup> <sub>-9</sub> counts	11 <sup>+6</sup> <sub>-4</sub> counts	16 <sup>+6</sup> <sub>-7</sub> counts
-------------------------	---------------------------------------	---------------------------------------	---------------------------------------

[c.f. SSM ~ 2 detected n d<sup>-1</sup>]

**The photodisintegration background is small compared to the SSM expectation**



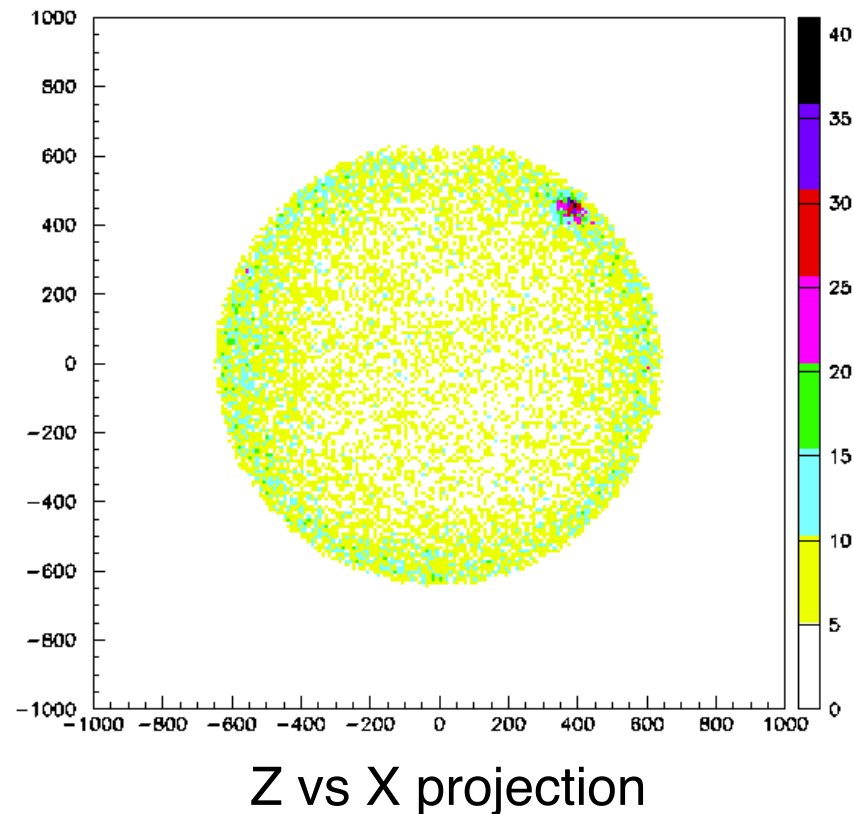
# U and Th in/on the Acrylic Vessel



- Original Target (2 ppt): 60  $\mu$ g Th or U
- Bulk acrylic assayed (NAA)
- Dust concentration on inner and outer surfaces measured prior to filling
- Hot spot (“Berkeley Blob”) found in Cherenkov data

	U ( $\mu$ g)	Th ( $\mu$ g)
<b>Bulk</b>	$7.5^{+1.7}_{-1.3}$	$15 \pm 15$
<b>Outer surface</b>	$0.18 \pm 0.04$	$0.96 \pm 0.19$
<b>Inner surface</b>	$0.16 \pm 0.04$	$0.87 \pm 0.17$
<b>Blob</b>	$\square$	$10^{+9}_{-4}$
<b>Total</b>	$8^{+2}_{-1} \mu$ g	$27^{+18}_{-16} \mu$ g
<b>pd n detected (306.4 d)</b>	$2 \pm 2$ counts	$14^{+6}_{-7}$ counts

[c.f. SSM  $\sim 2$  detected n d<sup>-1</sup>]



