

Q. Shafi

KALUZA-KLEIN EXCITATIONS,

NEUTRINO OSCILLATIONS

AND RARE PROCESSES

(with S. Huber
& C.K. Lee)

B & L Violation (within the SM framework)

These may arise from

- (i) Non-perturbative effects; (highly suppressed except for $T \neq 0$)
- (ii) Higher dimensional operators (suppressed by powers of $M_{\text{Planck}} \sim 10^{18} \text{ GeV}$).

From (ii), for instance, one can have

$$\Theta = qqql \text{ (dimension six)}$$

$$\Rightarrow \tau_p \gtrsim 10^{44} \text{ yrs.}$$

Thus, discovery of proton decay implies physics beyond the SM.

From dimension five operators

$$\left(\frac{1}{M_p}\right) \underbrace{L L}_{\text{lepton doublets}} \underbrace{H H}_{\text{Higgs doublet}} \quad \left(\text{Majorana mass for 'right' } \nu\right)$$

one expects neutrino masses $\lesssim 10^{-5} \text{ eV}$.

Thus, discovery of neutrino mass $\gg 10^{-5} \text{ eV}$ implies the existence of new physics outside the SM. In particular, M_p above should be replaced by $M \lesssim 10^{14} \text{ GeV}$ if we follow the path of grand unification (& we want $m_\nu \sim 0.1 \text{ eV}$). (See-saw Mechanism)

NEUTRINO OSCILLATIONS

Challenges:

- (i) Origin of mass?
- (ii) Dirac or Majorana?
- (iii) Relations to Quark masses/mixings?

'Bimaximal' ^{mixing} λ versus $\theta_{\text{quark}} \ll 1$;

^{lepton mixing} Third λ angle small;

Lepton mass ratios not as large
as in quark sector;

EXTRA DIMENSION(S)

WHO NEEDS THEM?

- Unification of forces (Kaluza-Klein)

Consider 5 dimensional gravity

metric tensor $\rightarrow g_{AB}$, $A, B = 0, 1, \dots, 4$

Dimensional reduction to $M_4 \times S^1$:

$g_{\mu\nu}$, $g_{\mu 4} \sim A_\mu$, g_{44}
↑ ↑ ↑
graviton EM field (!) scalar
($\mu, \nu = 0, \dots, 3$)

- Electric charge quantized
($e \propto \frac{1}{M_{Pl} R_5}$)
- Monopoles

Einstein-Hilbert action in 5 dimensions:

$$S \sim \int d^5x \sqrt{-G} \left(\frac{1}{K_5^2} R \right), \quad K_5^2 = 16\pi G_5$$

Suppose extra spatial dimension is S^1

$$S^1: x^5 = x^5 + 2\pi R_5 \quad (\text{Note: } M^4 \times S^1 \text{ sol}^n \text{ of vacuum field eq}^n)$$

$$G_{MN}(x^\mu, x^5) = \sum_{n=-\infty}^{\infty} \underbrace{G_{MN}^n(x^\mu)}_{\text{KK modes}} \exp\left(\frac{in x^5}{R_5}\right)$$

$$\text{Consider } G_{MN}^n(x^\rho) = \begin{pmatrix} g_{\mu\nu}^n(x^\rho) & g_{\mu 5}^n(x^\rho) \\ g_{5\mu}^n(x^\rho) & g_{55}^n(x^\rho) \end{pmatrix}$$

In terms of 4d we have

$n=0$ special
 \therefore get
massless
modes

sym tensors $g_{\mu\nu}^n$
vector fields $g_{\mu 5}^n$
scalar fields g_{55}^n

$$(0 = P_M P^M = P_\mu P^\mu - (P_5)^2 \Rightarrow m^2 = \frac{n^2}{R_5^2} \text{ for the } n^{\text{th}} \text{ mode.})$$

Low Energy Effective Theory

$$G_{MN} = \eta_{MN} + \kappa_5 h_{MN}$$

$$h_{MN} = \frac{1}{\sqrt{2\pi R_5}} \begin{pmatrix} h_{\mu\nu} + \eta_{\mu\nu} \phi & A_\nu \\ A_\mu & 2\phi \end{pmatrix}$$

One finds linearized 4d gravity
+ electromagnetism
+ 'massless' scalar ϕ

← Goldstone boson from spontaneous breaking by $M^4 \times S^1$ of classical global scale invariance ($\langle \phi \rangle \sim R_5$)

DEGREES OF FREEDOM

- $[h_{MN}]_5 \rightarrow 2[h_{\mu\nu}] + 2[A_\mu] + 1[\phi]$

- KK Higgs: At each KK ^{mass} level, 2 $h_{\mu\nu}$ 'eat' the A_μ and ϕ KK modes, to become a single massive spin 2 superheavy!! →

- M-Theory

Presumably 11 dimensional;

Low energy limit may be

11-d supergravity;

(graviton, gravitino, A_{MNP})

Contains all known

superstring theories;

Unification
of matter
& gauge
forces?

- Large extra dimension(s)

(New way to approach hierarchy problem)

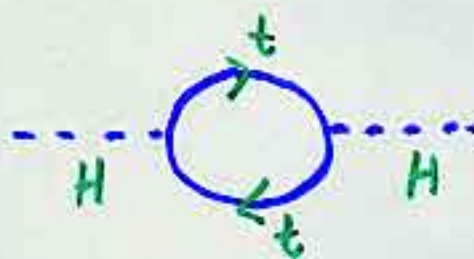
- Warped Geometry

(May resolve hierarchy problem
without SUSY)

Gauge Hierarchy Problem

$$M_{\text{Planck}} \gg M_W$$

\uparrow $\sim 10^{19} \text{ GeV}$ \uparrow $\sim 10^2 \text{ GeV}$

But  $\delta M_H^2 \sim \Lambda^2 \sim M_{\text{Planck}}^2$

\Rightarrow fine tuning needed to generate M_W ?

Proposed solutions include:

- SUSY (adds new diagrams, TeV particles)
- Extra dimensions

Fermion Masses & Mixings

$$m_t \sim 350,000 m_e$$

$$V_{ud} \sim 0.2 \gg V_{cb} \gg V_{ub}$$

$$\sin^2 2\theta_{\text{ATM}} \approx 1$$

How do we understand these ^{and related} hierarchies?

- SUSY does not really help;
- Supplement with some $U(1)$ family sym? (or non-abelian sym) (Froggatt/Nielson)
- Extra dimension(s)?

Is there a FIFTH dimension ?

MOTIVATION

- Superstrings/M-theory require **extra** dimensions.
- Gauge Hierarchy Problem

LARGE Extra Dimension(s)
(only felt by gravity)

Arkani-Hamed
Dimopoulos
Dvali
Antoniadis
⋮

$$\text{Spacetime} = M_4 \times I_n$$

$$M_{\text{Planck}}^2 = M_f^{n+2} V_n$$

$$n=2: R \sim \text{mm}$$

$$M_f \sim \text{TeV}$$

But : hierarchy between M_f and R^{-1} ??