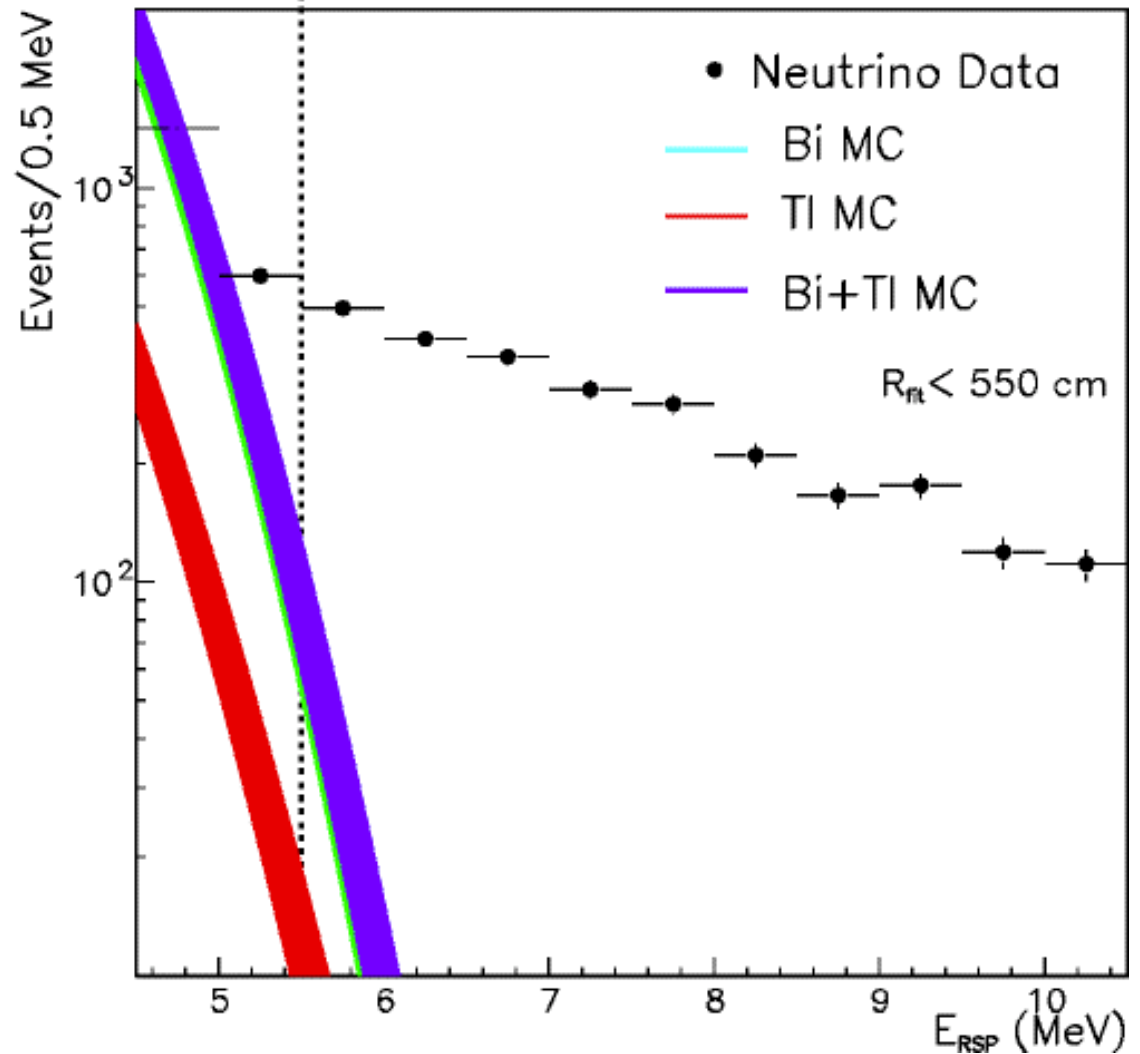


# Cherenkov Tail – D<sub>2</sub>O



- Monte Carlo of detector response well calibrated in the D<sub>2</sub>O region
  - *Determine Cherenkov tail background due to D<sub>2</sub>O radioactivity by Monte Carlo, using the U and Th concentration obtained above.*
- MC predictions cross checked with a Th calibration source

SNO D<sub>2</sub>O Cerenkov backgrounds above 4.5 MeV



*T > 5 MeV, R < 550 cm:*

Th :  $3_{-1}^{+2}$  counts

U :  $17_{-5}^{+12}$  counts



# Cherenkov Tail

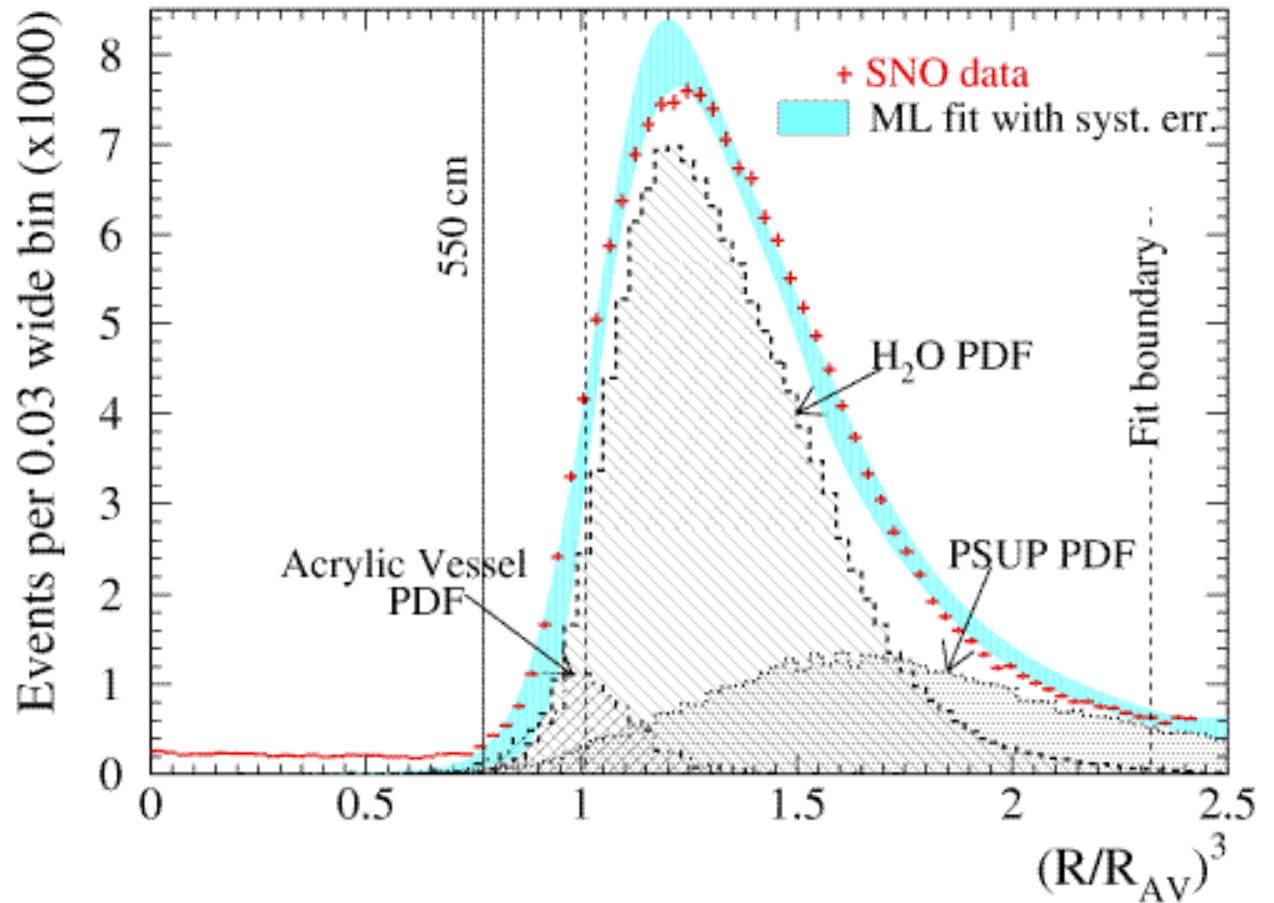


- Determined from U/Th source calibration and Monte Carlo
- Consistent with expectation based on measured U and Th concentration