↑
TeV

↑
 10^{-16} TeV

WARPED GEOMETRY

$$S = M_5^3 \int d^5x \sqrt{-G} (R - \Lambda) + \int d^4x \sqrt{-g} (-V_b)$$

↑
5d gravity + cosm. const

↑
Source term
for domain
wall at
 $x_5 = 0$

$$\text{Ansatz: } ds^2 = e^{2A(x_5)} \eta_{\mu\nu} dx^\mu dx^\nu + dx_5^2$$

$$(g_{\mu\nu} = G_{MN}(x_5=0) \delta_\mu^M \delta_\nu^N)$$

One finds:

$$6A'^2 + \frac{1}{2}\Lambda = 0$$

$$3A'' + \frac{1}{2}V\delta(x_5) = 0$$

With $\Lambda < 0$, one finds

$$A = \pm k x_5, \quad k = \sqrt{-\Lambda/12}$$

$$3\Delta(A') = -\frac{1}{2}V$$

So $A = -k x_5, \quad x_5 > 0$

$$A = k x_5, \quad x_5 < 0$$

$$V = 12k^2$$

& we have

$$ds^2 = e^{-2k|x_5|} \eta_{\mu\nu} dx^\mu dx^\nu + dx_5^2;$$

AdS curvature scale

↑
peaked at $x_5 = 0$

Naive reduction to 4 dim by integrating over x_5 yields

$$M_4^2 = M_5^3 \int dx_5 e^{-2k|x_5|} < \infty$$

Do we obtain 4d gravity?
(on the brane)

Despite the gapless KK spectrum, observer on the brane effectively sees 4d gravity. This is \because most of the bulk KK modes have wave functions with support far from $x_5 = 0 \Rightarrow$ brane fields & localized graviton couple only very weakly to the bulk continuum.

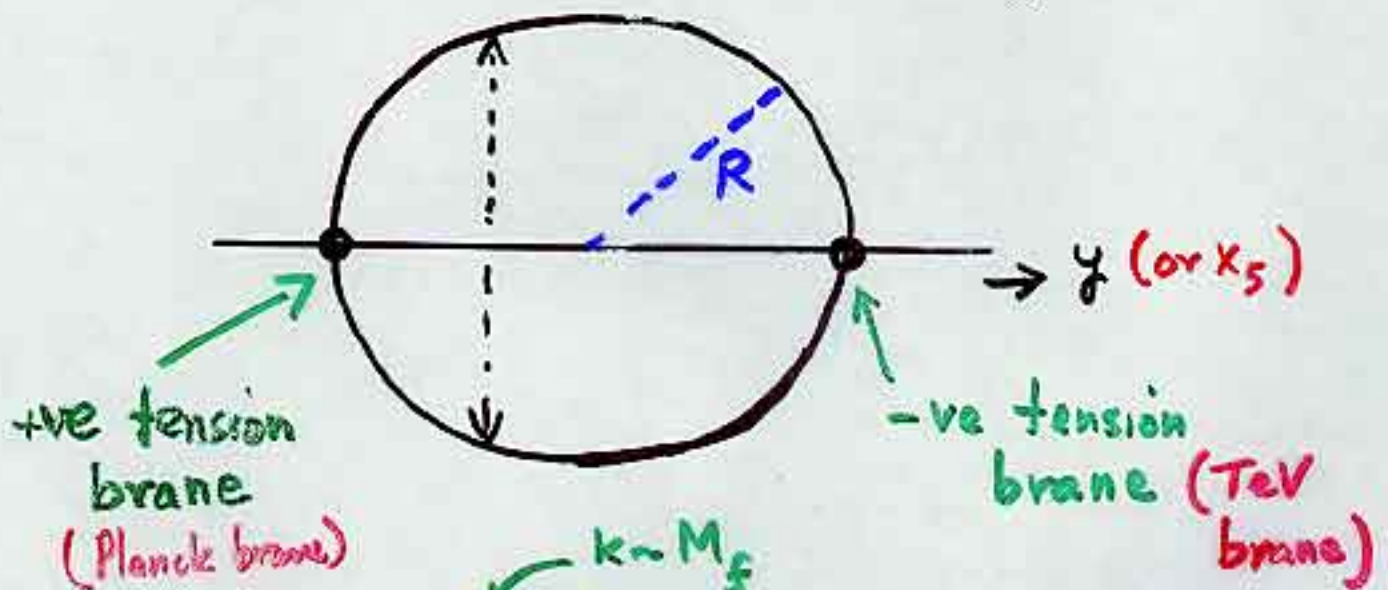
Thus, $V(r) \sim \frac{1}{r}$ receives small $\frac{1}{4}$ corrections. $V \sim \frac{Gm}{r} \left(1 \pm \left(\frac{1}{k^2 r^2} \right) \right)$

WARPED GEOMETRY

Randall
Sundrum
Goboshvili

One extra dimension which

corresponds to the orbifold S^1/\mathbb{Z}_2



$$ds^2 = \underbrace{e^{-2k|y|}}_{\substack{\uparrow \\ \text{warp} \\ \text{factor}}} \eta_{\mu\nu} dx^\mu dx^\nu + dy^2$$

$k \sim M_s$

$$M_{\text{Planck}}^2 = \frac{M_s^3}{k} (1 - e^{-2kR\pi})$$

$$v = v_0 e^{-kR\pi} \sim \text{TeV} (!)$$

\uparrow vev in 4d effective theory

\uparrow VEV in 5dim

Original Proposal

All SM fields reside on the TeV

brane; only gravity feels the extra dimension.

⇒ hierarchy problem under control!
(scale on TeV-brane \sim TeV)

BUT

Difficulty with non-renormalizable

operators:

$$\frac{1}{M_{Pl}^2} \bar{\Psi} \Psi \bar{\Psi} \Psi \longrightarrow \frac{1}{(\text{TeV})^2} \bar{\Psi} \Psi \bar{\Psi} \Psi$$

→ Rapid p decay

→ Large FCNC

→ Large neutrino masses (\gg eV)

WAY OUT ?

- Symmetries ?
- Permit SM fields to leave the brane ? (Higgs stays on the TeV brane)

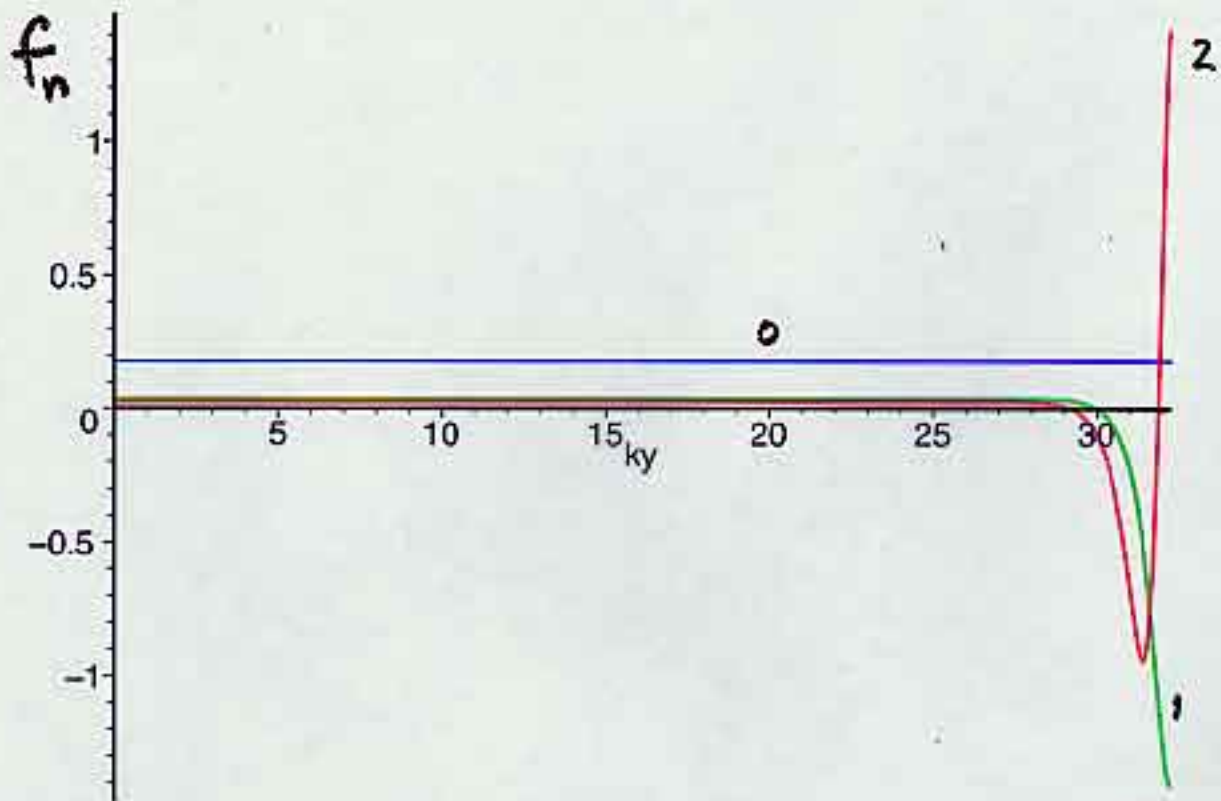
Ekergatta
Pomarod
Heurich et al
Huber + QS

unless
SUSY
invoked

5d Bulk field \Rightarrow Tower of 4d fields

$$\text{KK ansatz: } \Phi(x^M, y) = \sum_{n=0}^{\infty} \Phi^n(x^M) f_n(y)$$

↑
wave fns
from field e^{ny}



Planck
brane

TeV-
brane

(excited states
near TeV
brane!)

Bounds on KK Gauge Bosons

• Higgs on the TeV-brane

• Zero mode (gauge boson) becomes massive

→ wave function f_0 becomes y dependent
($m_0 \neq 0 \Rightarrow f_0 \neq \text{const}$)

In particular, f_0 reduced at the

TeV-brane $\Rightarrow M_Z = m_0 \neq g^{(5)} \langle H \rangle$

SM relationship between M_Z, M_W

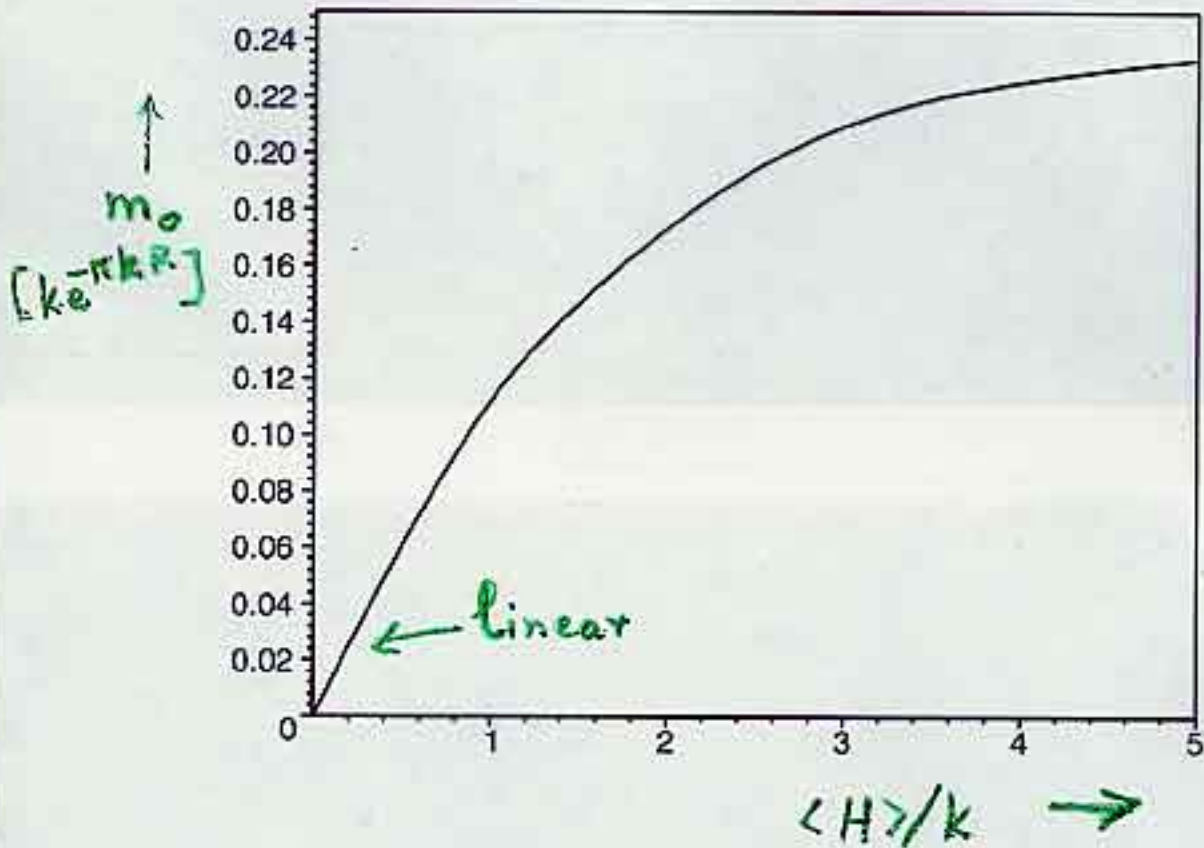
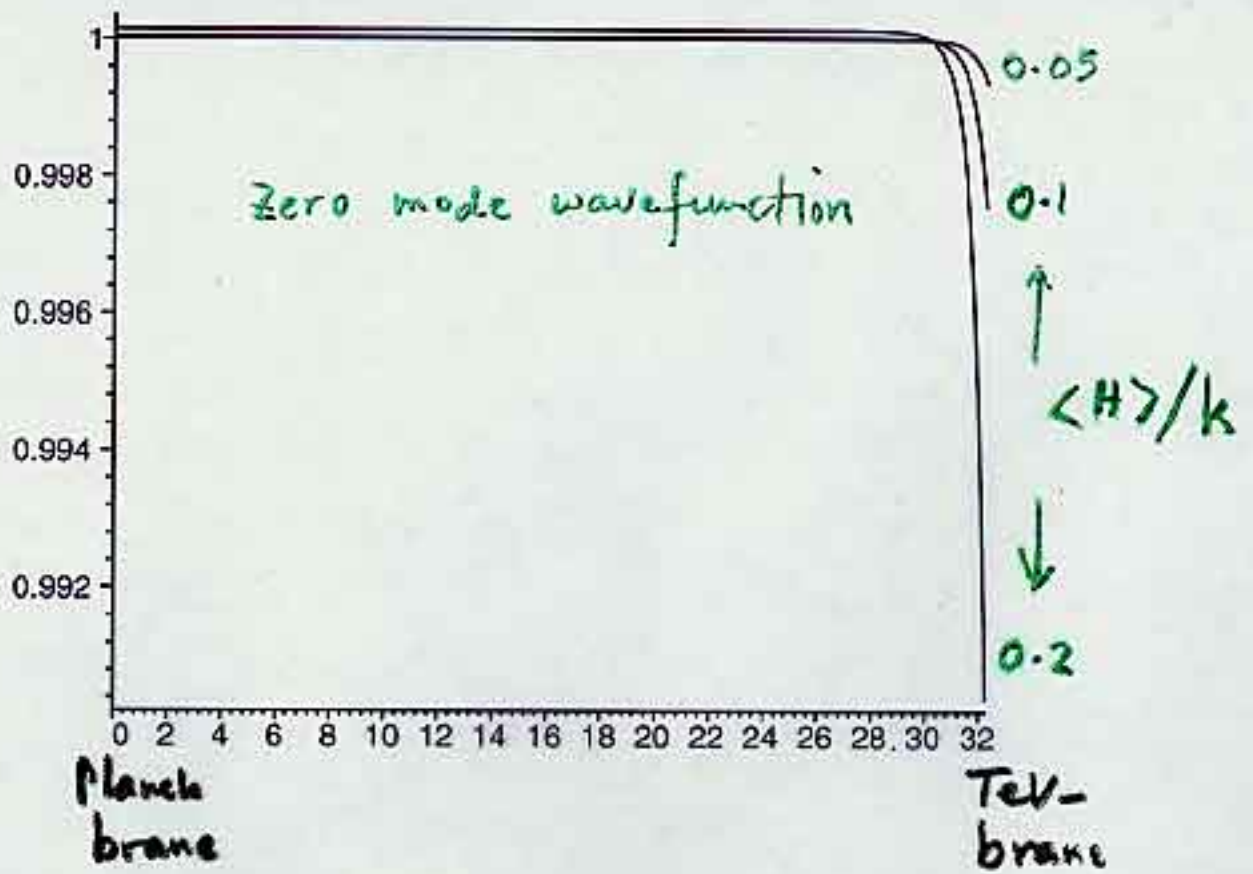
and coupling modified (deviations from SM $< 10^{-3}$
 $\Rightarrow \langle H \rangle / k \lesssim 10^{-2}$)