For  $T_e \geq 5$  MeV,  $R < 550$  cm

	Tail Bkg (counts)
D <sub>2</sub> O	$20^{+13}_{-6}$
H <sub>2</sub> O	$3^{+4}_{-3}$
AV	$6^{+3}_{-6}$
PMT	$16^{+11}_{-8}$
<b>Total</b>	<b><math>45^{+17}_{-11}</math></b>

[c.f.: 2928  $\square$  candidates]





# $A_e$ vs $A_{total}$



- Signal Extraction in  $\sigma_{CC}, \sigma_{NC}, \sigma_{ES}$ :

$$A_{CC} = 14.0 \pm 6.3^{+1.5}_{-1.4}\%$$

$$A_{NC} = 20.4 \pm 16.9^{+2.4}_{-2.5}\%$$

Using:  $\sigma_{ES} = (1 - \sigma) \sigma_e + \sigma_{Total}$   
 $[\sigma_{Total} = \sigma_e + \sigma_{\bar{\nu}} + \sigma_{\nu}, \sigma = 1/6.48]$

- Signal Extraction in  $\sigma_e, \sigma_{Total}$ :

$$[\sigma_{Total} = \sigma_e + \sigma_{\bar{\nu}} + \sigma_{\nu}]$$

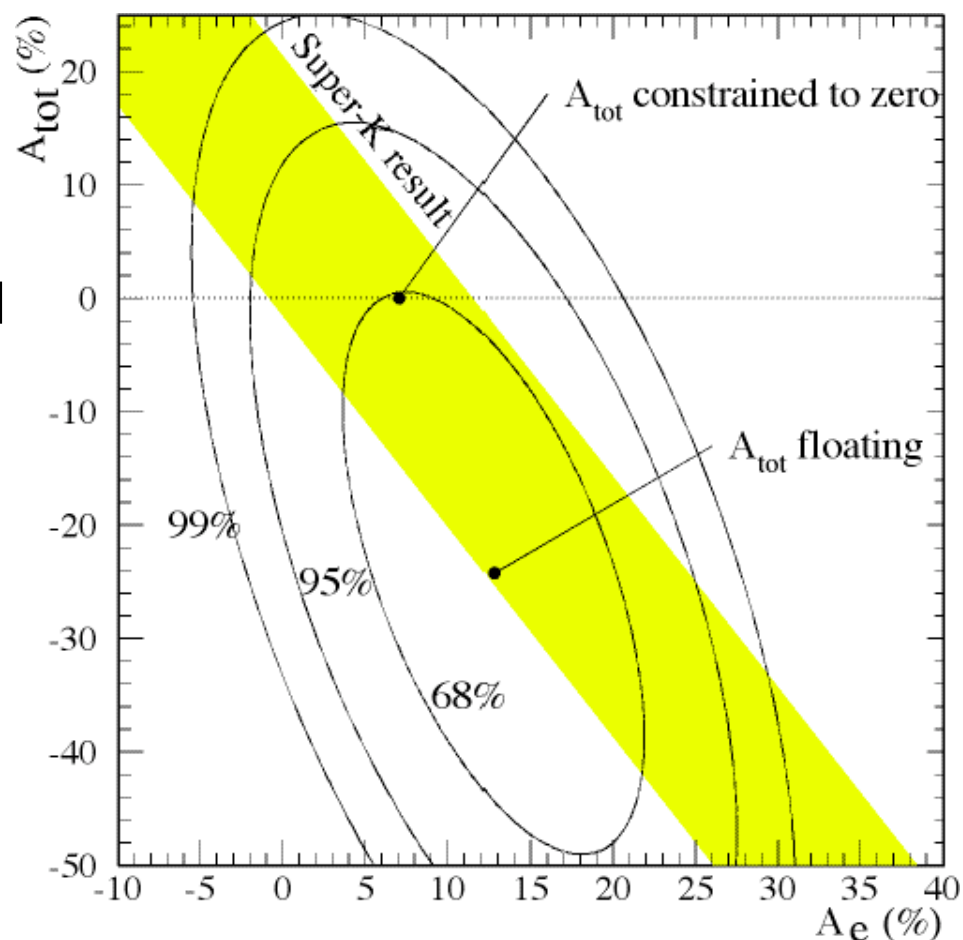
$$A_e = 12.8 \pm 6.2^{+1.5}_{-1.4}\%$$

$$A_{Total} = 24.2 \pm 16.1^{+2.4}_{-2.5}\%$$

- Signal Extraction in  $\sigma_e, \sigma_{Total} + A_{total}=0$ :