\Rightarrow bound on the TeV-brane \wedge Scale

$$m_1 \sim k e^{-\pi k R} \gtrsim 10 \text{ TeV} \quad (\langle H \rangle / k \lesssim 10^{-2})$$

↑
(could be somewhat smaller)
 $\sim 7 \text{ TeV}$
($\neq 7 \text{ TeV}$)

Huber, Lee, Q-S



SM fermions arise as 'zero' modes of the KK decomposition.

5d Dirac mass $m_\psi = c k \epsilon(y)$

Grossmann
Neubert
Haber + OS



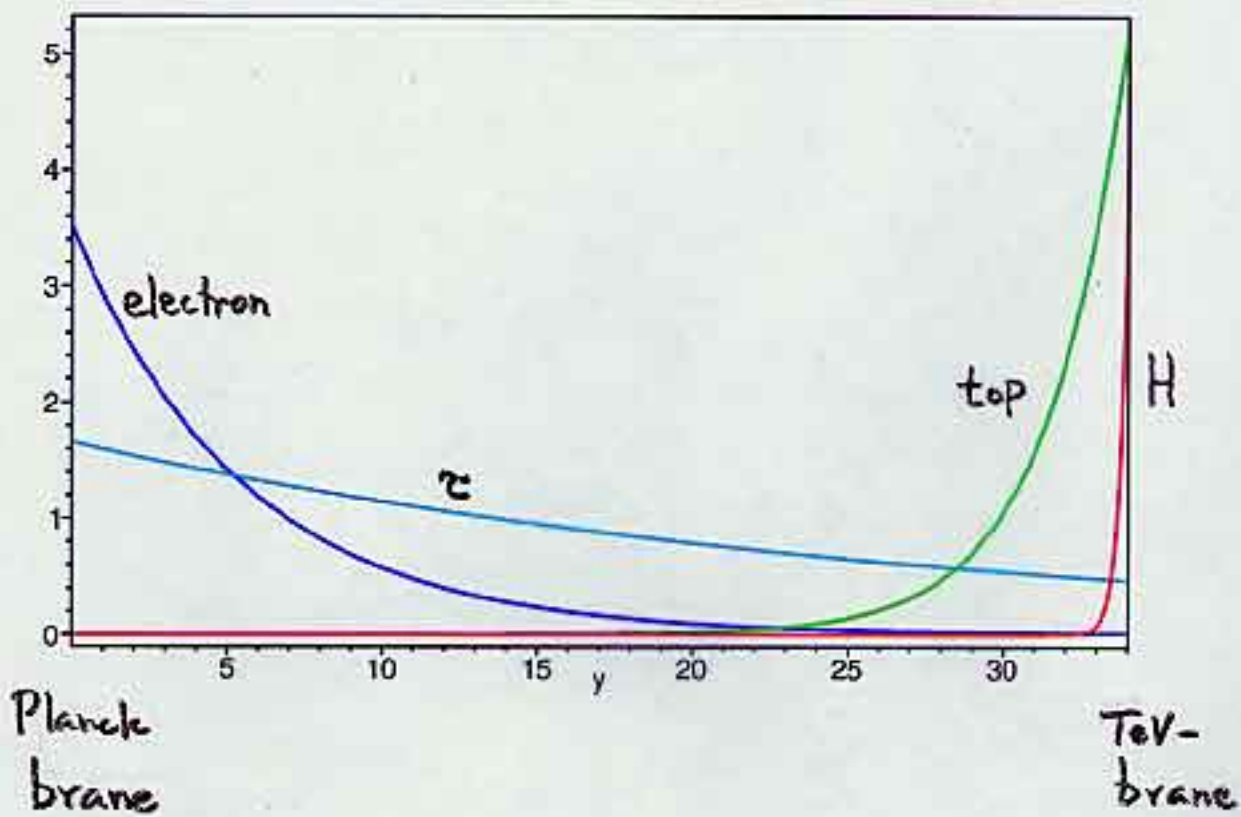
(Note: $\psi(-y) = \pm \gamma_5 \psi(y)$)
 $m_n \sim n \pi k e^{-\pi k R}$ ← excited states