$$A_e = 7.0 \pm 4.9^{+1.3}_{-1.2}\%$$

$$A_e^{SK} = 5.3 \pm 13.7^{+2.0}_{-1.7}\%$$

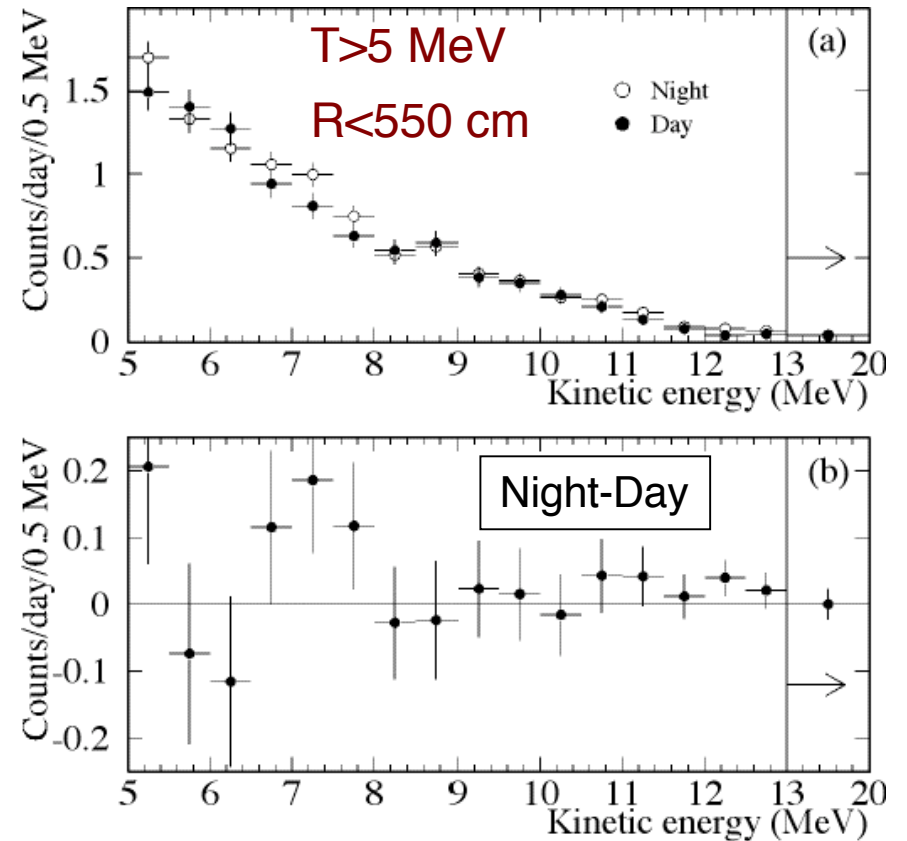




# Does the sun shine “brighter” at night?



- Data divided into two sets (to test statistical bias)
- Sub-divide data into two zenith angle bins:
  - Day:*  $\cos \theta_z > 0$  (128.5 days)
  - Night:*  $\cos \theta_z < 0$  (177.9 days)
- Extract  $\sigma^{CC}$ ,  $\sigma^{NC}$ , and  $\sigma^{ES}$  in these 2 bins ( $^8\text{B}$  shape constrained fit)



Day:  $9.23 \pm 0.27$  events  $\text{d}^{-1}$

Night:  $9.79 \pm 0.24$  events  $\text{d}^{-1}$

\*Signal and background included





# Systematic Uncertainties (D-N )



Shape constrained

Day/Night Systematics			
Systematic	$\Delta_{ACC}$ %	$\Delta_{AES}$ %	$\Delta_{ANC}$ %
Long-term Energy Scale	0.40	0.50	0.20
Diurnal Energy Scale	1.20	0.70	1.60
Directional Energy Scale var.	0.20	1.40	0.30
Diurnal Energy Resolution var.	0.10	0.10	0.30
Directional Energy Resolution var.	0.00	0.10	0.00
Diurnal vertex shift var.	0.50	0.60	0.70
Directional vertex shift var.	0.00	1.10	0.10
Diurnal vertex resolution var.	0.20	0.70	0.50
Directional angular recon. var.	0.00	0.10	0.10
PMT $\mu$ backgrounds	0.00	0.20	0.50
AV+H2O $\mu$ backgrounds	0.00	0.60	0.20
D2O b-g, neutrons backgrounds	0.10	0.40	1.20
External neutrons backgrounds	0.00	0.20	0.40
Cut inefficiencies	0.50	0.50	0.50
<b>Total</b>	<b>1.50</b>	<b>2.40</b>	<b>2.40</b>

The D-N analysis is currently statistics limited



# Cosmological Implications



1. SNO + CHOOZ:  $|m_1^2 - m_2^2| < 10^{-3} \text{ eV}^2$
2. Super-Kamiokande:  $|m_2^2 - m_3^2| \sim 2.5 \times 10^{-3} \text{ eV}^2$
3.  $^3\text{H}$   $\beta$  decay (Mainz exp.):  $|U_{e1}|^2 m_1^2 + |U_{e2}|^2 m_2^2 < (2.2)^2 \text{ eV}^2$



Sum of neutrino masses  $\Sigma m_\nu$ :  $0.05 < \Sigma m_\nu < 6.6 \text{ eV}$   
Limit on closure density  $\Omega$ :  $0.001 < \Omega_\nu < 0.14$

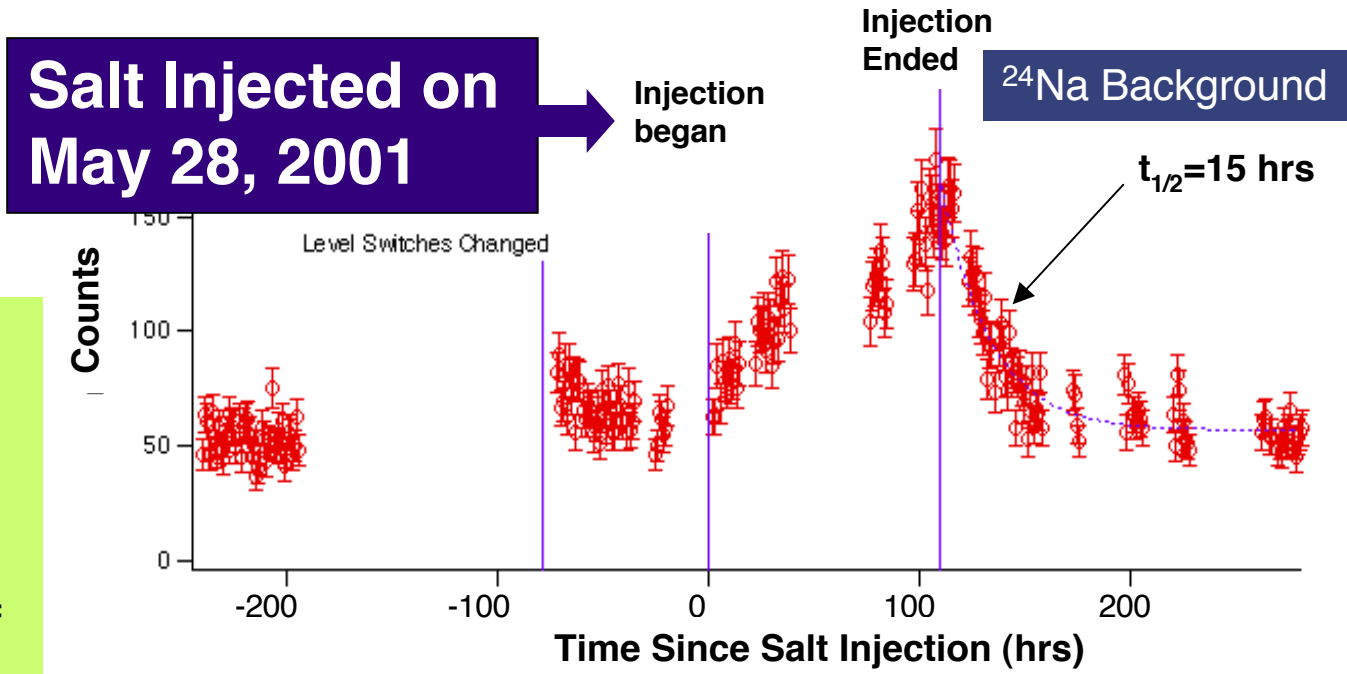


# Salt Phase



**Detector is in GOOD shape**

The NaCl brine in the underground buffer tank was activated by neutrons from the rock wall. We observed the decay of  $^{24}\text{Na}$  after the brine is injected in the SNO detector.





# $\square$ in the Standard Electroweak Model (I)



## Quark Sector

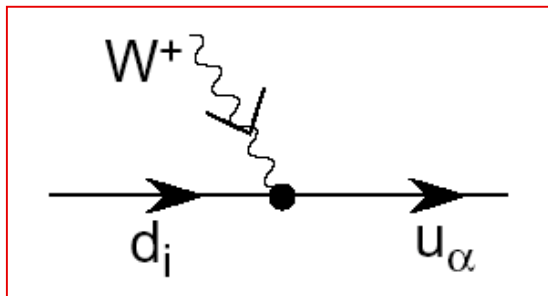
I	II	III
$\begin{pmatrix} u \\ d' \end{pmatrix}_L$	$\begin{pmatrix} c \\ s' \end{pmatrix}_L$	$\begin{pmatrix} t \\ b' \end{pmatrix}_L$
$(u)_R$	$(c)_R$	$(t)_R$
$(d')_R$	$(s')_R$	$(b')_R$

## Lepton Sector

I	II	III
$\begin{pmatrix} e \\ \nu_e \end{pmatrix}_L$	$\begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix}_L$	$\begin{pmatrix} \nu_\tau \\ \nu_\mu \end{pmatrix}_L$
$(e)_R$	$(\nu)_R$	$(\nu)_R$

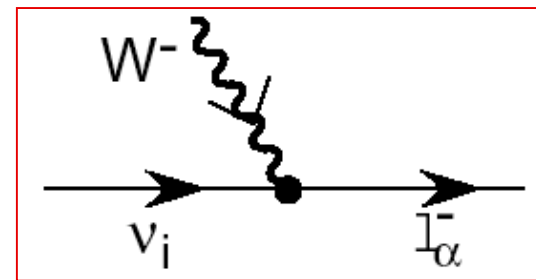
- *Weak Interaction for quarks*  
– *consider the absorption of a  $W^+$*

$$L_{udW} = \frac{g}{\sqrt{2}} \sum_{\substack{\square=u,c,t \\ i=d,s,b}} \bar{u}_{L\square} \gamma^\mu V_{\square i} d_{L\square} W_\mu^+ + h.c.$$



- *Similar Lagrangian for leptons*

$$L_{lW} = \frac{g}{\sqrt{2}} \sum_{\substack{\square=e,\mu,\tau \\ i=1,2,3}} \bar{l}_{L\square} \gamma^\mu U_{\square i} l_{L\square} W_\mu^+ + \frac{g}{\sqrt{2}} \sum_{\substack{\square=e,\mu,\tau \\ i=1,2,3}} \bar{\nu}_{L\square} \gamma^\mu U_{\square i}^+ \nu_{L\square} W_\mu^+$$