For $c > \frac{1}{2}$: zero mode localized
(exponential) → Planck brane

$c < \frac{1}{2}$: zero mode → TeV-brane

Fermion mass hierarchy: Determined by the overlap between the fermion wave functions and Higgs (on TeV brane)

E.g.: $c(e) = 0.68$, $c(\mu) = 0.59$, $c(\tau) = 0.54$



Zero modes

$$\phi^{(0)}(y) \sim e^{(1-\alpha)k|y|}$$

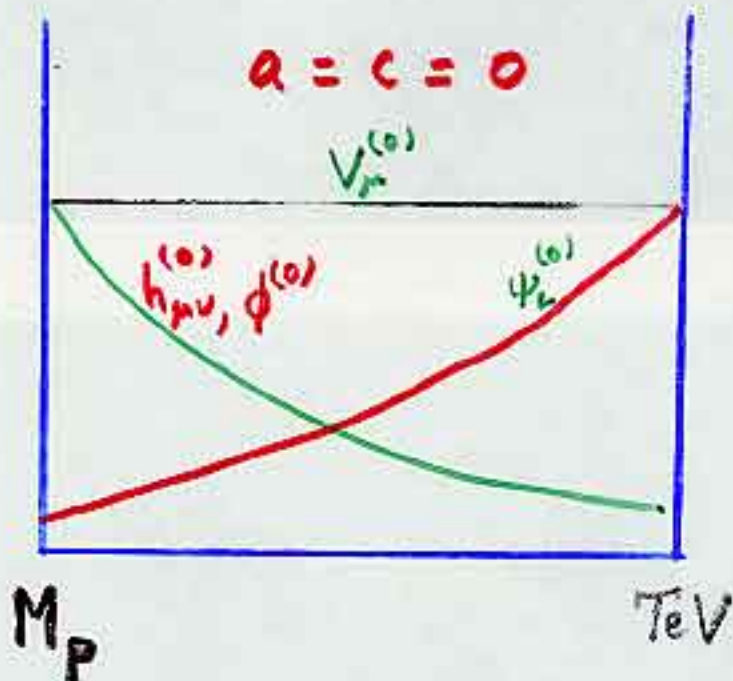
$$[m_\phi^2 = \alpha k^2 ; \alpha = \sqrt{4+a}]$$

$$\Psi_L^{(0)}(y) \sim e^{(\frac{1}{2}-c)k|y|}$$

$$[m_\Psi = ck \epsilon(y)]$$

$$V_\mu^{(0)}(y) \sim \text{indep. of } y$$

$$h_{\mu\nu}^{(0)}(y) \sim e^{-k|y|}$$



$\phi^{(0)}, \Psi_L^{(0)}$ can localise on either brane (depends on a & c)

Deviations from the SM

S. Huber
C. Lee
RS

- gauge boson masses are modified (by mixing with KK states)

- gauge couplings to fermions are modified:

from $g^{(5)} A_M \bar{\Psi} \gamma_M \Psi$

$$\rightarrow g = \frac{g^{(5)}}{(2\pi R)^{3/2}} \int_{-\pi R}^{\pi R} dy f_0^c(y)^2 f_0(y)$$

g depends on the location of the fermion c , and on the shape of f_0

TeV-brane fermions: $g = \frac{g^{(5)}}{\sqrt{2\pi R}} f_0(\pi R)$

- effective 4 fermion operators from KK gauge boson exchange

Pomarol;
Davoudiasl, Hewett, Rizzo;
Gherghetta, Pomarol

- loops involving KK states

Comparison with the SM

- comparison with precision data on M_W , M_Z , and $\sin^2 \theta_W$
- assume: modification of f_0 is main effect
ignore KK states, check afterwards
- small deviations at tree level
→ change in loops sub-leading
→ compare with SM at tree-level
- the weak mixing angle

$$\cos^2 \theta_1 = \frac{M_W^2}{M_Z^2}$$

$$\cos^2 \theta_2 = \frac{g_W^2}{g_2^2}$$

$$\cos^2 \theta_3 = 1 - \frac{g_\gamma^2}{g_W^2}$$

SM: $\cos^2 \theta_i$ are equivalent (tree-level)

→ $\Delta_{ij} = \cos^2 \theta_i - \cos^2 \theta_j$ vanish

Constraints on M_{KK}

- experimental input:

$$\delta M_W = 50 \text{ MeV}, \delta \sin^2 \theta_W = 1.6 \cdot 10^{-4}$$

$$\rightarrow \delta \cos \theta_1 < 1.1 \cdot 10^{-3}, \delta \cos \theta_{2,3} < 1.6 \cdot 10^{-4}$$

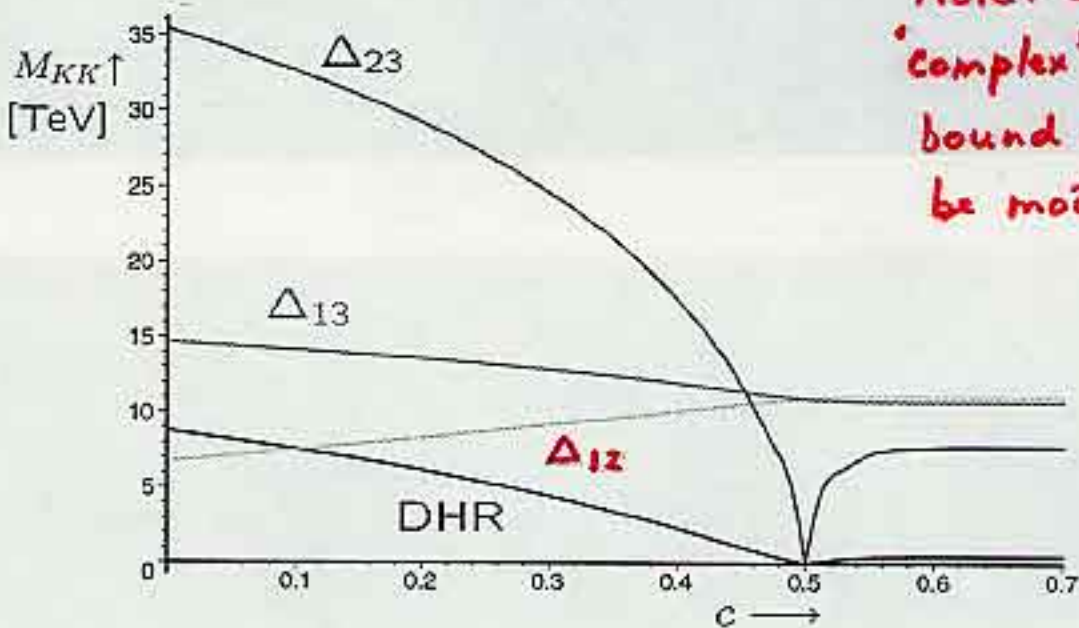
$$\rightarrow \Delta_{12,13} < 1.2 \cdot 10^{-3}, \Delta_{23} < 1.6 \cdot 10^{-4}$$