# □ After April 2002

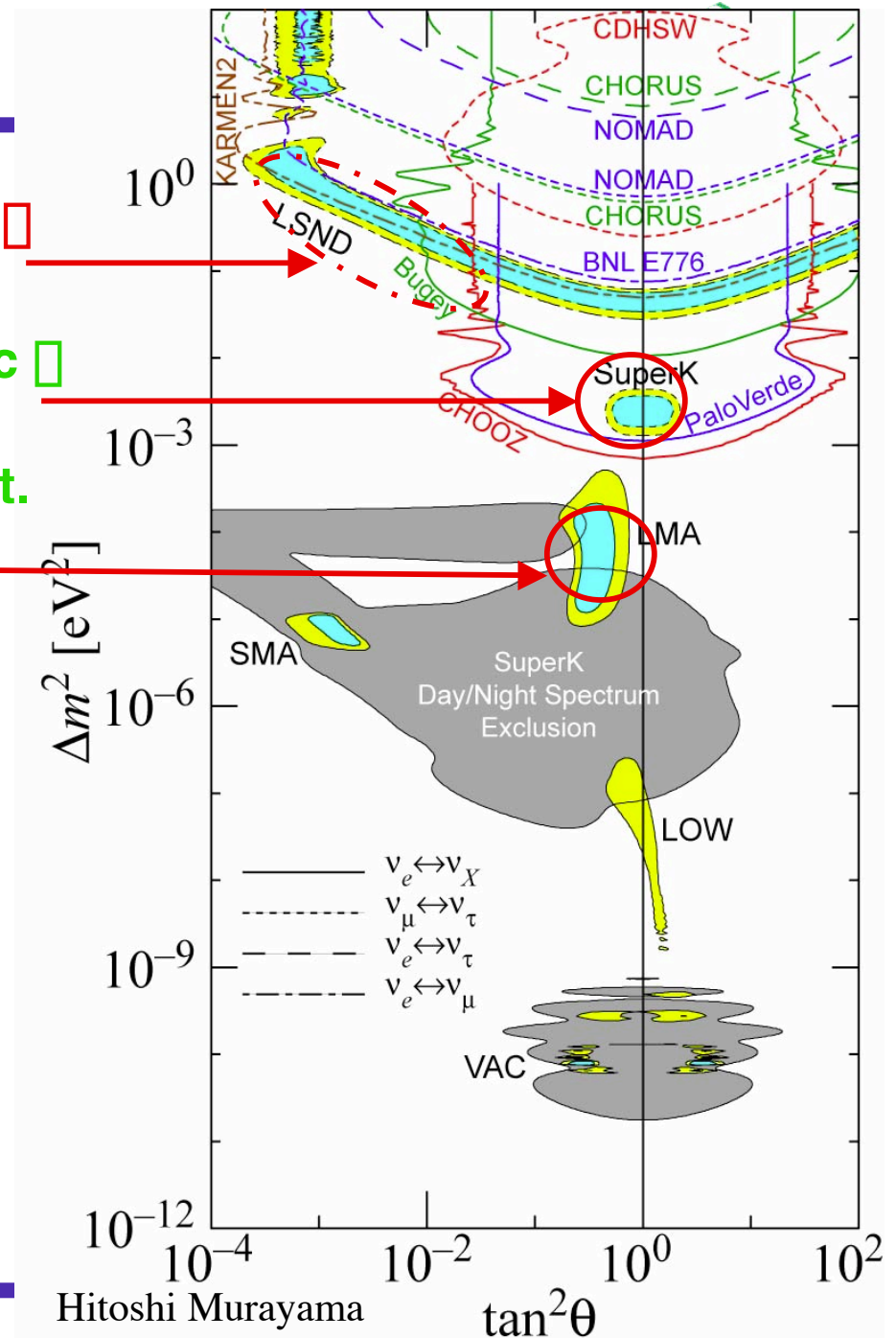
**Accelerator** □  
**MiniBoone**

**Atmospheric** □  
**MINOS**  
**Off-axis expt.**

**Solar** □  
**SNO**  
**KamLAND**  
**Borexino**

## Outstanding Issues

- Precision determination of parameters, 3 family mixing
- Absolute □ mass scale
- Sterile neutrinos?
- Modifications to Standard Model
- Origins of □ mass



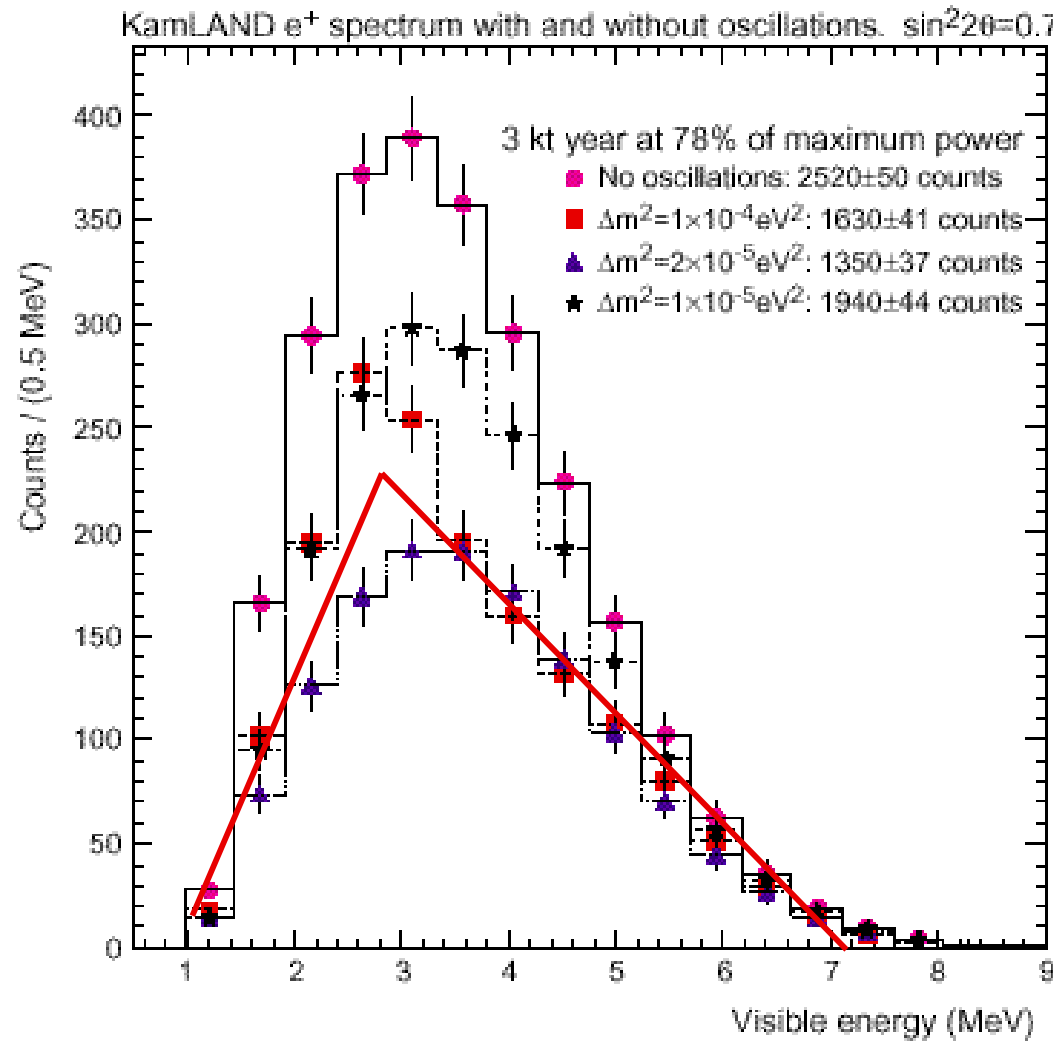


# Prediction for KamLAND



Global fit:

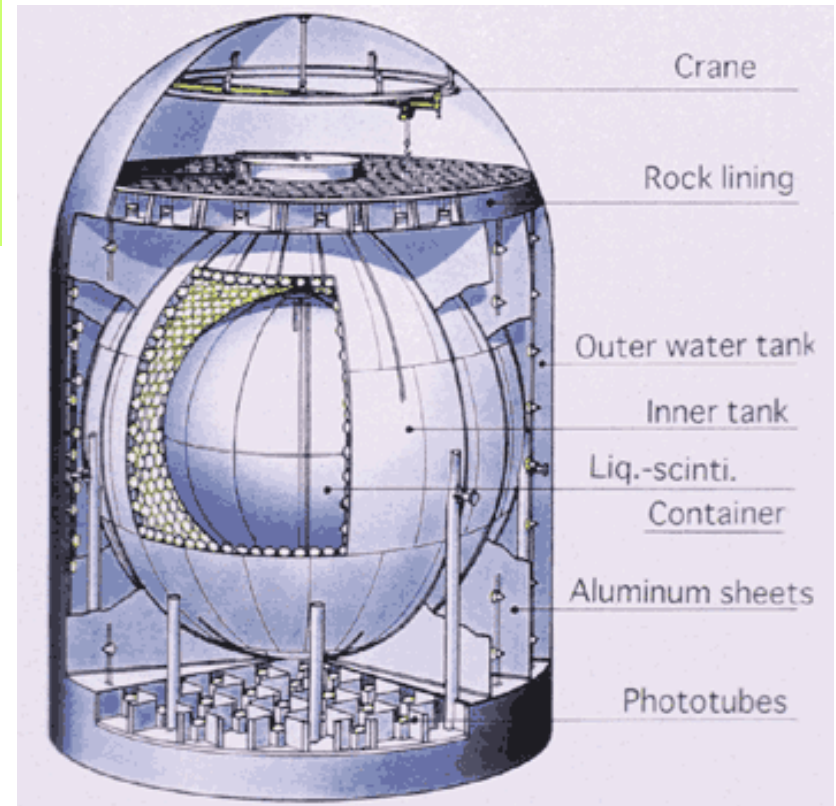
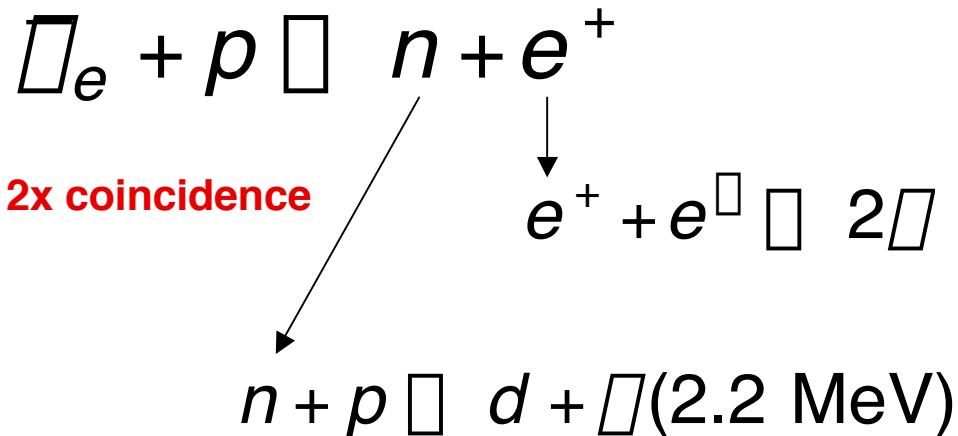
$$\text{LMA} \quad \Delta m^2 = 5 \times 10^{-5} \text{ eV}^2$$

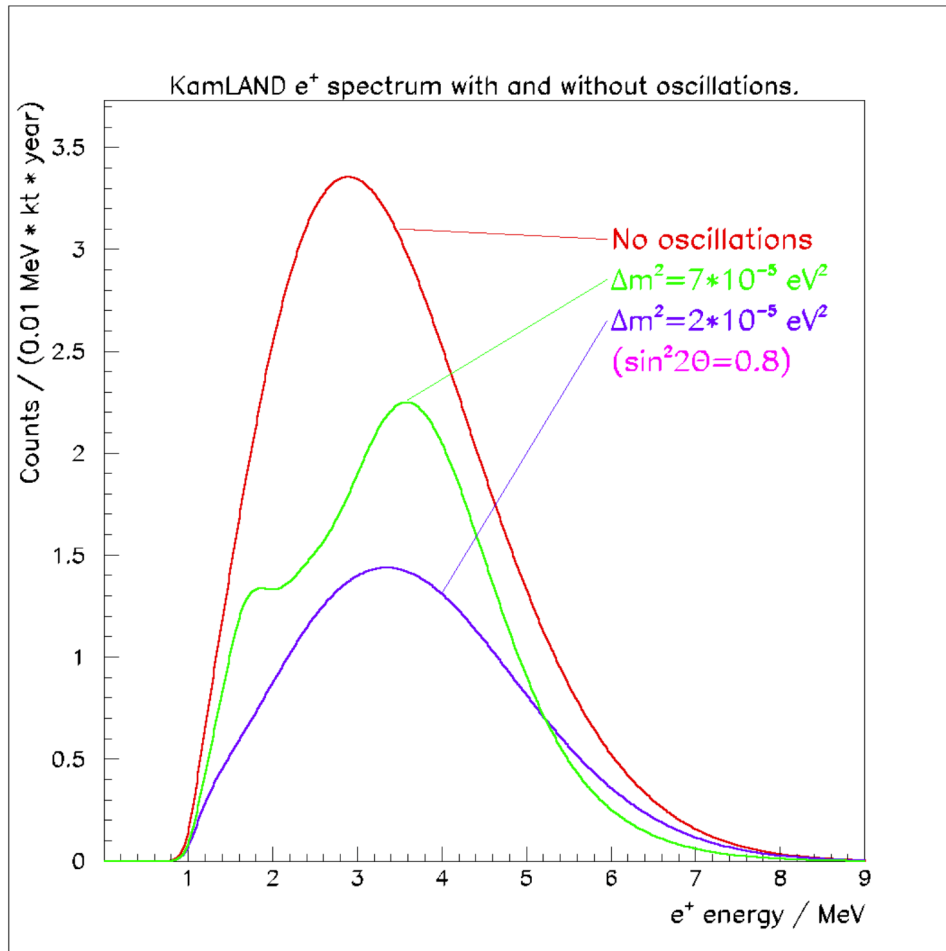


## LARGE MIXING SCENARIO?

□ **KamLAND** (Kamioka, Japan) reactor □ @ “right” baseline for probing the currently favored LMA region