- results:

$$c \gtrsim 1/2 \rightarrow M_{KK} \gtrsim 10 \text{ TeV} (\Delta_{12})$$

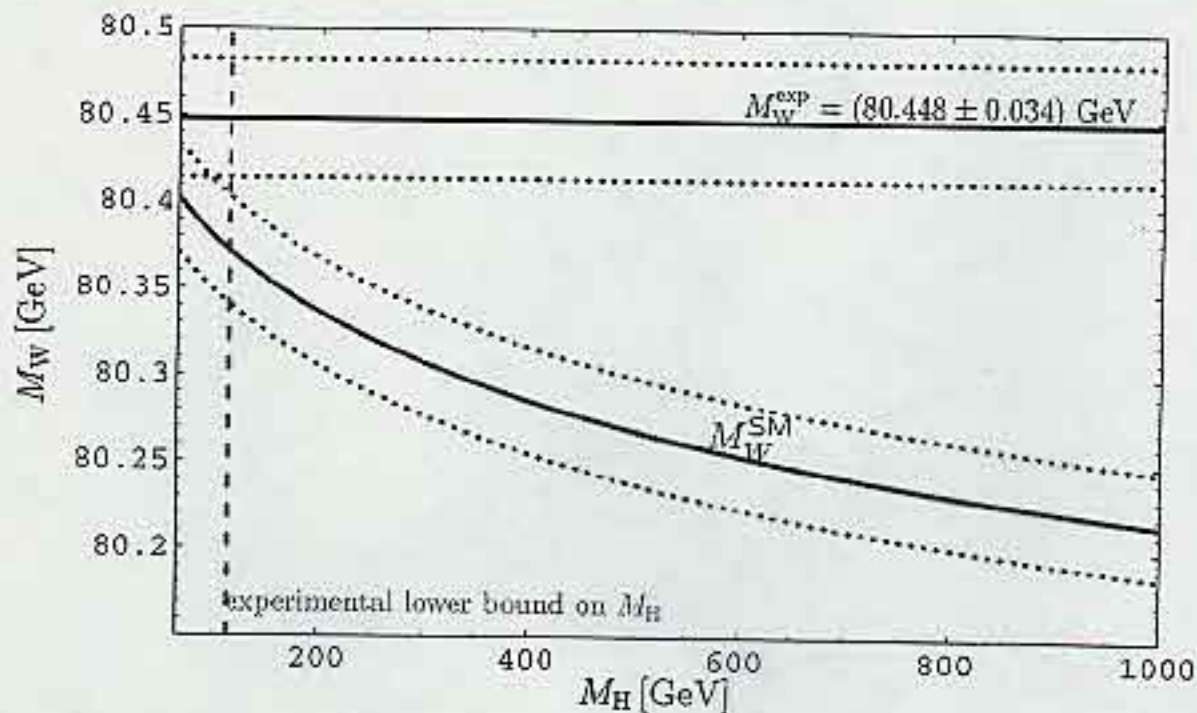
$$\text{TeV-brane} \rightarrow M_{KK} \gtrsim 60 \text{ TeV} (\Delta_{23})$$

constraint from KK exchange are much weaker (DHR) \rightarrow negligible



Note: In a more 'complex' scenario the bound on M_{KK} may be modified/weakened.

The W mass: SM vs. experiment



Wei glein, hep-ph/0108063

we have $M_{KK} \sim 1/\sqrt{\Delta M_W}$:

exp. 1σ : $\Delta M_W = 34 \text{ MeV} \rightarrow M_{KK} \gtrsim 12.4 \text{ TeV}$

$\Delta M_W = 50 \text{ MeV} \rightarrow M_{KK} \gtrsim 10.2 \text{ TeV}$

central values: $\Delta M_W = 76 \text{ MeV} \rightarrow M_{KK} \gtrsim 8.8 \text{ TeV}$

within error bars: $\Delta M_W = 140 \text{ MeV} \rightarrow M_{KK} \gtrsim 6.6 \text{ TeV}$

(with $k/M_{\text{Pl}} = 0.01$, $M_H \sim 120 \text{ GeV}$)

compare: LHC limit $M_{KK} \lesssim 7 \text{ TeV}$

Non-Renormalizable Operators

$$\int d^4x \int dy \sqrt{-g} \frac{1}{M^3} \bar{\Psi}_i \Psi_j \bar{\Psi}_k \Psi_l$$

$$\rightarrow \int d^4x \frac{1}{M^2} \bar{\Psi}_i^{(0)} \Psi_j^{(0)} \bar{\Psi}_k^{(0)} \Psi_l^{(0)}$$

EXAMPLES

	Expt	Model
• FCNC ($\frac{1}{M^2} (\bar{d}s)^2$)	$M \gtrsim 10^6 \text{ GeV}$	✓
• $n-\bar{n}$ oscillations	$\gtrsim 10^5 \text{ GeV}$	✓
• p decay	$\gtrsim 10^{15} \text{ GeV}$	$\sim 10^{11} \text{ GeV}$
• dim 5 ν masses	$\Delta m \lesssim 0.1 \text{ eV}$	$m_\nu \sim \text{keV}$

Need new ingredient
(e.g. $U(1)_L$?)

Neutrino Oscillations in RS

- 3 right-handed ν 's in bulk
- Dirac mass from

$$\int d^4x \int dy \sqrt{-G} \frac{\lambda_{ij}^{(5)}}{\sqrt{k}} H \bar{L}^i \Psi^j$$

TeV-brane \nearrow $\lambda_{ij}^{(5)}$ \nearrow \bar{L}^i \nearrow Ψ^j \nearrow RH- ν near Planck brane

!! (Dirac masses suppressed)

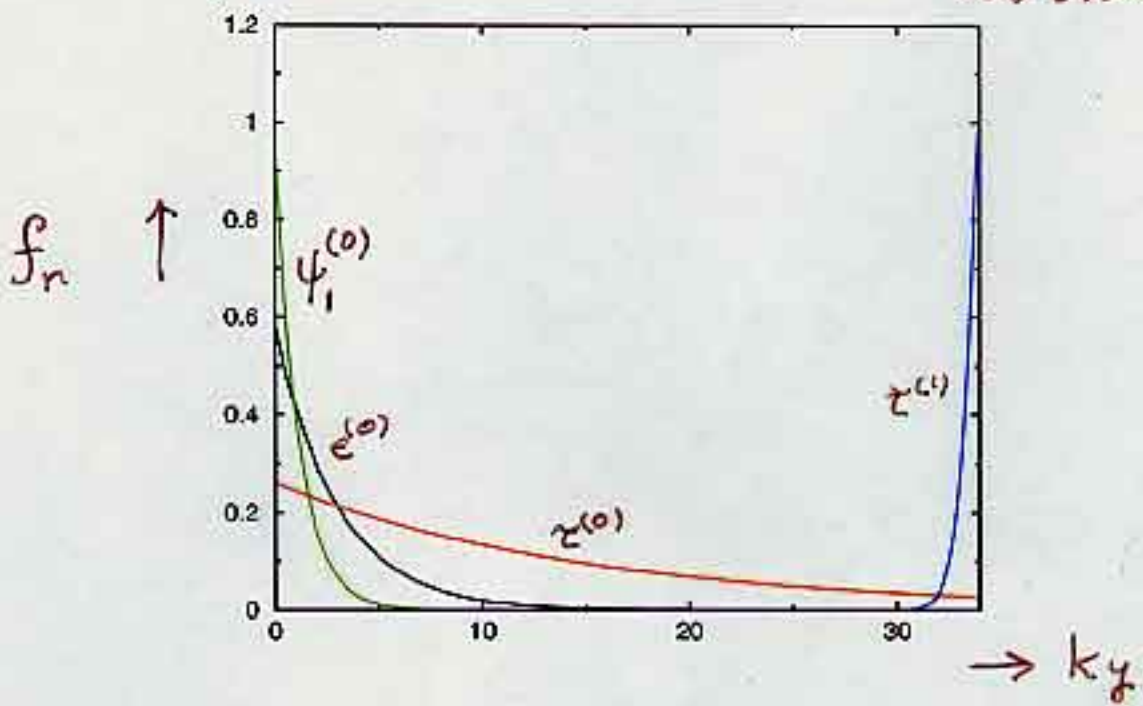
Spectrum (flavor index suppressed)

$$\text{SM } \nu\text{'s} : \begin{cases} \nu_L^{(0)} & , & \nu_L^{(1)} & , & \nu_L^{(2)} & , & \dots \\ \text{KK-tower} & \left\{ \begin{array}{l} - & , & \nu_R^{(1)} & , & \nu_R^{(2)} & , & \dots \end{array} \right. \end{cases}$$

$$\text{Sterile } \nu\text{'s} \begin{cases} - & , & \psi_L^{(1)} & , & \psi_L^{(2)} & , & \dots \\ \text{(Right-handed)} & \left\{ \begin{array}{l} \psi_R^{(0)} & , & \psi_R^{(1)} & , & \psi_R^{(2)} & , & \dots \end{array} \right. \end{cases}$$

Planck brane

TeV brane



NEUTRINO MIXINGS

- SM neutrinos at different locations
(e.g. to generate charged lepton masses)
→ 'expect' nearest neighbor-type mixing,
small mixing angles;
- Allow order 10 hierarchy in λ_N to
realize large atmospheric mixing
small θ MSW solution;
- SM neutrinos at the same location;
order unity λ_N
→ bimaximal scenario possible;
(also LOW & vacuum solutions
can be realized.)

Consider bi maximal mixing

$$\nu_L: C_{eL} = C_{\mu L} = C_{\tau L} = 0.57 \quad \left. \vphantom{C_{eL}} \right\} \leftarrow \text{Explains } m_{\nu_e} \gg m_{\nu_\mu} \gg m_e$$

$$(C_{eR} = 0.79, C_{\mu R} = 0.62, C_{\tau R} = 0.50)$$

$$\Psi_R: C_{\Psi_1} = 1.43, C_{\Psi_2} = 1.36, C_{\Psi_3} = 1.30$$

Large λ MSW

5-d coupling \rightarrow

$$\lambda_{ij} = \begin{pmatrix} -2.0 & 1.5 & -0.5 \\ -1.8 & -1.1 & 1.9 \\ 0.5 & 1.9 & 1.7 \end{pmatrix}$$

$$\Delta m_{atm}^2 = 5 \cdot 10^3 \text{ eV}^2 \rightarrow \text{atm } (\sim m_{\nu_1})$$

$$\Delta m_{sol}^2 = 1 \cdot 10^4 \text{ eV}^2 \rightarrow \text{solar } (\sim m_{\nu_2})$$

$$\sin^2 2\theta_{atm} \approx 0.98 \rightarrow \text{atm}$$

$$\sin^2 2\theta_{sol} \approx 0.90 \rightarrow \text{solar}$$

$$[U_{e3}^2 = 0.036]$$

close to
exp bound

Neutrino Mass Matrix

$$\begin{array}{c}
 \psi_L^{(0)} \\
 \psi_L^{(1)} \\
 \psi_L^{(2)} \\
 \vdots
 \end{array}
 \begin{pmatrix}
 \psi_A^{(0)} & \psi_R^{(1)} & \psi_R^{(2)} & \dots \\
 \langle H \rangle & 0 & \langle H \rangle & \dots \\
 \langle H \rangle & M & \langle H \rangle & \dots \\
 0 & \langle H \rangle & M & \dots \\
 \vdots & \vdots & \vdots & \ddots
 \end{pmatrix}$$

\uparrow
 KK scale

For solar ν both small and large mixing angle solutions can be realized, depending on how we choose the 'c' parameters.

MIXING WITH STERILE NEUTRINOS

Neutrino mass matrix: $\lambda_N \nu_L^{(0)} \psi_R^{(1)} \langle H \rangle + \dots$

→ SM neutrinos mix with KK sterile neutrinos

→ effective weak charge of light ν 's reduced:

Effective number of neutrinos

$$n_{\text{eff}} = 3 - \delta n, \quad \delta n \leq 0.005 \text{ (Z-width)}$$

With bulk SM neutrinos,

$$6 \cdot 10^{-7} \lesssim \delta n \lesssim 2 \cdot 10^{-5}$$

↑
 ν_L all at
diff location

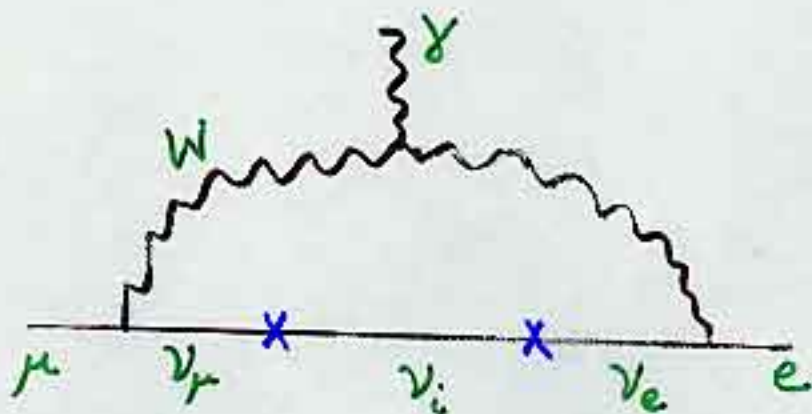
↑
 ν_L at same
location

Cf: TeV-brane SM neutrinos: δn close to
exp bound (Grossman
Neubert)