1 kt liquid scintillator as target





- No oscillation scenario, expect:  
 $\sim 150$  events in 3 months
- If LMA, expect:  
 $\sim 110$  events in 3 months
- $\sim 3\sigma$  statistical significance in 3 months

***Data taking began on  
 Jan. 22, 2002***



# LOW solution?

**LOW: large D-N asymmetry in  $^7\text{Be}$  flux**  
□ **Borexino** (Gran Sasso, Italy)



$$23_{-13}^{+10}\% (3\sigma)$$

Bahcall et al. hep-ph/0204314

300t liquid scintillator as target.  
Measure the  $^7\text{Be}$  □ flux by  
elastic scattering:



**Very stringent radioactive  
background requirements**

Data-taking will begin in  
2003



Borexino prototype (“Counting Test Facility”)

# Low E solar $\theta$ experiment

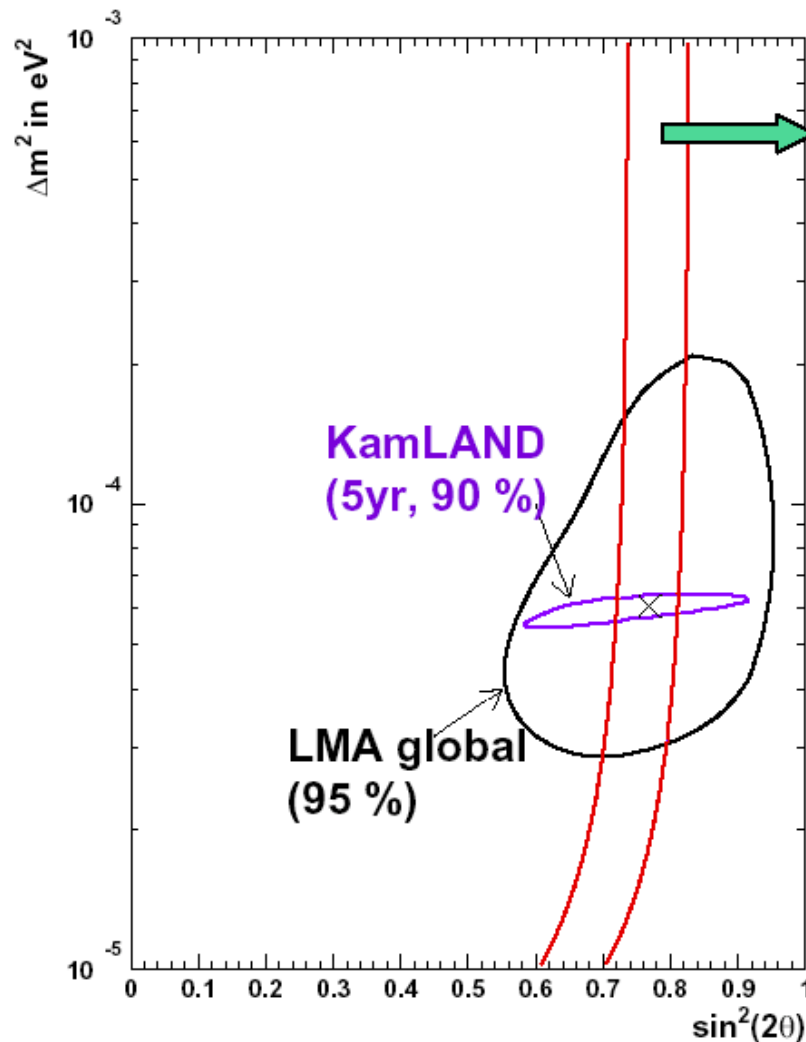
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## Goals:

- Precision measurement of  $\theta_{12}$ , test unitarity of MNSP matrix
- Constrain on active-sterile  $\theta$  mixing
- Test of solar models

# Future solar $\theta$ experiments



- pp neutrino flux measurement (90 % C.L.) by :
  - 10 ton detector
  - $\nu_e$  scattering experiment
  - 5 years data
  - Statistical error + SSM flux error(1%)
- Accuracy of mixing angle :  
 $\sin^2 2\theta = 0.77 \pm 0.03$  (stat.+SSM)

Precise determination of oscillation parameters by KamLAND and pp experiments.

Nakahata, LowNu2002

# Future Experiments



<b>Next Generation Solar Neutrino Experiments</b>										
Expt.	Type	Fiducial Mass		Threshold (keV)			BP00 rate (per year)			
		Tons	of	ES	CC	NC	pp+ pep	<sup>7</sup> Be	<sup>8</sup> B	CNO
<b>HERON</b>	LHe rotons, scintillator	5	He	50			3025	1500	2	125
<b>HELLAZ</b>	Gas TPC	7	He	180			4000			
<b>CLEAN</b>	Scintillator	12.5	Ne	10			9000			
<b>XMASS</b>	Scintillator		Xe							
<b>LENS</b>	Scintillator	5	<sup>176</sup> Yb		301.45		570	400	32	136
<b>MOON</b>	Scintillator	3.3	<sup>100</sup> Mo		168		409	129	14	34
<b>CI</b>	Hybrid	2200	<sup>37</sup> Cl		814		230	1200	5900	420
<b>GaAs</b>	Ionization		<sup>71</sup> Ga							
<b>LiF</b>	Bolometer	0.9	<sup>7</sup> Li		862	487	27	29		