Reason: $\nu_L^{(0)}$ have small overlap
with the TeV-brane

LEPTON FLAVOR VIOLATION

E.g. $\mu \rightarrow e \gamma$ mediated by KK sterile ν 's



$$\Gamma(\mu \rightarrow e \gamma) \sim \left| \sum_i U_{ei} U_{\mu i} f\left(\frac{m_i^2}{m_W^2}\right) \right|^2$$

• TeV-brane ν 's : ($m_i = 10 \text{ TeV}$)

$$\text{Br} \sim 10^2 \times \text{exp bound} (\sim 10^{-11})$$

Kitano
(Yukawas \sim unity)

• Bulk neutrinos :

$$10^{-26} \leq \text{Br} \leq 10^{-15} \quad (\text{suppressed } \because \text{ of smaller mixing between SM \& sterile } \nu\text{'s})$$

Rate enhanced if we move SM ν 's closer to the TeV-brane

$n - \bar{n}$ Oscillations

Lepton number conservation \rightarrow p stable; $\uparrow U(1)_L$

$n - \bar{n}$ oscillations possible;

E.g. $\sigma \sim \frac{1}{M^5} (u^c d^c d^c)^2$

$(M_{\text{exp}} \gtrsim 330 \text{ TeV})$

For bulk quark fields with locations fixed by quark masses & mixings,

$M \sim 800 \text{ TeV}$

$\Rightarrow \tau(n - \bar{n}) \sim 10^2$ beyond exp. bound;

By reducing quark Yukawa's by ~ 5 & bringing quarks closer to the TeV brane, $\tau(n - \bar{n}) \sim \text{exp. bound}$ (FCNC okay).

KK contributions to $(g-2)_\mu$

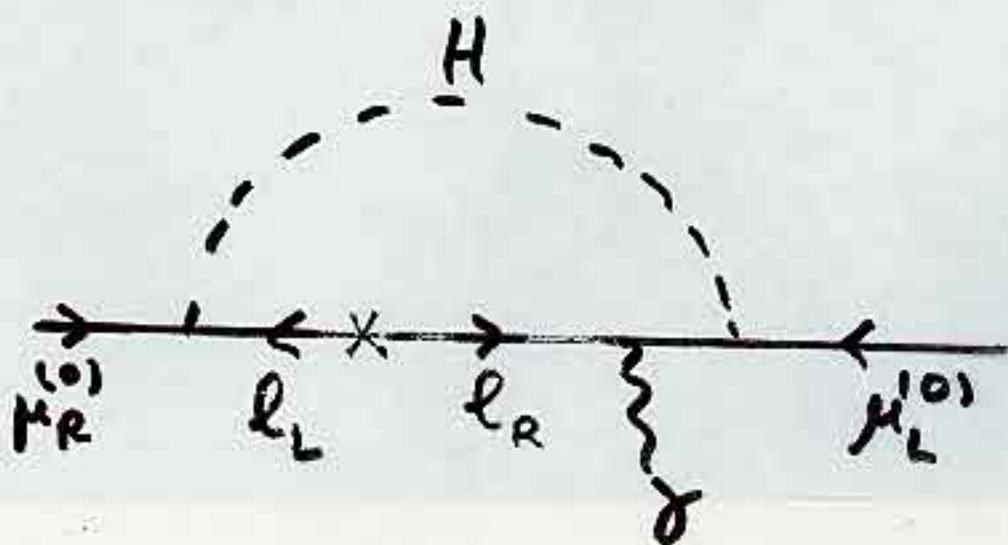
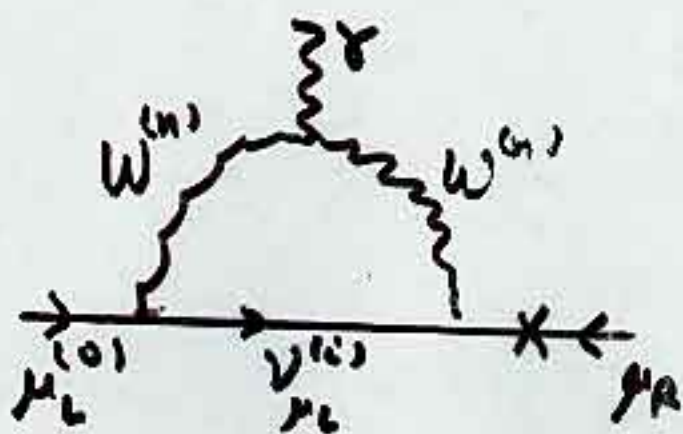
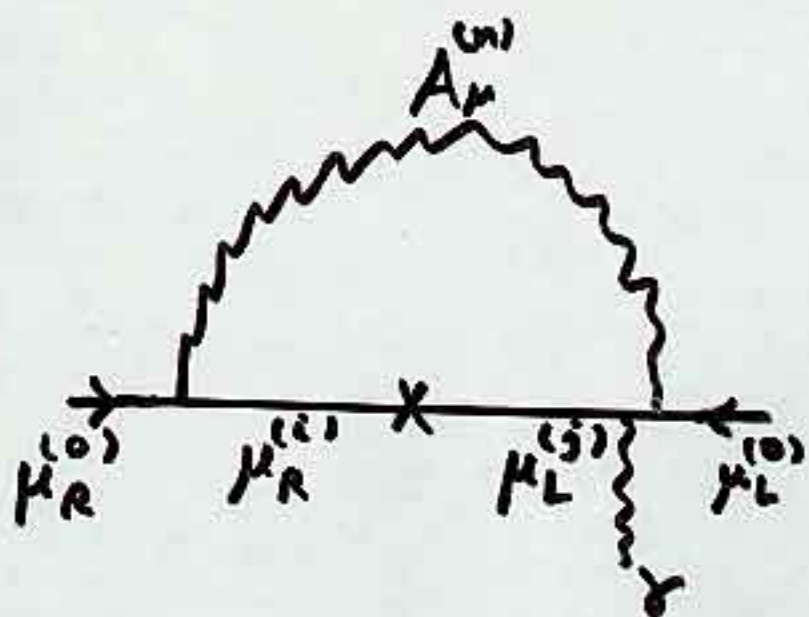
$$c_{\mu L} = 0.57, \quad c_{\mu R} = 0.61$$

• Gauge boson

$$\Delta a_\mu^{(A)} = -5 \cdot 10^{-12} \left(\frac{10 \text{ TeV}}{m_{KK}} \right)^2$$

• Higgs

$$\Delta a_\mu^{(H)} = -3 \cdot 10^{-11} \left(\frac{10 \text{ TeV}}{m_{KK}} \right)^2$$



SUMMARY

- Bulk SM fields
- Higgs at the TeV-brane

⇒ geometrical interpretation of fermion masses & mixings; $m_K \gtrsim 10 \text{ TeV}$;
proton decay not quite sufficiently suppressed;
'Large' Majorana ν masses;

- Impose lepton number + right-handed bulk neutrinos

⇒ 'light' Dirac ν_i ; neutrinoless double β decay absent

reproduce correct ν oscillations;
rare processes ($\mu \rightarrow e\gamma$, $n - \bar{n}$) may occur at observable rates;

- **SMOKING GUN:** KK excitations at LHC?